NOTICE TO BIDDERS

NOTICE IS HEREBY GIVEN, that the Undersigned, on behalf of the Essex County Board of Supervisors, will accept sealed bids at the Office of the Purchasing Agent until 2:00 P.M. on March 19, 2014 for the Installation of an Emergency Power System.

The bids shall be opened and read aloud on March 19, 2014 at 2:00 P.M. at the Office of the Purchasing Agent, 7551 Court Street, Elizabethtown, New York. If additional information concerning the bidding is required, please call (518) 873-3332.

All bids submitted in response to this notice shall be marked "SEALED BID – EMERGENCY POWER SYSTEM" clearly on the outside of the envelope. All bids shall be submitted on the bid sheets included in the package, and no other forms shall be accepted.

Specifications and standard proposals for the proposed work may be obtained at the above address, by calling 518-873-3332, or on the County's website at <u>www.co.essex.ny.us</u>.

Each proposal must be accompanied by either a Certified Check payable to Essex County Treasurer in the amount of five percent (5%) of amount of the bid or a Bid Bond, in a form acceptable to the Essex County Attorney.

A labor and material Payment Bond and a Performance Bond in the form contained in the Contract documents will be required of the successful Bidder.

Attention of the bidders is particularly called to the requirements as to the conditions of employment to be observed and minimum wage rates under the Contract.

Essex County reserves the right to reject any and all bids not considered to be in the best interest of Essex County, and to waive any technical or formal defect in the bids which is considered by Essex County to be merely irregular, immaterial, or unsubstantial.

In addition to bid sheets, the bidder shall submit executed non-collusion bid certificates signed by the bidder or one of its officers as required by the General Municipal Law Sec. 103d. The bidder shall also submit an executed certificate of compliance with the Iran Divestment Act signed by the bidder or one of its officers as required by the General Municipal Law Sec. 103g.

A Contract awarded pursuant to this notice shall be subject to the provisions of Sections 103-1, 103-b, 103-d and 103-g of the General Municipal Law.

PLEASE TAKE FURTHER NOTICE that Essex County affirmatively states that in regard to any contract entered into pursuant to this notice, without regard to race, color, sex, religion, age, national origin, disability, sexual preference or Vietnam Era veteran status, disadvantaged and minority or women-owned business enterprises will be afforded equal opportunity to submit bids in response hereto.

Dated: March 3, 2014

Linda M. Wolf, CPA Purchasing Agent Essex County Government Center 7551 Court Street – PO Box 217 Elizabethtown, New York 12932 (518) 873-3332

Specifications for

Emergency Power System

Scope: It is the intent of these specifications to describe the installation of a diesel generator (furnished by owner) and supporting electrical equipment and wiring to sustain the Emergency Operations Center within the Public Safety Building in Lewis, NY. The work includes the installation of a 175 kW diesel generator, bypass isolation transfer switch, distribution wiring, including wiring to the emergency centers main UPS, wiring to other essential lighting and receptacle loads, and limited site work associated with the generator installation. The owner will provide site work indicated on the drawings.

The work is anticipated to commence on April 15, 2014 and shall be substantially completed within 75 days from notice to proceed.

All materials furnished under this contract shall conform to the following Technical Specification sheets; unless the same are modified by the Essex County Superintendent of Public Works or his authorized representative.

The acceptance of the said materials by the Essex County Superintendent of Public Works or by his authorized representative does not prevent subsequent rejection should the materials and/or workmanship be determined to be defective or not in compliance with the specifications.

The Bidder shall provide all materials for specified bid item as indicated on the project drawings and plans titled:

Essex County, New York Public Safety Building Emergency Operations Center Emergency Power System, Lewis, NY

Drawing

| G-001 | Cover Sheet |
|-------|----------------------------------|
| G-002 | Notes, Symbols, & Abbreviations |
| C-101 | Site Plan |
| C-501 | Details |
| E-001 | Existing Electrical One Line |
| E-002 | Proposed Electrical One Line |
| E-101 | Basement Electrical Plans |
| E-102 | First Floor Electrical Plan |
| E-103 | Second Floor Electrical Plan |
| E-601 | Existing Panel Schedules |
| E-602 | Proposed Panel Schedules |
| | |

The Bidder agrees to furnish the materials as specified on the above listed project drawings and plans without exception.

Project plans are provided in electronic format. The Bidder shall understand that original project plans are 24"x36" and must be plotted at 100% to preserve scale of original project plans.



DOCUMENT 000110

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Section Title

017000

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DIVISION 01 - GENERAL REQUIREMENTS

013300 Submittal Procedures

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- 015000 Temporary Facilities and Controls
- 016000 Product Requirements
 - Execution and Closeout Requirements
 - Closeout Checklist

DIVISION 02 - EXISTING CONDITIONS

- 024126 Selective Electrical Demolition
- DIVISION 03 CONCRETE
- 033000 Cast-In-Place Concrete
- **DIVISION 26 ELECTRICAL**
- 260519 Low-Voltage Electrical Power Conductors
- 260526 Grounding and Bonding for Electrical Systems
- 260529 Hangers and Supports for Electrical Systems
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- 260553 Identification for Electrical Systems
- 260573 Overcurrent Protective Device Coordination Study
- 262203 Low Voltage Transformers for Non-Linear Loads
- 262416 Panelboards
- 262726 Wiring Devices
- 262826 Bypass Isolation Automatic Transfer Switches
- 263213 Engine Generators
 - 175W Generator Package

DIVISION 31 - EARTHWORK (BY OWNER)

- 310516 Aggregates for Earthwork (By Owner)
- 312316 Excavation (By Owner)
- 312317 Trenching (By Owner)

END OF DOCUMENT

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SECTION 013300

SUBMITTAL PROCEDURES

PART 1 GENERAL

1.1 SECTION INCLUDES

- A. Submittal procedures.
- B. Construction progress schedules.
- C. Proposed products list.
- D. Product data.
- E. Shop drawings.
- F. Samples.
- G. Test reports.
- H. Certificates.
- I. Manufacturer's instructions.
- J. Manufacturer's field reports.
- K. Construction photographs.

1.2 SUBMITTAL PROCEDURES

- A. Transmit each submittal with attached form.
- B. Sequentially number transmittal forms. Mark revised submittals with original number and sequential alphabetic suffix.
- C. Identify Project, Contractor, subcontractor and supplier; pertinent drawing and detail number, and specification section number, appropriate to submittal.
- D. Apply Contractor's stamp, signed or initialed certifying that review, approval, verification of products required, field dimensions, adjacent construction Work, and coordination of information is in accordance with requirements of the Work and Contract Documents.
- E. Schedule submittals to expedite Project, and deliver to Architect/Engineer at business address. Coordinate submission of related items.

- F. For each submittal for review, allow 15 days excluding delivery time to and from Contractor.
- G. Identify variations from Contract Documents and product or system limitations which may be detrimental to successful performance of completed Work.
- H. Allow space on submittals for Contractor and Architect/Engineer review stamps.
- I. When revised for resubmission, identify changes made since previous submission.
- J. Distribute copies of reviewed submittals as appropriate. Instruct parties to promptly report inability to comply with requirements.
- K. Submittals not requested will not be recognized or processed.

1.3 CONSTRUCTION PROGRESS SCHEDULES

- A. Submit initial schedules within 15 days after date of Owner-Contractor Agreement. After review, resubmit required revised data within ten days.
- B. Distribute copies of reviewed schedules to Project site file, subcontractors, suppliers, and other concerned parties.
- C. Instruct recipients to promptly report, in writing, problems anticipated by projections indicated in schedules.
- D. Submit computer generated horizontal bar chart with separate line for each major portion of Work or operation, identifying first work day of each week.
- E. Show complete sequence of construction by activity, identifying Work of separate stages and other logically grouped activities. Indicate early and late start, early and late finish, float dates, and duration.
- F. Indicate estimated percentage of completion for each item of Work at each submission.
- G. Include schedule of submittal dates for shop drawings, product data, and samples, including dates reviewed submittals will be required from Architect/Engineer. Indicate decision dates for selection of finishes.
- H. Indicate delivery dates for Owner furnished products.
- I. Revisions To Schedules:
 - 1. Indicate progress of each activity to date of submittal, and projected completion date of each activity.
 - 2. Identify activities modified since previous submittal, major changes in scope, and other identifiable changes.

3. Prepare narrative report to define problem areas, anticipated delays, and impact on Schedule. Report corrective action taken, or proposed, and its effect including effect of changes on schedules of separate contractors.

1.4 PROPOSED PRODUCTS LIST

- A. Within 15 days after date of Owner-Contractor Agreement, submit list of major products proposed for use, with name of manufacturer, trade name, and model number of each product.
- B. For products specified only by reference standards, give manufacturer, trade name, model or catalog designation, and reference standards.

1.5 PRODUCT DATA

- A. Product Data: Submit to Architect/Engineer for review for limited purpose of checking for conformance with information given and design concept expressed in Contract Documents.
- B. Submit number of copies Contractor requires, plus two copies Engineer will retain.
- C. Mark each copy to identify applicable products, models, options, and other data. Supplement manufacturers' standard data to provide information specific to this Project.
- D. Indicate product utility and electrical characteristics, utility connection requirements, and location of utility outlets for service for functional equipment and appliances.
- E. After review, produce copies and distribute in accordance with SUBMITTAL PROCEDURES article and for record documents described in Section 017000 Execution and Closeout Requirements.

1.6 SHOP DRAWINGS

- A. Shop Drawings: Submit to Engineer for review for limited purpose of checking for conformance with information given and design concept expressed in Contract Documents.
- B. Indicate special utility and electrical characteristics, utility connection requirements, and location of utility outlets for service for functional equipment and appliances.
- C. When required by individual specification sections, provide shop drawings signed and sealed by professional engineer responsible for designing components shown on shop drawings.
 - 1. Include signed and sealed calculations to support design.
 - 2. Submit drawings and calculations in form suitable for submission to and approval by authorities having jurisdiction.
 - 3. Make revisions and provide additional information when required by authorities having jurisdiction.
- D. Submit number of opaque reproductions Contractor requires, plus two copies Engineer will retain.

E. After review, produce copies and distribute in accordance with SUBMITTAL PROCEDURES article and for record documents described in Section 017000 - Execution and Closeout Requirements.

1.7 SAMPLES

- A. Samples: Submit to Architect/Engineer for review for limited purpose of checking for conformance with information given and design concept expressed in Contract Documents.
- B. Samples For Selection as Specified in Product Sections:
 - 1. Submit to Engineer for aesthetic, color, or finish selection.
 - 2. Submit samples of finishes from full range of manufacturers' standard colors, in custom colors selected, textures, and patterns for Engineer selection.
- C. Submit samples to illustrate functional and aesthetic characteristics of Products, with integral parts and attachment devices. Coordinate sample submittals for interfacing work.
- D. Include identification on each sample, with full Project information.
- E. Submit number of samples specified in individual specification sections; Engineer will retain one sample.
- F. Reviewed samples which may be used in the Work are indicated in individual specification sections.
- G. Samples will not be used for testing purposes unless specifically stated in specification section.
- H. After review, produce duplicates and distribute in accordance with SUBMITTAL PROCEDURES article and for record documents purposes described in Section 01 70 00 Execution and Closeout Requirements.

1.8 DESIGN DATA

- A. Submit for Engineer's knowledge as contract administrator or for Owner.
- B. Submit for information for limited purpose of assessing conformance with information given and design concept expressed in Contract Documents.

1.9 TEST REPORTS

- A. Submit for Engineer's knowledge as contract administrator or for Owner.
- B. Submit test reports for information for limited purpose of assessing conformance with information given and design concept expressed in Contract Documents.

1.10 CERTIFICATES

- A. When specified in individual specification sections, submit certification by manufacturer, installation/application subcontractor, or Contractor to Engineer, in quantities specified for Product Data.
- B. Indicate material or product conforms to or exceeds specified requirements. Submit supporting reference data, affidavits, and certifications as appropriate.
- C. Certificates may be recent or previous test results on material or Product, but must be acceptable to /Engineer.

1.11 MANUFACTURER'S INSTRUCTIONS

- A. When specified in individual specification sections, submit printed instructions for delivery, storage, assembly, installation, start-up, adjusting, and finishing, to Engineer for delivery to Owner in quantities specified for Product Data.
- B. Indicate special procedures, perimeter conditions requiring special attention, and special environmental criteria required for application or installation.

1.12 MANUFACTURER'S FIELD REPORTS

- A. Submit reports for Architect/Engineer's benefit as contract administrator or for Owner.
- B. Submit report in duplicate within 5 days of observation to Engineer for information.
- C. Submit for information for limited purpose of assessing conformance with information given and design concept expressed in Contract Documents.

1.13 CONSTRUCTION PHOTOGRAPHS

- A. Provide photographs of construction throughout progress of Work.
- B. Twice monthly, submit photographs.
- C. Take photographs as evidence of existing project conditions.

PART 2 PRODUCTS - Not Used

PART 3 EXECUTION - Not Used

END OF SECTION

Submittal Procedures 013300 5

CONTRACTOR'S LETTERHEAD

| L | | | | | | | | | |
|------------------|--|--|--|--|--|--|--|--|--|
| SUBMITTAL # | | | | | | | | | |
| PROJECT TITLE: | Essex County, New York | | | | | | | | |
| | Public Safety Building Emergency Operations Center Emergency Operations Center | | | | | | | | |
| | | | | | | | | | |
| PROJECT NO.: | 4048 | | | | | | | | |
| ENGINEER: | AES Northeast, PLLC | | | | | | | | |
| SPEC. SECTION NO | U Warranty D Performance Affidavit D Other | | | | | | | | |
| DESCRIPTION: | SCRIPTION: | | | | | | | | |
| NO. OF PAGES: | | | | | | | | | |
| DATE SUBMITTED | D: DATE RESUBMITTED: | | | | | | | | |
| CONTRACTOR'S S | STAMP & NOTES: The Contractor Certifies that this product submittal: | | | | | | | | |
| | 1. Is equal in materials of construction, quality, durab strength, and design characteristics. | ility, appearance, | | | | | | | |
| | 2. It will reliably perform at least equally well the func the results imposed by the design concept of the con a functioning whole. | ction and achieve pleted Project as | | | | | | | |
| | 3. It has a proven record of performance and availabil service. | ity of responsive | | | | | | | |
| | 4. There will be no increase in cost to the Owner or increase. | crease in Contract | | | | | | | |
| | 5. It conforms substantially to the detailed requiremen named in the Contract Documents. | nts of the item | | | | | | | |
| | 6. The Contractor has allowed at least (2) weeks for resubmittal. | view of this | | | | | | | |

ENGINEER'S STAMP & NOTES:



Architect's/Engineer's review is for general conformance with the design concepts and contract documents. Marking or comments shall not be construed as relieving the Contractor from compliance with the project plans and specifications, nor departure therefrom. The Contractor remains responsible for details and accuracy, for confirming and correlating all quantities and dimensions, for selecting fabrication processes, for techniques of assembly and for performing work in a safe manner.

SECTION 014000

QUALITY REQUIREMENTS

PART 1 GENERAL

1.1 SECTION INCLUDES

- A. Quality control.
- B. Tolerances.
- C. References.
- D. Labeling.
- E. Mockup requirements.
- F. Testing and inspection services.
- G. Defect Assessment.
- H. Alteration Procedures.
- I. Cutting and Patching.

1.2 QUALITY CONTROL

- A. Monitor quality control over suppliers, manufacturers, products, services, Site conditions, and workmanship, to produce Work of specified quality.
- B. Comply with specified standards as the minimum quality for the Work except where more stringent tolerances, codes, or specified requirements indicate higher standards or more precise workmanship.
- C. Perform Work using persons qualified to produce required and specified quality.
- D. Products, materials, and equipment may be subject to inspection by Engineer and Owner at place of manufacture or fabrication. Such inspections shall not relieve Contractor of complying with requirements of Contract Documents.
- E. Supervise performance of Work in such manner and by such means to ensure that Work, whether completed or in progress, will not be subjected to harmful, dangerous, damaging, or otherwise deleterious exposure during construction period.

1.3 TOLERANCES

- A. Monitor fabrication and installation tolerance control of products to produce acceptable Work. Do not permit tolerances to accumulate.
- B. Comply with manufacturers' recommended tolerances and tolerance requirements in reference standards. When such tolerances conflict with Contract Documents, request clarification from Engineer before proceeding.
- C. Adjust products to appropriate dimensions; position before securing products in place.

1.4 REFERENCES

- A. For products or workmanship specified by association, trade, or other consensus standards, comply with requirements of standard except when more rigid requirements are specified or are required by applicable codes.
- B. Conform to reference standard by date of issue current as of date of Contract Documents except where specific date is established by code.
- C. Obtain copies of standards and maintain on Site when required by product Specification Sections.
- D. When requirements of indicated reference standards conflict with Contract Documents, request clarification from Engineer before proceeding.
- E. Neither contractual relationships, duties, or responsibilities of parties in Contract nor those of Engineer shall be altered from Contract Documents by mention or inference in reference documents.

1.5 LABELING

- A. Attach label from agency approved by authorities having jurisdiction for products, assemblies, and systems required to be labeled by applicable code.
- B. Label Information: Include manufacturer's or fabricator's identification, approved agency identification, and the following information, as applicable, on each label:
 - 1. Model number.
 - 2. Serial number.
 - 3. Performance characteristics.
- C. Manufacturer's Nameplates, Trademarks, Logos, and Other Identifying Marks on Products: Not allowed on surfaces exposed to view in public areas, interior or exterior.

1.6 TESTING AND INSPECTION SERVICES

A. Employ and pay for services of an independent testing agency or laboratory acceptable to Owner to perform specified testing.

- 1. Before starting Work, submit testing laboratory name, address, and telephone number, and names of full-time specialist and responsible officer.
- 2. Submit copy of report of laboratory facilities' inspection made by Materials Reference Laboratory of National Bureau of Standards during most recent inspection, with memorandum of remedies of deficiencies reported by inspection.
- B. Independent firm will perform tests, inspections, and other services specified in individual Specification Sections and as required by Engineer.
 - 1. Laboratory: Authorized to operate in State of New York.
 - 2. Laboratory Staff: Maintain full-time specialist on staff to review services.
 - 3. Testing Equipment: Calibrated at reasonable intervals with devices of an accuracy traceable to National Bureau of Standards or accepted values of natural physical constants.
- C. Testing, inspections, and source quality control may occur on or off Project Site. Perform off-Site testing as required by Engineer or Owner.
- D. Reports shall be submitted by independent firm to Engineer, Contractor, and authorities having jurisdiction, in duplicate, indicating observations and results of tests and compliance or noncompliance with Contract Documents.
 - 1. Submit final report indicating correction of Work previously reported as noncompliant.
- E. Cooperate with independent firm; furnish samples of materials, design mix, equipment, tools, storage, safe access, and assistance by incidental labor as requested.
 - 1. Notify Engineer and independent firm 24 hours before expected time for operations requiring services.
 - 2. Make arrangements with independent firm and pay for additional Samples and tests required for Contractor's use.
- F. Employment of testing agency or laboratory shall not relieve Contractor of obligation to perform Work according to requirements of Contract Documents.
- G. Retesting or re-inspection required because of nonconformance with specified or indicated requirements shall be performed by same independent firm on instructions from Engineer. Payment for retesting or re-inspection will be charged to Contractor by deducting testing charges from Contract Sum/Price.
- H. Agency Responsibilities:
 - 1. Test Samples of mixes submitted by Contractor.
 - 2. Provide qualified personnel at Site. Cooperate with Engineer and Contractor in performance of services.
 - 3. Perform indicated sampling and testing of products according to specified standards.
 - 4. Ascertain compliance of materials and mixes with requirements of Contract Documents.
 - 5. Promptly notify Engineer and Contractor of observed irregularities or nonconformance of Work or products.
 - 6. Perform additional tests required by Engineer.
 - 7. Attend preconstruction meetings and progress meetings.

Quality Requirements 014000

- I. Agency Reports: After each test, promptly submit two copies of report to Engineer, Contractor, and authorities having jurisdiction. When requested by Engineer, provide interpretation of test results. Include the following:
 - 1. Date issued.
 - 2. Project title and number.
 - 3. Name of inspector.
 - 4. Date and time of sampling or inspection.
 - 5. Identification of product and Specification Section.
 - 6. Location in Project.
 - 7. Type of inspection or test.
 - 8. Date of test.
 - 9. Results of tests.
 - 10. Conformance with Contract Documents.
- J. Limits on Testing Authority:
 - 1. Agency or laboratory may not release, revoke, alter, or enlarge on requirements of Contract Documents.
 - 2. Agency or laboratory may not approve or accept any portion of the Work.
 - 3. Agency or laboratory may not assume duties of Contractor.
 - 4. Agency or laboratory has no authority to stop the Work.

1.7 DEFECT ASSESSMENT

- A. Replace the Work, or portions of the Work, not conforming to specified requirements.
- B. If, in the opinion of Engineer, it is not practical to remove and replace the Work, Engineer will direct appropriate remedy or adjust payment.
- C. The defective Work may remain, but unit sum/price will be adjusted to new sum/price at discretion of Engineer and Owner.
- D. Defective Work will be partially repaired according to instructions of Engineer and Owner, and unit sum/price will be adjusted to new sum/price at discretion of Engineer and Owner.
- E. Individual Specification Sections may modify these options or may identify specific formula or percentage sum/price reduction.
- F. Authority of Engineer and Owner to assess defects and identify payment adjustments is final.
- G. Nonpayment for Rejected Products: Payment will not be made for rejected products for any of the following reasons:
 - 1. Products wasted or disposed of in a manner that is not acceptable.
 - 2. Products determined as unacceptable before or after placement.
 - 3. Products not completely unloaded from transporting vehicle.
 - 4. Products placed beyond lines and levels of the required Work.
 - 5. Products remaining on hand after completion of the Work.
 - 6. Loading, hauling, and disposing of rejected products.

Quality Requirements 014000

PART 2 PRODUCTS - Not Used

PART 3 EXECUTION

3.1 ALTERATION PROCEDURES

- A. Designated areas of existing facilities will be occupied for normal operations during progress of construction. Cooperate with Owner in scheduling operations to minimize conflict and to permit continuous usage.
 - 1. Perform Work not to interfere with operations of occupied areas.
 - 2. Keep utility and service outages to a minimum and perform only after written approval of Owner.
 - 3. Clean Owner-occupied areas daily. Clean spillage, overspray, and heavy collection of dust in Owner-occupied areas immediately.
- B. Materials: As specified in product Sections; match existing products with new products for patching and extending Work.
- C. Employ skilled and experienced installer to perform alteration and renovation Work.
- D. Cut, move, or remove items as necessary for access to alterations and renovation Work. Replace and restore at completion. Comply with Section 017000 Execution and Closeout Requirements
- E. Remove unsuitable material not marked for salvage, including rotted wood, corroded metals, and deteriorated masonry and concrete. Replace materials as specified for finished Work.
- F. Remove debris and abandoned items from area and from concealed spaces.
- G. Prepare surface and remove surface finishes to permit installation of new Work and finishes.
- H. Close openings in exterior surfaces to protect existing Work from weather and extremes of temperature and humidity.
- I. Remove, cut, and patch Work to minimize damage and to permit restoring products and finishes to original or specified condition.
- J. Where new Work abuts or aligns with existing Work, provide smooth and even transition. Patch Work to match existing adjacent Work in texture and appearance.

3.2 CUTTING AND PATCHING

- A. Employ skilled and experienced installers to perform cutting and patching.
- B. Submit written request in advance of cutting or altering elements affecting:
 - 1. Structural integrity of element.
 - 2. Integrity of weather-exposed or moisture-resistant elements.
 - 3. Efficiency, maintenance, or safety of element.

Quality Requirements 014000

- 4. Visual qualities of sight-exposed elements.
- 5. Work of Owner or separate contractor.
- C. Execute cutting, fitting, and patching to complete Work and to:
 - 1. Fit the several parts together, to integrate with other Work.
 - 2. Uncover Work to install or correct ill-timed Work.
 - 3. Remove and replace defective and nonconforming Work.
 - 4. Remove samples of installed Work for testing.
 - 5. Provide openings in elements of Work for penetrations of mechanical and electrical Work.
- D. Execute Work by methods to avoid damage to other Work and to provide proper surfaces to receive patching and finishing.
- E. Restore Work with new products according to requirements of Contract Documents.
- F. Fit Work tight to pipes, sleeves, ducts, conduits, and other penetrations through surfaces.
- G. Maintain integrity of wall, ceiling, or floor construction; completely seal voids.
- H. Identify hazardous substances or conditions exposed during the Work to Engineer for decision or remedy.

END OF SECTION

SECTION 015000

TEMPORARY FACILITIES AND CONTROLS

PART 1 GENERAL

1.1 SECTION INCLUDES

- A. Temporary Utilities:
 - 1. Temporary electricity.
 - 2. Temporary ventilation.
 - 3. Temporary sanitary facilities.
- B. Construction Facilities:
 - 1. Vehicular access.
 - 2. Parking.
 - 3. Progress cleaning and waste removal.
 - 4. Fire prevention facilities.
- C. Temporary Controls:
 - 1. Barriers.
 - 2. Security.
 - 3. Noise control.
 - 4. Pest control.
 - 5. Pollution control.
 - 6. Rodent control.
- D. Removal of utilities, facilities, and controls.

1.2 TEMPORARY ELECTRICITY

- A. Contractor shall schedule shutdown with owner with minimum 5 day written notice.
- B. Contractor shall minimize power shutdown period to the greatest extent possible by preparing cabling, connections and accessories beforehand, prior to transfer to emergency power.
- C. Complement existing power service capacity and characteristics as required for construction operations.
- D. Existing building convenience receptacles can be used for temporary construction activities. Contractor shall notify Owner of which receptacles are requested to be used for temporary power and obtain Owner approval before proceeding with the use of existing receptacles.

1.3 TEMPORARY VENTILATION

A. Ventilate enclosed areas to achieve curing of materials, to dissipate humidity, and to prevent accumulation of dust, fumes, vapors, or gases.

Temporary Facilities and Controls 015000

1.4 TEMPORARY SANITARY FACILITIES

- A. Existing facilities may be used during construction operations. Owner shall designate appropriate facilities to be used.
- B. At end of construction, return existing facilities used for construction operations to same or better condition as original condition.

1.5 VEHICULAR ACCESS

- A. Provide unimpeded access for emergency vehicles. Maintain 20 feet wide driveways with turning space between and around combustible materials.
- B. Use existing on-site roads for construction traffic.

1.6 PARKING

- A. Arrange for temporary surface parking areas to accommodate construction personnel.
- B. Use of designated existing on-site driveways used for construction traffic is permitted. Tracked vehicles not allowed on paved areas.
- C. Use of designated areas of existing parking facilities used by construction personnel is permitted. Owner shall determine which spaces can be used for construction personnel.
- D. Do not allow heavy vehicles or construction equipment in parking areas.
- E. Maintenance:
 - 1. Maintain traffic and parking areas in sound condition free of excavated material, construction equipment, products, mud, snow, and ice.
 - 2. Maintain existing and permanent paved areas used for construction; promptly repair breaks, potholes, low areas, standing water, and other deficiencies, to maintain paving and drainage in original, or specified, condition.
- F. Repair:
 - 1. Repair existing facilities damaged by use to original condition.
- 1.7 PROGRESS CLEANING AND WASTE REMOVAL
 - A. Maintain areas free of waste materials, debris, and rubbish. Maintain site in clean and orderly condition.
 - B. Remove debris and rubbish from pipe chases, plenums, attics, crawl spaces, and other closed or remote spaces, prior to enclosing spaces.
 - C. Broom and vacuum clean interior areas prior to start of surface finishing, and continue cleaning to eliminate dust.
 - D. Collect and remove waste materials, debris, and rubbish from site periodically and dispose offsite.

Temporary Facilities and Controls

1.8 FIRE PREVENTION FACILITIES

- A. Prohibit smoking with buildings under construction. Designate area on site where smoking is permitted. Provide approved ashtrays in designated smoking areas.
- B. Establish fire watch for cutting and welding and other hazardous operations capable of starting fires. Maintain fire watch before, during, and after hazardous operations until threat of fire does not exist.
- C. Portable Fire Extinguishers: NFPA 10; 10 pound capacity, 4A-60B: C UL rating.
 - 1. Provide one fire extinguisher at each stair on each floor of buildings under construction.
 - 2. Provide minimum one fire extinguisher in every construction trailer and storage shed.

1.9 BARRIERS

- A. Provide barriers to prevent unauthorized entry to construction areas to allow for Owner's use of site, and to protect existing facilities and adjacent properties from damage from construction operations.
- B. Protect non-owned vehicular traffic, stored materials, site, and structures from damage.

1.10 SECURITY

- A. Security Program:
 - 1. Protect Work existing premises and Owner's operations from theft, vandalism, and unauthorized entry.
 - 2. Initiate program in coordination with Owner's existing security system at project mobilization.
 - 3. Maintain program throughout construction period until Owner occupancy.
- B. Entry Control:
 - 1. Restrict entrance of persons and vehicles into Project site and existing facilities.
 - 2. Allow entrance only to authorized persons with proper identification.
 - 3. Coordinate access of Owner's personnel to site in coordination with Owner's security forces.
- C. Personnel Identification:
 - 1. Provide identification badge to each person authorized to enter premises.
 - 2. Badge To Include: Personal photograph, name expiration date and employer.
 - 3. Maintain list of accredited persons, submit copy to Owner on request.
 - 4. Require return of badges at expiration of their employment on the Work.

1.11 DUST CONTROL

- A. Execute Work by methods to minimize raising dust from construction operations.
- B. Provide positive means to prevent air-borne dust from dispersing into atmosphere.

Temporary Facilities and Controls 015000

1.12 NOISE CONTROL

A. Provide methods, means, and facilities to minimize noise produced by construction operations.

1.13 PEST CONTROL

A. Provide methods, means, and facilities to prevent pests and insects from entering facility.

1.14 POLLUTION CONTROL

- A. Provide methods, means, and facilities to prevent contamination of soil, water, and atmosphere from discharge of noxious, toxic substances, and pollutants produced by construction operations.
- B. Comply with pollution and environmental control requirements of authorities having jurisdiction.

1.15 RODENT CONTROL

A. Provide methods, means, and facilities to prevent rodents from accessing or invading premises.

1.16 REMOVAL OF UTILITIES, FACILITIES, AND CONTROLS

- A. Remove temporary utilities, equipment, facilities, and materials prior to Substantial Completion inspection.
- B. Clean and repair damage caused by installation or use of temporary work.
- C. Restore existing facilities used during construction to original condition. Restore permanent facilities used during construction to specified condition.

PART 2 PRODUCTS - Not Used

PART 3 EXECUTION - Not Used

END OF SECTION

SECTION 016000

PRODUCT REQUIREMENTS

PART 1 GENERAL

1.1 SECTION INCLUDES

- A. Products.
- B. Product delivery requirements.
- C. Product storage and handling requirements.
- D. Product options.
- E. Product substitution procedures.

1.2 PRODUCTS

- A. Furnish products of qualified manufacturers suitable for intended use. Furnish products of each type by single manufacturer unless specified otherwise.
- B. Do not use materials and equipment removed from existing premises, except as specifically permitted by Contract Documents.
- C. Furnish interchangeable components from same manufacturer for components being replaced.

1.3 PRODUCT DELIVERY REQUIREMENTS

- A. Transport and handle products in accordance with manufacturer's instructions.
- B. Promptly inspect shipments to ensure products comply with requirements, quantities are correct, and products are undamaged.
- C. Provide equipment and personnel to handle products by methods to prevent soiling, disfigurement, or damage.

1.4 PRODUCT STORAGE AND HANDLING REQUIREMENTS

- A. Store and protect products in accordance with manufacturers' instructions.
- B. Store with seals and labels intact and legible.
- C. Store sensitive products in weather tight, climate controlled, enclosures in an environment favorable to product.

Product Requirements 016000

- D. For exterior storage of fabricated products, place on sloped supports above ground.
- E. Provide off-site storage and protection when site does not permit on-site storage or protection.
- Cover products subject to deterioration with impervious sheet covering. Provide ventilation to F. prevent condensation and degradation of products.
- G. Store loose granular materials on solid flat surfaces in well-drained area. Prevent mixing with foreign matter.
- H. Provide equipment and personnel to store products by methods to prevent soiling, disfigurement, or damage.
- I. Arrange storage of products to permit access for inspection. Periodically inspect to verify products are undamaged and are maintained in acceptable condition.

1.5 PRODUCT OPTIONS

- A. Products Specified by Reference Standards or by Description Only: Any product meeting those standards or description.
- B. Products Specified by Naming One or More Manufacturers: Products of one of manufacturers named and meeting specifications, no options or substitutions allowed.
- C. Products Specified by Naming One or More Manufacturers with Provision for Substitutions: Submit request for substitution for any manufacturer not named in accordance with the following article.

PRODUCT SUBSTITUTION PROCEDURES 1.6

- A. Instructions to Bidders specify time restrictions for submitting requests for Substitutions during bidding period to requirements specified in this section.
- B. Engineer will consider requests for Substitutions only within 15 days after date of Owner-Contractor Agreement.
- C. Substitutions may be considered when a product becomes unavailable through no fault of Contractor.
- D. Document each request with complete data substantiating compliance of proposed Substitution with Contract Documents.
- A request constitutes a representation that Contractor: E.
 - Has investigated proposed product and determined that it meets or exceeds quality level of 1. specified product.
 - Will provide same warranty for Substitution as for specified product. 2.

- 3. Will coordinate installation and make changes to other Work which may be required for the Work to be complete with no additional cost to Owner.
- 4. Waives claims for additional costs or time extension which may subsequently become apparent.
- 5. Will reimburse Owner and Engineer for review or redesign services associated with reapproval by authorities having jurisdiction.
- F. Substitutions will not be considered when they are indicated or implied on Shop Drawing or Product Data submittals, without separate written request, or when acceptance will require revision to Contract Documents.
- G. Substitution Submittal Procedure:
 - 1. Submit copies of request for Substitution for consideration. Limit each request to one proposed Substitution.
 - 2. Submit Shop Drawings, Product Data, and certified test results attesting to proposed product equivalence. Burden of proof is on proposer.
 - 3. Engineer will notify Contractor in writing of decision to accept or reject request.

PART 2 PRODUCTS - Not Used

PART 3 EXECUTION - Not Used

END OF SECTION

SECTION 017000

EXECUTION AND CLOSEOUT REQUIREMENTS

PART 1 GENERAL

1.1 SECTION INCLUDES

- A. Closeout procedures.
- B. Final cleaning.
- C. Starting of systems.
- D. Demonstration and instructions.
- E. Protecting installed construction.
- F. Project record documents.
- G. Spare parts and maintenance products.
- H. Product warranties.
- I. Maintenance service.

1.2 CLOSEOUT PROCEDURES

1.3 CLOSEOUT PROCEDURES

- A. Prerequisites to Substantial Completion: Complete following items before requesting Certification of Substantial Completion, either for entire Work or for portions of Work:
 - 1. Submit maintenance manuals, Project record documents, and other similar final record data in compliance with this Section.
 - 2. Complete facility startup, testing, adjusting, balancing of systems and equipment, demonstrations, and instructions to Owner's operating and maintenance personnel as specified in compliance with this Section.
 - 3. Conduct inspection to establish basis for request that Work is substantially complete. Create comprehensive list (initial punch list) indicating items to be completed or corrected, value of incomplete or nonconforming Work, reason for being incomplete, and date of anticipated completion for each item. Include copy of list with request for Certificate of Substantial Completion.
 - 4. Obtain and submit releases enabling Owner's full, unrestricted use of Project and access to services and utilities.
 - 5. Deliver tools, spare parts, extra stocks of material, and similar physical items to Owner.
 - 6. Make final change-over of locks and transmit keys directly to Owner. Advise Owner's personnel of change-over in security provisions.

- 7. Discontinue or change over and remove temporary facilities and services from Project Site, along with construction tools, mockups, and similar elements.
- 8. Perform final cleaning according to this Section.
- B. Substantial Completion Inspection:
 - 1. When Contractor considers Work to be substantially complete, submit to **Engineer**.
 - a. Written certificate that Work, or designated portion, is substantially complete.
 - b. List of items to be completed or corrected (initial punch list).
 - 2. Within **seven** days after receipt of request for Substantial Completion, **Engineer** and Owner will make inspection to determine whether Work or designated portion is substantially complete.
 - 3. Should **Engineer** and Owner determine that Work is not substantially complete:
 - a. Engineer will promptly notify Contractor in writing, stating reasons for its opinion.
 - b. Contractor shall remedy deficiencies in Work and send second written request for Substantial Completion to Engineer
 - c. Engineer will reinspect Work.
 - d. Redo and Inspection of Deficient Work: Repeated until Work passes Engineers and Owners inspection.
 - 4. When Engineer finds that Work is substantially complete, Engineer will:
 - a. Prepare Certificate of Substantial Completion on **EJCDC C-625 Certificate of Substantial Completion** accompanied by Contractor's list of items to be completed or corrected as verified and amended by Engineer and Owner (final punch list).
 - b. Submit Certificate to Owner and Contractor for their written acceptance of responsibilities assigned to them in Certificate.
 - 5. After Work is substantially complete, Contractor shall:
 - a. Allow Owner occupancy of Project under provisions stated in Certificate of Substantial Completion.
 - b. Complete Work listed for completion or correction within time period stipulated.
 - 6. Owner will occupy building.
- C. Prerequisites for Final Completion: Complete following items before requesting final acceptance and final payment.
 - 1. When Contractor considers Work to be complete, submit written certification that:
 - a. Contract Documents have been reviewed.
 - b. Work has been examined for compliance with Contract Documents.
 - c. Work has been completed according to Contract Documents.
 - d. Work is completed and ready for final inspection.
 - 2. Submittals: Submit following:
 - a. Final punch list indicating all items have been completed or corrected.
 - b. Final payment request with final releases and supporting documentation not previously submitted and accepted. Include certificates of insurance for products and completed operations where required.
 - c. Specified warranties, workmanship/maintenance bonds, maintenance agreements, and other similar documents.
 - d. Accounting statement for final changes to Contract Sum.
 - e. Contractor's affidavit of payment of debts and claims.
 - f. Contractor affidavit of release of liens.

- g. Consent of surety to final payment .
- 3. Perform final cleaning for Contractor-soiled areas according to this Section.
- D. Final Completion Inspection:
 - 1. Within **seven** days after receipt of request for final inspection, Engineer and Owner will make inspection to determine whether Work or designated portion is complete.
 - 2. Should Engineer consider Work to be incomplete or defective:
 - a. Engineer will promptly notify Contractor in writing, listing incomplete or defective Work.
 - b. Contractor shall remedy stated deficiencies and send second written request to Engineer that Work is complete.
 - c. Engineer will reinspect Work.
 - d. Redo and Inspection of Deficient Work: Repeated until Work passes Engineers inspection.

1.4 FINAL CLEANING

- A. Execute final cleaning prior to final project assessment.
- B. Clean interior and exterior glass, surfaces exposed to view; remove temporary labels, stains and foreign substances, polish transparent and glossy surfaces, vacuum carpeted and soft surfaces.
- C. Clean equipment and fixtures to sanitary condition with cleaning materials appropriate to surface and material being cleaned.
- D. Remove waste and surplus materials, rubbish, and construction facilities from site.

1.5 STARTING OF SYSTEMS

- A. Coordinate schedule for start-up of various equipment and systems.
- B. Notify Engineer prior to start-up of each item.
- C. Verify each piece of equipment or system has been checked for proper lubrication, drive rotation, belt tension, control sequence, and for conditions which may cause damage.
- D. Verify tests, meter readings, and specified electrical characteristics agree with those required by equipment or system manufacturer.
- E. Verify wiring and support components for equipment are complete and tested.
- F. Execute start-up under supervision of applicable manufacturer's representative in accordance with manufacturers' instructions.
- G. When specified in individual specification Sections, require manufacturer to provide authorized representative to be present at site to inspect, check, and approve equipment or system installation prior to start-up, and to supervise placing equipment or system in operation.

H. Submit a written report in accordance with Section 013300 - Submittal Procedures that equipment or system has been properly installed and is functioning correctly.

1.6 DEMONSTRATION AND INSTRUCTIONS

- A. Demonstrate operation and maintenance of products to Owner's personnel two weeks prior to date of Substantial Completion.
- B. Utilize operation and maintenance manuals as basis for instruction. Review contents of manual with Owner's personnel in detail to explain all aspects of operation and maintenance.
- C. Demonstrate start-up, operation, control, adjustment, trouble-shooting, servicing, maintenance, and shutdown of each item of equipment at agreed time, at designated location.
- D. Prepare and insert additional data in operations and maintenance manuals when need for additional data becomes apparent during instruction.
- E. Required instruction time for each item of equipment and system is specified in individual sections.

1.7 PROTECTING INSTALLED CONSTRUCTION

- A. Protect installed Work and provide special protection where specified in individual specification sections.
- B. Provide temporary and removable protection for installed products. Control activity in immediate work area to prevent damage.
- C. Provide protective coverings at walls, projections, jambs, sills, and soffits of openings.
- D. Protect finished floors, stairs, and other surfaces from traffic, dirt, wear, damage, or movement of heavy objects, by protecting with durable sheet materials.
- E. Prohibit traffic from landscaped areas.

1.8 PROJECT RECORD DOCUMENTS

- A. Maintain on site one set of the following record documents; record actual revisions to the Work:
 - 1. Drawings.
 - 2. Specifications.
 - 3. Addenda.
 - 4. Change Orders and other modifications to the Contract.
 - 5. Reviewed Shop Drawings, Product Data, and Samples.
 - 6. Manufacturer's instruction for assembly, installation, and adjusting.
- B. Ensure entries are complete and accurate, enabling future reference by Owner.
- C. Store record documents separate from documents used for construction.
- D. Record information concurrent with construction progress, not less than weekly.

- E. Specifications: Legibly mark and record at each product section description of actual products installed, including the following:
 - 1. Manufacturer's name and product model and number.
 - 2. Product substitutions or alternates utilized.
 - 3. Changes made by Addenda and modifications.
- F. Record Drawings and Shop Drawings: Legibly mark each item to record actual construction including:
 - 1. Measured depths of foundations in relation to finish first main floor datum.
 - 2. Measured horizontal and vertical locations of underground utilities and appurtenances, referenced to permanent surface improvements.
 - 3. Measured locations of internal utilities and appurtenances concealed in construction, referenced to visible and accessible features of the Work.
 - 4. Field changes of dimension and detail.
 - 5. Details not on original Contract drawings.
- G. Submit documents to Engineer with claim for final Application for Payment.

1.9 OPERATION AND MAINTENANCE DATA

- A. Submit in PDF composite electronic indexed file.
- B. Submit data bound in 8-1/2 x 11-inch text pages, three D side ring binders with durable plastic covers.
- C. Prepare binder cover with printed title "OPERATION AND MAINTENANCE INSTRUCTIONS," title of Project: Public Safety Building Emergency Operations Center Emergency Power System.
- D. Internally subdivide binder contents with permanent page dividers, logically organized as described below; with tab titling clearly printed under reinforced laminated plastic tabs.
- E. Drawings: Provide with reinforced punched binder tab. Bind in with text; fold larger drawings to size of text pages.
- F. Contents: Prepare table of contents for each volume, with each product or system description identified, typed on white paper, in three parts as follows:
 - 1. Part 1: Directory, listing names, addresses, and telephone numbers of Engineer, Contractor, Subcontractors, and major equipment suppliers.
 - 2. Part 2: Operation and maintenance instructions, arranged by system and subdivided by Specification Section. For each category, identify names, addresses, and telephone numbers of Subcontractors and suppliers. Include the following:
 - a. Significant design criteria.
 - b. List of equipment.
 - c. Parts list for each component.
 - d. Operating instructions.
 - e. Maintenance instructions for equipment and systems.

- f. Safety precautions to be taken when operating and maintaining or working near equipment.
- 3. Part 3: Project documents and certificates, including the following:
 - a. Shop Drawings and product data.
 - b. Testing reports.
 - c. Certificates.
 - d. Electrical Inspection Certificate
 - e. Original Warranties

1.10 MANUAL FOR EQUIPMENT AND SYSTEMS

- A. Submit two copies of preliminary draft or proposed formats and outlines of contents before start of Work. Engineer will review draft and return one copy with comments.
- B. For equipment, or component parts of equipment put into service during construction and operated by Owner, submit documents within ten days after acceptance.
- C. Submit one copy of completed volumes before Substantial Completion. Draft copy will be reviewed and returned after Substantial Completion, with Engineer comments. Revise content of document sets as required prior to final submission.
- D. Submit two sets of revised final volumes within ten days after final inspection.
- E. Submit in PDF composite electronic indexed file of final manual within ten days after final inspection.
- F. Each Item of Equipment and Each System: Include description of unit or system and component parts. Identify function, normal operating characteristics, and limiting conditions. Include performance curves, with engineering data and tests, and complete nomenclature and model number of replaceable parts.
- G. Panelboard Circuit Directories: Provide electrical service characteristics, controls, and communications; typed
- H. Include color-coded wiring diagrams as installed.
- I. Operating Procedures: Include startup, break-in, and routine normal operating instructions and sequences. Include regulation, control, stopping, shutdown, and emergency instructions. Include summer, winter, and special operating instructions.
- J. Maintenance Requirements: Include routine procedures and guide for preventative maintenance and troubleshooting; disassembly, repair, and reassembly instructions; and alignment, adjusting, balancing, and checking instructions.
- K. Include manufacturer's printed operation and maintenance instructions.

- L. Include original manufacturer's parts list, illustrations, assembly drawings, and diagrams required for maintenance.
- M. Include control diagrams by controls manufacturer as installed.
- N. Include Contractor's coordination drawings with color-coded piping diagrams as installed.
- O. Include list of original manufacturer's spare parts, current prices, and recommended quantities to be maintained in storage.
- P. Additional Requirements: As specified in individual product Specification Sections.
- Q. Include listing in table of contents for design data with tabbed dividers and space for insertion of data.

1.11 SPARE PARTS AND MAINTENANCE PRODUCTS

- A. Furnish spare parts, maintenance, and extra products in quantities specified in individual specification sections.
- B. Deliver to Project site and place in location as directed by Owner; obtain receipt prior to final payment.

1.12 PRODUCT WARRANTIES

- A. Obtain warranties executed in duplicate by responsible subcontractors, suppliers, and manufacturers, within ten days after completion of applicable item of work.
- B. Execute and assemble transferable warranty documents and bonds from subcontractors, suppliers, and manufacturers.
- C. Verify documents are in proper form, contain full information, and are notarized.
- D. Co-execute submittals when required.
- E. Include Table of Contents and assemble in three D side ring binder with durable plastic cover.
- F. Submit prior to final Application for Payment.
- G. Time Of Submittals:
 - 1. For equipment or component parts of equipment put into service during construction with Owner's permission, submit documents within ten days after acceptance.
 - 2. Make other submittals within ten days after Date of Substantial Completion, prior to final Application for Payment.
 - 3. For items of Work for which acceptance is delayed beyond Date of Substantial Completion, submit within ten days after acceptance, listing date of acceptance as beginning of warranty or bond period.

1.13 MAINTENANCE SERVICE

- A. Furnish service and maintenance of components indicated in specification sections for year from date of Substantial Completion.
- B. Examine system components at frequency consistent with reliable operation. Clean, adjust, and lubricate as required.
- C. Include systematic examination, adjustment, and lubrication of components. Repair or replace parts whenever required. Use parts produced by manufacturer of original component.
- D. Do not assign or transfer maintenance service to agent or Subcontractor without prior written consent of Owner.

PART 2 PRODUCTS - Not Used

PART 3 EXECUTION

3.1 EXAMINATION

- A. Verify that existing Site conditions and substrate surfaces are acceptable for subsequent Work. Beginning new Work means acceptance of existing conditions.
- B. Verify that existing substrate is capable of structural support or attachment of new Work being applied or attached.
- C. Examine and verify specific conditions described in individual Specification Sections.
- D. Verify that utility services are available with correct characteristics and in correct locations.

3.2 PREPARATION

- A. Clean substrate surfaces prior to applying next material or substance according to manufacturer's instructions.
- B. Seal cracks or openings of substrate prior to applying next material or substance.
- C. Apply manufacturer-required or -recommended substrate primer, sealer, or conditioner prior to applying new material or substance in contact or bond.

3.3 EXECUTION

A. Comply with manufacturer's installation instructions, performing each step in sequence. Maintain one set of manufacturer's installation instructions at Project Site during installation and until completion of construction.

- B. When manufacturer's installation instructions conflict with Contract Documents, request clarification from Engineer before proceeding.
- C. Verify that field measurements are as indicated on approved Shop Drawings or as instructed by manufacturer.
- D. Secure products in place with positive anchorage devices designed and sized to withstand stresses, vibration, physical distortion, or disfigurement.
 - 1. Secure Work true to line and level and within specified tolerances, or if not specified, industry-recognized tolerances.
 - 2. Physically separate products in place, provide electrical insulation, or provide protective coatings to prevent galvanic action or corrosion between dissimilar metals.
 - 3. Exposed Joints: Provide uniform joint width and arrange to obtain best visual effect. Refer questionable visual-effect choices to Engineer for final decision.
- E. Allow for expansion of materials and building movement.
- F. Climatic Conditions and Project Status: Install each unit of Work under conditions to ensure best possible results in coordination with entire Project.
 - 1. Isolate each unit of Work from incompatible Work as necessary to prevent deterioration.
 - 2. Coordinate enclosure of Work with required inspections and tests to minimize necessity of uncovering Work for those purposes.
- G. Mounting Heights: Where not indicated, mount individual units of Work at industry recognized standard mounting heights for particular application indicated.
 - 1. Refer questionable mounting heights choices to Architect/Engineer for final decision.
 - 2. Elements Identified as Accessible to Handicapped: Comply with applicable codes and regulations.
- H. Adjust operating products and equipment to ensure smooth and unhindered operation.
- I. Clean and perform maintenance on installed Work as frequently as necessary through remainder of construction period. Lubricate operable components as recommended by manufacturer.

3.4 CUTTING AND PATCHING

- A. Employ skilled and experienced installers to perform cutting and patching.
- B. Submit written request in advance of cutting or altering elements affecting:
 - 1. Structural integrity of element.
 - 2. Integrity of weather-exposed or moisture-resistant elements.
 - 3. Efficiency, maintenance, or safety of element.
 - 4. Visual qualities of sight-exposed elements.
 - 5. Work of Owner or separate contractor.
- C. Execute cutting, fitting, and patching including excavation and fill to complete Work and to:
 - 1. Fit the several parts together, to integrate with other Work.

- 2. Uncover Work to install or correct ill-timed Work.
- 3. Remove and replace defective and nonconforming Work.
- 4. Remove samples of installed Work for testing.
- 5. Provide openings in elements of Work for penetrations of mechanical and electrical Work.
- D. Execute Work by methods to avoid damage to other Work and to provide proper surfaces to receive patching and finishing.
- E. Cut masonry and concrete materials using masonry saw or core drill.
- F. Restore Work with new products according to requirements of Contract Documents.
- G. Fit Work tight to pipes, sleeves, ducts, conduits, and other penetrations through surfaces.
- H. Maintain integrity of wall, ceiling, or floor construction; completely seal voids.
- I. At penetrations of fire-rated walls, partitions, ceiling, or floor construction, completely seal voids with fire-rated material to full thickness of penetrated element.
- J. Refinish surfaces to match adjacent finishes. For continuous surfaces, refinish to nearest intersection; for assembly, refinish entire unit.
- K. Identify hazardous substances or conditions exposed during the Work to Architect/Engineer for decision or remedy.

3.5 PROTECTING INSTALLED CONSTRUCTION

- A. Protect installed Work and provide special protection where specified in individual Specification Sections.
- B. Provide temporary and removable protection for installed products. Control activity in immediate Work area to prevent damage.
- C. Provide protective coverings at walls, projections, jambs, sills, and soffits of openings.
- D. Use durable sheet materials to protect finished floors, stairs, and other surfaces from traffic, dirt, wear, damage, or movement of heavy objects.
- E. Prohibit traffic or storage upon waterproofed or roofed surfaces. When traffic or activity is necessary, obtain recommendations for protection from waterproofing or roofing material manufacturer.
- F. Prohibit traffic from landscaped areas.

3.6 FINAL CLEANING

A. Execute final cleaning prior to final Project assessment.

- B. Clean equipment and fixtures to sanitary condition with appropriate cleaning materials.
- C. Clean Site; sweep paved areas, rake clean landscaped surfaces.
- D. Remove waste and surplus materials, rubbish, and construction facilities from Site.

END OF SECTION

PROJECT CLOSEOUT CHECKLIST CONSTRUCTION PHASE EXHIBIT A

| AES PROJECT NO.: | 4048 |
|------------------|---|
| PROJECT TITLE: | Public Safety Building Emergency Operations Center Emergency Power System |
| OWNER : | Essex County, New York |
| CONTRACTOR: | |
| CONTRACT: | |

| LEGEND | |
|--------|--|
| | |

O = OWNER

AES = AES NORTHEAST, PLLC

C = CONTRACTOR

A = REGULATORY AGENCY

N/A = NOT APPLICABLE

| | - | DISTRIBUTION DATE | | | | | | | |
|-------|------------|--|-----------------|---|---|-----|---------|--|--|
| REQ'D | DATE REC'D | DESCRIPTION | SUBMITTED BY | 0 | С | AES | REMARKS | | |
| Х | | Punchlist by AES | AES | | | | | | |
| Х | | Certificate of Substantial Completion | AES | | | | | | |
| Х | | Final Change Order | AES | | | | | | |
| Х | | Final Application for Payment | С | | | | | | |
| Х | | Certificate Debts & Claims | С | | | | | | |
| Х | | Release of Liens from Suppliers and Subcontractors | С | | | | | | |
| Х | | Consent of Surety | С | | | | | | |
| Х | | Record Drawings on pdf format | С | | | | | | |
| Х | | Operations & Maintenance Manuals | С | | | | | | |
| Х | | Warranties - Manufacturers/Suppliers | С | | | | | | |
| Х | | Project Guarantee and Certification | С | | | | | | |
| Х | | Maintenance Stock | С | | | | | | |
| Х | | Systems Demonstrations | С | | | | | | |
| Х | | Certified Payrolls | С | | | | | | |
| Х | | Maintenance Service Contracts | С | | | | | | |
| Х | | Delete Construction Job Phone Nos. from Contacts | AES | | | | | | |

SECTION 024126

SELECTIVE ELECTRICAL DEMOLITION

PART 1 GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Removal of existing electrical equipment, wiring, and conduit in areas to be remodeled; removal of designated construction; dismantling, cutting and alterations for completion of the Work.
 - 2. Disposal of materials.
 - 3. Storage of removed materials.
 - 4. Identification of utilities.
 - 5. Protection of items to remain as indicated on Drawings.
 - 6. Relocate existing equipment to accommodate construction.

1.2 SEQUENCING

A. Section 011000 - Summary: Requirements for sequencing.

1.3 SCHEDULING

- A. Section 013000 Administrative Requirements: Requirements for scheduling.
- B. Schedule work to coincide with new construction.
- C. Cease operations immediately when structure appears to be in danger and notify Engineer. Do not resume operations until directed.

1.4 COORDINATION

- A. Section 013000 Administrative Requirements: Requirements for coordination.
- B. Conduct demolition to minimize interference with adjacent and occupied building areas.
- C. Coordinate demolition work with Owner.
- D. Coordinate and sequence demolition so as not to cause shutdown of operation of surrounding areas.
- E. Shut-down Periods:
 - 1. Arrange timing of shut-down periods of in service panels with Owner. Do not shut down any utility without prior written approval.
 - 2. Keep shut-down period to minimum.

Selective Electrical Demolition 024126
3. Maintain life-safety systems in full operation in occupied facilities, or provide notice minimum 2 days in advance.

PART 2 PRODUCTS - Not Used

PART 3 EXECUTION

3.1 EXAMINATION

- A. Section 013000 Administrative Requirements: Verification of existing conditions before starting work.
- B. Verify wiring and equipment indicated to be demolished serve only abandoned facilities.
- C. Verify termination points for demolished services.

3.2 PREPARATION

- A. Erect, and maintain temporary safeguards, including warning signs and lights, barricades, and similar measures, for protection of the public, Owner, Contractor's employees, and existing improvements to remain.
- B. Temporary egress signage and emergency lighting

3.3 DEMOLITION

- A. Demolition Drawings are based on casual field observation and existing record documents. Report discrepancies to Architect/Engineer before disturbing existing installation.
- B. Remove exposed abandoned conduit. Cut conduit flush with walls and floors, and patch surfaces.
- C. Remove conduit, wire, boxes, and fastening devices to avoid any interference with new installation.
- D. Disconnect electrical systems in walls, floors, and ceilings scheduled for removal.
- E. Disconnect or shut off service to areas where electrical work is to be removed. Remove electrical fixtures, equipment, and related switches, outlets, conduit and wiring which are not part of final project.
- F. Install temporary wiring and connections to maintain existing systems in service during construction.
- G. Perform work on energized equipment or circuits with experienced and trained personnel.
- H. Remove, relocate, and extend existing installations to accommodate new construction.

Selective Electrical Demolition 024126

- I. Repair adjacent construction and finishes damaged during demolition and extension work.
- J. Remove exposed abandoned grounding and bonding components, fasteners and supports, and electrical identification components, including abandoned components above accessible ceiling finish. Cut embedded support elements flush with walls and floors.
- K. Clean and repair existing equipment to remain or to be reinstalled.
- L. Protect and retain power to existing active equipment remaining.
- M. Cap abandoned empty conduit at both ends.

3.4 EXISTING PANELBOARDS

- A. Ring out circuits in existing panel affected by the Work. Where additional circuits are needed, reuse circuits available for reuse. Install new breakers as indicated.
- B. Tag unused (or abandoned) circuits as spare.
- C. Where existing circuits are indicated to be reused, use sensing measuring devices to verify circuits feeding Project area or are not in use.
- D. Remove existing wire no longer in use from panel to equipment.
- E. Provide new updated directories where circuits have been modified or rewired.

3.5 REUSABLE ELECTRICAL EQUIPMENT

- A. Carefully remove equipment, materials, or fixtures which are to be reused.
- B. Disconnect, remove, or relocate existing electrical material and equipment interfering with new installation.

3.6 CLEANING

- A. Section 017000 Execution and Closeout Requirements: Requirements for cleaning.
- B. Remove demolished materials as work progresses. Legally dispose.
- C. Keep workplace neat.
- 3.7 PROTECTION OF FINISHED WORK
 - A. Section 017000 Execution and Closeout Requirements: Requirements for protecting finished Work.

END OF SECTION

Selective Electrical Demolition 024126

SECTION 033000

CAST-IN-PLACE CONCRETE

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.

1.2 SUMMARY

A. This Section specifies cast-in place concrete, including formwork, reinforcement, concrete materials, mix design, placement procedures, and finishes.

1.3 DEFINITIONS

A. Cementitious Materials: Portland cement alone or in combination with fly ash and other pozzolans.

1.4 SUBMITTALS

- A. Product Data: For each type of manufactured material indicated.
 - 1. Cementitious materials and aggregates.
 - 2. Form materials and form-release agents.
 - 3. Steel reinforcement and reinforcement accessories.
 - 4. Fiber reinforcement.
 - 5. Admixtures.
 - 6. Waterstops.
 - 7. Curing materials.
 - 8. Floor and slab treatments.
 - 9. Bonding agents.
 - 10. Adhesives.
 - 11. Vapor retarders.
 - 12. Epoxy joint filler.
 - 13. Repair materials.
- B. Testing: Use a qualified independent testing agency for preparing and reporting proposed mix designs for the laboratory trial mix basis.
- C. Design Mixes: Prepare design mixes for each type and strength of concrete determined by either laboratory trial mix or field test data bases, as follows:
 - 1. Proportion normal-weight concrete according to ACI 211.1 and ACI 301.
 - 2. Indicate amounts of mix water to be withheld for later addition at Project site.

- 3. For each concrete mix include alternate mix designs when characteristic of materials, project conditions, weather, test results, or other circumstances warrant adjustments.
- 4. Concrete trial mixes shall include admixtures where specified.
- D. Steel Reinforcement Shop Drawings: Details of fabrication, bending, and placement, prepared according to ACI 315, "Details and Detailing of Concrete Reinforcement." Include material, grade, bar schedules, stirrup spacing, bent bar diagrams, arrangement, and supports of concrete reinforcement. Include special reinforcement required for openings through concrete structures. Provide elevations of each wall and plans of each slab at a minimum of ¹/₄" = 1'-0" scale.
- E. Manufacturer's Certificate: Certify products are in compliance with the American Recovery and Reinvestment Act (ARRA). Refer to Section 013300.

1.5 QUALITY ASSURANCE

- A. Installer Qualifications: An experienced installer who has completed concrete Work similar in material, design, and extent to that indicated for this Project and whose work has resulted in construction with a record of successful in-service performance.
- B. Manufacturer Qualifications: A firm experienced in manufacturing ready-mixed concrete products complying with ASTM C 94 requirements for production facilities and equipment.
 - 1. Manufacturer must be certified according to the National Ready Mixed Concrete Association's Certification of Ready Mixed Concrete Production Facilities.
- C. Testing Agency Qualifications: An independent testing agency, acceptable to authorities having jurisdiction, qualified according to ASTM C 1077 and ASTM E 329 to conduct the testing indicated, as documented according to ASTM E 548.
 - 1. Personnel conducting field tests shall be qualified as ACI Concrete Field Testing Technician, Grade 1, according to ACI CP-1 or an equivalent certification program.
- D. Source Limitations: Obtain each type or class of cementitious material of the same brand from the same manufacturer's plant, each aggregate from one source, and each admixture from the same manufacturer.
- E. ACI Publications: Comply with the following, unless more stringent provisions are indicated:
 - 1. ACI 301, "Specification for Structural Concrete".
 - 2. ACI 117, "Specifications for Tolerances for Concrete Construction and Materials".
 - 3. ACI 305, "Hot Weather Concreting".
 - 4. ACI 306 "Cold Weather Concreting".
 - 5. ACI SP-15 "Field Reference Manual".
 - 6. ACI 302.1 "Guide for Concrete Floor and Slab Construction".
 - 7. NYS DOT "Standard Specification for Construction and Materials".
- F. Pre-installation Conference: Conduct conference at Project site to comply with requirements in Division 1 Section "Project Meetings".

- 1. Before submitting design mixes, review concrete mix design and examine procedures for ensuring quality of concrete materials. Require representatives of each entity directly concerned with cast-in-place concrete to attend, including the following:
 - a. Contractor's superintendent.
 - b. Independent testing agency responsible for concrete design mixes.
 - c. Ready-mix concrete producer.
 - d. Concrete subcontractor.
- G. Slabs on Grade
 - 1. Reference Standard: ACI 302 "Guide for Concrete Floor and Slab Construction."
 - 2. Hold a slab preconstruction meeting (10) days prior to the slab placement. Topics shall include subbase preparation, reinforcement support and placement, slab joints, concrete mix designs, concrete placement, finishing curing, and means of slab protection after and during curing.
 - 3. Prior to slab placement, subbase and vapor barrier shall be dry and not frozen.
 - 4. After slab placement continuing until the end of the curing period provide protection of the slab from: direct sun exposure, wind, precipitation, and excessive temperatures.
 - 5. Contractor shall repair slab defects caused by inadequate protection methods at no additional costs.

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Deliver, store, and handle steel reinforcement to prevent bending and damage.
 - 1. Avoid damaging coatings on steel reinforcement.
 - Repair damaged epoxy coatings on steel reinforcement according to ASTM D 3963/D 3963M.

PART 2 - PRODUCTS

2.1 FORM-FACING MATERIALS

- A. Smooth-Formed Finished Concrete: Form-facing panels that will provide continuous, true, and smooth concrete surfaces. Furnish in largest practicable sizes to minimize number of joints.
 - 1. Plywood, metal, or other approved panel materials.
 - 2. Exterior-grade plywood panels, suitable for concrete forms, complying with DOC PS 1, and as follows:
 - a. B-B (Concrete Form), Class 1, or better, mill oiled and edge sealed.
- B. Forms for Cylindrical Columns, Pedestals, and Supports: Metal, glass-fiber-reinforced plastic, paper, or fiber tubes that will produce surfaces with gradual or abrupt irregularities not exceeding specified formwork surface class. Provide units with sufficient wall thickness to resist plastic concrete loads without detrimental deformation.
- C. Pan-Type Forms: Glass-fiber-reinforced plastic or formed steel, stiffened to resist plastic concrete loads without detrimental deformation.

- D. Chamfer Strips: Wood, metal, PVC, or rubber strips, 3/4 by 3/4 inch minimum, unless otherwise noted.
- E. Form-Release Agent: Commercially formulated form-release agent that will not bond with, stain, or adversely affect concrete surfaces and will not impair subsequent treatments of concrete surfaces.
 - 1. Formulate form-release agent with rust inhibitor for steel form-facing materials.
- F. Form Ties: Factory-fabricated, removable or snap-off metal or glass-fiber-reinforced plastic form ties designed to resist lateral pressure of fresh concrete on forms and to prevent spalling of concrete on removal.
 - 1. Furnish units that will leave no corrodible metal closer than 1 inch to the plane of the exposed concrete surface.
 - 2. Furnish ties that, when removed, will leave holes not larger than 1 inch in diameter in concrete surface.
 - 3. Furnish ties with integral water-barrier plates to walls indicated to receive dampproofing or waterproofing.

2.2 STEEL REINFORCEMENT

- A. Reinforcing Bars: ASTM A 615, Grade 60, deformed.
- B. Plain-Steel Welded Wire Fabric: ASTM A 185, fabricated from as-drawn steel wire into flat sheets.
- C. Tie Wire: ASTM A82, 16 gauge annealed, minimum.
- D. Reinforcement Schedule:

| Foundation and Walls | Plain Deformed |
|----------------------|----------------|
| Slabs on Grade | Plain Deformed |

E. Manufacturer's Certificate: Certify products are in compliance with the American Recovery and Reinvestment Act (ARRA). Refer to Section 013300.

2.3 REINFORCEMENT ACCESSORIES

- A. Bar Supports: Bolsters, chairs, spacers, and other devices for spacing, supporting, and fastening reinforcing bars and welded wire fabric in place. Manufacture bar supports according to CRSI's "Manual of Standard Practice" from steel wire, plastic, or precast concrete or fiber-reinforced concrete of greater compressive strength than concrete, and as follows:
 - 1. For concrete surfaces exposed to view where legs of wire bar supports contact forms, use CRSI Class 1 plastic-protected or CRSI Class 2 stainless-steel bar supports.
 - 2. Brick reinforcement supports are prohibited.

2.4 CONCRETE MATERIALS

- A. Portland Cement: ASTM C 150, Type I/II.
 - 1. Fly Ash ASTM C618, Class F.
 - a. Maximum percent of Fly Ash of total cementitious material by weight is 20%.
- B. Normal-Weight Aggregate: ASTM C 33, uniformly graded, and as follows:
 - 1. Class: Severe weathering region, but not less than 3S.
 - 2. Class: Moderate weathering region, but not less than 3M.
 - 3. Nominal Maximum Aggregate Size: As specified for each specialized Concrete Mix Design.
 - 4. Combined Aggregate Gradation: Well graded from coarsest to finest with not more than 18 percent and not less than 8 percent retained on an individual sieve, except that less than 8 percent may be retained on coarsest sieve and on No. 50 sieve, and less than 8 percent may be retained on sieves finer than No. 50.
 - 5. Fine Aggregate: Free of materials with deleterious reactivity to alkali in cement.
- C. Water: Potable and complying with ASTM C 94.

2.5 ADMIXTURES

- A. General: Admixtures certified by manufacturer to contain not more than 0.1 percent watersoluble chloride ions by mass of cementitious material and to be compatible with other admixtures and cementitious materials. Do not use admixtures containing calcium chloride.
- B. Air-Entraining Admixture: ASTM C 260.
 - 1. Daravair 1000; W. R. Grace & Co.
 - 2. MB-AE90-BASF Construction Chemicals, LLC.
 - 3. Substitutions or approved equal: Section 016000 Product Requirements.
- C. Mid-Range Water-Reducing Admixture: ASTM C 494, Type A. Mid-range water-reducers shall only be accepted for use in concrete with water/cement ratios of .45 and above.
 - 1. Daracem 55; W. R. Grace & Co.
 - 2. Poly Heed 1020-BASF Construction Chemicals, LLC.
 - 3. Substitutions or approved equal: Section 016000 Product Requirements.
- D. High-Range Water-Reducing Admixture: ASTM C 494, Type F. High-range water-reducers shall be required for use in all concrete with water/cement ratios below 0.45.
 - 1. ADVA 140; W. R. Grace & Co.
 - 2. Glenium 3030 NS-BASF Construction Chemicals, LLC.
 - 3. Substitutions or approved equal: Section 016000 Product Requirements.

2.6 VAPOR RETARDERS

- A. Vapor Retarder: ASTM E 1745, Class A, of one of the following materials:
 - 1. Polyolefin not less than 15 mils thick: Stegowrap 15 mil Class A or Moistop Ultra by Fortifiber.
- 2.7 CURING MATERIALS

- A. Water: Potable.
- B. Clear, Waterborne, Membrane-Forming Curing Compound: ASTM C 309, Type 1, Class B.
- C. Available Products: Subject to compliance with requirements, products that may be incorporated into the Work include, but are not limited to, the following:
 - 1. Clear, Waterborne, Membrane-Forming Curing Compound:
 - a. AH Clear Cure WB; Anti-Hydro International, Inc.
 - b. Klear Kote WB II Regular; Burke Chemicals.
 - c. Safe Cure and Seal; Dayton Superior Corporation.
 - 2. Curing Sheet Materials: ASTM C171: Polyethylene Film.

2.8 RELATED MATERIALS

- A. Epoxy Joint Filler: Two-component, semi-rigid, 100 percent solids, epoxy resin, with a Shore A hardness of 80 per ASTM D 2240.
- B. Bonding Agent: ASTM C 1059, Type II, non-redispersible, acrylic emulsion or styrene butadiene.
- C. Epoxy-Bonding Adhesive: ASTM C 881, two-component epoxy resin, capable of humid curing and bonding to damp surfaces, of class and grade to suit requirements, and as follows:
 - 1. Type II, non-load bearing, for bonding freshly mixed concrete to hardened concrete.
 - 2. Types I and II, non-load bearing, for bonding hardened or freshly mixed concrete to hardened concrete.
 - 3. Types IV and V, load bearing, for bonding hardened or freshly mixed concrete to hardened concrete.
- D. Premolded Joint Filler: Resilient and non-extruding premolded bituminous units complying with ASTM D 1751; ¹/₂-inch-thick, full depth of slab.
- E. Joint Sealants:
 - 1. "Sikadur 515L", by Sika; "Euco 600" by Euclid Chemical Co; or accepted equivalent. (Interior Slabs).
 - 2. "Sikaflex–2c–5L" by Sika; "Eurolastic 25L" by Euclid Chemical Co.; or accepted equivalent. (Exterior Slabs).
- F. Crack Repair Material: "Sika Pronto 19" by Sika; "Crack-Fill 4" by Metzger/McGoire or accepted equivalent.

2.9 REPAIR MATERIALS

- A. Repair Topping: Traffic-bearing, cement-based, polymer-modified, self-leveling product that can be applied in thicknesses from 1/4 inch.
 - 1. Cement Binder: ASTM C 150, portland cement or hydraulic or blended hydraulic cement as defined in ASTM C 219.

- 2. Primer: Product of topping manufacturer recommended for substrate, conditions, and application.
- Aggregate: Well-graded, washed gravel, 1/8 to 1/4 inch or coarse sand as 3. recommended by topping manufacturer.
- Compressive Strength: Not less than 5,700 psi at 28 days when tested according to 4. ASTM C 109/C 109M.

2.10 CONCRETE MIXES

- Mix 'A' Standard Concrete: Proportion normal-weight concrete mix as follows: A. 4,000 psi
 - Compressive Strength (28 Days): 1.
 - 2. Maximum Water Cement Ratio:
 - 3. Maximum Aggregate Size:

.42 $1 \ 1/2 \text{ inch}$

Maximum Water Amount:

Minimum Cementitous Materials:

275 lb/cu yd of concrete

- 655 lb/cu yd of concrete
- Maximum Initial Slump:
- Max. Slump w/ High Range WRA: 7.
- Air Content: 8.

 $3\frac{1}{2}$ inches 7 inches 5 % Unless Noted Otherwise

B. Air Content:

4.

5.

6.

- Add air-entraining admixture at manufacturer's prescribed rate to result in concrete at 1. point of placement having an air content as specified for each concrete mix design within a tolerance of plus 1 or minus 1.5 percent, unless otherwise indicated:
- Do not add air entraining admixture to concrete to receive trowel-finish such as interior 2. floors and suspended slabs. Do not allow entrapped air content to exceed 2.5 percent.
- C. Limit water-soluble, chloride-ion content in hardened concrete to 0.15 percent by weight of cement.
- Admixtures: Use admixtures according to manufacturer's written instructions. D.
 - water-reducing admixture or high-range water-reducing 1. Use admixture (superplasticizer) in concrete, as required, for placement and workability.
 - Use water-reducing admixture in pumped concrete, concrete for heavy-use industrial 2. slabs and parking structure slabs, concrete required to be watertight, and concrete with a water-cementitious materials ratio below 0.45.
 - Use corrosion-inhibiting admixture in concrete mixtures where indicated. 3.

FABRICATING REINFORCEMENT 2.11

Fabricate steel reinforcement according to CRSI's "Manual of Standard Practice." A.

2.12 CONCRETE MIXING

A. Ready-Mixed Concrete: Measure, batch, mix, and deliver concrete according to ASTM C 94 and ASTM C 1116, and furnish batch ticket information.

- 1. When air temperature is between 85 and 90 deg F, reduce mixing and delivery time from 1-1/2 hours to 75 minutes; when air temperature is above 90 deg F, reduce mixing and delivery time to 60 minutes.
- 2. Cold weather concreting shall follow recommendations of ACI 306, particularly Table 1.4.1- "Recommended Concrete Temperatures" and Table 1.4.2- "Protection Recommended for Concrete Placed in Cold Weather." When ambient placement temperatures are less then 50° F, all concrete shall contain PolarSet accelerating admixture.

PART 3 - EXECUTION

3.1 FORMWORK

- A. Design, erect, shore, brace, and maintain formwork, according to ACI 301, to support vertical, lateral, static, and dynamic loads, and construction loads that might be applied, until concrete structure can support such loads.
- B. Construct formwork so concrete members and structures are of size, shape, alignment, elevation, and position indicated, within tolerance limits of ACI 117.
- C. Limit concrete surface irregularities, designated by ACI 347R as abrupt or gradual, as follows:
 - 1. Class A, 1/8 inch concrete slabs.
 - 2. Class B, 1/4 inch building frame members, water and sludge holding structures
 - 3. Class C, 1/2 inch footings and foundation walls.
- D. Construct forms tight enough to prevent loss of concrete mortar.
- E. Fabricate forms for easy removal without hammering or prying against concrete surfaces. Provide crush or wrecking plates where stripping may damage cast concrete surfaces. Provide top forms for inclined surfaces steeper than 1.5 horizontal to 1 vertical. Kerf wood inserts for forming keyways, reglets, recesses, and the like, for easy removal.
 - 1. Do not use rust-stained steel form-facing material.
- F. Set edge forms, bulkheads, and intermediate screed strips for slabs to achieve required elevations and slopes in finished concrete surfaces. Provide and secure units to support screed strips; use strike-off templates or compacting-type screeds.
- G. Provide temporary openings for cleanouts and inspection ports where interior area of formwork is inaccessible. Close openings with panels tightly fitted to forms and securely braced to prevent loss of concrete mortar. Locate temporary openings in forms at inconspicuous locations.
- H. Chamfer exterior corners and edges of permanently exposed concrete.
- I. Form openings, chases, offsets, sinkages, keyways, reglets, blocking, screeds, and bulkheads required in the Work. Determine sizes and locations from trades providing such items.

- J. Clean forms and adjacent surfaces to receive concrete. Remove chips, wood, sawdust, dirt, and other debris just before placing concrete.
- K. Retighten forms and bracing before placing concrete, as required, to prevent mortar leaks and maintain proper alignment.
- L. Coat contact surfaces of forms with form-release agent, according to manufacturer's written instructions, before placing reinforcement.
- M. Provide 1" minimum chamfer on all exposed concrete edges unless indicated otherwise.

3.2 EMBEDDED ITEMS

- A. Place and secure anchorage devices and other embedded items required for adjoining work that is attached to or supported by cast-in-place concrete. Use Setting Drawings, templates, diagrams, instructions, and directions furnished with items to be embedded.
 - 1. Install anchor bolts, accurately located, to elevations required.
- B. Do not "wet set" dowels or anchor rods.

3.3 REMOVING AND REUSING FORMS

- A. General: Formwork, for sides of beams, walls, columns, and similar parts of the Work, that does not support weight of concrete may be removed after cumulatively curing at not less than 50 deg F for 24 hours after placing concrete provided concrete is hard enough to not be damaged by form-removal operations and provided curing and protection operations are maintained.
- B. Leave formwork, for beam soffits, joists, slabs, and other structural elements, that supports weight of concrete in place until concrete has achieved the following:
 - 1. 28-day design compressive strength.
 - 2. At least 70 percent of 28-day design compressive strength.
 - 3. Determine compressive strength of in-place concrete by testing representative field- or laboratory-cured test specimens according to ACI 301.
 - 4. Remove forms only if shores have been arranged to permit removal of forms without loosening or disturbing shores.
- C. Clean and repair surfaces of forms to be reused in the Work. Split, frayed, delaminated, or otherwise damaged form-facing material will not be acceptable for exposed surfaces. Apply new form-release agent.
- D. When forms are reused, clean surfaces, remove fins and laitance, and tighten to close joints. Align and secure joints to avoid offsets. Do not use patched forms for exposed concrete surfaces unless approved by Architect.

3.4 SHORES AND RESHORES

- A. Comply with ACI 318, ACI 301, and recommendations in ACI 347R for design, installation, and removal of shoring and reshoring.
- B. In multistory construction, extend shoring or reshoring over a sufficient number of stories to distribute loads in such a manner that no floor or member will be excessively loaded or will induce tensile stress in concrete members without sufficient steel reinforcement.
- C. Plan sequence of removal of shores and reshore to avoid damage to concrete. Locate and provide adequate reshoring to support construction without excessive stress or deflection.

3.5 VAPOR RETARDERS

A. Vapor Retarder: Place, protect, and repair vapor-retarder sheets according to ASTM E 1643 and manufacturer's written instructions.

3.6 STEEL REINFORCEMENT

- A. General: Comply with CRSI's "Manual of Standard Practice" for placing reinforcement.
 1. Do not cut or puncture vapor retarder. Repair damage and reseal vapor retarder before placing concrete.
- B. Clean reinforcement of loose rust and mill scale, earth, ice, and other foreign materials.
- C. Accurately position, support, and secure reinforcement against displacement. Locate and support reinforcement with bar supports to maintain minimum concrete cover. Do not tack weld crossing reinforcing bars.
- D. Set wire ties with ends directed into concrete, not toward exposed concrete surfaces.
- E. Install welded wire fabric in longest practicable lengths on bar supports spaced to minimize sagging. Lap edges and ends of adjoining sheets at least one mesh spacing. Offset laps of adjoining sheet widths to prevent continuous laps in either direction. Lace overlaps with wire.
- F. Masonry Dowels: At top of concrete walls (where masonry starts), contractor may drill concrete (minimum of 8" embedment) and embed steel dowels into concrete with epoxy or acrylic bonding adhesive, to allow alignment with masonry cores. See Section 055000 for adhesive.

3.7 JOINTS

- A. General: Construct joints true to line with faces perpendicular to surface plane of concrete.
- B. Construction Joints: Install so strength and appearance of concrete are not impaired, at locations indicated or as approved by Architect.
 - 1. Place joints perpendicular to main reinforcement. Continue reinforcement across construction joints, unless otherwise indicated. Do not continue reinforcement through sides of strip placements of floors and slabs.

- 2. Form from preformed galvanized steel, plastic keyway-section forms, or bulkhead forms with keys, unless otherwise indicated. Embed keys at least 1-1/2 inches into concrete.
- 3. Space vertical joints in walls as indicated. Locate joints beside piers integral with walls, near corners, and in concealed locations where possible.
- 4. Use a bonding agent at locations where fresh concrete is placed against hardened or partially hardened concrete surfaces.
- C. Control Joints in Slabs-on-Grade: Form weakened-plane control joints, sectioning concrete into areas as indicated. Construct control joints for a depth equal to at least one-fourth of concrete thickness, as follows:
 - 1. Sawed Joints: Form control joints with power saws equipped with shatterproof abrasive or diamond-rimmed blades. Cut 1/8-inch- wide joints into concrete as soon as cutting action will not tear, abrade, or otherwise damage surface and before concrete develops random contraction cracks. Cut every second bar at location of control joints.
- D. Isolation Joints: Install in slabs at perimeter, around columns and floor drains.
 - 1. Use preformed fiberboard joint filler.
 - 2. Install to the full depth of the slab.
 - 3. Hold joint filler firmly in the correct position until concrete is poured.

3.8 CONCRETE PLACEMENT

- A. Before placing concrete, verify that installation of formwork, reinforcement, and embedded items is complete and that required inspections have been performed.
- B. Do not add water to concrete during delivery, at Project site, or during placement, unless approved by Architect.
 - 1. Do not add water to concrete after adding high-range water-reducing admixtures to mix.
- C. Deposit concrete continuously or in layers of such thickness that no new concrete will be placed on concrete that has hardened enough to cause seams or planes of weakness. If a section cannot be placed continuously, provide construction joints as specified. Deposit concrete to avoid segregation.
- D. Deposit concrete in forms in horizontal layers no deeper than 24 inches and in a manner to avoid inclined construction joints. Place each layer while preceding layer is still plastic, to avoid cold joints.
 - 1. Consolidate placed concrete with mechanical vibrating equipment. Use equipment and procedures for consolidating concrete recommended by ACI 309R.
 - 2. Do not use vibrators to transport concrete inside forms. Insert and withdraw vibrators vertically at uniformly spaced locations no farther than the visible effectiveness of the vibrator. Place vibrators to rapidly penetrate placed layer and at least 6 inches into preceding layer. Do not insert vibrators into lower layers of concrete that have begun to lose plasticity. At each insertion, limit duration of vibration to time necessary to consolidate concrete and complete embedment of reinforcement and other embedded items without causing mix constituents to segregate.

- E. Deposit and consolidate concrete for floors and slabs in a continuous operation, within limits of construction joints, until placement of a panel or section is complete.
 - 1. Consolidate concrete during placement operations so concrete is thoroughly worked around reinforcement and other embedded items and into corners.
 - 2. Maintain reinforcement in position on chairs during concrete placement.
 - 3. Screed slab surfaces with a straightedge and strike off to correct elevations.
 - 4. Slope surfaces uniformly to drains where required.
 - 5. Begin initial floating using bull floats or darbies to form a uniform and open-textured surface plane, free of humps or hollows, before excess moisture or bleed-water appears on the surface. Do not further disturb slab surfaces before starting finishing operations.
- F. Cold-Weather Placement: Comply with ACI 306.1 and as follows. Protect concrete work from physical damage or reduced strength that could be caused by frost, freezing actions, or low temperatures.
 - 1. When air temperature has fallen to or is expected to fall below 40 deg F, uniformly heat water and aggregates before mixing to obtain a concrete mixture temperature of not less than 50 deg F and not more than 80 deg F at point of placement.
 - 2. Do not use frozen materials or materials containing ice or snow. Do not place concrete on frozen subgrade or on subgrade containing frozen materials.
 - 3. Do not use calcium chloride, salt, or other materials containing antifreeze agents or chemical accelerators, unless otherwise specified and approved in mix designs.
- G. Hot-Weather Placement: Place concrete according to recommendations in ACI 305R and as follows, when hot-weather conditions exist:
 - 1. Cool ingredients before mixing to maintain concrete temperature below 90 deg F at time of placement. Chilled mixing water or chopped ice may be used to control temperature, provided water equivalent of ice is calculated to total amount of mixing water. Using liquid nitrogen to cool concrete is Contractor's option.
 - 2. Cover steel reinforcement with water-soaked burlap so steel temperature will not exceed ambient air temperature immediately before embedding in concrete.
 - 3. Fog-spray forms, steel reinforcement, and subgrade just before placing concrete. Keep subgrade moisture uniform without standing water, soft spots, or dry areas.

3.9 FINISHING FORMED SURFACES

- A. Smooth-Formed Finish: As-cast concrete texture imparted by form-facing material, arranged in an orderly and symmetrical manner with a minimum of seams. Repair and patch tie holes and defective areas. Remove fins and other projections exceeding 1/8 inch in height.
 - 1. Apply to concrete surfaces as scheduled, a coating or covering material applied directly to concrete, such as waterproofing, dampproofing, veneer plaster, or painting.
 - 2. Do not apply rubbed finish to smooth-formed finish.
- B. Rubbed Finish: Apply the following to smooth-formed finished concrete:
 - 1. Smooth-Rubbed Finish: Not later than one day after form removal, moisten concrete surfaces and rub with carborundum brick or another abrasive until producing a uniform color and texture. Do not apply cement grout other than that created by the rubbing process.

C. Related Unformed Surfaces: At tops of walls, horizontal offsets, and similar unformed surfaces adjacent to formed surfaces, strike off smooth and finish with a texture matching adjacent formed surfaces. Continue final surface treatment of formed surfaces uniformly across adjacent unformed surfaces, unless otherwise indicated.

3.10 FINISHING FLOORS AND SLABS

- A. General: Comply with recommendations in ACI 302.1R for screeding, re-straightening, and finishing operations for concrete surfaces. Do not wet concrete surfaces.
- B. Scratch Finish: While still plastic, texture concrete surface that has been screeded and bull-floated or darbied. Use stiff brushes, brooms, or rakes to produce a profile amplitude of 1/4 inch (6 mm) in 1 direction.
 - 1. Apply scratch finish to surfaces indicated, to receive concrete floor toppings, to receive mortar setting beds for bonded cementitious floor finishes.
- C. Float Finish: Consolidate surface with power-driven floats or by hand floating if area is small or inaccessible to power driven floats. Re-straighten, cut down high spots, and fill low spots. Repeat float passes and re-straightening until surface is left with a uniform, smooth, granular texture.
 - 1. Apply float finish to surfaces indicated, to surfaces to receive trowel finish, and to floor and slab surfaces to be covered with fluid-applied or sheet waterproofing, built-up or membrane roofing, or sand-bed terrazzo.
- D. Trowel Finish: After applying float finish, apply first trowel finish and consolidate concrete by hand or power-driven trowel. Continue troweling passes and re-straighten until surface is free of trowel marks and uniform in texture and appearance. Grind smooth any surface defects that would telegraph through applied coatings or floor coverings.
 - 1. Apply a trowel finish to surfaces indicated and to floor and slab surfaces exposed to view or to be covered with resilient flooring, carpet, ceramic or quarry tile set over a cleavage membrane, paint, or another thin film-finish coating system
 - 2. Finish and measure surface so gap at any point between concrete surface and an unleveled freestanding 10-foot- long straightedge, resting on two high spots and placed anywhere on the surface, does not exceed 1/8 inch.
 - 3. All interior slabs to receive trowel finish unless otherwise noted.
- E. Trowel and Fine-Broom Finish: Apply a first trowel finish to surfaces where indicated or where ceramic or quarry tile is to be installed by either thickset or thin-set method. While concrete is still plastic, slightly scarify surface with a fine broom.
- F. Broom Finish: Apply a broom finish to exterior concrete platforms, steps, and ramps, and elsewhere as indicated.
 - 1. Immediately after float finishing, slightly roughen trafficked surface by brooming with fiber-bristle broom perpendicular to main traffic route. Coordinate required final finish with Architect before application.

- G. Slip-Resistive Finish: Before final floating, apply slip-resistive aggregate or aluminum granule finish where indicated and to concrete stair treads, platforms, and ramps. Apply according to manufacturer's written instructions and as follows:
 - 1. Uniformly spread 25 lb/100 sq. ft. (or manufacturers recommended rate) of dampened slip-resistive aggregate or aluminum granules over surface in 1 or 2 applications. Tamp aggregate flush with surface, but do not force below surface.
 - 2. After broadcasting and tamping, apply float finish.
 - 3. After curing, lightly work surface with a steel wire brush or an abrasive stone and water to expose slip-resistive aggregate or aluminum granules.
- H. Contractor shall finish concrete slabs in areas with floor drains so that surface moisture will drain and that no puddling will occur. Contractor shall correct slabs which do not meet these criteria at their own expense.

3.11 MISCELLANEOUS CONCRETE ITEMS

- A. Filling In: Fill in holes and openings left in concrete structures, unless otherwise indicated, after work of other trades is in place. Mix, place, and cure concrete, as specified, to blend with in-place construction. Provide other miscellaneous concrete filling indicated or required to complete Work.
- B. Curbs and Containment Walls: Provide monolithic finish to interior curbs by stripping forms while concrete is still green and by steel-troweling surfaces to a hard, dense finish with corners, intersections, and terminations slightly rounded.
- C. Equipment Bases and Foundations: Provide machine and equipment bases and foundations as shown on Drawings. Set anchor bolts for machines and equipment at correct elevations, complying with diagrams or templates of manufacturer furnishing machines and equipment.

3.12 CONCRETE PROTECTION AND CURING

- A. General: Protect freshly placed concrete from premature drying and excessive cold or hot temperatures. Comply with ACI 306.1 for cold-weather protection and with recommendations in ACI 305R for hot-weather protection during curing.
- B. Formed Surfaces: Cure formed concrete surfaces, including underside of beams, supported slabs, and other similar surfaces. If forms remain during curing period, moist cure after loosening forms. If removing forms before end of curing period, continue curing for the remainder of the curing period.
- C. Unformed Surfaces: Begin curing immediately after finishing concrete. Cure unformed surfaces, including floors and slabs, concrete floor toppings, and other surfaces.
- D. Cure concrete according to ACI 308.1, by one or a combination of the following methods:
 - 1. Moisture Curing: Keep surfaces continuously moist for not less than seven days with the following materials:
 - a. Water.

- b. Continuous water-fog spray.
- c. Absorptive cover, water saturated, and kept continuously wet. Cover concrete surfaces and edges with 12-inch lap over adjacent absorptive covers.
- 2. Moisture-Retaining-Cover Curing: Cover concrete surfaces with moisture-retaining cover for curing concrete, placed in widest practicable width, with sides and ends lapped at least 12 inches, and sealed by waterproof tape or adhesive. Cure for not less than seven days. Immediately repair any holes or tears during curing period using cover material and waterproof tape.
 - a. Moisture cure or use moisture-retaining covers to cure concrete surfaces to receive floor coverings.
 - b. Moisture cure or use moisture-retaining covers to cure concrete surfaces to receive penetrating liquid floor treatments.
 - c. Cure concrete surfaces to receive floor coverings with either a moisture-retaining cover or a curing compound that the manufacturer certifies will not interfere with bonding of floor covering used on Project.
- 3. Curing Compound: Apply uniformly in continuous operation by power spray or roller according to manufacturer's written instructions. Recoat areas subjected to heavy rainfall within three hours after initial application. Maintain continuity of coating and repair damage during curing period.
 - a. After curing period has elapsed, remove curing compound without damaging concrete surfaces by method recommended by curing compound manufacturer unless manufacturer certifies curing compound will not interfere with bonding of floor covering used on Project.
- 4. Curing and Sealing Compound: Apply uniformly to floors and slabs indicated in a continuous operation by power spray or roller according to manufacturer's written instructions. Recoat areas subjected to heavy rainfall within three hours after initial application. Repeat process 24 hours later and apply a second coat. Maintain continuity of coating and repair damage during curing period.
- E. Slabs on Grade
 - 1. Protect slabs immediately after placement from premature drying and excessive temperatures. Cure slab completely by sheet curing by covering slabs for 7 days. Do not allow slabs to dry rapidly at the end of the curing period. Lap and tape seams of curing membrane and repair tears with waterproof tape throughout the curing period.
 - 2. Do not allow foot traffic over slabs during curing period. Do not place equipment on slabs until 14 days after placement.

3.13 JOINT FILLING

- A. Prepare, clean, and install joint filler according to manufacturer's written instructions.
 - 1. Defer joint filling until concrete has aged at least six months. Do not fill joints until construction traffic has permanently ceased.
- B. Remove dirt, debris, saw cuttings, curing compounds, and sealers from joints; leave contact faces of joint clean and dry.

C. Install semi-rigid epoxy joint filler full depth in saw-cut joints and at least 2 inches deep in formed joints. Overfill joint and trim joint filler flush with top of joint after hardening.

3.14 CONCRETE SURFACE REPAIRS

- A. Defective Concrete: Repair and patch defective areas when approved by Architect. Remove and replace concrete that cannot be repaired and patched to Architect's approval.
- B. Patching Mortar: Mix dry-pack patching mortar, consisting of one part portland cement to two and one-half parts fine aggregate passing a No. 16 sieve, using only enough water for handling and placing.
- C. Repairing Formed Surfaces: Surface defects include color and texture irregularities, cracks, spalls, air bubbles, honeycombs, rock pockets, fins and other projections on the surface, and stains and other discolorations that cannot be removed by cleaning.
 - 1. Immediately after form removal, cut out honeycombs, rock pockets, and voids more than 1/2 inch in any dimension in solid concrete but not less than 1 inch in depth. Make edges of cuts perpendicular to concrete surface. Clean, dampen with water, and brush-coat holes and voids with bonding agent. Fill and compact with patching mortar before bonding agent has dried. Fill form-tie voids with patching mortar or cone plugs secured in place with bonding agent.
 - 2. Repair defects on surfaces exposed to view by blending white Portland cement and standard Portland cement so that, when dry, patching mortar will match surrounding color. Patch a test area at inconspicuous locations to verify mixture and color match before proceeding with patching. Compact mortar in place and strike off slightly higher than surrounding surface.
 - 3. Repair defects on concealed formed surfaces that affect concrete's durability and structural performance as determined by Architect.
- D. Repairing Unformed Surfaces: Test unformed surfaces, such as floors and slabs, for finish and verify surface tolerances specified for each surface. Correct low and high areas. Test surfaces sloped to drain for trueness of slope and smoothness; use a sloped template.
 - 1. Repair finished surfaces containing defects. Surface defects include spalls, pop-outs, honeycombs, rock pockets, crazing and cracks in excess of 0.01 inch wide or that penetrate to reinforcement or completely through un-reinforced sections regardless of width, and other objectionable conditions.
 - 2. After concrete has cured at least 14 days, correct high areas by grinding.
 - 3. Correct localized low areas during or immediately after completing surface finishing operations by cutting out low areas and replacing with patching mortar. Finish repaired areas to blend into adjacent concrete.
 - 4. Correct other low areas scheduled to remain exposed with a repair topping. Cut out low areas to ensure a minimum repair topping depth of 1/4 inch to match adjacent floor elevations. Prepare, mix, and apply repair topping and primer according to manufacturer's written instructions to produce a smooth, uniform, plane, and level surface.
 - 5. Repair defective areas, except random cracks and single holes 1 inch or less in diameter, by cutting out and replacing with fresh concrete. Remove defective areas with clean,

square cuts and expose steel reinforcement with at least 3/4 inch clearance all around. Dampen concrete surfaces in contact with patching concrete and apply bonding agent. Mix patching concrete of same materials and mix as original concrete except without coarse aggregate. Place, compact, and finish to blend with adjacent finished concrete. Cure in same manner as adjacent concrete.

- 6. Repair random cracks and single holes 1 inch or less in diameter with patching mortar. Groove top of cracks and cut out holes to sound concrete and clean off dust, dirt, and loose particles. Dampen cleaned concrete surfaces and apply bonding agent. Place patching mortar before bonding agent has dried. Compact patching mortar and finish to match adjacent concrete. Keep patched area continuously moist for at least 72 hours.
- E. Perform structural repairs of concrete, subject to Architect's approval, using epoxy adhesive and patching mortar.
- F. Repair materials and installation not specified above may be used, subject to Architect's approval.

3.15 FIELD QUALITY CONTROL

- A. Testing Agency: Contractor shall engage a qualified independent testing and inspecting agency to sample materials, perform tests, and submit test reports during concrete placement. Sampling and testing for quality control may include those specified in this Article. Testing will be paid from the testing allowance.
- B. Testing Services: Testing of composite samples of fresh concrete obtained according to ASTM C 172 shall be performed according to the following requirements:
 - 1. Testing Frequency: Obtain one composite sample for each day's pour of each concrete mix exceeding 5 cu. yd., but less than 25 cu. yd., plus one set for each additional 50 cu. yd. or fraction thereof.
 - 2. Slump: ASTM C 143; one test at point of placement for each composite sample, but not less than one test for each day's pour of each concrete mix. Perform additional tests when concrete consistency appears to change.
 - 3. Air Content: ASTM C 231, pressure method, for normal-weight concrete; ASTM C 173, volumetric method, for structural lightweight concrete; one test for each composite sample, but not less than one test for each day's pour of each concrete mix.
 - 4. Concrete Temperature: ASTM C 1064; one test hourly when air temperature is 40 deg F and below and when 80 deg F and above, and one test for each composite sample.
 - 5. Compression Test Specimens: ASTM C 31/C 31M; cast and laboratory cure one set of four standard cylinder specimens for each composite sample.
 - 6. Compressive-Strength Tests: ASTM C 39; test two laboratory-cured specimens at 7 days and two at 28 days.
 - a. A compressive-strength test shall be the average compressive strength from two specimens obtained from same composite sample and tested at age indicated.
- C. When strength of field-cured cylinders is less than 85 percent of companion laboratory-cured cylinders, Contractor shall evaluate operations and provide corrective procedures for protecting and curing in-place concrete.

- D. Strength of each concrete mix will be satisfactory if every average of any three consecutive compressive-strength tests equals or exceeds specified compressive strength and no compressive-strength test value falls below specified compressive strength by more than 500 psi.
- E. Test results shall be reported in writing to Architect, concrete manufacturer, and Contractor within 48 hours of testing. Reports of compressive-strength tests shall contain Project identification name and number, date of concrete placement, name of concrete testing and inspecting agency, location of concrete batch in Work, design compressive strength at 28 days, concrete mix proportions and materials, compressive breaking strength, and type of break for both 7-and 28-day tests.
- F. Nondestructive Testing: Impact hammer, sonoscope, or other nondestructive device may be permitted by Architect but will not be used as sole basis for approval or rejection of concrete.
- G. Additional Tests: Testing and inspecting agency shall make additional tests of concrete when test results indicate that slump, air entrainment, compressive strengths, or other requirements have not been met, as directed by Architect. Testing and inspecting agency may conduct tests to determine adequacy of concrete by cored cylinders complying with ASTM C 42 or by other methods as directed by Architect.

END OF SECTION

SECTION 260519

LOW-VOLTAGE ELECTRICAL POWER CONDUCTORS

1.1 SUMMARY

- A. Section includes building; and wiring connectors and connections.
- B. Related Sections:
 - 1. Section 260553 Identification for Electrical Systems: Product requirements for wire identification.

1.2 REFERENCES

- A. International Electrical Testing Association:
 - 1. NETA ATS Acceptance Testing Specifications for Electrical Power Distribution Equipment and Systems.
- B. National Fire Protection Association:
 - 1. NFPA 70 National Electrical Code.
 - 2. NFPA 262 Standard Method of Test for Flame Travel and Smoke of Wires and Cables for Use in Air-Handling Spaces.

1.3 SYSTEM DESCRIPTION

- A. Product Requirements: Provide products as follows:
 - 1. Solid conductor for feeders and branch circuits 10 AWG and smaller.
 - 2. Stranded conductors for control circuits.
 - 3. Conductor not smaller than 12 AWG for power and lighting circuits.
 - 4. Conductor not smaller than 16 AWG for control circuits.
- B. Wiring Methods: Provide the following wiring methods:
 - 1. Concealed Dry Interior Locations: Use only building wire, Type THHN insulation, in raceway.
 - 2. Exposed Dry Interior Locations: Use only building wire, Type THHN insulation, in raceway.
 - 3. Exterior locations: Use only building wire, Type THWN insulation, in raceway.
 - 4. Above Accessible Ceilings: Use only building wire, Type THHN insulation, in raceway.
 - 5. Underground Locations: Use only building wire, Type THWN insulation, in raceway.

1.4 DESIGN REQUIREMENTS

- A. Conductor sizes are based on copper.
- B. Where more than (3) current carrying conductors are installed in common raceways, apply derate factors in accordance with NEC.

1.5 SUBMITTALS

- A. Product Data: Submit for building wire. Indicate wire properties, insulation material, voltage rating, temperature rating, listings, etc.
- B. Test Reports: Indicate procedures and values obtained.

1.6 CLOSEOUT SUBMITTALS

A. Project Record Documents: Record actual locations of components and circuits.

1.7 FIELD MEASUREMENTS

A. Verify field measurements are as indicated on Drawings.

1.8 COORDINATION

- A. Where wire and cable destination is indicated and routing is not shown, determine routing and lengths required.
- B. Coordinate routing of conduits with existing building components. Route conduits above suspended ceilings and conceal as much as possible.

PART 2 PRODUCTS

2.1 BUILDING WIRE

- A. Manufacturers:
 - 1. Diamond Wire & Cable Co.
 - 2. Essex Group Inc.
 - 3. General Cable Co.
- B. Product Description: Single conductor insulated wire.
- C. Conductor: Copper.
- D. Insulation Voltage Rating: 600 volts.
- E. Insulation Temperature Rating: 75 degrees C.
- F. Insulation Material: Thermoplastic, type THHN/THWN.

PART 3 EXECUTION

- 3.1 EXAMINATION
 - A. Verify interior of building has been protected from weather.
 - B. Verify mechanical work likely to damage wire and cable has been completed.

C. Verify raceway installation is complete and supported.

3.2 PREPARATION

A. Completely and thoroughly swab raceway before installing wire.

3.3 EXISTING WORK

- A. Remove exposed abandoned wire and cable, including abandoned wire and cable above accessible ceiling finishes. Patch surfaces where removed cables pass through building finishes.
- B. Disconnect abandoned circuits and remove circuit wire and cable. Remove abandoned boxes when wire and cable servicing boxes is abandoned and removed. Install blank cover for abandoned boxes not removed.
- C. Provide access to existing wiring connections remaining active and requiring access. Modify installation or install access panel.
- D. Extend existing circuits using materials and methods compatible with existing electrical installations, or as specified.
- E. Clean and repair existing wire and cable remaining or wire and cable to be reinstalled.

3.4 INSTALLATION

- A. Route wire and cable to meet Project conditions.
- B. Neatly train and lace wiring inside boxes, equipment, and panelboards.
- C. Identify and color code wire and cable under provisions of Section 260553. Identify each conductor with its circuit number or other designation indicated.
- D. Special Techniques--Building Wire in Raceway:
 - 1. Pull conductors into raceway at same time.
 - 2. Install building wire 4 AWG and larger with pulling equipment.
- E. Special Techniques Cable:
 - 1. Protect exposed cable from damage.
 - 2. Support cables above accessible ceiling, using spring metal clips or metal cable ties to support cables from structure or ceiling suspension system. Do not rest cable on ceiling panels.
 - 3. Use suitable cable fittings and connectors.
- F. Special Techniques Wiring Connections:
 - 1. Clean conductor surfaces before installing lugs and connectors.
 - 2. Make splices, taps, and terminations to carry full ampacity of conductors with no perceptible temperature rise.

- 3. Tape uninsulated conductors and connectors with electrical tape to 150 percent of insulation rating of conductor.
- 4. Install split bolt connectors for copper conductor splices and taps, 6 AWG and larger.
- 5. Install solderless pressure connectors with insulating covers for copper conductor splices and taps, 8 AWG and smaller.
- 6. Install insulated spring wire connectors with plastic caps for copper conductor splices and taps, 10 AWG and smaller.
- G. Install stranded conductors for branch circuits 10 AWG and smaller. However, when stranded conductors are used in lieu of solid, and then install crimp on fork terminals for device terminations. Do not place bare stranded conductors directly under screws.

3.5 WIRE COLOR

- A. General:
 - 1. For wire sizes 10 AWG and smaller, install wire colors in accordance with the following:
 - a. Black, red, and blue for circuits at 120/208 volts three phase.
 - b. Orange, brown, and yellow for circuits at 277 volts three phase.
 - 2. For wire sizes 8 AWG and larger, identify wire with colored tape at terminals, splices and boxes. Colors are as follows:
 - a. Black, red, and blue for circuits at 120/208 volts three phase.
 - b. Orange, brown, and yellow for circuits at 277/480 volts three phase.
- B. Neutral Conductors: White. When two or more neutrals are located in one conduit, individually identify each with proper circuit number.
- C. Branch Circuit Conductors: Install three or four wire home runs with each phase uniquely color coded.
- D. Feeder Circuit Conductors: Uniquely color code each phase.
- E. Ground Conductors:
 - 1. For 6 AWG and smaller: Green.
 - 2. For 4 AWG and larger: Identify with green tape at both ends and visible points including junction boxes.

3.6 FIELD QUALITY CONTROL

- A. Inspect and test in accordance with NETA ATS, except Section 4.
- B. Perform inspections and tests listed in NETA ATS, Section 7.3.1.

END OF SECTION

SECTION 260526

GROUNDING AND BONDING FOR ELECTRICAL SYSTEMS

PART 1 GENERAL

1.1 **SUMMARY**

- A. Section Includes:
 - Wire 1.
 - 2. Mechanical connectors.
 - 3. Ground Rods

REFERENCES 1.2

- Institute of Electrical and Electronics Engineers: A.
 - IEEE 142 Recommended Practice for Grounding of Industrial and Commercial Power 1. Systems.
 - 2. IEEE 1100 - Recommended Practice for Powering and Grounding Electronic Equipment.
- B. International Electrical Testing Association:
 - NETA ATS Acceptance Testing Specifications for Electrical Power Distribution 1. Equipment and Systems.
- C. National Fire Protection Association:
 - 1. NFPA 70 - National Electrical Code.

Building Industry Consulting Service International, Inc. D. BICSI TDM Manual - Telecommunications Distribution Methods Manual. 1.

- E. Telecommunication Industry Association/Electronic Industries Alliance:
 - TIA/EIA 607 Commercial Building Grounding and Bonding Requirements for 1. Telecommunications.

SYSTEM DESCRIPTION 1.3

All work shall comply with NEC Article 250. A.

SUBMITTALS 1.4

A. Product Data: Submit data on grounding electrodes and connections.

1.5 **QUALIFICATIONS**

A. Manufacturer: Company specializing in manufacturing Products specified in this section with minimum five years documented experience.

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B. Installer: Company specializing in performing work of this section with minimum five years documented experience.

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Accept materials on site in original factory packaging, labeled with manufacturer's identification.
- B. Protect from weather and construction traffic, dirt, water, chemical, and mechanical damage, by storing in original packaging.
- C. Do not deliver items to project before time of installation. Limit shipment of bulk and multipleuse materials to quantities needed for immediate installation.

1.7 COORDINATION

A. Refer to standard General Requirements.

PART 2 PRODUCTS

- 2.1 WIRE
 - A. Partially below or completely below grade: bare copper wire, stranded, sizes as specified.
 - B. Exterior exposed locations: Insulated Copper wire, solid or stranded, sizes as specified. Jacketing shall be labeled/color coded per NFPA 70 Article 250.119A and ANSI –J-STD-607-A-2002.

2.2 MECHANICAL CONNECTORS

- A. Mechanical Connections (below grade): UL listed irreversible high compression fittings. Fittings and compression tools (mechanical, hydraulic, battery powered) rated for 12 tons of force. All mechanical connections shall be coated with a conductive anti-oxidant compound, liberally applied between the two metals being connected.
- B. Mechanical Connections (above grade): UL listed irreversible high compression fittings. Fittings and compression tools (mechanical, hydraulic, battery powered) rated for 12 tons of force. UL listed clamps, pressure fittings and 2-hole lugs, bolts, washers as required. Connectors matching type of conductors, conductor quantity, designed for the application. All mechanical connections shall be coated with a conductive anti-oxidant compound, liberally applied between the two metals being connected.
- C. Exothermic Connections (above and below grade): Exothermic materials, accessories, and tools for preparing and making permanent field connections between grounding system components.
- D. Listings: Exterior installations: UL 467 with minimum 88% conductivity rating. Interior installations: UL 486A with minimum 88% conductivity rating. Compression systems shall include crimped die index and company logo for inspection purposes.

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2.3 GROUND RODS

A. Copper construction, 3/4" diameter, 10 feet long, pointed ends, UL listed.

PART 3 EXECUTION

3.1 EXAMINATION

A. Refer to standard General Requirements.

3.2 PREPARATION

A. Remove paint, rust, mill oils, surface contaminants at connection points.

3.3 EXISTING WORK

A. Modify existing grounding system to maintain continuity to accommodate renovations.

3.4 EXTERNAL GROUNDING SYSTEM

- 1. <u>Foundation Encased Electrode</u>: Encased #1/0 bare copper conductor. Conductor shall embedded in the concrete slab with min. 2" min. concrete cover. Conductor shall be bonded to rebar reinforcement that is min. 20'-0" in length. The encased connection to the reinforcement shall be by an approved clamp or exothermic weld. Schedule 40 PVC conduit shall be used as a protective sleeve between slab surface and surrounding earth.
- 2. <u>External Ground Ring</u>: The external ground ring around the concrete slab to improve the performance of the grounding electrode system and equalize the potential with the earth around the building. The external ground ring conductor shall be #1/0 AWG Bare copper conductor completely surrounding the slab. The ends shall be bonded together (or at a ground rod) by exothermic weld or irreversible high compression connector. The ring shall be bonded at a minimum of two points. Ground ring shall be installed in direct contact with the earth with soil backfill (as indicated on the drawings).

B. <u>External Grounding Conductors</u>:

- 1. All below ground or partially below ground grounding conductors shall be min. #2 bare copper (unless noted otherwise in this specification).
- 2. External grounding conductors shall be splice free.
- 3. External grounding conductors bend angle shall be no less than 90 degrees nor have a bed radius of 8". It shall be run to the grounding electrode system in a direct manner, without loops or sharp bends.
- 4. Above grade conductors shall be protected, when subject to physical damage.

C. <u>General Requirements for External Bonding</u>:

- 1. All mechanical and compression type connectors shall be UL 467 listed for size and application.
- 2. Clamps shall be UL 467 listed and have an 88% conductivity rating.

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3.5 INTERNAL GROUNDING SYSTEM

- A. <u>Bonding Jumpers</u>: Creates a conductive path between electrical components, such as cable trays, piping, conduits or structural framing.
 - 1. Bonding jumpers shall be as short as possible, routed directly to equipment, free of bends or loops.
 - 2. Attachment points shall be free of paint or other coatings to allow for a conductive path.
 - 3. Bonding jumpers shall be no longer than 6'-0" when installed outside a raceway or enclosure.

B. <u>General Requirements for Internal Bonding</u>:

- 1. All mechanical and compression type connectors shall be UL 486A listed for size and application.
- 2. Clamps shall be UL 486A listed and have a 88% conductivity rating.
- 3. Compression lugs shall be two-hole, long barrel type.
- 4. Connection between dissimilar metals shall not be used unless conductors are separated by a suitable material that is part of the attachment device.
- 5. Self tapping or sheet metal type screws shall not be used for any grounding attachments.
- 6. Insulating piercing connectors are not acceptable.
- 7. Aluminum connection devices are not acceptable.
- 8. Daisy chain connections between chassis and equipment is not permitted.
- 9. Mechanical lugs and clamps shall not be used on solid conductors.

3.6 ELECTRICAL INSTALLATION

- A. Install isolated grounding conductor for circuits supplying personal computers in accordance with IEEE 1100.
- B. Equipment Grounding Conductor: Install separate, insulated conductor within each feeder and branch circuit raceway. Terminate each end on suitable lug, bus, or bushing.
- C. Permanently ground entire light and power system in accordance with NEC, including service equipment, distribution panels, lighting panelboards, switch and starter enclosures, motor frames, grounding type receptacles, and other exposed non-current carrying metal parts of electrical equipment.
- D. Install branch circuits feeding isolated ground receptacles with separate insulated grounding conductor, connected only at isolated ground receptacle, ground terminals, and at ground bus of serving panel.
- E. Accomplish grounding of electrical system by using insulated grounding conductor installed with feeders and branch circuit conductors in conduits. Size grounding conductors in accordance with NEC.
- F. Permanently attach equipment and grounding conductors prior to energizing equipment.

G. Install bonding jumper <u>only</u> in power distribution panel. Installing bonding jumper in any other cabinets could lead to electrification of cabinets and or conduit within the structure. Distribution panel in stepped down system requires jumper as it is a separately derived system.

END OF SECTION

SECTION 260529

HANGERS AND SUPPORTS FOR ELECTRICAL SYSTEMS

PART 1 GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Conduit supports.
 - 2. Formed steel channel.
 - 3. Spring steel clips.
 - 4. Sleeves.
 - 5. Mechanical sleeve seals.
 - 6. Firestopping relating to electrical work.
 - 7. Firestopping accessories.

1.2 REFERENCES

- A. ASTM International:
 - 1. ASTM E84 Standard Test Method for Surface Burning Characteristics of Building Materials.
 - 2. ASTM E119 Standard Test Methods for Fire Tests of Building Construction and Materials.
 - 3. ASTM E814 Standard Test Method for Fire Tests of Through-Penetration Fire Stops.
 - 4. ASTM E1966 Standard Test Method for Fire-Resistive Joint Systems.
- B. FM Global:
 - 1. FM Approval Guide, A Guide to Equipment, Materials & Services Approved By Factory Mutual Research For Property Conservation.
- C. National Fire Protection Association:
 - 1. NFPA 70 National Electrical Code.
- D. Underwriters Laboratories Inc.:
 - 1. UL 263 Fire Tests of Building Construction and Materials.
 - 2. UL 723 Tests for Surface Burning Characteristics of Building Materials.
 - 3. UL 1479 Fire Tests of Through-Penetration Firestops.
 - 4. UL 2079 Tests for Fire Resistance of Building Joint Systems.
 - 5. UL Fire Resistance Directory.
- E. Intertek Testing Services (Warnock Hersey Listed):
 - 1. WH Certification Listings.

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1.3 DEFINITIONS

A. Firestopping (Through-Penetration Protection System): Sealing or stuffing material or assembly placed in spaces between and penetrations through building materials to arrest movement of fire, smoke, heat, and hot gases through fire rated construction.

1.4 SYSTEM DESCRIPTION

- A. Firestopping Materials: ASTM UL 1479 to achieve fire ratings as noted on Drawings for adjacent construction, but not less than 1 hour fire rating.
 - 1. Ratings may be 3-hours for firestopping in through-penetrations of 4-hour fire rated assemblies unless otherwise required by applicable codes.
- B. Surface Burning: ASTM E84 with maximum flame spread/smoke developed rating of 25/450.
- C. Firestop interruptions to fire rated assemblies, materials, and components.

1.5 PERFORMANCE REQUIREMENTS

- A. Firestopping: Conform to applicable code UL for fire resistance ratings and surface burning characteristics.
- B. Firestopping Ratings:
 - 1. Corridor wall penetrations: 1-hour
 - 2. Corridor ceiling penetrations: 1-hour
 - 3. Mechanical and Electrical room penetrations: 1-hour

1.6 SUBMITTALS

- A. Product Data:
 - 1. Hangers and Supports: Submit manufacturers catalog data including load capacity.
 - 2. Firestopping: Submit data on product characteristics, performance and limitation criteria.
- B. Firestopping Schedule: Submit schedule of opening locations and sizes, penetrating items, and required listed design numbers to seal openings to maintain fire resistance rating of adjacent assembly.
- C. Design Data: Indicate load carrying capacity of trapeze hangers and hangers and supports.
- D. Manufacturer's Installation Instructions:
 - 1. Hangers and Supports: Submit special procedures and assembly of components.
 - 2. Firestopping: Submit preparation and installation instructions.

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- E. Manufacturer's Certificate: Certify products meet or exceed specified requirements.
- F. Engineering Judgements: For conditions not covered by UL or WH listed designs, submit judgements by licensed professional engineer suitable for presentation to authority having jurisdiction for acceptance as meeting code fire protection requirements.

1.7 QUALIFICATIONS

- A. Manufacturer: Company specializing in manufacturing Products specified in this section with minimum five years documented experience.
- B. Installer: Company specializing in performing work of this section with minimum five years documented experience approved by manufacturer.

1.8 DELIVERY, STORAGE, AND HANDLING

- A. Accept materials on site in original factory packaging, labeled with manufacturer's identification.
- B. Protect from weather and construction traffic, dirt, water, chemical, and mechanical damage, by storing in original packaging.

1.9 ENVIRONMENTAL REQUIREMENTS

- A. Do not apply firestopping materials when temperature of substrate material and ambient air is below 60 degrees F.
- B. Maintain this minimum temperature before, during, and for minimum 3 days after installation of firestopping materials.
- C. Provide ventilation in areas to receive solvent cured materials.

PART 2 PRODUCTS

2.1 CONDUIT SUPPORTS

- A. Manufacturers:
 - 1. B-Line Systems.
 - 2. Allied Tube & Conduit Corp.
- B. Hanger Rods: Threaded high tensile strength galvanized carbon steel with free running threads.
- C. Beam Clamps: Malleable Iron, with tapered hole in base and back to accept either bolt or hanger rod. Set screw: hardened steel.

- D. Conduit clamps for trapeze hangers: Galvanized steel, notched to fit trapeze with single bolt to tighten.
- E. Conduit clamps general purpose: One hole malleable iron for surface mounted conduits.
- F. Cable Ties: High strength nylon temperature rated to 185 degrees F. Self locking.

2.2 FORMED STEEL CHANNEL

- A. Manufacturers:
 - 1. B-Line Systems.
 - 2. Unistrut Corp.
- B. Interior Dry Areas: Electroplated Zinc, 1 5/8 inch 12 gage thick steel. With holes 1-1/2 inches on center.
- C. Exterior Areas: Stainless steel strut, 1 5/8 inch, 12 gage thick steel. With holes 1-1/2 inches on center.

2.3 SPRING STEEL CLIPS

- A. Manufacturers:
 - 1. B-Line Systems.
 - 2. Allied Tube & Conduit Corp.
- B. Product Description: Mounting hole and screw closure.

2.4 SLEEVES

- A. Sleeves for Raceway Through Non-fire Rated Beams, Walls, Footings, and Potentially Wet Floors: Steel pipe or 18 gage thick galvanized steel.
- B. Sleeves for Raceway Through Fire Rated and Fire Resistive Floors and Walls, and Fire Proofing: Prefabricated fire rated sleeves including seals, UL listed.
- C. Fire-stopping Insulation: Glass fiber type, non-combustible.

2.5 MECHANICAL SLEEVE SEALS

- A. Manufacturers:
 - 1. Thunderline Link-Seal, Inc.
 - 2. NMP Corporation
- B. Product Description: Modular mechanical type, consisting of interlocking synthetic rubber links shaped to continuously fill annular space between object and sleeve, connected with bolts and pressure plates causing rubber sealing elements to expand when tightened, providing watertight seal and electrical insulation.

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2.6 FIRESTOPPING

- A. Manufacturers:
 - 1. Dow Corning Corp.
 - 2. Hilti Corp.
 - 3. 3M fire Protection Products.
- B. Product Description: Different types of products by multiple manufacturers are acceptable as required to meet specified system description and performance requirements; provide only one type for each similar application.
 - 1. Silicone Firestopping Elastomeric Firestopping: Single component silicone elastomeric compound and compatible silicone sealant.
 - 2. Foam Firestopping Compounds: Single component foam compound.
 - 3. Formulated Firestopping Compound of Incombustible Fibers: Formulated compound mixed with incombustible non-asbestos fibers.
 - 4. Fiber Stuffing and Sealant Firestopping: Composite of mineral fiber stuffing insulation with silicone elastomer for smoke stopping.
 - 5. Mechanical Firestopping Device with Fillers: Mechanical device with incombustible fillers and silicone elastomer, covered with sheet stainless steel jacket, joined with collars, penetration sealed with flanged stops.
 - 6. Intumescent Firestopping: Intumescent putty compound which expands on exposure to surface heat gain.
 - 7. Firestop Pillows: Formed mineral fiber pillows.
- C. Color: Dark gray.

2.7 FIRESTOPPING ACCESSORIES

- A. Primer: Type recommended by firestopping manufacturer for specific substrate surfaces and suitable for required fire ratings.
- B. Installation Accessories: Provide clips, collars, fasteners, temporary stops or dams, and other devices required to position and retain materials in place.
- C. General:
 - 1. Furnish UL listed products or products tested by independent testing laboratory.
 - 2. Select products with rating not less than rating of wall or floor being penetrated.
- D. Non-Rated Surfaces:
 - 1. Stamped steel, chrome plated, hinged, split ring escutcheons or floor plates or ceiling plates for covering openings in occupied areas where conduit is exposed.
 - 2. For exterior wall openings below grade, furnish modular mechanical type seal consisting of interlocking synthetic rubber links shaped to continuously fill annular space between conduit and cored opening or water-stop type wall sleeve.

PART 3 EXECUTION

3.1 EXAMINATION

- A. Verify openings are ready to receive sleeves.
- B. Verify openings are ready to receive firestopping.

3.2 PREPARATION

- A. Clean substrate surfaces of dirt, dust, grease, oil, loose material, or other matter affecting bond of firestopping material.
- B. Remove incompatible materials affecting bond.
- C. Do not drill or cut structural members.

3.3 INSTALLATION - HANGERS AND SUPPORTS

- A. Anchors and Fasteners:
 - 1. Concrete Structural Elements: Provide precast inserts, expansion anchors, powder actuated anchors and preset inserts.
 - 2. Steel Structural Elements: Provide beam clamps, spring steel clips.
 - 3. Concrete Surfaces: Provide self-drilling anchors and expansion anchors.
 - 4. Hollow Masonry, Plaster, and Gypsum Board Partitions: Provide toggle bolts and hollow wall fasteners.
 - 5. Solid Masonry Walls: Provide expansion anchors.
 - 6. Sheet Metal: Provide sheet metal screws.
 - 7. Wood Elements: Provide wood screws.
- B. Install conduit and raceway support and spacing in accordance with NEC.
- C. Do not fasten supports to pipes, ducts, mechanical equipment, or conduit.
- D. Install multiple conduit runs on common hangers.
- E. Supports:
 - 1. Fabricate supports from structural steel or formed steel channel. Install hexagon head bolts to present neat appearance with adequate strength and rigidity. Install spring lock washers under nuts.
 - 2. Install surface mounted cabinets and panelboards with minimum of four anchors.
 - 3. In wet and damp locations install steel channel supports to stand cabinets and panelboards 1 inch off wall.
 - 4. Support vertical conduit at every other floor.

3.4 INSTALLATION - FIRESTOPPING

- A. Install material at fire rated construction perimeters and openings containing penetrating sleeves, piping, ductwork, conduit and other items, requiring firestopping.
- B. Apply primer where recommended by manufacturer for type of firestopping material and substrate involved, and as required for compliance with required fire ratings.
- C. Apply firestopping material in sufficient thickness to achieve required fire and smoke rating,to uniform density and texture.
- D. Fire Rated Surface:
 - 1. Seal opening at floor, wall, partition, and ceiling as follows:
 - a. Install sleeve through opening and extending beyond minimum of 1 inch on both sides of building element.
 - b. Size sleeve allowing minimum of 1 inch void between sleeve and building element.
 - c. Pack void with backing material.
 - d. Seal ends of sleeve with UL listed fire resistive silicone compound to meet fire rating of structure penetrated.
 - 2. Where conduit penetrates fire rated surface, install firestopping product in accordance with manufacturer's instructions.
- E. Non-Rated Surfaces:
 - 1. Seal opening through non-fire rated wall, partition, and ceiling, as follows:
 - a. Install sleeve through opening and extending beyond minimum of 1 inch on both sides of building element.
 - b. Size sleeve allowing minimum of 1 inch void between sleeve and building element.
 - c. Install type of firestopping material recommended by manufacturer.
 - 2. Install escutcheons where conduit, penetrates non-fire rated surfaces in occupied spaces. Occupied spaces include rooms with finished ceilings and where penetration occurs below finished ceiling.
 - 3. Exterior wall openings below grade: Assemble rubber links of mechanical seal to size of conduit and tighten in place, in accordance with manufacturer's instructions.
 - 4. Interior partitions: Seal pipe penetrations at computer rooms, telecommunication room data rooms. Apply sealant to both sides of penetration to completely fill annular space between sleeve and conduit.

3.5 INSTALLATION - SLEEVES

- A. Exterior watertight entries (below grade penetrations to crawl spaces): Core drill concrete foundation walls and install mechanical sleeve seals.
- B. Conduit penetrations not required to be watertight: Sleeve and fill with silicon foam.
- C. Size sleeves large enough to allow for movement due to expansion and contraction. Provide for continuous insulation wrapping.
- D. Where conduit or raceway penetrates floor, ceiling, or wall, close off space between conduit or raceway and adjacent work with fire stopping insulation and caulk airtight. Provide close fitting metal collar or escutcheon covers at both sides of penetration.

3.6 FIELD QUALITY CONTROL

A. Inspect installed firestopping for compliance with specifications and submitted schedule.

3.7 CLEANING

- A. Clean adjacent surfaces of firestopping materials.
- 3.8 PROTECTION OF FINISHED WORK
 - A. Protect adjacent surfaces from damage by material installation.

END OF SECTION

SECTION 260533

RACEWAY AND BOXES FOR ELECTRICAL SYSTEMS

PART 1 GENERAL

1.1 SUMMARY

A. Section includes conduit and tubing, surface raceways, wireways, outlet boxes, pull and junction boxes.

B. Related Sections:

- 1. Section 260526 Grounding and Bonding for Electrical Systems.
- 2. Section 260529 Hangers and Supports for Electrical Systems.
- 3. Section 260553 Identification for Electrical Systems.
- 4. Section 262726 Wiring Devices.

1.2 REFERENCES

- A. American National Standards Institute:
 - 1. ANSI C80.1 Rigid Steel Conduit, Zinc Coated.
 - 2. ANSI C80.3 Specification for Electrical Metallic Tubing, Zinc Coated.
- B. National Electrical Manufacturers Association:
 - 1. NEMA 250 Enclosures for Electrical Equipment (1000 Volts Maximum).
 - 2. NEMA FB 1 Fittings, Cast Metal Boxes, and Conduit Bodies for Conduit and Cable Assemblies.
 - 3. NEMA OS 2 Nonmetallic Outlet Boxes, Device Boxes, Covers, and Box Supports.
 - 4. NEMA FB 1 Fittings, Cast Metal Boxes, and Conduit Bodies for Conduit and Cable Assemblies.
 - 5. NEMA TC 2 Electrical Polyvinyl Chloride (PVC) Tubing and Conduit.
 - 6. NEMA TC 3 PVC Fittings for Use with Rigid PVC Conduit and Tubing.
 - 7. NEMA TC 6 PVC and ABS Plastic Utilities Duct for Underground Installation.
 - 8. NEMA TC 7 Smooth wall Coilable PE Electrical Plastic Conduit.
 - 9. NEMA TC 9 Fittings for ABS and PVC Plastic Utilities Duct for Underground Installation.
- C. Underwriters Laboratories Inc.:
 - 1. UL 651 A & B Type EB and A Rigid PVC Conduit and HDPE Conduit.

1.3 SYSTEM DESCRIPTION

A. Raceway and boxes located as indicated on Drawings, and at other locations required for splices, taps, wire pulling, equipment connections, and compliance with regulatory requirements. Raceway and boxes are shown in approximate locations unless dimensioned. Provide raceway to complete wiring system.

- B. Outdoor Locations, Above and Below Grade: Provide rigid nonmetallic conduit and liquid tight flexible metal conduit. Provide cast metal outlet, pull, and junction boxes.
- C. Concealed Dry Locations: Provide rigid nonmetallic conduit. Provide nonmetallic boxes, flush mounting outlet boxes in finished areas.
- D. Exposed Interior Dry Locations: Provide rigid nonmetallic conduit. Provide nonmetallic boxes, flush mounting outlet box in finished areas. Provide surface mounted nonmetallic boxes when boxes cannot be flush mounted.

1.4 DESIGN REQUIREMENTS

A. Minimum Raceway Size: 3/4 inch unless otherwise specified.

1.5 SUBMITTALS

- A. Product Data: Submit for the following:
 - 1. Flexible metal conduit.
 - 2. Liquid tight flexible metal conduit.
 - 3. Raceways and associated fittings.
 - 4. Wireway.
 - 5. Pull and junction boxes.
- B. Manufacturer's Installation Instructions: Submit application conditions and limitations of use stipulated by Product testing agency specified under Regulatory Requirements. Include instructions for storage, handling, protection, examination, preparation, and installation of Product.

1.6 CLOSEOUT SUBMITTALS

- A. Project Record Documents:
 - 1. Record actual routing of conduits.

1.7 DELIVERY, STORAGE, AND HANDLING

A. Protect conduit from corrosion and entrance of debris by storing above grade. Provide appropriate covering.

1.8 COORDINATION

A. Coordinate mounting heights, orientation and locations of outlets mounted above counters, work benches, and backsplashes.

PART 2 PRODUCTS

2.1 RIGID NONMETALLIC CONDUIT (RNC)

- A. Manufacturers:
 - 1. Carlon Electrical Products.

- 2. Hubbell Wiring Devices.
- 3. Wheatland Tube
- B. Product Description: Rigid nonmetallic conduit, Schedule 80, sunlight resistant, impact resistant, listed for aboveground and below ground applications. Nema TC 2, federal specifications WC1094A, UL 651. 90 degree C conductor rated.
- C. Fittings and Conduit Bodies: Nema TC 3, federal specification WC1094A, UL 651.
- D. Listings: UL and ETL.
- E. Conduit support: In accordance with NEC Table 352.30(B).

2.2 FLEXIBLE METAL CONDUIT (FMC)

- A. Manufacturers:
 - 1. Carlon Electrical Products.
 - 2. Hubbell Wiring Devices.
 - 3. Thomas & Betts Corp.
 - 4. Walker Systems Inc.
- B. Product Description: Spiral wound hot dipped galvanized.
- C. Square locked strip with copper bonding strip enclosed within the steel sizes $\frac{3}{4}$ " thru 1 $\frac{1}{4}$.
- D. Fully interlocked steel strip sizes 1 $\frac{1}{2}$ thru 4".
- E. Listings: UL 360, CSA 22.2 No. 56, NEC Article 350.

2.3 LIQUIDTIGHT FLEXIBLE METAL CONDUIT (LFMC)

- A. Manufacturers:
 - 1. Carlon Electrical Products.
 - 2. Hubbell Wiring Devices.
 - 3. Southwire
- B. Product Description: Spiral wound hot dipped galvanized steel with flame retardant flexible PVC jacket extruded over the steel core.
- C. Square locked strip with copper bonding strip enclosed within the steel sizes $\frac{3}{4}$ " thru 1 $\frac{1}{4}$.
- D. Fully interlocked steel strip sizes 1 $\frac{1}{2}$ thru 4".
- E. Listings: UL 360, CSA 22.2 No. 56, NEC Article 350.

2.4 WIREWAY

A. Manufacturers:

- 1. Carlon Electrical Products.
- 2. Hubbell Wiring Devices.
- 3. Thomas & Betts Corp.
- B. Product Description: General purpose type wireway, NEMA 1 interior locations. Knockouts: Manufacturer's standard.
- C. Cover: Screw cover.
- D. Connector: Slip-in.
- E. Fittings: Lay-in type with removable top, bottom, and side; captive screws.
- F. Finish: Rust inhibiting primer coating with gray enamel finish.

2.5 OUTLET BOXES

- A. Manufacturers:
 - 1. Carlon Electrical Products.
 - 2. Hubbell Wiring Devices.
 - 3. Thomas & Betts Corp.
- B. Sheet Metal Outlet Boxes: NEMA OS 1, galvanized steel.
 - 1. Luminaire and Equipment Supporting Boxes: Rated for weight of equipment supported; furnish 1/2 inch male fixture studs where required.
 - 2. Concrete Ceiling Boxes: Concrete type.
- C. Cast Boxes: NEMA FB 1, Type FD, aluminum. Furnish gasketed cover by box manufacturer.
- D. Wall Plates for Finished Areas: As specified in Section 262726.
- E. Wall Plates for Unfinished Areas: Furnish gasketed cover.

2.6 PULL AND JUNCTION BOXES

- A. Manufacturers:
 - 1. Carlon Electrical Products.
 - 2. Hubbell Wiring Devices.
 - 3. Thomas & Betts Corp.
- B. Sheet Metal Boxes: NEMA OS 1, galvanized steel.
- C. Surface Mounted Cast Metal Box: NEMA 250, Type 4; flat-flanged, surface mounted junction box:
 - 1. Material: Cast aluminum.
 - 2. Cover: Furnish with ground flange, neoprene gasket, and stainless steel cover screws.

PART 3 EXECUTION

3.1 EXAMINATION

A. Verify outlet locations and routing and termination locations of raceway prior to roughin.

3.2 INSTALLATION

- A. Ground and bond raceway and boxes in accordance with Section 260526.
- B. Fasten raceway and box supports to structure and finishes in accordance with Section 260529.
- C. Identify raceway and boxes in accordance with Section 260553.
- D. Arrange raceway and boxes to maintain headroom and present neat appearance.
- 3.3 INSTALLATION SITE ELECTRICAL
 - A. Install conduit minimum 1'-6" below finish grade with underground conduit markers.
 - B. Install no more than equivalent of three 90-degree bends between pull points.
 - C. Install fittings to accommodate expansion and deflection.
 - D. Swab conduit. Use suitable caps to protect installed duct against entrance of dirt and moisture.

3.4 INSTALLATION - RACEWAY

- A. Raceway routing is shown in approximate locations unless dimensioned. Route to complete wiring system.
- B. Arrange raceway supports to prevent misalignment during wiring installation.
- C. Support raceway using coated steel or malleable iron straps, lay-in adjustable hangers, clevis hangers, and split hangers.
- D. Group related raceway; support using conduit rack. Construct rack using steel channel specified in Section 260529.
- E. Do not support raceway with wire or perforated pipe straps. Remove wire used for temporary supports.
- F. Do not attach raceway to ceiling support wires or other piping systems.
- G. Construct wireway supports from steel channel specified in Section 260529.
- H. Route exposed raceway parallel and perpendicular to walls.

- I. Route raceway installed above accessible ceilings parallel and perpendicular to walls.
- J. Route conduit in and under slab from point-to-point. Do not interfere with radiant heating piping.
- K. Maintain clearance between raceway and piping for maintenance purposes.
- L. Maintain 12 inch clearance between raceway and surfaces with temperatures exceeding 104 degrees F.
- M. Cut conduit square using saw or pipe cutter; de-burr cut ends.
- N. Bring conduit to shoulder of fittings; fasten securely.
- О. Install conduit hubs or sealing locknuts to fasten conduit to sheet metal boxes in damp and wet locations.
- P. Install no more than equivalent of three 90 degree bends between boxes. Install conduit bodies to make sharp changes in direction, as around beams. Install hydraulic one-shot bender to fabricate factory elbows for bends in metal conduit larger than 2 inch size.
- Q. Avoid moisture traps; install junction box with drain fitting at low points in conduit system.
- R. Install suitable pull string or cord in each empty raceway except sleeves and nipples.
- S. Install suitable caps to protect installed conduit against entrance of dirt and moisture.
- Т. Surface Raceway: Install flat-head screws, clips, and straps to fasten raceway channel to surfaces; mount plumb and level. Install insulating bushings and inserts at connections to outlets and corner fittings.
- U. Close ends and unused openings in wireway.

INSTALLATION - BOXES 3.5

- Install wall mounted boxes at elevations to accommodate mounting heights specified in A. section for outlet device.
- B. Orient boxes to accommodate wiring devices oriented as specified in Section 262726.
- C. Install pull boxes and junction boxes above accessible ceilings and in unfinished areas only.
- D. In Accessible Ceiling Areas: Install outlet and junction boxes no more than 6 inches from ceiling access panel or from removable recessed luminaire.
- E. Locate flush mounting box in masonry wall to require cutting of masonry unit corner only. Coordinate masonry cutting to achieve neat opening.

- F. Do not install flush mounting box back-to-back in walls; install with minimum 6 inches separation. Install with minimum 24 inches separation in acoustic rated walls.
- G. Secure flush mounting box to interior wall and partition studs. Accurately position to allow for surface finish thickness.
- H. Install stamped steel bridges to fasten flush mounting outlet box between studs.
- I. Install flush mounting box without damaging wall insulation or reducing its effectiveness.
- J. Install adjustable steel channel fasteners for hung ceiling outlet box.
- K. Do not fasten boxes to ceiling support wires or other piping systems.
- L. Support boxes independently of conduit.
- M. Install gang box where more than one device is mounted together. Do not use sectional box.
- N. Install gang box with plaster ring for single device outlets.

3.6 INTERFACE WITH OTHER PRODUCTS

- A. Install conduit to preserve fire resistance rating of partitions and other elements, using materials and methods in accordance with Section 260529.
- B. Route conduit through roof openings for piping and ductwork or through suitable roof jack with pitch pocket.
- C. Locate outlet boxes to allow luminaires positioned as indicated on Drawings.
- D. Align adjacent wall mounted outlet boxes for switches, thermostats, and similar devices.

3.7 ADJUSTING

- A. Adjust flush-mounting outlets to make front flush with finished wall material.
- B. Install knockout closures in unused openings in boxes.

3.8 CLEANING

- A. Clean interior of boxes to remove dust, debris, and other material.
- B. Clean exposed surfaces and restore finish.

END OF SECTION

SECTION 260553

IDENTIFICATION FOR ELECTRICAL SYSTEMS

PART 1 GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Nameplates and signage.
 - 2. Labels.
 - 3. Wire markers.
 - 4. Underground conduit markers.

1.2 SUBMITTALS

- A. Product Data:
 - 1. Submit manufacturer's catalog literature for each product required.
 - 2. Submit electrical identification schedule including list of wording, symbols, letter size, color coding, tag number, location, and function.
- B. Manufacturer's Installation Instructions: Indicate installation instructions, special procedures, and installation.

1.3 CLOSEOUT SUBMITTALS

- A. Project Record Documents: Record actual locations of tagged devices; include tag numbers.
- 1.4 DELIVERY, STORAGE, AND HANDLING
 - A. Accept identification products on site in original containers. Inspect for damage.
 - B. Accept materials on site in original factory packaging, labeled with manufacturer's identification, including product density and thickness.
 - C. Protect insulation from weather and construction traffic, dirt, water, chemical, and mechanical damage, by storing in original wrapping.

1.5 ENVIRONMENTAL REQUIREMENTS

A. Install labels and nameplates only when ambient temperature and humidity conditions for adhesive are within range recommended by manufacturer.

PART 2 PRODUCTS

- 2.1 NAMEPLATES & SIGNS
 - A. Manufacturers:
 - 1. MSI Marking Services.
 - 2. Seton Identification Products.

Identification for Electrical Systems

- B. Product Description:
 - 1. Laminated three layer plastic with engraved white letters on black contrasting background color.
 - 2. 1/8 inch plastic stock with adhesive backing.
 - 3. Color Schedule: Black with white letters.(Except for emergency system signage described below, which shall have red letters on white background).
- C. Nameplate and Signage requirements:
 - 1. A sign shall be placed at the transfer switch, indicating type and location of on-site emergency power sources.
 - 2. Nameplates shall be provided for each new panelboard, transformer, transfer switch and generator.
 - 3. Panelboard nameplates shall include: panelboard tag, operating voltage, phase, panel amp rating, feed panel name, and room tag. Refer to existing panel tags for reference.
 - 4. Transformer nameplate shall include: transformer tag, operating voltage, phase, kva rating, feed panel name and room tag. Refer to existing transformer tags for reference.
 - 5. Transfer switch nameplate shall include: Transfer Switch tag, operating voltage, phase, amp rating, feed panel name and room tag.
 - 6. Existing UPS nameplate shall be updated/replaced to reflect new power feed data.
 - 7. All boxes and enclosures for emergency circuits shall be permanently marked by the words "emergency system".

2.2 CONDUIT AND CABLE ID

- A. Manufacturers:
 - 1. MSI Marking Services, MS-900.
 - 2. Seton Identification Products.
- B. Product Description:
 - 1. Fade resistant, heavy duty vinyl with adhesive backing.
 - 2. Size: ¹/₂"x 2 ¹/₂".
 - 3. Color Schedule: Black text with orange background.
 - 4. Legends: 208 VOLTS, 480 VOLTS (Main feeder conduits and emergency conduits).

2.3 WIRE MARKERS

- A. Manufacturers:
 - 1. MSI Marking Services.
 - 2. Seton Identification Products.
- B. Description: Split sleeve or tubing type wire markers.
- C. Legend:
 - 1. Power and Lighting Circuits: Branch circuit or feeder number as indicated on Drawings.
 - 2. Control Circuits: Control wire number as indicated on schematic and interconnection diagrams and Drawings.

Identification for Electrical Systems

2.4 UNDERGROUND CONDUIT MARKERS

A. Underground Warning Tape: 6 inch wide plastic tape, detectable type, colored black/red, 4.5 mil., with suitable warning legend describing buried electrical lines.

PART 3 EXECUTION

3.1 PREPARATION

A. Degrease and clean surfaces to receive adhesive for identification materials.

3.2 EXISTING WORK

- A. Install identification on existing equipment to remain in accordance with this section.
- B. Install identification on unmarked existing equipment.

3.3 INSTALLATION

- A. Install identifying devices after completion of painting.
- B. Nameplate Installation:
 - 1. Install nameplate parallel to equipment lines.
 - 2. Install nameplate for each electrical distribution and control equipment enclosure with corrosive-resistant mechanical fasteners, or adhesive.
 - 3. Install nameplates for each control panel and major control components located outside panel with corrosive-resistant mechanical fasteners, or adhesive.
 - 4. Secure nameplate to equipment front using adhesive.
 - 5. Secure nameplate to inside surface of door on recessed panelboard in finished locations.
- C. Label Installation:
 - 1. Install label parallel to equipment lines.
 - 2. Install label for identification of individual control device stations.
 - 3. Install labels for permanent adhesion.
- D. Wire Marker Installation:
 - 1. Install wire marker for each conductor at panelboard gutters and each load connection.
 - 2. Mark data cabling at each end. Install additional marking at accessible locations along the cable run.
 - 3. Install labels at data outlets identifying patch panel and port designation.

END OF SECTION

SECTION 260573

OVERCURRENT PROTECTIVE DEVICE COORDINATION STUDY

PART 1 GENERAL

1.1 SUMMARY

- A. Section includes short circuit and protective device coordination study encompassing portions (new and existing) of electrical distribution system from normal and emergency power sources. Study shall be performed on emergency system normal and emergency equipment, devices and wiring in accordance with Article 700 of the National Electric Code.
- B. Related Sections:
 - 1. Section 262416 Panelboards.
 - 2. Section 262826 Automatic Transfer Switches.
 - 3. Section 263213 Engine Generators.
- C. Coordination study shall be for new and existing Square D equipment.

1.2 REFERENCES

- A. Institute of Electrical and Electronics Engineers:
 - 1. IEEE 242 Recommended Practice for Protection and Coordination of Industrial and Commercial Power Systems (Buff Book).
 - 2. IEEE 141 Recommended Practice for Electric Power Distribution and Coordination of Industrial and Commercial Power Systems.
 - 3. IEEE 399 Recommended Practice for Industrial and Commercial Power System Analysis.
 - 4. IEEE 241 Recommended Practice for Electric Power Systems in Commercial Buildings.
 - 5. IEEE 1015 Recommended Practice for Applying Low-Voltage Circuit Breakers Used in Industrial and Commercial Power Systems.
- B. American National Standards Institute (ANSI):
 - 1. ANSI C37.13 Standard for Low Voltage AC Power Circuit Breakers Used in Enclosures.
- C. National Fire Protection Association:
 - 1. NFPA 70 National Electrical Code, latest edition.

1.3 DESIGN REQUIREMENTS

A. Complete Short Circuit and Protective Device Coordination Study to meet requirements of NFPA 70.

- B. Report Preparation:
 - 1. Prepare study prior to ordering distribution equipment to verify equipment ratings required.
 - 2. Perform study with aid of computer software program.
 - 3. Obtain actual settings for equipment incorporated into Work.
 - 4. Calculate short circuit interrupting and, when applicable, momentary duties for assumed 3-phase bolted fault short circuit current and phase to ground fault short circuit current at each of the following:
 - a. Utility supply bus.
 - b. Automatic transfer switch.
 - c. Engine generator.
 - d. Distribution panelboards.
 - e. Branch circuit panelboards.
 - f. Each other significant equipment location throughout system outlined.
- C. Report Contents:

1.

- Include the following:
 - a. Calculation methods and assumptions.
 - b. Base per unit value selected.
 - c. One-line diagram of the system being evaluated that clearly identifies individual equipment buses, bus numbers used in the short-circuit analysis, cable and bus connections between the equipment, calculated maximum short circuit current at each bus location and other information pertinent to the computer analysis.
 - d. Source impedance data including power company system available power and characteristics.
 - e. Typical calculations.
 - 1) Fault impedance.
 - 2) X to R ratios.
 - 3) Asymmetry factors.
 - 4) Motor fault contribution.
 - 5) Short circuit kVA.
 - 6) Symmetrical and asymmetrical phase-to-phase and phase-toground fault currents.
 - 7) Tabulations of calculated quantities including short-circuit currents, X/R ratios, equipment short-circuit interrupting or withstand current ratings and notes regarding adequacy of inadequacy of the equipment rating.
 - f. One-line diagram revised by adding actual instantaneous short circuits available.
 - g. Results, conclusions, and recommendations. A comprehensive discussion section evaluating the adequacy or inadequacy of the equipment must be provided and include recommendations as appropriate for improvements to the system.

- 2. Prepare time-current device coordination curves graphically indicating coordination proposed for system, centered on conventional, full-size, log-log forms.
- 3. Prepare with each time-curve sheet complete title and one-line diagram with legend identifying specific portion of system covered by that particular curve sheet.
- 4. Prepare detailed description of each protective device identifying its type, function, manufacturer, and time-current characteristics. Tabulate recommended device tap, time dial, pickup, instantaneous, and time delay settings.
- 5. Plot device characteristic curves at point reflecting maximum symmetrical fault current to which device is exposed. Include on curve sheets the following:
 - a. Power company relay characteristics.
 - b. Power company fuse characteristics.
 - c. Low voltage equipment circuit breaker trip device characteristics.
 - d. Low voltage equipment fuse characteristics.
 - e. Cable damage point characteristics.
 - f. Pertinent transformer characteristics including:
 - 1) Transformer full load current.
 - 2) Transformer magnetizing inrush.
 - 3) ANSI transformer withstand parameters.
 - 4) Significant symmetrical fault current.
 - g. Pertinent motor characteristics.
 - h. Generator characteristics including:
 - 1) Phase and ground coordination of generator protective devices.
 - 2) Decrement curve and damage curve.
 - 3) Operating characteristic of protective devices.
 - 4) Actual impedance value.
 - 5) Time constants.
 - 6) Current boost data.
 - 7) Do not use typical values for generator.
 - i. Transfer switch characteristics.
 - j. Other system load protective device characteristics.

1.4 SUBMITTALS

1.

- A. Section 013300 Submittal Procedures Requirements for submittals.
- B. Qualifications Data: Submit the following for review prior to starting study.
 - 1. Submit qualifications and background of firm.
 - 2. Submit qualifications of individual performing study.
- C. Software: Submit for review information on software proposed to be used in performing study.
- D. Product Data: Submit the following:
 - Report: Summarize results of study in report format including the following:
 - a. Descriptions, purpose, basis, and scope of study.

- b. Tabulations of circuit breaker, fuse and other protective device ratings versus calculated short-circuit duties, and commentary regarding same.
- c. Protective device time versus current coordination curves, tabulations of relay and circuit breaker trip settings, fuse selection, and commentary regarding same.
- d. Fault current calculations including definition of terms and guide for interpretation of computer printout.
- E. Submit copies of final report. Make additions or changes required by review comments.

1.5 QUALITY ASSURANCE

- A. Use commercially available software, designed specifically for short circuit and protective device coordination studies with minimum of five years availability approved by Engineer.
- B. Perform study in accordance with IEEE 242.

1.6 QUALIFICATIONS

- A. Study Preparer: Company specializing in performing work of this section with minimum five years experience.
- B. Perform study under direct supervision of Professional Engineer experienced in design of this Work and licensed in State of New York with minimum of five years experience in power system analysis.
- C. Demonstrate company performing study has capability and experience to provide assistance during system start up.

1.7 SEQUENCING

A. Submit short circuit and protective device coordination study to Engineer prior to receiving final approval of distribution equipment shop drawings and prior to releasing equipment for manufacturing.

1.8 SCHEDULING

A. Schedule work to expedite collection of data to ensure completion of study for final approval of distribution equipment shop drawings prior to release of equipment for manufacturing.

1.9 COORDINATION

- A. Section 013000 Administrative Requirements: Requirements for coordination.
- B. Coordinate work with local power company.

PART 2 PRODUCTS Not used.

PART 3 EXECUTION

3.1 FIELD QUALITY CONTROL

- A. Provide assistance to electrical distribution system equipment manufacturer during start up of electrical system and equipment.
- B. Select each primary protective device for delta-wye connected transformer so device's characteristic or operating band is within transformer characteristics, including point equal to 58 percent of ANSI withstand point to provide secondary line-to-ground fault protection.

3.2 ADJUSTING

A. Perform field adjustments of protective devices and modifications to equipment to place equipment in final operating condition. Adjust settings in accordance with approved short circuit and protective device coordination study.

END OF SECTION

SECTION 262203 - LOW-VOLTAGE TRANSFORMERS FOR NONLINEAR LOADS

PART 1 GENERAL

1.1 SUMMARY

- A. Section includes transformers for nonlinear loads.
- B. Related Sections:
 - 1. Section 26 05 26 Grounding and Bonding for Electrical Systems.
 - 2. Section 26 05 29 Hangers and Supports for Electrical Systems.
 - 3. Section 26 05 33 Raceway and Boxes for Electrical Systems.
 - 4. Section 26 05 53 Identification for Electrical Systems.

1.2 REFERENCES

- A. National Electrical Manufacturers Association:
 - 1. NEMA ST 1 Specialty Transformers (Except General-Purpose Type).
 - 2. NEMA ST 20 Dry Type Transformers for General Applications.
- B. International Electrical Testing Association:
 - 1. NETA ATS Acceptance Testing Specifications for Electrical Power Distribution Equipment and Systems.
- C. Underwriters Laboratories:
 - 1. UL 1561 Standard for Safety, Dry-Type General Purpose and Power Transformers.

1.3 SUBMITTALS

- A. Section 013300 Submittal Procedures: Submittal procedures.
- B. Product Data: Submit outline and support point dimensions of enclosures and accessories, unit weight, voltage, kVA, k-factor, and impedance ratings and characteristics, tap configurations, insulation system type, and rated temperature rise.
- C. Test Reports: Indicate loss data, efficiency at 25, 50, 75 and 100 percent rated load, and sound level.

1.4 CLOSEOUT SUBMITTALS

- A. Section 017000 Execution and Closeout Requirements: Closeout procedures.
- B. Project Record Documents: Record actual locations of transformers.

1.5 QUALIFICATIONS

A. Manufacturer: Company specializing in manufacturing products specified in this section with minimum three years documented experience.

1.6 DELIVERY, STORAGE, AND HANDLING

- A. Section 016000 Product Requirements: Product storage and handling requirements.
- B. Store in clean, dry space. Maintain factory wrapping or provide additional canvas or plastic cover to protect units from dirt, water, construction debris, and traffic.
- C. Handle in accordance with manufacturer's written instructions. Lift only with lugs provided. Handle carefully to avoid damage to transformer internal components, enclosure, and finish.

PART 2 PRODUCTS

2.1 TRANSFORMERS FOR NONLINEAR LOADS

- A. <u>Manufacturers</u>:
 - 1. Schneider Electric, Square D, Type EE NL
 - 2. Substitutions: Not Permitted.
- B. Product Description: NEMA ST 20, factory-assembled, air cooled dry type transformers, ratings as indicated on Drawings, designed to supply nonlinear load.
- C. Primary Voltage: 480 volts, 3 phase.
- D. Secondary Voltage: 208Y/120 volts, 3 phase
- E. Core Flux Density: Below saturation at 10 percent primary overvoltage.
- F. Insulation and temperature rise: Class 220 insulation system with 115 degrees C average winding temperature rise.
- G. Case temperature: Do not exceed 35 degrees C rise above ambient at warmest point at full load.
- H. K Factor: K-4
- I. Winding Taps: 1. 6-2.5% 2+4-
- J. Basic Impulse Level: 10 kV.
- K. Ground core and coil assembly to enclosure by means of visible flexible copper grounding strap.

- L. Mounting:
 - 1. Floor mounted.
- M. Coil Conductors: Aluminum. Individually insulate secondary conductors and arrange to minimize hysteresis and eddy current losses at harmonic frequencies. Size secondary neutral conductor at twice secondary phase conductor ampacity.
- N. Electrostatic Shield: Copper, between primary and secondary windings.
- O. Enclosure: NEMA ST 20, Type 1. Furnish lifting eyes or brackets.
- P. Isolate core and coil from enclosure using vibration-absorbing mounts.
- Q. Nameplate: Include transformer connection data and overload capacity based on rated allowable temperature rise.

2.2 SOURCE QUALITY CONTROL

A. Production test each unit according to NEMA ST 20.

PART 3 EXECUTION

3.1 EXAMINATION

A. Verify mounting supports are properly sized and located, including concealed bracing in walls.

3.2 INSTALLATION

- A. Set transformer plumb and level.
- B. Use flexible conduit, in accordance with Section 260533 ; 2 feet (600 mm) minimum length, for connections to transformer case. Make conduit connections to side panel of enclosure.
- C. Support transformers in accordance with Section 260529.
 - 1. Mount wall-mounted transformers using integral flanges or accessory brackets furnished by manufacturer.
 - 2. Mount floor-mounted transformers on vibration isolating pads suitable for isolating transformer noise from building structure.
- D. Install grounding and bonding in accordance with Section 260526.
- E. Install engraved plastic nameplates in accordance with Section 260553.

3.3 FIELD QUALITY CONTROL

A. Section 014000 - Quality Requirement: Field inspecting, testing, adjusting, and balancing.

- B. Inspect and test in accordance with NETA ATS, except Section 4.
- C. Perform inspections and tests listed in NETA ATS, Section 7.2.1.

3.4 ADJUSTING

- A. Section 01 70 00 Execution and Closeout Requirements: Testing, adjusting, and balancing.
- B. Measure primary and secondary voltages and make appropriate tap adjustments.

END OF SECTION

SECTION 262416

PANELBOARDS

PART 1 GENERAL

1.1 SUMMARY

- A. Section includes branch circuit panelboards, distribution panelboards, circuit breakers for existing panelboards.
- B. Related Sections:
 - 1. Section 260526 Grounding and Bonding for Electrical Systems.
 - 2. Section 260553 Identification for Electrical Systems.
 - 3. Section 260573 –Overcurrent Protective Device Coordination Study.

1.2 REFERENCES

- A. Institute of Electrical and Electronics Engineers:
 - 1. IEEE C62.41 Recommended Practice on Surge Voltages in Low-Voltage AC Power Circuits.
- B. National Electrical Manufacturers Association:
 - 1. NEMA AB 1 Molded Case Circuit Breakers and Molded Case Switches.
 - 2. NEMA FU 1 Low Voltage Cartridge Fuses.
 - 3. NEMA ICS 2 Industrial Control and Systems: Controllers, Contactors, and Overload Relays, Rated Not More Than 2000 Volts AC or 750 Volts DC.
 - 4. NEMA ICS 5 Industrial Control and Systems: Control Circuit and Pilot Devices.
 - 5. NEMA KS 1 Enclosed and Miscellaneous Distribution Equipment Switches (600 Volts Maximum).
 - 6. NEMA PB 1 Panelboards.
 - 7. NEMA PB 1.1 General Instructions for Proper Installation, Operation, and Maintenance of Panelboards Rated 600 Volts or Less.
- C. International Electrical Testing Association:
 - 1. NETA ATS Acceptance Testing Specifications for Electrical Power Distribution Equipment and Systems.
- D. National Fire Protection Association:
 - 1. NFPA 70 National Electrical Code.
- E. Underwriters Laboratories Inc.:
 - 1. UL 67 Safety for Panelboards.
 - 2. UL 1283 Electromagnetic Interference Filters.
 - 3. UL 1449 Transient Voltage Surge Suppressors.

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1.3 SUBMITTALS

- A. Shop Drawings: Indicate outline and support point dimensions, voltage, main bus ampacity, integrated short circuit ampere rating, circuit breaker and fusible switch arrangement and sizes.
- B. Product Data: Submit catalog data showing specified features of standard products.

1.4 CLOSEOUT SUBMITTALS

- A. Project Record Documents: Record actual locations of panelboards and record actual circuiting arrangements.
- B. Operation and Maintenance Data: Submit spare parts listing; source and current prices of replacement parts and supplies; and recommended maintenance procedures and intervals.

1.5 MAINTENANCE MATERIALS

A. Furnish two of each panelboard key.

PART 2 PRODUCTS

2.1 LIGHTING AND APPLIANCE PANELBOARDS

- A. Manufacturers:
 - 1. Square D, Type NQ (Basis of Design, all manufacturers shall meet the over current selective coordination requirements of specification 260573. The existing electrical equipment is Square D.)
 - 2. GE
 - 3. Cutler Hammer
 - 4. Siemens
- B. Product Description:
 - 1. Interior
 - a. Shall be rated for 240 VAC maximum. Continuous main current ratings, configurations, breaker sizes, etc. as indicated on associated drawings, not to exceed 400 amperes maximum.
 - b. Minimum short circuit current rating: 42,000 in rms symmetrical amperes at 240 VAC.
 - c. Voltage: 208V, three phase, wye.
 - d. Provide one (1) continuous bus bar per phase. Each bus bar shall have sequentially phased branch circuit connectors suitable for bolt-on branch circuit breakers. The bussing shall be fully rated. Panelboard bus current ratings shall be determined by heat-rise tests conducted in accordance with UL 67. Bussing rated 100-400 amperes shall be copper.

- e. All current-carrying parts shall be insulated from ground and phase-tophase by Noryl high dielectric strength thermoplastic or equivalent.
- f. Split solid neutral shall be plated and located in the mains compartment up to 225 amperes so all incoming neutral cable may be of the same length.
- g. Interior trim shall be of dead-front construction to shield user from energized parts. Dead-front trim shall have pre-formed twist outs covering unused mounting space.
- h. Nameplates shall contain system information and catalog number or factory order number. Interior wiring diagram, neutral wiring diagram, UL Listed label and short circuit current rating shall be displayed on the
- i. Interior or in a booklet format.
- J. Interiors shall be field convertible for top or bottom incoming feed. Main and sub-feed circuit breakers shall be vertically mounted. Main lug interiors up to 400 amperes shall be field convertible to main breaker. Interior leveling provisions shall be provided for flush mounted applications.
- k. Panelboard shall be rated for non-linear loads with 200% rated neutral assembly.
- 2. Branch Circuit Breakers
 - a. Circuit breakers shall be UL Listed with amperage ratings, interrupting ratings, and number of poles as indicated on the panelboard schedules.
 - b. Molded case branch circuit breakers shall have bolt-on type bus connectors.
 - c. Circuit breakers shall have an overcenter toggle mechanism which will provide quick-make, quick-break contact action. Circuit breakers shall have thermal and magnetic trip elements in each pole. Two- and three-pole circuit breakers shall have common tripping of all poles.
 - d. There shall be two forms of visible trip indication. The breaker handle shall reside in a position between ON and OFF. In addition, there shall be a red indicator appearing in the clear window of the
 - e. circuit breaker housing.
 - f. The exposed faceplates of all branch circuit breakers shall be flush with one another.
 - g. Lugs shall be UL Listed to accept solid or stranded copper conductors only. Lugs shall be suitable for 75° C rated wire.
- 3. Enclosures

a.

- Type 1 Boxes
 - 1) Boxes shall be galvanized steel constructed in accordance with UL 50 requirements. Galvanealed steel will not be acceptable.
 - Boxes shall have removable end walls with knockouts located on one end. Boxes shall have welded interior mounting studs. Interior mounting brackets are not required.
 - 3) Box width shall be 20 in wide.
- b. Type 1 Fronts

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- Front shall meet strength and rigidity requirements per UL 50 standards. Front shall have ANSI 49 gray enamel electrodeposited over cleaned phosphatized steel.
- 2) Fronts shall be 1-piece with door. Mounting shall be surface.
- 3) Panelboards rated 225 amperes and below shall have MONO-FLAT fronts with concealed door hinges and trim screws. Front shall not be removable with the door locked. Front doors shall have rounded corners and edges shall be free of burrs.
- 4) Locks shall be provided for panelboards, matching and keyed to existing lock system. Locks shall be brass construction, utilizing panelboard factory lock openings. Field verify and coordinate locks and keys with Essex Co Public Safety Building
- 4. Internal Surge Suppression
- 5. SPD shall be listed to ANSI/UL 1449-2006 and UL 1283, Electromagnetic Interference Filters.
- 6. Integrated surge protective devices (SPD) shall be a Type 2 Component Recognized SPD in accordance with ANSI/UL 1449-2006, Section 37.3.2 and 37.4 at the standard's highest short circuit current rating (SCCR) of 200 kA.
- SPD shall be tested with the ANSI/IEEE Category C_{High} exposure waveform (20kV-1.2/50µs, 10kA-8/20µs).
- 8. Pulse life test: Capable of protecting against and surviving 20,000 ANSI/IEEE Category C_{High} transients without failure or degradation of clamping voltage by more than 10%.
- 9. SPD shall provide suppression for all modes of protection: L-L, L-N, L-G, and N-G in WYE systems.
- 10. The manufacturer of the SPD shall be the same as the manufacturer of the service entrance and distribution equipment in which the devices are installed and shipped. Also, this distribution equipment shall be fully tested and certified to the following UL standards:

UL 67 = Panelboards,

- 11. Recommended SPD ratings:
 - a. Minimum surge current rating shall be 120 kA per phase (60 kA per mode) for distribution applications.
 - b. UL 1449 clamping voltage must not exceed the following:

| <u>VOLTAGE</u> | <u>L-N</u> | <u>L-G</u> | <u>N-G</u> | <u>L-L</u> |
|----------------|------------|------------|------------|------------|
| 240/120 | 1200/800V | 800V | 800V | 1200V |
| 208Y/120 | 800V | 800V | 800V | 1200V |
| 480Y/277 | 1200V | 1200V | 1200V | 2000V |
| 600Y/347 | 1500V | 1500V | 1500V | 2500 |

12. Minimum ANSI/UL 1449-2006 withstand (I_n) rating to be 20kA per mode

- SPD shall be designed to withstand a maximum continuous operating voltage (MCOV) of not less than 115% for 277/480V and 125% for 120/208V nominal RMS operating system voltages.
- 14. SPD shall be constructed of one self-contained suppression module per phase.
- 15. Visible indication of proper SPD connection and operation shall be provided. The indicator lights shall indicate which phase as well as which module is fully operable. The status of each SPD module shall be monitored on the front cover of the enclosure as well as on the module. A push-to-test button shall be provided to test each phase indicator. Push-to-test button shall activate a state change of dry contacts for testing purposes.
- 16. SPD shall be equipped with an audible alarm which shall activate when any one of the surge current modules has reached an end-of-life condition. An alarm on/off switch shall be provided to silence the alarm. The switches and alarm shall be located on the front cover of the enclosure.
- 17. A surge counter shall be located on the diagnostic panel on the front cover of the enclosure. The counter shall be equipped with a manual reset and battery backup to retain memory upon loss of AC power.
- 18. A connector shall be provided along with dry contacts (normally open or normally closed) to allow connection to a remote monitor or other system. The output of the dry contacts shall indicate an end-of-life condition for the complete SPD or module.
- 19. Terminals shall be provided for necessary power and ground connections.
- 20. SPD shall have a warranty for a period of ten (10) years from date of invoice. Warranty shall be the responsibility of the electrical distribution equipment manufacturer and shall be supported by their respective field service division.

2.2 EXISTING SWITCHBOARD BREAKERS

A. Existing Equiment:

1.

- Square D, QED 2 Switchboard
 - a. 480V, 3 Phase, 4 wire.
 - b. 65,000 AIC
- B. Breaker shall be a Square D, type LG, 65,000 AIC, electronic trip. Refer to drawings for circuit breaker amp rating. Circuit breaker shall be approved and coordinated by automatic transfer switch manufacturer to ensure a minimum of 50,000 AIC transfer switch short circuit rating.

2.3 POWER DISTRIBUTION PANELBOARDS

- A. Manufacturers:
 - 1. Square D, I Line, Type HCP (Basis of Design, all manufacturers shall meet the over current selective coordination requirements of specification 260573. The existing electrical equipment is Square D)
 - 2. GE
 - 3. Cutler Hammer
 - 4. Siemens

- B. Product Description:
 - 1. Interior
 - a. Rated 600 Vac or 250 Vdc maximum. Continuous main current ratings as indicated on associated schedules on drawings not to exceed 1200 amperes maximum. Panelboard bus current ratings shall be determined by heat-rise tests conducted in accordance with UL 67.
 - b. Provide UL Listed short circuit current ratings (SCCR) as indicated on the associated schedules not to exceed the lowest interrupting capacity rating of any circuit breaker installed with a maximum of 200,000 RMS symmetrical amperes. Main lug and main breaker panelboards shall be suitable for use as Service Equipment when application requirements comply with UL 67 and NEC Articles 230.VI and VII.
 - c. The panelboard interior shall have three flat bus bars stacked and aligned vertically with glass reinforced polyester insulators laminated between phases. The molded polyester insulators shall support and provide phase isolation to the entire length of bus.
 - d. The bussing shall be fully rated with sequentially phased branch distribution. Panelboard bussing rated 100 through 600 amperes shall be plated copper. Bussing rated 800 amperes and above shall be plated copper. Bus bar plating shall run the entire length of the bus bar. The entire interleaved assembly shall be contained between two (2) U-shaped steel channels, permanently secured to a galvanized steel-mounting pan by fasteners.
 - e. Interior trim shall be of dead-front construction to shield user from all energized parts. Main circuit breaker and main lug interiors shall be field convertible for top or bottom incoming feed.
 - f. A solidly bonded copper equipment ground bar shall be provided.
 - g. Solid neutral shall be equipped with a full capacity bonding strap for service entrance applications. UL Listed panelboards with 200% rated solid neutrals shall have plated copper neutral bus for non-linear load applications. Gutter-mounted neutral will not be acceptable.
 - Nameplates shall contain system information and catalog number or factory order number. Interior wiring diagram, neutral wiring diagram, UL Listed label, and Short Circuit Current Rating shall be displayed on the interior or in a booklet format. Leveling provisions shall be provided for flush mounted applications.
 - 2. Group mounted circuit breakers through 1200A
 - a. Circuit breaker(s) shall be group mounted plug-on with mechanical restraint on a common pan or rail assembly.
 - b. The interior shall have three flat bus bars stacked and aligned vertically with glass reinforced polyester insulators laminated between phases. The molded polyester insulators shall support and provide phase isolation to the entire length of bus.
 - c. Circuit breakers equipped with line terminal jaws shall not require additional external mounting hardware. Circuit breakers shall be held in mounted position by a self-contained bracket secured to the mounting

pan by fasteners. Circuit breakers of different frame sizes shall be capable of being mounted across from each other.

- d. Line-side circuit breaker connections are to be jaw type.
- e. All unused spaces provided, unless otherwise specified, shall be fully equipped for future devices, including all appropriate connectors and mounting hardware.
- 3. Molded Case Circuit Breaker Characteristics General
 - a. Circuit breakers shall be constructed using glass reinforced insulating material. Current carrying components shall be completely isolated from the handle and the accessory mounting area.
 - b. Circuit breakers shall have an over center, trip free, toggle operating mechanism which will provide quick-make, quick-break contact action. The circuit breaker shall have common tripping of all poles.
 - c. The circuit breaker handle shall reside in a tripped position between ON and OFF to provide local trip indication. Circuit breaker escutcheon shall be clearly marked ON and OFF in addition to providing International I/O markings.
 - d. The maximum ampere rating and UL certification standard with applicable voltage systems and corresponding interrupting ratings shall be clearly marked on face of circuit breaker.
 - e. Each circuit breaker shall be equipped with a push-to-trip button, located on the face of the circuit breaker to mechanically operate the circuit breaker tripping mechanism for maintenance and testing purposes.
 - f. Circuit breakers shall be factory sealed with a hologram quality mark and shall have date code on face of circuit breaker.
 - g. Circuit breakers shall be equipped with UL Listed electrical accessories as noted on the associated [schedule], [drawing] or they may be field-installable.
 - h. Circuit breaker handle accessories shall provide provisions for locking handle in the ON and OFF position.
 - i. All circuit breakers with permanent trip units shall be UL Listed for reverse connection without restrictive line and load markings and be suitable for mounting in any position.
 - j. Circuit breakers shall be I-LINE up to 1200 Amp maximum construction with factory installed mechanical lugs. All circuit breakers shall be UL Listed to accept field installable/removable [mechanical type] lugs (except type QB/QD/QG/QJ). All lugs shall be UL Listed to accept solid (not larger than #8 AWG) and/or stranded copper conductors only. Lugs shall be suitable for 75° C rated wire.
- 4. Thermal-Magnetic Circuit Breakers
 - a. Shall be Square D type: PowerPact Q-, H- and J-frame (15-250A).
 - b. Circuit breakers shall have a permanent trip unit containing individual thermal and magnetic trip elements in each pole.
 - c. Thermal trip elements shall be factory preset and sealed. Circuit breakers shall be true RMS sensing and thermally responsive to protect circuit conductor(s) in a 40° C ambient temperature.

- d. Circuit breaker frame sizes above 150 amperes shall have a single magnetic trip adjustment located on the front of the circuit breaker (except type QB/QD/QG/QJ).
- e. Standard two- and three-pole circuit breakers up to 250 amperes at 600 VAC shall be UL Listed as HACR type.
- 5. Electronic Trip Circuit Breakers with STR Trip System
 - a. Shall be Square D type: PowerPact D-frame (60-600A).
 - b. The circuit breaker trip system shall be a microprocessor-based true rms sensing design. Sensor ampere ratings shall be as indicated on the associated drawings.
 - c. The integral trip system shall be independent of any external power source and shall contain no less than industrial grade electronic components
 - d. All trip units shall be removable to allow for field upgrades.
 - e. The STR trip unit functions shall consist of adjustable long-time pickup and adjustable delay, short-time pickup and adjustable.
 - f. Adjustable rating plug shall allow for six long-time (Io) pickup settings from 0.5 to 1 times the sensor plug (In) and fine adjustment (Ir) with eight settings ranging from 0.8 to 1 times Io.
 - g. Short-time pickup shall allow for seven settings
 - h. From 2 to 9 times Ir. Short time delay shall be fixed in trip units STR23SP and SR23SP
 - i. From 1.5 to 7 times Ir. Short –time delay shall be in eight bands from 0 to 0.3 I2t ON and 0 to 0.3 I2t OFF in trip units STR53UP.
 - j. All trip units shall have the capability for the adjustments to be set and read locally by a rotating switch.
 - k. Trip unit shall provide local trip indications
- 6. Electronic Trip Circuit Breakers with ET 1.0 Trip System
 - a. Shall be Square D type: PowerPact M-frame (300-800A).
 - b. The circuit breaker trip system shall be a microprocessor-based true rms sensing design. Sensor ampere ratings shall be as indicated on the associated schedules, drawings.
 - c. The integral trip system shall be independent of any external power source and shall contain no less than industrial grade electronic components
 - d. Trip Unit shall not be field-replaced.
 - e. ET 1.0 Trip unit functions shall consist of adjustable instantaneous pickup with no intentional time delay.
 - f. The long time trip point setting shall be fixed and cannot be adjusted.
 - g. The Instantaneous settings on the trip unit shall allow 2 to 10 times the sensor rating (In). The trip unit shall have the capability for the adjustment to be set and read locally by a rotating switch.
 - h. Ground-fault protection shall not be provided.
- 7. Surge Protective Device See Part 2.3 below.
- 8. Enclosures
 - a. Type 1 Boxes

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- 1) Boxes shall be hot zinc dipped galvanized steel constructed in accordance with UL 50 requirements. Unpainted galvannealed steel is not acceptable.
- 2) Boxes shall have removable blank end walls and interior mounting studs. Interior support bracket shall be provided for ease of interior installation.
- b. Type 1 Trim Fronts
 - 1) Trim front steel shall meet strength and rigidity requirements per UL 50 standards. Shall have an ANSI 49 medium gray enamel electrodeposited over cleaned phosphatized steel.
 - 2) Trim front shall be 4-piece surface with doort. Trim front door shall have rounded corners and edges free of burrs. A clear plastic directory cardholder shall be mounted on the inside of the door.
 - 3) Locks shall be provided for panelboards, matching and keyed to existing lock system. Locks shall be brass construction, utilizing panelboard factory lock openings. Field verify and coordinate locks and keys with Essex Co Public Safety Building Maintenance Department.

2.4 DISTRIBUTION/ BRANCH PANEL SUPPRESSORS

- A. SPDs: Comply with UL 1449.
 - 1. Type 1 or Type 2 SPDs.
 - 2. Type 2 SPDs shall also comply with UL 1283.
- B. Features and Accessories: SPDs shall provide the following features and accessories:
 - 1. Internal fusing design capable of disconnecting the SPD before any damaging external effects to the suppressor or surroundings occur.
 - 2. Indicator light(s) display for power and protection status.
 - 3. Audible alarm with silencing switch.
 - 4. Form C contacts; one normally open and one normally closed for remote monitoring of protection status. Contacts shall reverse on failure of any surge diversion module or on opening of any current-limiting device. Coordinate with building power monitoring and control system.
 - 5. Surge counter with reset switch.
- C. Surge Current Rating: The surge current rating of the SPD shall be dependent of its category/location, as follows:

| Category/Location | Application | Per Phase | Per Mode |
|-------------------|--------------|-----------|----------|
| В | Distribution | 160 kA | 80 kA |
| В | Branch | 120 kA | 60 kA |

- D. Protection Modes:
 - 1. UL 1449 VPR for grounded WYE configured circuits shall not exceed the following:

| Modes | 208Y/120 | 480Y/277 | 600Y/347 |
|---------------|------------|------------|------------|
| L-N; L-G; N-G | 800 volts | 1200 volts | 1500 volts |
| L-L | 1200 volts | 2000 volts | 2500 volts |

2. UL 1449 VPR for Delta configured circuits shall not exceed the following:

| Modes | 240D | 480D | 600D |
|----------|------------|------------|------------|
| L-G; N-G | 1200 volts | 2000 volts | 2500 volts |

SCCR: Per NEC 285.6, the short circuit current rating of the SPD shall be equal to or greater than the available short circuit current at the point on the system where installed.

Nominal Discharge Current Rating: 10 kA I_(n).

PART 3 EXECUTION

3.1 INSTALLATION

- A. Install panelboards in accordance with NEMA PB 1.1.
- B. Install panelboards plumb.
- C. Height: 6 feet to top of panelboard.
- D. Install filler plates for unused spaces in panelboards.
- E. Provide typed circuit directory for each branch circuit panelboard. Revise directory to reflect circuiting changes to balance phase loads.
- F. Install engraved plastic nameplates in accordance with Section 260553.
- G. Install spare conduits out of each recessed panelboard to accessible location above ceiling. Minimum spare conduits: 5 empty ³/₄ inch. Identify each as SPARE.

H. Ground and bond panelboard enclosure according to Section 260526. Connect equipment ground bars of panels in accordance with NFPA 70.

3.2 FIELD QUALITY CONTROL

- A. Inspect and test in accordance with NETA ATS, except Section 4.
- B. Perform circuit breaker inspections and tests listed in NETA ATS, Section 7.6.
- C. Perform switch inspections and tests listed in NETA ATS, Section 7.5.
- D. Perform controller inspections and tests listed in NETA ATS, Section 7.16.1.

3.3 ADJUSTING

A. Measure steady state load currents at each panelboard feeder; rearrange circuits in panelboard to balance phase loads to within 20 percent of each other. Maintain proper phasing for multi-wire branch circuits.

END OF SECTION

SECTION 262726

WIRING DEVICES

PART 1 GENERAL

1.1 **SUMMARY**

- A. Section includes wall switches, receptacles, device plates and decorative box covers.
- B. **Related Sections:**
 - Section 260533 Raceway and Boxes for Electrical Systems: Outlet boxes for wiring 1. devices.

1.2 REFERENCES

- National Electrical Manufacturers Association: A.
 - 1. NEMA WD 1 - General Requirements for Wiring Devices.
 - 2. NEMA WD 6 - Wiring Devices-Dimensional Requirements.

SUBMITTALS 1.3

Product Data: Submit manufacturer's catalog information showing dimensions, colors, and A. configurations.

1.4 QUALIFICATIONS

Manufacturer: Company specializing in manufacturing products specified in this section with A. minimum three years documented experience.

PART 2 PRODUCTS

2.1 RECEPTACLES

- Manufacturers: A.
 - Leviton. 1.
 - Eagle Electric. 2.
 - Siemens Co. 3.
- B. Product Description: Commercial Grade, NEMA WD 6, ANSI C-73, UL-498, 943.
- C. Device Body: Polycarbonate body, thermoplastic nylon face, Ivory.
- D. Convenience Receptacle: Type 5-20R, 2P, 3W, 125V, self grounding.
- GFCI Receptacle: Type 5-20R, 2P, 3W, 125V, Class A 5mA +/- 1 mA, feed through type. E.

2.2 WALL PLATES

Manufacturers: A.

- 1. Arrow Hart Wiring Devices.
- 2. Eagle Electric.
- 3. Siemens Co.
- 4. Leviton.
- B. Cover Plates: 304 Stainless steel.
- C. Weatherproof Cover Plate: Gasketed cast metal plate with hinged and gasketed device cover.

PART 3 EXECUTION

- 3.1 EXAMINATION
 - A. Verify outlet boxes are installed at proper height.
 - B. Verify wall openings are neatly cut and completely covered by wall plates.
 - C. Verify branch circuit wiring installation is completed, tested, and ready for connection to wiring devices.

3.2 PREPARATION

A. Clean debris from outlet boxes.

3.3 INSTALLATION

- A. Install devices plumb and level.
- B. Install switches with OFF position down.
- C. Install receptacles with grounding pole on top.
- D. Connect wiring device grounding terminal to outlet box with bonding jumper and branch circuit equipment grounding conductor.
- E. Install decorative plates on switch, receptacle, and blank outlets in finished areas.
- F. Connect wiring devices by wrapping solid conductor around screw terminal. Install stranded conductor for branch circuits 10 AWG and smaller. When stranded conductors are used in lieu of solid, use crimp on fork terminals for device terminations. Do not place bare stranded conductors directly under device screws.
- G. Use jumbo size plates for outlets installed in masonry walls.

3.4 INTERFACE WITH OTHER PRODUCTS

- A. Coordinate locations of outlet boxes provided under Section 260533 to obtain mounting heights as specified and as indicated on drawings.
- B. Install wall switch 48 inches above finished floor, unless noted otherwise on drawings.

- C. Install convenience receptacle 18 inches above finished floor, unless noted otherwise on drawings.
- D. Install convenience receptacle 6 inches above back splash of counter, unless noted otherwise on drawings.
- E. Do not install outlets or switches inside concrete walls.

3.5 FIELD QUALITY CONTROL

- A. Inspect each wiring device for defects.
- B. Operate each wall switch with circuit energized and verify proper operation.
- C. Verify each receptacle device is energized.
- D. Test each receptacle device for proper polarity.
- E. Test each GFCI receptacle device for proper operation.

3.6 ADJUSTING

A. Adjust devices and wall plates to be flush and level.

3.7 CLEANING

A. Clean exposed surfaces to remove splatters and restore finish.

END OF SECTION

SECTION 262826

BYPASS ISOLATION AUTOMATIC TRANSFER SWITCHES

PART 1 GENERAL

1.1 SUMMARY

- A. Section includes automatic transfer switches (ATS) with by-pass isolation in an individual enclosures.
- B. ATS shall consist of a power transfer switch mechanism and a microprocessor controller to provide automatic operation. Automatic transfer, bypass isolation switches and controller shall be the products of the same manufacturer.

1.2 REFERENCES

- A. National Electrical Manufacturers Association:
 - 1. NEMA ICS 10 Industrial Control and Systems: AC Transfer Switch Equipment.

B. International Electrical Testing Association:

- 1. NETA ATS Acceptance Testing Specifications for Electrical Power Distribution Equipment and Systems.
- C. Underwriters Laboratories Inc.:1. UL 1008 Transfer Switch Equipment.
- D. IEC 47-6-1 Low-voltage Switchgear and Control gear; Multifunction equipment; Automatic Transfer Switching Equipment.
- E. NFPA 70 National Electrical Code.
- F. NFPA 110 Emergency and Standby Power Systems.
- G. IEEE Standard 446 IEEE Recommended Practice for Emergency and Standby Power Systems for Commercial and Industrial Applications.
- H. UL 508 Industrial Control Equipment.

1.3 SUBMITTALS

- A. Section 013300 Submittal Procedures: Submittal procedures.
- B. Product Data: Submit catalog sheets showing voltage, switch size, ratings and size of switching and overcurrent protective devices, operating logic, short circuit ratings, dimensions, and enclosure details.

1.4 CLOSEOUT SUBMITTALS

A. Section 017000 - Execution Requirements: Closeout procedures.

Enclosed Transfer Switch 262826

- B. Project Record Documents: Record actual locations of enclosed transfer switches.
- C. Operation and Maintenance Data: Submit routine preventative maintenance and lubrication schedule. List special tools, maintenance materials, and replacement parts.

1.5 QUALIFICATIONS

A. Supplier: Authorized distributor of specified manufacturer with minimum three years documented experience.

1.6 WARRANTY MAINTENANCE SERVICE

- A. Section 017000 Execution Requirements: Maintenance service.
- B. The ATS shall be guaranteed against defective material and workmanship in accordance with the manufacturer's published warranty for five years from date of start-up and acceptance of project by Owner. Warranty period includes costs of all labor, parts, and mileage.
- C. The ATS manufacturer and its distributor shall maintain a 24-hour parts and service organization. This organization shall be regularly engaged in a maintenance contract program to perform preventive maintenance and service on equipment similar to that specified. A two (2) year full service agreement shall be provided and shall include system operation under simulated operating conditions, adjustment to the generator as required, and certification in the owner's maintenance log of repairs made and proper functioning of all systems. Service to be done twice annually.
- D. The ATS manufacturer shall maintain a national service organization of companyemployed personnel located throughout the contiguous United States. The service center's personnel must be factory trained and must be on call 24 hours a day, 365 days a year.

PART 2 PRODUCTS

2.1 AUTOMATIC TRANSFER SWITCH

- A. Manufacturers:
 - 1. Generac Power Series
 - 2. ASCO 7000 Series
- B. Transfer switches shall have the following characteristics:
 - 1. Continuous ratings as indicated on drawings.
 - 2. 277/480 volt, three phase.
 - 3. ATS shall be furnished in a NEMA 1 enclosure.
 - 4. Withstand Rating: 50,000 AIC
 - 5. The switch shall be 600 volt class.
 - 6. 4-pole, 4 wire switched neutral
- C. Product Description: NEMA ICS 10, automatic transfer switch.

Enclosed Transfer Switch 262826
- D. Transfer Switch: Electrically operated, mechanically held transfer switch. The electrical operator shall be single solenoid mechanism, momentarily energized. The transfer switch shall include both electrical and mechanical interlocks to prevent both sets of main contacts from being closed at the same time.
 - 1. All transfer switch sizes shall use only one type of main operator for ease of maintenance and commonality of parts.
 - 2. The switch shall be positively locked and unaffected by momentary outages, so that contact pressure is maintained at a constant value and contact temperature rise is minimized for maximum reliability and operating life.
 - 3. All main contacts shall be silver composition.
 - 4. Inspection of all contacts shall be possible from the front of the switch without disassembly of operating linkages and without disconnection of power conductors. All stationary and moveable contacts shall be replaceable without removing power conductors and/or bus bars.
 - 5. Designs utilizing components of molded-case circuit breakers, contactors, or parts thereof, which are not intended for continuous duty, repetitive switching or transfer between two active power sources, are not acceptable.
 - 6. Where neutral conductors must be switched as shown on the plans, the AS shall be provided with fully rated overlapping neutral transfer contacts. The neutrals of the normal and emergency power sources shall be connected together only during the transfer and retransfer operation and remain connected together until power source contacts close on the source to which the transfer is being made. The overlapping neutral contacts shall not overlap for a period greater than 100 milliseconds. Neutral switching contacts which do not overlap are not acceptable.
- E. By-pass Isolation Switch: Two way bypass isolation switch, manually driven, for manual bypass of the load to either source and permit isolation of the automatic transfer switch mechanism from all source and load power conductors.
 - 1. Silver plated copper bus bar power connection. Disconnect plugs shall be provided on all control wiring.
 - 2. Clearly labled and permanently attached handles for bypass an isolation shall be operated without opening the front enclosure.
 - 3. Bypass to the load-carrying source shall be accomplished with no interruption of power to the load (make before break contacts). Designs which disconnect the load when bypassing are not acceptable. The bypass handle shall have three operating modes: "Bypass to Normal," "Automatic," and "Bypass to Emergency." The operating speed of the bypass contacts shall be the same as the associated transfer switch and shall be independent of the speed at which the manual handle is operated. In the "Automatic" mode, the bypass contacts shall be out of the power circuit so that they will not be subjected to fault currents to which the system may be subjected.
 - 4. Isolation handle shall provide three operating modes: Closed, Test, and Open:
 - a. Test Mode: Testing of the entire emergency system power system, without interruption to the load.
 - b. Open Mode: Isolate the automatic transfer switch from all source and load conductors. In this mode, the automatic transfer shall be able to be

completely withdrawn for inspection and/or maintenance without removal of power conductors or the use of any tools.

- 5. Designs utilizing components of molded-case circuit breakers, contactors, or parts thereof, which are not intended for continuous duty, repetitive switching or transfer between two active power sources, are not acceptable.
- 6. Switches utilizing key interlocks for bypass isolation are not acceptable.
- F. Microprocessor Controller:
 - 1. The controller shall direct the operation of the transfer switch. The controller's sensing and logic shall be controlled by a built-in microprocessor for maximum reliability, minimum maintenance, and inherent serial communications capability. The controller shall be connected to the transfer switch by an interconnecting wiring harness. The harness shall include a keyed disconnect plug to enable the controller to be disconnected from the transfer switch for routine maintenance.
 - 2. The controller shall be enclosed with a protective cover and be mounted separate from the transfer switch unit for safety and ease of maintenance. Sensing and control logic shall be provided on printed circuit boards. Interfacing relays shall be industrial grade plug-in type with dust covers.
 - 3. The controller shall meet or exceed the requirements for Electromagnetic Compatibility (EMC) as follows:
 - a. ANSI C37.90A/IEEE 472 Voltage Surge Test
 - b. NEMA ICS 109.21 Impulse Withstand Test
 - c. IEC801-2 Electrostatic discharge (ESD) immunity
 - d. ENV50140 and IEC 801 3 Radiated electromagnetic field immunity
 - e. IEC 801 4 Electrical fast transient (EFT) immunity
 - f. ENV50142 Surge transient immunity
 - g. ENV50141: Conducted radio-frequency field immunity
 - h. EN55011: Group 1, Class A conducted and radiated emissions
 - i. EN61000 4 11 Voltage dips and interruptions immunity.
 - 4. All customer connections shall be wired to a common terminal block to simplify field-wiring connections.
 - 5. Control wiring shall be rated for 600 volt, UL 1015. Wires shall be placed in wire duct or harnessed, and shall be supported to prevent sagging or breakage from weight or vibration. All wiring to hinged doors shall be run through door terminal blocks or connection plugs).
- G. Controller Display and Keypad:
 - 1. Controller shall be flush-mounted display with LED indicators for switch position and source availability. It shall also include test and time delay bypass switches.
 - 2. LCD display and keypad shall be integral part of the controller for viewing all available data and setting desired operational parameters such as:
 - a. System status
 - b. Line to line voltage
 - c. Line to neutral voltage
 - d. Active time delays

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- e. Source frequency
- f. Preferred source selection
- g. System settings
- h. Inputs and outputs
- i. Faults
- j. Time/date
- k. Event History
- 1. Maintenance schedule
- m. Exerciser schedule
- n. Exerciser mode
- o. Time remaining on exercise
- H. Voltage, Frequency and Sensing:
 - 1. Voltage and frequency on both the normal and emergency sources (as noted below) shall be continuously monitored, with the following pickup, dropout, and trip setting capabilities (values shown as % of nominal unless otherwise specified):

| Parameter | Default | Adjustment Range |
|------------------------|-----------------|------------------|
| Undervoltage Dropout | 90% of pickup | 75-98% |
| Undervoltage Pickup | 90% of nominal | 85-100% |
| Overvoltage Dropout | 115% of nominal | 106-135% |
| Overvoltage Pickup | 95% of dropout | 95-100% |
| Unbalance Enable | Disable | Enable/Disable |
| Unbalance Dropout | 20% | 5-20% |
| Unbalance Pickup | 10% | 3-18% |
| Voltage Dropout Time | 0.5 seconds | 0.1-9.9 secs |
| Underfrequency Dropout | 99% of pickup | 95-99% |
| Underfrequency Pickup | 90% of nominal | 80-95% |
| Overfrequency Dropout | 101% of pickup | 101-115% |
| Overfrequency Pickup | 110% of nominal | 105-120% |
| Frequency Dropout Time | 3 seconds | 0.1-15 secs |

- 2. Repetitive accuracy of all settings shall be within +/- 0.5% over an operating temperature range of -20°C to 60°C.
- 3. Voltage and frequency settings shall be field adjustable in 1% increments either locally with the display and keypad or remotely via the communications interface port.
- 4. The controller shall be capable (when activated by the keypad or through the serial port) of sensing the phase rotation of both the normal and emergency sources. The source shall be considered unacceptable if the phase rotation is not the preferred rotation selected (ABC or CBA).
- 5. Source status screens shall be provided for both normal and emergency to provide digital readout of voltage on all 3 phases, frequency, and phase rotation.
- 6. The controller shall include a user selectable algorithm to prevent repeated transfer cycling to a source on an installation which experiences primary side,

single phase failures on a Grounded Wye – Grounded Wye transformer which regenerates voltage when unloaded. The algorithm shall also inhibit retransfer to the normal (utility) source upon detection of a single phasing condition until a dedicated timer expires, the alternate source fails, or the normal source fails completely and is restored during this time delay period. The time delays associated with this feature shall be adjustable by the user through the controller keypad and LCD.

I. Time Delays:

| Time Delay | Default | Adjustment Range |
|----------------------------------|---------|------------------|
| Engine Start | 3 Sec | 0-6 Sec |
| Engine Cooldown | 5 Sec | 0-60 Min |
| Fail to acquire standby | 1 Min | 0 -60 Min |
| Fail to acquire preferred source | 1 Min | 0 -60 Min |
| Transfer, preferred to standby | 3 Sec | 0 -60 Min |
| Transfer, standby to preferred | 15 Min | 0 -60 Min |
| Transfer, off to standby | 1 Sec | 1 Sec – 60 Min |
| Transfer, off to preferred | 1 Sec | 1 Sec – 60 Min |
| Fail to synchronize | 60 Sec | 10 Sec – 15 Min |
| Autol load test duration | 30 Min | 1-60 Min |
| Prime power run duration | 6 Min | 6 min – 100 days |

1. All time delays shall be adjustable in 1 second increments, except for auto load test duration (1 min increments) and prime power run duration (6 min increments).

J. Additional Features:

- 1. Membrane-type switches shall be provided for the *test* and *retransfer to normal* functions. The test position will simulate a normal source failure. The retransfer to normal position shall bypass the time delays on retransfer to normal.
- 2. A SPDT contact, rated 5 amps at 30 VDC, shall be provided for a low-voltage engine start signal. The start signal shall prevent dry cranking of the engine by requiring the generator set to reach proper output, and run for the duration of the cool down setting, regardless of whether the normal source restores before the load is transferred.
- 3. Auxiliary contacts, rated 10 amps, 250 VAC shall be provided consisting of one contact, closed when the ATS is connected to the normal source and one contact closed, when the ATS is connected to the emergency source.
- 4. LED indicating lights shall be provided; one to indicate when the ATS is connected to the normal source (green) and one to indicate when the ATS is connected to the emergency source (red).
- 5. <u>Engine Exerciser</u> The controller shall provide an internal engine exerciser. The engine exerciser shall allow the user to program up to seven different exercise routines. For each routine, the user shall be able to:
 - a. Enable or disable the routine.
 - b. Enable or disable transfer of the load during routine.

- c. Set the start time:
 - 1) Time of day
 - 2) Day of week
 - 3) Week of month (1st, 2nd, 3rd, 4th, alternate or every)
 - 4) Set the duration of the run. At the end of the specified duration the switch shall transfer the load back to normal and run the generator for the specified cool down period. A 10-year life battery that supplies power to the real time clock in the event of a power loss will maintain all time and date information.
- K. Rating: State voltage and current rating and number of poles or "as indicated on drawings".
- L. Withstand Current Rating:
 - 1. The ATS shall be rated to close on and withstand the available RMS symmetrical short circuit current at the ATS terminals with the type of overcurrent protection shown on the plans.
 - 2. The ATS shall be UL listed in accordance with UL 1008 and be labeled in accordance with that standard's 1 ½ and 3 cycle, long-time ratings. ATS's which are not tested and labeled with 1 ½ and 3 cycle (any breaker) ratings and have series, or specific breaker ratings only, are not acceptable.
- M. Service Conditions: NEMA ICS 10.
 - 1. Temperature: 50-90 degrees F.
- N. Enclosure:
 - 1. Enclosure: ICS 10, NEMA 1.
 - 2. Finish: Manufacturer's standard gray enamel.

2.2 SOURCE QUALITY CONTROL

- A. Furnish shop inspection and testing of each transfer switch.
- B. Allow witnessing of factory inspections and tests at manufacturer's test facility. Notify Owner at least seven days before inspections and tests are scheduled.
 - 1. The complete ATS shall be factory tested to ensure proper operation of the individual components and correct overall sequence of operation and to ensure that the operating transfer time, voltage, frequency and time delay settings are in compliance with the specification requirements.
 - 2. Upon request, the manufacturer shall provide a notarized letter certifying compliance with all of the requirements of this specification including compliance with the above codes and standards, and withstand and closing ratings. The certification shall identify, by serial number(s), the equipment involved. No exceptions to the specifications, other than those stipulated at the time of the submittal, shall be included in the certification.
 - 3. The ATS manufacturer shall be certified to ISO 9001 International Quality Standard and the manufacturer shall have third party certification verifying

quality assurance in design/development, production, installation, and servicing in accordance with ISO 9001.

PART 3 EXECUTION

3.1 INSTALLATION

A. Install engraved plastic nameplates in accordance with Section 260553.

3.2 FIELD QUALITY CONTROL

- A. Section 014000 Quality Requirements: Testing and Inspection Services.
- B. Inspect and test in accordance with NETA ATS, except Section 4.
- C. Perform inspections and tests listed in NETA ATS, Section 7.22.3.

3.3 MANUFACTURER'S FIELD SERVICES

- A. Section 014000 Quality Requirements: Manufacturers' field services.
- B. Check out transfer switch connections and operations and place in service.

3.4 ADJUSTING

- A. Section 017000 Execution Requirements: Testing, adjusting, and balancing.
- B. Adjust control and sensing devices to achieve specified sequence of operation.

3.5 DEMONSTRATION AND TRAINING

A. Demonstrate operation of transfer switch in normal and emergency modes.

END OF SECTION

SECTION 263213

ENGINE GENERATOR

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. Install complete generator set and accessories furnished by owner as indicated in this specification and noted on drawings.
- B. The generator Generac Model SD175, 175 kW, 480/277V 3 phase, diesel. The following accessories are included:
 - 1. 24 hour subbase tank (factory installed)
 - 2. Weather enclosure (factory installed)
 - 3. Battery Charger (shipped loose)
 - 4. Block heater (factory installed)
 - 5. Remote annunciator (shipped loose)
- C. Refer to generator submittal information directly following this section.

1.2 GENERAL REQUIREMENTS - CONTRACTOR

- A. Construction generator pad, generator and accessory wiring as indicated on drawings.
- B. Generator system startup shall be under the direction of the generator manufacturers designated service group. Contractor shall be responsible for scheduling the system start up, load bank test, and generator training. Owner to provide generator service contact information.
- C. Contractor shall be present during startup and load bank testing of generator and transfer switch.
- D. Contractor's standard (1) year project warranty shall include all work related to the installation of the generator.
- E. Generator shall be load bank tested, by an external resistive bank matching the nameplate kW rating of the generator for 4- hours.

1.3 GENERAL REQUIREMENTS - OWNER

- A. Owner to furnish generator, factory installed subbase tank, and accessories to contractor. Owner shall furnish O&M manuals, wiring diagram, and any other instructions to the contractor.
- B. Owner shall provide all fuel for generator system startup and testing.
- C. Relocate generator from owner's storage location (on-site), to the proposed generator pad location. Owner shall include all necessary rigging and transportation for the installation of the generator and subbase tank to the concrete pad.
- 1.4 CODES AND STANDARDS

- A. The generator set installation and on-site testing shall conform to the requirements of the following codes and standards, as applicable. The generator set shall include necessary features to meet the requirements of these standards.
 - 1. ANSI S1.13-1971—Measurement of Sound Pressure Levels in Air.
 - 2. CSA 282, 1989 Emergency Electrical Power Supply for Buildings.
 - 3. IEEE446 Recommended Practice for Emergency and Standby Power Systems for Commercial and Industrial Applications.
 - 4. NFPA 30 Flammable and Combustible Liquids.
 - 5. NFPA 37 Standard For the Installation and Use of Stationary Combustion Engines and Gas Turbines.
 - 6. NFPA 70 National Electrical Code. Equipment shall be suitable for use in systems in compliance to Article 700, 701, and 702.
- B. The generator set and supplied accessories shall meet the requirements of the following standards:
 - 1. NEMA MG1-1998 part 32. Alternator shall comply with the requirements of this standard.
 - 2. UL142 Sub-base Tanks.
 - 3. UL1236 Battery Chargers.
 - 4. UL2200. The generator set shall be listed to UL2200.

1.5 SUBMITTAL

A. Contractor shall provide submittal on generator support pad. Dimensional drawing indicating conduit knockouts and reinforcement. Referenced generator submittal drawings (and actual field verification) shall be used for the preparation of this drawing.

PART 2 - NONE

PART 3 - EXECUTION

3.1 EXAMINATION

- A. Examine areas, equipment foundations, and conditions for compliance with requirements for installation and other conditions affecting packaged engine generator performance.
 - 1. Proceed with installation only after unsatisfactory conditions have been corrected.
- B. Examine roughing-in of electrical connections. Verify actual locations of connections before packaged engine generator installation.
- C. Coordinate concrete slab forming for underground conduit entry through fuel tank chase.

3.2 INSTALLATION

- A. Comply with packaged engine generator manufacturers' written installation and alignment instructions, and with NFPA 110.
- B. Set packaged engine generator set on concrete bases.

- Support generator-set mounting feet on rectangular metal blocks and shims or on metal 1. wedges having small taper.
- 2. Adjust metal supports or wedges until generator is level.
- C. Install packaged engine generator to provide access, without removing connections or accessories, for periodic maintenance.
- D. Equipment shall be installed by the contractor in accordance with submittals and contract documents. Installation shall comply with applicable state and local codes as required by the authority having jurisdiction. Install equipment in accordance with manufacturer's instructions and instructions included in the listing or labeling of UL listed products.
- E. Installation of equipment shall include furnishing and installing all interconnecting wiring between all major equipment provided for the on-site power system.
- F. Equipment shall be installed on concrete housekeeping pads. Equipment shall be permanently fastened to the pad in accordance with manufacturer's instructions and seismic requirements of the site.
- G. Equipment shall be initially started and operated by representatives of the manufacturer. All protective settings shall be adjusted as instructed by the consulting engineer.
- All equipment shall be physically inspected for damage. Scratches and other installation damage H. shall be repaired prior to final system testing. Equipment shall be thoroughly cleaned to remove all dirt and construction debris prior to initial operation and final testing of system.
- I. On completion of the installation by the electrical contractor, the generator set supplier shall conduct a site evaluation to verify the equipment is installed per manufacturer's recommended practice.

3.3 **CONNECTIONS**

- Ground equipment. A.
 - Tighten electrical connectors and terminals according to manufacturer's published torque-1. tightening values. If manufacturer's torque values are not indicated, use those specified in UL 486A and UL 486B.

3.4 **IDENTIFICATION**

A. Identify system components according to Division 26 Section "Identification for Electrical Systems"

3.5 BATTERY EQUALIZATION

- A. Equalize charging of battery cells according to manufacturer's written instructions. Record individual cell voltages.
- 3.6 **CLEANING**

A. On completion of installation, inspect system components.

3.7 ON-SITE ACCEPTANCE TEST

- A. The complete installation shall be tested to verify compliance with the performance requirements of this specification following completion of all site work. Testing shall be conducted by representatives of the manufacturer. The Engineer shall be notified in advance and shall have the option to witness the tests. The generator set manufacturer shall provide a site test specification covering the entire system. Tests shall include:
 - 1. Prior to start of active testing, all field connections for wiring, power conductors, and bus bar connections shall be checked for proper tightening torque.
 - 2. Installation acceptance tests to be conducted on-site shall include a "cold start" test, an four (4) hour full load (resistive) test, and a one step rated load pickup test in accordance with NFPA 110. Provide a resistive load bank and make temporary connections for full load test, as required.
 - 3. Perform a power failure test on the entire installed system. This test shall be conducted by opening the power supply from the utility service, and observing proper operation of the system for at least 2 hours. Coordinate timing and obtain approval for start of test with site personnel.
 - 4. The generator set supplier shall issue a test report documenting the results of testing, and including a complete list of all settings in the control system.

END OF SECTION



Certification of Quality

Generac Power Systems certifies that the products we manufacture have been built and tested in accordance with strict internal and external standards for quality. Our quality management system has been registered with the internationally recognized ISO 9001:2008 standard and our products comply with external standards that include, but are not limited to CSA, NEMA, EGSA, ISO and UL.

The Generac Quality Management System (GQMS) ensures the highest standards of quality at every level of production, from raw materials to the finished product. This includes receiving inspection, in-process checks, product and process audits, testing, final inspections and shipping standards.

Tests of our products are performed in accordance with our internal procedures and controlled through the GQMS to ensure accuracy and effectiveness. The testing process and product designs comply with external standards which may include, but are not limited to: ISO 8528-5, ISO 3046, NFPA 99, NFPA 110, BS 5514, SAE J1349 and DIN 6271.

Generac Power Systems has over one million square feet of manufacturing space and over 2000 employees dedicated to designing and manufacturing power generation equipment in our multiple State of Wisconsin, USA factories. All of our installed and mobile generators are built with pride by our skilled American workforce to ensure our customers receive the quality that they expect from Generac.

We are committed to producing quality products for both our internal and external customers. We will continuously improve our processes and diligently measure all aspects of our business.

Daniel Waschow

Vice President of Quality Generac Power Systems, Inc. Waukesha, Wisconsin USA





Industrial Diesel Generator Set

EPA Certified Stationary Emergency



*EPA Certified Prime ratings are not available in the U.S. or its Territories for engine model year 2011 and beyond



SD175







features

Generat

Engine

Alternat

Control

| or Set | | |
|---------------------------------------|---|--------------------------|
| PROTOTYPE & TORSIONALLY TESTED | • | PROVIDES A PROVEN UNIT |
| UL2200 TESTED | • | ENSURES A QUALITY PROD |
| RHINOCOAT PAINT SYSTEM | • | IMPROVES RESISTANCE TO |
| WIDE RANGE OF ENCLOSURES AND TANKS | • | PROVIDES A SINGLE SOURC |
| EPA COMPLIANT | • | MEETS EPA STANDARDS |
| INDUSTRIAL TESTED, GENERAC APPROVED | • | ENSURES INDUSTRIAL STAN |
| POWER-MATCHED OUTPUT | • | ENGINEERED FOR PERFORM |
| INDUSTRIAL GRADE | • | IMPROVES LONGEVITY AND |
| <u>or</u> | | |
| TWO-THIRDS PITCH | • | ELIMINATES HARMFUL 3RD |
| LAYER WOUND ROTOR & STATOR | • | IMPROVES COOLING |
| CLASS H MATERIALS | • | HEAT TOLERANT DESIGN |
| DIGITAL 3-PHASE VOLTAGE CONTROL | • | FAST AND ACCURATE RESP |
| ENCAPSULATED BOARD W/ SEALED HARNESS | × | EASY, AFFORDABLE REPLAC |
| 4-20mA VOLTAGE-TO-CURRENT SENSORS | • | NOISE RESISTANT 24/7 MON |
| SURFACE-MOUNT TECHNOLOGY | • | PROVIDES VIBRATION RESIS |
| ADVANCED DIAGNOSTICS & COMMUNICATIONS | • | HARDENED RELIABILITY |
| | | |

benefits

| | • | PROVIDES A PROVEN UNIT |
|-----|---|------------------------------------|
| | • | ENSURES A QUALITY PRODUCT |
| | • | IMPROVES RESISTANCE TO ELEMENTS |
| | • | PROVIDES A SINGLE SOURCE SOLUTION |
| | | |
| | • | MEETS EPA STANDARDS |
|) | • | ENSURES INDUSTRIAL STANDARDS |
| | • | ENGINEERED FOR PERFORMANCE |
| | ۲ | IMPROVES LONGEVITY AND RELIABILITY |
| | | |
| | • | ELIMINATES HARMFUL 3RD HARMONIC |
| | • | IMPROVES COOLING |
| | ۲ | HEAT TOLERANT DESIGN |
| | ۲ | FAST AND ACCURATE RESPONSE |
| | | |
| SS | • | EASY, AFFORDABLE REPLACEMENT |
| | • | NOISE RESISTANT 24/7 MONITORING |
| | • | PROVIDES VIBRATION RESISTANCE |
| ONS | • | HARDENED RELIABILITY |
| | | |

primary codes and standards









application and engineering data

ENGINE SPECIFICATIONS

General

SD175

| donoral | |
|----------------------------|--------------------------|
| Make | lveco/FPT |
| EPA Emissions Compliance | Stationary Emergency |
| EPA Emissions Reference | See Emissions Data Sheet |
| Cylinder # | 6 |
| Туре | In-Line |
| Displacement - L (cu. in.) | 6.7 |
| Bore - mm (in.) | 104 (4.09) |
| Stroke - mm (in.) | 128 (5.2) |
| Compression Ratio | 16.5:1 |
| Intake Air Method | Turbocharged/Aftercooled |
| Cylinder Head Type | 4- Valve |
| Piston Type | Alloy Aluminum |
| Crankshaft Type | Forged Steel |
| | |

Engine Governing

| Governor | Electronic Isochronous |
|-------------------------------------|------------------------|
| Frequency Regulation (Steady State) | ± 0.25% |

Lubrication System

| Oil Pump Type | Gear |
|------------------------------|---------------------|
| Oil Filter Type | Full-Flow Cartridge |
| Crankcase Capacity - L (qts) | 19.6 (20.7) |

Cooling SystemCooling System TypeClosedWater Pump FlowBelt Driven CentrifugalFan TypePusherFan Speed (rpm)2538 rpmFan Diameter (in.)26Coolant Heater Wattage1500Coolant Heater Standard Voltage240

Fuel System

| Fuel Type | Ultra Low Sulfur Diesel Fuel |
|-----------------------------|------------------------------|
| Fuel Specifications | ASTM |
| Fuel Filtering (microns) | 5 |
| Fuel Inject Pump Make | Electronic |
| Fuel Pump Type | Engine Driven Gear |
| Injector Type | Electronic |
| Engine Type | Direct Injection |
| Fuel Supply Line - mm (in.) | 12.7(1/2") |
| Fuel Return Line - mm (in.) | 12.7(1/2") |

Engine Electrical System

| System Voltage | 12VDC |
|-----------------------------|----------|
| Battery Charging Alternator | Std |
| Battery Size (at 0°C) | 995 CCA |
| Battery Group | 31 |
| Battery Voltage | 12VDC |
| Ground Polarity | Negative |

| Voltage Regulator Type | Digital |
|------------------------------------|---------|
| Number of Sensed Phases | 3 |
| Regulation Accuracy (Steady State) | ± 0.25% |

ALTERNATOR SPECIFICATIONS

| Standard Model | 520 mm Generac |
|-------------------------------------|-------------------------|
| Poles | 4 |
| Field Type | Revolving |
| Insulation Class - Rotor | Н |
| Insulation Class - Stator | Н |
| Total Harmonic Distortion | < 5% |
| Telephone Interference Factor (TIF) | < 50 |
| Standard Excitation | Permanent Magnent |
| Bearings | Single Sealed Cartridge |
| Coupling | Direct, Flexible Disc |
| Load Capacity - Standby | 100% |
| Prototype Short Circuit Test | Yes |

CODES AND STANDARDS COMPLIANCE (WHERE APPLICABLE)

| NFPA 99 | BS5514 |
|-------------|---------------------|
| NFPA 110 | SAE J1349 |
| ISO 8528-5 | DIN6271 |
| ISO 1708A.5 | IEEE C62.41 TESTING |
| ISO 3046 | NEMA ICS 1 |

Rating Definitions:

Standby – Applicable for a varying emergency load for the duration of a utility power outage with no overload capability. (Max. load factor = 70%)

Prime – Applicable for supplying power to a varying load in lieu of utility for an unlimited amount of running time. (Max. load factor = 80%) A 10% overload capacity is available for 1 out of every 12 hours.

2 of 5

SD175

operating data (60Hz)

POWER RATINGS (kW)

| | STANDBY | | | PRIME | | |
|--------------------------------|---------|-------|-----|--------|-------|-----|
| Single-Phase 120/240VAC @1.0pf | 175 kW | Amps: | 729 | 158 kW | Amps: | 656 |
| Three-Phase 120/208VAC @0.8pf | 175 kW | Amps: | 607 | 158 kW | Amps: | 548 |
| Three-Phase 120/240VAC @0.8pf | 175 kW | Amps: | 526 | 158 kW | Amps: | 475 |
| Three-Phase 277/480VAC @0.8pf | 175 kW | Amps: | 263 | 158 kW | Amps: | 238 |
| Three-Phase 346/600VAC @0.8pf | 175 kW | Amps: | 210 | 158 kW | Amps: | 190 |

STARTING CAPABILITIES (sKVA)

| | | | sKVA vs. Voltage Dip | | | | | | | | | | |
|-------------------|-----------|--------|----------------------|-----|-----|-----|-------|-------|-----|-----|-----|-----|-----|
| | | 480VAC | | | | | 208/2 | 40VAC | | | | | |
| <u>Alternator</u> | <u>kW</u> | 10% | 15% | 20% | 25% | 30% | 35% | 10% | 15% | 20% | 25% | 30% | 35% |
| Standard | 200 | 187 | 280 | 373 | 467 | 560 | 653 | 140 | 210 | 280 | 350 | 420 | 490 |
| Upsize 1 | 250 | 263 | 395 | 527 | 658 | 790 | 922 | 197 | 296 | 395 | 494 | 593 | 692 |
| Upsize 2 | 300 | 303 | 454 | 605 | 757 | 908 | 1059 | 227 | 341 | 454 | 568 | 681 | 794 |

FUEL

| | | Fuel Consumption Rates* | | | | | | |
|--|---------------|-------------------------|---------------------|---------------------|------------------|-------------|--|--|
| | | STANDBY | | | PRIME | | | |
| Fuel Pump Lift - in (mm) | Percent Load | gph | lph | Percent Load | gph | lph | | |
| 36 (900) | 25% | 3.9 | 14.8 | 25% | 3.5 | 13.2 | | |
| | 50% | 7.3 | 27.6 | 50% | 6.5 | 24.6 | | |
| Total Fuel Pump Flow (Combustion + Return) | 75% | 10.5 | 39.7 | 75% | 9.5 | 36.0 | | |
| 29 gph | 100% | 13.5 | 51.1 | 100% | 12.15 | 46.0 | | |
| | * Defer to II | missions Data Cha | atll for movimum fu | al flow for EDA and | COAOMD normittir | a purp 2222 | | |

* Refer to "Emissions Data Sheet" for maximum fuel flow for EPA and SCAQMD permitting purposes.

PRIME

423 (11.98)

COOLING

| | | STANDBY | PRIME |
|------------------------------------|---------------------|-------------|-------------|
| Coolant Flow per Minute | gpm (lpm) | 44.6 (169) | 44.6 (169) |
| Heat Rejection to Coolant | BTU/hr | 497,718 | 447,946 |
| Inlet Air | cfm (m3/min) | 7,946 (225) | 7,946 (225) |
| Max. Operating Radiator Air Temp | F° (C°) | 122 (50) | 122 (50) |
| Max. Operating Ambient Temperature | F° (C°) | 104 (40) | 104 (40) |
| Coolant System Capacity | gal (L) | 7.5 (28.4) | 7.5 (28.4) |
| Maximum Radiator Backpressure | in H ₂ 0 | 0.5 | 0.5 |

COMBUSTION AIR REQUIREMENTS

Flow at Rated Power cfm (m3/min)

470 (13.31)

STANDBY

| - | | n | | | - | |
|---|---|---|---|---|----|--|
| F | N | | F | м | ь. | |
| _ | | u | | | ►. | |

| | | STANDBY | PRIME |
|--------------------------|--------|---------|-------|
| Rated Engine Speed | rpm | 1800 | 1800 |
| Horsepower at Rated kW** | hp | 279 | 252 |
| Piston Speed | ft/min | 1559 | 1559 |
| BMEP | psi | 257 | 243 |

EXHAUST

| | | STANDBY | PRIME |
|-----------------------------------|--------------|-------------|-------------|
| Exhaust Flow (Rated Output) | cfm (m³/min) | 1212 (34.3) | 1163 (32.9) |
| Max. Backpressure (Post Silencer) | inHg (Kpa) | 1.5 (5.1) | 1.5 (5.1) |
| Exhaust Temp (Rated Output) | °F (°C) | 1040 (560) | 936 (502) |
| Exhaust Outlet Size (Open Set) | NPT (male) | 101.6 (4) | 101.6 (4) |

 ** Refer to "Emissions Data Sheet" for maximum bHP for EPA and SCAQMD permitting purposes.

Deration – Operational characteristics consider maximum ambient conditions. Derate factors may apply under atypical site conditions. Please consult a Generac Power Systems Industrial Dealer for additional details. All performance ratings in accordance with ISO3046, BS5514, ISO8528 and DIN6271 standards.

SD175

GENERATOR SET

| • | Genset Vibration Isolation IBC Seismic Certified/Seismic Rated Vibration Isolators Extended warranty Gen-Link Communications Software | Std Opt Opt Opt |
|---|--|--------------------------|
| 0 | Steel Enclosure | Opt Opt |
| 0 | Aluminum Enclosure | Opt |

ENGINE SYSTEM

| | General | |
|------|---|------------|
| ٠ | Oil Drain Extension | Std |
| 0 | Oil Make-Up System | Opt |
| 0 | Oil Heater | Opt |
| • | Air cleaner | Std |
| • | Fan guard | Std |
| • | Radiator duct adapter | Sta |
| | Fuel System | |
| ٠ | Fuel lockoff solenoid | Std |
| ۲ | Secondary fuel filter | Std |
| ۲ | Stainless steel flexible exhaust connection | Std |
| ٠ | Industrial Exhaust Silencer | Std |
| 0 | Critical Exhaust Silencer | Opt |
| 0 | Flexible fuel lines | Opt |
| 0 | Primary fuel filter | Opt |
| 0 | Single Wall Tank (Export Only) | - |
| 0 | UL 142 Fuel Tank | Opt |
| | Cooling System | |
| 0 | 120VAC Coolant Heater | Opt |
| 0 | 208VAC Coolant Heater | Opt |
| 0 | 240VAC Coolant Heater | Opt |
| 0 | | - C+d |
| | LIV/Ozono registant bosos | Std |
| | Eactory-Installed Badiator | Dic Std |
| | Radiator Drain Extension | 5td Std |
| • | Engine Electrical System | Otu |
| | Rattery charging alternator | ht2 |
| | Battery cables | Std |
| | Battery trav | Std |
| 0 | Battery box | Opt |
| 0 | Battery heater | , Opt |
| | Solenoid activated starter motor | Std |
| 0 | 10A UL float/equalize battery charger | Opt |
| ٠ | Rubber-booted engine electrical connections | Std |
| ALTE | ERNATOR SYSTEM | |

| • | UL2200 GENprotect™ | Std |
|---|----------------------------|-----|
| 0 | Main Line Circuit Breaker | Opt |
| 0 | 2nd Circuit Breaker | Opt |
| 0 | 3rd Circuit Breaker | - |
| 0 | Alternator Upsizing | Opt |
| 0 | Anti-Condensation Heater | Opt |
| 0 | Tropical coating | Opt |
| | Permanent Magnet Generator | Std |
| | | |

standard features and options

GENERAC

INDUSTRIAL

CONTROL SYSTEM

| | inital H Control Panal - Dual 4/20 Display | C+ |
|----------|--|------------|
| U D | igital n outiliul Faliti - Dual 4X20 DISplay inital G_100 Control Panal - Toucheoroon | <u>ا</u> ر |
| ם | igital G-200 Paralleling Control Panel - Touchscreen | na |
| D | rogrammable Crank Limiter | 110 Ct |
| ГI ე- | Ugidiiiiiddie Gidlik Liiiilei | Or Or |
| 2 | r-Light Refloce Annuliciator | Uļ Or |
| חו 7 | Day Dragrammable Everging | Uļ Ct |
| 1. | -Day Floyian mable Exerciser | 3L C+ |
| 2 | e ago | 3l C+ |
| n. | D-232 | 3l C+ |
| R: | 0-400 | 3l C+ |
| A | II-PHASE SEIISHIY DVK | 21 |
| FU | III System Status | 3l C+ |
| 0 | unty Monitoring (Req. H-Iransier Switch) | 51 |
| 2 | -Wire Start Compatible | SI |
| P(| ower Output (kw) | 51 |
| P | ower Factor | St |
| Ke | eactive Power | St |
| A | Il phase AC Voltage | St |
| A | Il phase Currents | St |
| 0 | il Pressure | St |
| С | polant Temperature | St |
| С | oolant Level | St |
| 0 | il Temperature | Op |
| Fu | uel Pressure | St |
| Er | ngine Speed | St |
| Ba | attery Voltage | St |
| Fr | requency | St |
| Da | ate/Time Fault History (Event Log) | St |
| Lo | ow-Speed Exercise | - |
| ls | ochronous Governor Control | St |
| _2 | Odeg C - 70deg C Operation | St |
| W | aterproof Plug-In Connectors | St |
| Aı | udible Alarms and Shutdowns | St |
| Ν | ot in Auto (Flashing Light) | St |
| Aı | uto/Off/Manual Switch | St |
| E | -Stop (Red Mushroom-Type) | St |
| Re | emote E-Stop (Break Glass-Type, Surface Mount) | Op |
| Re | emote E-Stop (Red Mushroom-Type, Surface Mount) | Op |
| Re | emote E-Stop (Red Mushroom-Type, Flush Mount) | Op |
| Ν | FPA 110 Level I and II (Programmable) | St |
| Re | emote Communication - RS232 | St |
| Re | emote Communication - Modem | Op |
| Re | emote Communication - Ethernet | Op |
| 1(| DA Run Relay | Op |
| A | arms (Programmable Tolerances. Pre-Alarms and Shutdowns) | |
| Lo | w Fuel | Or |
| 0 | il Pressure (Pre-programmed Low Pressure Shutdown) | St |
| C | polant Temperature (Pre-programmed High Temp Shutdown) | St |
| C | polant Level (Pre-programmed Low Level Shutdown) | St |
| 0 | il Temperature | Or |
| Fr | naine Sneed (Pre-programmed Overspeed Shutdown) | 10 12 |
| 1 | Isine Operation in programmed Overvoltage Shutdown) | 01 Ct |
| R, | attery Voltage | 01 C+ |
| D | anory voltago | J |
| 0 | ther Options | |

4 of 5

GENERAC[®] INDUSTRIAL

dimensions, weights and sound levels



SD175







W

Н

| OPEN SET | | | | | | |
|----------------|-----------------------|-----|----|----|------|------|
| RUN TIME HOURS | USABLE CAPACITY (GAL) | L | W | Н | WT | dBA* |
| 10 | 134 | 117 | 50 | 70 | 4764 | |
| 24 | 322 | 117 | 50 | 82 | 5025 | |
| 38 | 510 | 117 | 50 | 94 | 5345 | |
| 51 | 693 | 136 | 53 | 98 | 5575 | 88 |
| 70 | 946 | 208 | 53 | 98 | 7005 | |
| 98 | 1325 | 278 | 53 | 96 | 8020 | |
| 118 | 1325 | 278 | 53 | 96 | 8020 | |

WEATHERPROOF ENCLOSURE

| | | 1 | | | | |
|----------------|-----------------------|-----|----|-----|------|------|
| RUN TIME HOURS | USABLE CAPACITY (GAL) | L | W | Н | WT | dBA* |
| NO TANK | - | 143 | 50 | 68 | 4845 | |
| 10 | 134 | 143 | 50 | 81 | 5629 | |
| 24 | 322 | 143 | 50 | 93 | 5917 | |
| 38 | 510 | 143 | 50 | 105 | 6210 | 81 |
| 51 | 693 | 143 | 53 | 109 | 6440 | |
| 70 | 946 | 208 | 53 | 109 | 7870 | |
| 98 | 1325 | 278 | 53 | 107 | 8885 | |



O

W

LEVEL 1 SOUND ENCLOSURE

| RUN TIME HOURS | USABLE CAPACITY (GAL) | L | W | Н | WT | dBA* |
|----------------|-----------------------|-----|----|-----|------|------|
| NO TANK | - | 168 | 50 | 68 | 5230 | |
| 10 | 134 | 168 | 50 | 81 | 6014 | |
| 24 | 322 | 168 | 50 | 93 | 6302 | |
| 38 | 510 | 168 | 50 | 105 | 6595 | 76 |
| 51 | 693 | 168 | 53 | 109 | 6825 | |
| 70 | 946 | 234 | 53 | 109 | 8255 | |
| 98 | 1325 | 304 | 53 | 107 | 9270 | |

LEVEL 2 SOUND ENCLOSURE

| RUN TIME HOURS | USABLE CAPACITY (GAL) | L | W | Н | WT | dBA* |
|----------------|-----------------------|-----|----|-----|------|------|
| NO TANK | - | 143 | 50 | 92 | 5108 | |
| 10 | 134 | 143 | 50 | 105 | 5892 | |
| 24 | 322 | 143 | 50 | 117 | 6180 | |
| 38 | 510 | 143 | 50 | 129 | 6473 | 73 |
| 51 | 693 | 143 | 53 | 133 | 6703 | |
| 70 | 946 | 208 | 53 | 133 | 8133 | |
| 98 | 1325 | 278 | 53 | 131 | 9148 | |

YOUR FACTORY RECOGNIZED GENERAC INDUSTRIAL DEALER

*All measurements are approximate and for estimation purposes only. Weights are without fuel in tank. Sound levels measured at 23ft (7m) and does not account for ambient site conditions.

Н

Tank Options

L

06

 MDEQ
 OPT

 Florida DERM/DEP
 OPT

Ð

- Chicago Fire Code
 OPT
- O IFC Certification CALL
- O ULC CALL

Other Custom Options Available from your Generac Industrial Power Dealer

Specification characteristics may change without notice. Dimensions and weights are for preliminary purposes only. Please consult a Generac Power Systems Industrial Dealer for detailed installation drawings.

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Engine Run Relay

Accessories

1 of 1



Contacts

Input Voltage

Nominal Power

Resistance

| Туре | DPDT |
|----------|-----------------------------------|
| Material | Silver |
| Rating | UL 10A @ 240VAC 10A @ 30VDC |
| Coils | |

24VDC

1.5 W

400 Ohms

Wiring Diagram, Engine Run Relay with C panel, E panel, H-100 Panel



| N/D | = | ENERGIZED | WHEN |
|-----|---|-----------|---------|
| | | ENGINE IS | RUNNING |
| N/C | = | ENERGIZED | WHEN |
| | | ENGINE IS | STOPPED |
| | | | |

Wiring Diagram, Engine Run Relay with PMDCP



PIN DETAIL





21 Light Remote Annunciator and Remote Relay Panels

Accessories

Model0054650Remote Annunciator Panel without RelaysModel0054660Remote Relay Panel without LED's and Keypad (Relays Only)Model0054640Remote Annunciator Panel with 8 RelaysModel0056370Flush Mount Enclosure w/o Annunciator



Description:

The remote Annunciator Panel provides remote monitoring and annunciation of up to 18 generator parameters using LED's located on the annunciator keypad. It also provides two system level warnings which are System Ready and Communications OK.

The Relay panel has up to 8 selectable functions on form A relays, and multiple relay panels can be connected for all 18 generator parameters.

The specific faults can be selected using either the DIP switches located on the annunciator circuit board or through a computer via the RS232 connection on the circuit board. All relays are energized on power up and open during a fault condition.

Communication is via a RS485 serial data link and power is supplied by the generator battery (+12VDC or +24 VDC)

The remote Annunciator Panel complies with NFPA 99 and NFPA 110.

Environmental Specifications:

| Operating Temperature | -25 ° C to 60 ° C |
|---|---|
| Humidity | |
| Power Supply | Generator Battery, +12 or +24 Volts DC |
| Power Usage | |
| Communication Line | |
| Maximum Cable Length | |
| Relay Output | . One NO contact (Engergized when annunciator is powered and no faults are present) |
| Relay Contact Rating | |
| Enclosure Rating | |
| Alarm Horn (Remote Annunciator Panels Only) | |

1 of 2

2 of 2

21 Light Remote Annunciator and Remote Relay Panels

| Function | Color | Alarm | Latched | |
|---------------------------------|-------------------------------------|-----------------------------|---------|--|
| Pre-low Oil Pressure | Yellow | Yes | Yes | |
| Pre- High Water Temp | Yellow | Yes | Yes | |
| Pre Low Water Temp | Yellow | Yes | Yes | |
| Pre- Low Fuel | Yellow | Yes | Yes | |
| Battery Chrg AC Fail | Yellow | Yes | No | |
| Low Battery Voltage | Yellow | Yes | No | |
| High Battery Voltage | Yellow | No | No | |
| Not in Auto | Red | Yes | No | |
| RPM Sensor Loss | Red | Yes | Yes | |
| Overcrank | Red | Yes | Yes | |
| Over speed | Red | Yes | Yes | |
| Low Oil Pressure | Red | Yes | Yes | |
| Hi Water Temp | Red | Yes | Yes | |
| Lo Water Level | Red | Yes | Yes | |
| Emergency Stop | Red | Yes | No | |
| Gen Running | Yellow | No | No | |
| Gen Power (ATS) | Yellow | No | No | |
| Line Power (ATS) | Green | No | No | |
| Systems Ready | Green | Yes | No | |
| Communications OK | Green | Yes | No | |
| Spare | Green | No | No | |
| Spare Keypad Switch - Can be us | sed to implement a remote start fur | nction. (Model 005464 only) | | |

Surface Mount Annunciator

The 21 Light Annunciator can mount to a flat surface with connections through the 0.812 Dia knockout on the back surface or through 0.812 knockouts on sides as shown.





Flush Mount Annunciator

This Flush Mount Box is recessed into the wall opening and the surface mount annunciator mounts to the (4) 4 mm screw holes on the back surface. After wire connections are made the front annunciator cover is attached.



ALTERNATOR DATA

300kW ALTERNATOR 60 Hz



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GENprotect[™] Seamless protection for industrial power generators.

GENprotect Operation

The design choice of an onsite power system using a Generac Industrial Power Generator assures your emergency power source is protected from unexpected power distribution faults. Typically, a generator will include some type of over-current device, such as a circuit breaker, or be protected by inherent design with the controller protecting the alternator through a protection algorithm. Generac's GENprotect generator protection system monitors the system current output and protects the alternator with extended security against fault scenarios that could occur within the site's downstream distribution system.

It is a common misconception that the alternator's main circuit breaker protects the alternator from a short circuit event. The main output breaker protects the cabling and provides a convenient disconnect. The characteristic trip curve for the industry standard thermal magnetic breaker (MCCB, molded case thermal magnetic or solid state) does not coordinate with the thermal damage limitation for an on-site generator. If circuit breakers are used for generator protection, a solid-state circuit breaker with full adjustments (Long Time, Short Time and Instantaneous, LSI) is required to coordinate the breaker protection curve within the generator thermal damage curve. Historically, this limitation was often accepted in system design since failures of the main generator feeder are extremely rare. Most short circuit events happen at a branch circuit, equipment level, where the fault is easily cleared by the smaller down stream breakers.

Given the mission critical nature of today's back-up power applications, it is more desirable to protect the system against even relatively rare failure modes. As generator controllers have become more powerful it is feasible for manufactures to supply coordinated short circuit protection integral to the generator control system, negating the need for a main-line circuit breaker.

Generac's GENprotect alternator protection algorithm monitors the generator output. If this monitoring senses short circuit current in excess of rated amps, GENprotect steps in to provide a controlled and safe approach to breaker coordination and alternator protection. GENprotect first limits the alternator short circuit current level to 300%. By limiting the available fault current, GENprotect extends the time the alternator can maintain fault current resulting in consistent breaker coordination. Without this functionality a line to neutral fault may be at 800% of rated current and need to be cleared within 1.4 seconds. The second function GENprotect performs is I²T thermal protection for the alternator. Since a short circuit event can heat the alternator so rapidly, it is not possible to protect the alternator by monitoring temperature. Instead GENprotect calculates the heat energy of the fault current. When this energy reaches the limits of NEMA MG1, GENprotect trips the generator off-line. This configuration ensures the alternator is protected and the power system is ensured 10 seconds of 300% fault current for breaker coordination.

DESCRIPTION

- GENprotect is an alternator protection algorithm approved by UL.
- Protects alternator from damage due to shorts and electrical faults.
- Provides breaker coordination and alternator protection.
- Allows for use of multiple circuit breaker choices, including "no" breaker.





Generac I²T Trip Curve



Current in Multiplier of Genset Rating

The above Figure shows the Generac GENprotect thermal protection curve for use in protection and coordination studies. The alternator Thermal Damage Curve is shown just to the right of the GENprotect protection curve. If the alternator load is greater than the thermal damage protection curve for the alternator, the generator set will trip off-line. For example, an overload current of 110% for 75 seconds causes an overload alarm and will trip the generator off-line, shutting down the engine. GENprotect will provide generator protection over a full range of time and current, from instantaneous faults to overloads lasting several minutes. An advantage of GENprotect over a MCCB is that GENprotect allows for downstream breakers to clear faults without tripping the generator off-line, providing selective coordination with the first level of downstream breakers.



1-888-GENERAC

Time in Seconds



LAMINATION SIZE: 520 mm

CALCULATIONS BASED ON WINDING TEMPERATURE OF 475° F BY EMBEDDED THERMOCOUPLE.

0600090SSD REV 8/12







Industrial Genset Battery Index

INDUSTRIAL

Warranty by Exide Corp. • Exide e-mail: tbgna@exide.com • 800-782-7848 National Hotline • Dry Batteries Available**

Industrial Spark-Ignited Gensets - Available Batteries

| System Rattage Quantity | | Generac Part # | | | | | |
|-------------------------|---------|------------------|--------------------|-------------------|--------------------|-------------------|-------------------|
| Engine | Voltage | Dattery Quantity | 058208 (Group 24F) | 077483 (Group 26) | 058665 (Group 27F) | 061119 (Group 31) | 061104 (Group 8D) |
| G2.4 | 12 | 1 | | Х | | | |
| G5.4 | 12 | 1 | Х | | X or D | X or D | |
| G6.8 | 12 | 1 | | | X or D | X or D | |
| G9.0 | 12 | 1 | | | X or D | X or D | |
| G12.9 | 24 | 2 | | | | | X or D |
| G13.3 | 24 | 2 | | | | X or D | X or D |

X = Battery available with electrolyte and installed in genset.

D = Battery available dry and installed in genset.

Industrial Diesel Gensets - Available Batteries

| System System | | Potton Quantity | | ac Part # | | |
|-------------------------|----------------|---------------------|--------------------|--------------------|-------------------|-------------------|
| Engine | Engine Voltage | | 058208 (Group 24F) | 058665 (Group 27F) | 061119 (Group 31) | 061104 (Group 8D) |
| D2.4 Generac | 12 | 1 | | X or D | X or D | |
| D3.4 Generac | 12 | 1 | | X or D | X or D | |
| D4.5 FPT | 12 | 1 | | | X or D | |
| D6.7 FPT 100 & 130kW | 12 | 1 or 2 [†] | | | X or D | |
| D6.7 FPT 150 & 175kW | 12 | 2 [†] | | | X or D | |
| D8.7 FPT | 24 | 2 | | | X or D | |
| D10.3 FPT | 24 | 2 | | | X or D | X or D |
| D12.9 FPT | 24 | 2 | | | X or D | X or D |
| D12.5 Perkins | 24 | 2 | | | | X or D |
| D15.2 Perkins | 24 | 2 | | | | X or D |
| D16.0 Volvo | 24 | 2 | | | X or D | X or D |
| D18.1 Perkins | 24 | 2 | | | | X or D |

X = Battery available with electrolyte and installed in genset.

 $\mathsf{D}=\mathsf{Battery}$ available dry and installed in genset.

† = Single or dual-paralleled battery options are available on 100 & 130kW. Single-battery option not available on 150 & 175kW.

Battery Specifications

| Part Number | Croup Number* | Nominal CCA | Dimensions (inches) Nominal | | | |
|---------------|---------------|-------------|-----------------------------|-------|------|--|
| Fait Nullidei | dioup Number | @ 0° F | А | В | С | |
| 058208 | 24F | 525 | 6.75 | 10.63 | 9.00 | |
| 077483 | 26 | 525 | 6.75 | 8.25 | 7.75 | |
| 058665 | 27F | 700 | 6.75 | 12.50 | 9.00 | |
| 061119 | 31 | 925 | 6.75 | 13.00 | 9.40 | |
| 061104 | 8D | 1155 | 11.12 | 20.75 | 9.88 | |

All batteries are 12 volt, 6 cell construction, lead calcium type. For 24 volt systems, batteries are wired in series.

* BCI Group Size reference.

** Add an "A" suffix to the Generac part number for dry batteries, which are shipped without electrolyte.



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Generator Enclosures



DESCRIPTION

GENERAC POWER SYSTEMS' generator enclosures provide year-round weather protection for your power equipment. Engineered with functionality and value in mind, the enclosure design benefits are unique in that the enclosures utilize dimensionally matched components for either a weather protective configuration or a sound attenuated/acoustic configuration. With common components used between designs, modifications and on-site upgrades can be accomplished with ease.

The enclosure design offers several benefits over the "standard enclosures" of other manufacturers. Generac's enclosures have been created with the goal of maximizing the customer's product performance satisfaction while maintaining the functionality of reducing exterior noise levels and discouraging product tampering.

Although others may require a "premium" for a self-enclosed exhaust system, rugged steel panel construction or protective polyethylene washers under all exterior panel fasteners, Generac includes these and several other features on every enclosure configuration. Be sure to compare. Generac Enclosures offer additional design enhancement extras that other "standard enclosures" do not.

Generator Enclosures

service access

Post-free twin doors Provides large, unobstructed Gasket-free, interconnected roof panel joint Drip-free, maintenance-free

Heavy-gauge, stainless steel, partial pin hinges with nylon spacers Durable, corrosion-free, removable doors



.

Two-point door latch system

> Ensures proper seal preventing water ingress and sound egress





Dense, closed-cell foam insulation with reflective silver Mylar layer Improved sound attenuation without damaging effects from radiant heat exposure



Lockable turn and tuck stainless steel latch handle

Corrosion-free, nonprotruding and secure



2 of 2

Generator Enclosures

3 of 2

Generator Enclosures

| FEATURES: | BENEFITS: |
|---|--|
| Dimensional Matching of acoustic and non-acoustic enclosure designs | Reduces variation in fuel tank pricing, inventory; removes need to |
| | change out fuel tank or retrofit |
| Standardized enclosure components * | Ease of retrofit or upgrade to acoustic system; reduced parts inventory, |
| | costs |
| Enclosure mounted directly to unit baseframe | Simplified delivery and installation with enclosure and unit in single |
| | component design |
| Electrostatically painted panels | Maximum protection from weather elements |
| 12 or 14 gauge steel based on kW rating | Maximum sound attenuation, protection and product life |
| Aluminum Enclosure optional | Prevents corrosion in coastal regions |
| Stainless steel door latch and hinge hardware | Provides extended component life; maximum protection against rusting |
| Stainless steel door latch strike plate | Maximum protection against enclosure paint damage from door latch pin |
| Door hinges utilize slip-pin design | Provides quick door removal for full-unit access |
| Polyethylene gasketing under door hinges | Additional protection for enclosure paint finish |
| ■ Keyed door latches | Protection for equipment and personnel |
| ■ Large removable access doors | Ease of maintenance |
| Relocation of access doors | Provides improved access to MLCB on all units |
| Redesigned door gasketing | Improved sealing quality from sound and weather elements |
| Weather resistant aluminum roof design with drip ledge | Provides optimum moisture/rain runoff from unit |
| Cabled and gasketed radiator access cover | Provides improved radiator access and additional protection from |
| | weather elements |
| Acoustic roof panels manufactured with mechanical retention pins | Increased acoustic foam retention within unit |
| Polyethylene washers under all panel fasteners | Additional paint finish protection from stainless steel fastener |
| Internally fastened enclosure panels (where possible) | Provides streamlined unit appearance |
| Additional roof panel stiffener | Added overall compartment rigidity and acoustic foam panel retention |
| ■ Self-enclosed exhaust system | Provides safe unit operation; no enclosure hot spots; streamlined unit |
| | appearance |
| Discharge air duct has been designed with minimal fasteners | Ease of removal and access to exhaust system |
| Stainless steel exhaust band clamps | Provides extended component life; ensures proper exhaust seal |
| Drain holes within air ducts | Enables maximum water run-off |
| Rodent-proof, tamper proof enclosure design | Safety and security for personnel and equipment |
| Redesigned baseframe lifting lugs | Ease of unit relocation; prevents compartment damage from lifting |
| | straps |
| 150mph wind kit options | Meets locally enforced wind requirements |

* Consult Generac Power Systems, Inc. installation drawings for specific configurations and dimensions.



Stationary Emergency Generator Warranty



GENERAC POWER SYSTEMS STANDARD TWO-YEAR LIMITED WARRANTY FOR STATIONARY EMERGENCY POWER SYSTEMS

NOTE: ALL UNITS MUST HAVE A START-UP INSPECTION PERFORMED BY AN AUTHORIZED GENERAC DEALER. For a period of two (2) years or two thousand (2,000) hours of operation from the date of start-up, which ever occurs first, Generac Power Systems, Inc. will, at its option, repair or replace any part(s) which, upon examination, inspection, and testing by Generac Power Systems or an Authorized/ Certified Generac Power Systems Dealer, or branch thereof, is found to be defective under normal use and service, in accordance with the warranty schedule set forth below. Any equipment that the purchaser/owner claims to be defective must be examined by the nearest Authorized/Certified Generac Power Systems Dealer, or branch thereof. This warranty applies only to Generac Power Systems Generators used in "Stationary Emergency" applications, as Generac Power Systems, Inc. has defined Stationary Emergency, provided said generator has been initially installed and/or inspected on-site by an Authorized/Certified Generac Power Systems Dealer, or branch thereof. Scheduled maintenance, as outlined by the generator owner's manual, must be performed by an Authorized/Certified Generac Power Systems Dealer, or branch thereof. This will verify service has been performed on the unit throughout the warranty period. This warranty is limited to and available only on Liquid-cooled units.

WARRANTY SCHEDULE

YEAR ONE — One hundred percent (100%) coverage on mileage, labor, and parts listed. • ALL COMPONENTS — ENGINE, ALTERNATOR, AND TRANSFER SWITCH YEAR TWO — One hundred percent (100%) coverage on parts listed.

• ALL COMPONENTS - ENGINE, ALTERNATOR, AND TRANSFER SWITCH PARTS ONLY

Gearbox Equipped Units - Limited Gearbox Coverage

YEARS ONE THROUGH FIVE — Parts and labor coverage on gearbox and components. YEARS SIX THROUGH TEN — Parts only coverage on gearbox and components.

Guidelines:

Warranty only applies to permanently wired and mounted units.

 Any and all warranty repairs and/or concerns, must be performed and/or addressed by an Authorized/Certified Generac Power Systems Dealer, or branch thereof.

- A Generac Power Systems, Inc. Transfer Switch is highly recommended to be used in conjunction with the generator set. If a Non-Generac Power
- Systems, Inc. Transfer Switch is substituted for use and directly causes damage to the generator set, no warranty coverage shall apply.
- All warranty expense allowances are subject to the conditions defined in Generac Power Systems Warranty, Policies, Procedures and Flat Rate
- Manual.
- Units that have been resold are not covered under the Generac Power Systems Warranty, as this Warranty is not transferable except with change of
 ownership of original structure.
- Unit enclosure is only covered during the first year of the warranty provision.

Use of Non-Generac replacement part(s) will void the warranty in its entirety.

• Engine coolant heaters (block-heaters), heater controls and circulating pumps are only covered during the first year of the warranty provision.

THIS WARRANTY SHALL NOT APPLY TO THE FOLLOWING:

- 1. Any unit built/manufactured prior to July 1, 2004.
- 2. Costs of normal maintenance (i.e. tune-ups, associated part(s), adjustments, loose/leaking clamps, installation and start-up).
- 3. Any failure caused by contaminated fuels, oils, coolants/antifreeze or lack of proper fuels, oils or coolants/antifreeze.
- 4. Units sold, rated or used for "Prime Power", "Trailer Mounted" or "Rental Unit" applications as Generac Power Systems has defined Prime Power, Trailer Mounted or Rental Unit. Contact a Generac Power Systems Distributor for Prime Power, Trailer Mounted or Rental Unit definition.
- 5. Failures caused by any act of God and other force majeure events beyond the manufactures control.
- 6. Products that are modified or altered in a manner not authorized by Generac Power Systems in writing.
- 7. Failures due, but not limited to, normal wear and tear, accident, misuse, abuse, negligence, or improper installation or sizing.
- 8. Any incidental, consequential or indirect damages caused by defects in materials or workmanship, or any delay in repair or replacement of the defective part(s).
- 9. Damage related to rodent and/or insect infestation.
- 10. Failure due to misapplication, misrepresentation, or bi-fuel conversion.
- 11. Telephone, facsimile, cellular phone, satellite, Internet, or any other communication expenses.
- 12. Rental equipment used while warranty repairs are being performed (i.e. rental generators, cranes, etc.).
- 13. Overtime, holiday, or emergency labor.
- 14. Modes of transportation deemed abnormal (refer to Generac Power Systems Warranty, Policies, Procedures and Flat Rate Manual).
- 15. Steel enclosures that are rusting due to improper installation, location in a harsh or saltwater environment or scratched where integrity of paint applied is compromised.
- 16. Any and all expenses incurred investigating performance complaints unless defective Generac materials and/or workmanship were the direct cause of the problem.
- 17. Starting batteries, fuses, light bulbs, engine fluids, and overnight freight cost for replacement part(s).

THIS WARRANTY IS IN PLACE OF ALL OTHER WARRANTIES, EXPRESSED OR IMPLIED, SPECIFICALLY, GENERAC POWER SYSTEMS MAKES NO OTHER WARRANTIES AS TO THE MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE. Any implied warranties which are allowed by law, shall be limited in duration to the terms of the express warranty provided herein. Some states do not allow limitations on how long an implied warranty lasts, so the above limitation may not apply to purchaser/owner.

GENERAC POWER SYSTEMS ONLY LIABILITY SHALL BE THE REPAIR OR REPLACEMENT OF PART(S) AS STATED ABOVE. IN NO EVENT SHALL GENERAC POWER SYSTEMS BE LIABLE FOR ANY INCIDENTAL, OR CONSEQUENTIAL DAMAGES, EVEN IF SUCH DAMAGES ARE A DIRECT RESULT OF GENERAC POWER SYSTEMS, INC. NEGLIGENCE.

Some states do not allow the exclusion or limitation of incidental or consequential damages, so the above limitations may not apply to purchaser/ owner. Purchaser/owner agrees to make no claims against Generac Power Systems, Inc. based on negligence. This warranty gives purchaser/owner specific legal rights. Purchaser/owner also may have other rights that vary from state to state.

> Generac Power Systems, Inc. · P.O. Box 8 · Waukesha, WI 53187 Ph: (262) 544-4811 · Fax: (262) 544-4851 1-888-GENERAC (1-888-436-3722) Bulletin 0166290SBY Rev. A / Printed in U.S.A. 10.08



Generator Set Standard

1 of 1



Spec Sheet



Generac's RhinoCoat[™] finish system provides superior durability as a standard for all Generac industrial enclosures, tanks and frames.*

testing standard

Generac's RhinoCoat[™] finished surfaces are subjected to numerous tests. These include:

- ASTM D 3363 92a..... ADEQUATE MATERIAL HARDNESS
- ASTM D 522 B..... RESISTANT TO CRACKING
- ASTM D 3359 B..... EXCEPTIONAL ADHESION
- ASTM B117 D 1654..... RESISTANT TO SALT WATER CORROSION
- ASTM D1735 D 1654..... RESISTANT TO HUMIDITY
- ASTM 2794 93 (2004)..... EXCEPTIONAL IMPACT RESISTANCE
- SAE J1690 UV SPECIFICATIONS...... UV PROTECTION

In addition to the testing standards above, Generac adds the following test requirements more specific to generator applications:

- **RESISTANT TO TYPICAL OILS**
- **RESISTANT TO TYPICAL FUELS**
- **RESISTANT TO TYPICAL ANTIFREEZE**
- **RESISTANT TO DISTILLED WATER**

primary codes and standards



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2.5A & 10A Battery Chargers H-Panel & PM-DCP Panels

Accessories





Battery Charger shown from inside of Control Panel Enclosure. Connections are made via an attached harness.

| Specifications | 2.5 Amp | 10 Amp |
|--|---------------------|---------------------|
| Nominal Input | 120 VAC | 120 VAC |
| Operating AC Line Voltage Range | 108 to 132 Volts AC | 108 to 132 Volts AC |
| Input AC Line Frequency | 50/60 Hz | 50/60 Hz |
| Battery Fuse | N/A | 15 Amps |
| Nominal Charge Rate | 2.5 Amps | 10 Amps |
| Equalize Voltage | | 13.8/27.6 Volts |
| Float Voltage | 13.4 | 13.0/26.0 |
| Current @ Equalize to Float Transition | on | 5 Amps |
| Battery Under-voltage shutdown | N/A | 11/22 Volts |
| LED Indicators | | |
| AC Line Voltage | N/A | Green LED |
| Battery Connected and Charging | N/A | Yellow LED |
| Battery Current Drain | 30 milliamp | 30 milliamp |
| AC Line Connection | Connector Plug | Connector Plug |
| Battery Connection | Connector Plug | Connector Plug |
| Control Connection | | AC Power Fail Relay |
| | | Form C 2 Amp Rating |
| CUL Recognized | Yes | Yes |
| NFPA110 Compliant | No | Yes |

The Generac 2.5A 12 volt and 10A 12/24 volt battery chargers are designed to work with the H and PM-DCP control panels to provide the ultimate in automatic battery voltage maintenance.

The 2.5 amp charger is self-regulating and produces instantaneous output current adjustments to keep the battery charged to an optimum level. Battery voltage is read on the control panel digital display.

The 10 amp charger has automatic float and equalize control. It precisely monitors the battery's voltage and automatically activates the correct charging mode. The charge rate is limited and controlled to efficiently and safely maintain ideal battery levels under varying conditions.

The equalize system uses a control circuit to limit charging current to 10 amps. When battery voltage drops below a preset level, charging current increases to 5 amps and then to the 10 amp charge rate if needed. When the battery reaches maximum charge, the charger switches to float mode to supply just enough current to maintain the battery at or above 13/26 volts. Battery voltage and charging current are read at the control panel digital display.





ENGINE OPTIONS

BATTERY WARMER PAD

Battery warmer pads are designed to keep batteries warm and ensure maximum engine cranking speed in cold climate installations. Warming pads are thermostatically controlled, UL listed and factory-wired. 120VAC is supplied to the warming pad junction box via power cord plugged into a GFCI receptacle or hard-wired to a circuit breaker in a genset-mounted load panel (if so equipped).



TYPICAL 12V SYSTEM

TYPICAL 24V SYSTEM



ENGINE OPTIONS

COOLANT HEATERS

| GENERAC PART NUMBER | AVAILABLE RATINGS | | | | | | | |
|---------------------------|----------------------|-------|------|------|-----------------------|-----------------------|------------------|--|
| | VOLTS | WATTS | AMPS | онмѕ | WATTS PER SQ/IN | TEMP. RANGE | PLUG NEMA STD | |
| 084918 | 120 | 500 | 4.1 | 28.8 | 51 | | 5-15P | |
| 084918A | 120 | 1000 | 8.3 | 14.4 | 101 | ON 100°F OFF 120°F | 5-15P | |
| 084918B | 240 | 1000 | 4.1 | 57.6 | 101 | | 6-15P | |
| 084918C | 120 | 1800 | 15.0 | 8.3 | 117 | | 5-20P | |
| 084918D | 240 | 2000 | 8.3 | 28.8 | 130 | | 6-15P | |
| 084918E | 120 | 1000 | 8.3 | 14.4 | 101 | ON 80°F OFF 100°F | 5-15P | |
| 084918F | 120 | 1800 | 15.0 | 8.3 | 117 | ON 120°F OFF 140°F | 5-20P | |
| 084918G | 120 | 1500 | 12.5 | 9.6 | 98 | ON 100°F OFF 120°F | 5-15P | |
| 084918H | 240 | 1500 | 6.25 | 38.4 | 98 | ON 100°F OFF 120°F | 6-15P | |
| 084918J | 120 | 500 | 4.1 | 28.8 | 51 | ON 80°F OFF 100°F | 5-15P | |
| 084918K | 240 | 1000 | 4.1 | 57.6 | 101 | ON 80°F OFF 100°F | 6-15P | |
| 084918L | 120 | 1500 | 12.5 | 9.6 | 98 | ON 80°F OFF 100°F | 5-15P | |
| 084918M | 240 | 1500 | 6.3 | 38.1 | 98 | ON 80°F OFF 100°F | 6-15P | |
| 084918N | 240 | 2000 | 8.3 | 28.8 | 130 | ON 80°F OFF 100°F | 6-15P | |
| 084918P | 120 | 1000 | 8.3 | 14.4 | 101 | ON 60°F OFF 80°F | 5-15P | |
| 084918Q | 120 | 1500 | 12.5 | 9.6 | 98 | ON 60°F | 5-15P | |







HESEBARB 5/8" I.D. HESE 2 PLACES

1-45

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MM [INCHES]



NEMA PLUG CONFIGURATIONS



120V 15A PLUG NEMA 5-15P

120V 20A PLUG NEMA 5-20P

240V 15A PLUG NEMA 6-15P

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CRITICAL GRADE MUFFLER



NDTES: APPRDXIMATE WEIGHT = 54 LBS. INSERTION LOSS = 18-20 dBA MATERIAL: ALUMINIZED STEEL FINISH: HI-TEMP BLACK PAINT

DIMENSIONS: mm [INCHES]

GROUP F



EXPLODED VIEW: EXHAUST 6.7L G17 150/175KW DRAWING #:0H3104 APPLICABLE TO:

| ITEM | PART# | QTY. | DESCRIPTION | |
|--------|-------------|------|----------------------------------|--|
| 1 | 000653 | 1 | | |
| 2 | 009000 | 1 | WEI DMENT EXHAUST 6.7 G17 200KW | |
| 3 | 045215D | 1 | PIPE FLEX 4 DIA | |
| 4 | 0E5216 | 2 | BRACKET MUEELER MOUNTING | |
| 5 | 005665 | 4 | CLAMP MUEELER | |
| 6 | 009643 | 1 | TUBE STRAIGHT 5 DIA | |
| 7 | 0096470 | 1 | TUBE 90 DEG ELBOW 4" DIA | |
| 8 | 065805 | 1 | CAP RAIN 5 DIA | |
| 9 | 0C5668 | 1 | BOLT U 5/16-18 X 5 | |
| 10 | 055173 | 4 | SCREW HHC M8-1 25 X 20 G10 9 | |
| 11 | 022145 | 12 | WASHER FLAT M8-5/16 ZINC | |
| 12 | 022129 | 4 | WASHER LOCK M8-5/16 | |
| 13 | 022131 | 8 | WASHER FLAT M10-3/8 ZINC | |
| 14 | 046526 | 8 | WASHER LOCK M10 | |
| 15 | 0C8403AAS0R | 1 | SHIELD R/H C-GRP MUFFLER HEAT | |
| 16 | 0C3433C | 2 | BAND CLAMP 4 DIA | |
| 17 | 051756 | 8 | SCREW HHC M10-1.5 X 20 G8.8 | |
| 18 | 0C2454 | 2 | SCREW TH-FRM M6-1 X 16 N WA Z/JS | |
| 19 | 0C5737 | 2 | BRACKET EXHAUST SHIELD | |
| 20 | 083468 | 1 | BOLT U 3/8-16 X 4 | |
| (1) 21 | 0F9911B | 1 | BLANKET EXHAUST 6.8L 200KW | |
| 22 | 039287 | 4 | SCREW HHC M8-1.25 X 45 G8.8 FT | |
| 23 | 049820 | 4 | NUT LOCK HEX M8-1.25 NYL INS | |
| 24 | 0H5009C | REF | KIT 6.7L H.O. G17 ENGINE | |

(1) BLANKET USED TO COVER ITEMS 2, 3 & 16.






















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| 9A CT1 3A CT1 0 CUST CON 17 COM-2 17 LD 5 ES1 6 FT | GEN A PH CURRENT - GEN A PH CURRENT + RS485+ (XFER SW) RS232 RX (GENLINK) LEAK DETECTOR EMERGENCY STOP V SENSE GEN C PH AVR GROUND AVR GRE TE TRIGGER A |
| 00 CUST CON 17 COM-2 17 LD 5 ES1 6 FT | Den A PT UURGENI + R5485+ (XFER SW) R5232 RX (GENLINK) LEAK DETECTOR EMERGENCY STOP V SENSE GEN C PH AVR GROUND AVR GROUND AVR GRE TRIGGER A |
| 7 COM-2 7 LD 5 ES1 | RS232 RX (GENLINK) LEAK DETECTOR EMERGENCY STOP V SENSE GEN C PH AVR GROUND AVR GROUND AVR GATE TRIGGER A |
| 5 ES1 | EMERGENCY STOP V SENSE GEN C PH AVR GROUND AVR GATE TRIGGER A |
| ·C ICT | V SENSE GEN C PH AVR GROUND AVR GATE TRIGGER A |
| 0 IFI | AVR GATE TRIGGER A |
| 4 AVR-7 | |
| 8 RB3A-6 | SPARE OUTPUT 4 |
| 5 RB3A-2 | SPARE OUTPUT 1 |
| D CUST CON | RS485 DRAIN (XFER SW) RS232 COM (GENLINK) |
| 4 CUST CON | SPARE IN 4/GEN PWR |
| 5 BCH | MANUAL START |
| 5 IFT | V SENSE GEN B PH |
| 4 AVR-10 | AVR 2ERU CRUSSING 1/P AVR +12VDC |
| 7 RB3A-5 | SPARE OUTPUT 3 |
| 3B CT2 | GEN B PH CURRENT+ |
| NECTIONS NOT US | SED IN 10 UNITS. |
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SYSTEM INTERCONNECTION

CONTROL INTERCONNECTIONS H-PANEL

NOTES:

1) SPARE OUTPUTS ARE STANDARD ON INDUSTRIAL PRODUCT ONLY. GENLINK™ REQUIRED FOR PROGRAMMING.

2) TB4 MAX WIRE SIZE: #10 AWG, RECOMMENDED TIGHTENING TORQUE: 14 LB-IN

3) TB1, TB2 & RB3 MAX WIRE SIZE: #14 AWG RECOMMENDED TIGHTENING TORQUE: 12 LB-IN

4) REFER TO H-PANEL MANUAL FOR INSTRUCTIONS ON ENABLING HTS TRANSFER SWITCH. REFER TO HTS TRANSFER SWITCH MANUAL FOR DIP SWITCH SETTINGS FOR MULTIPLE HTS APPLICATIONS.

5) CONNECT THE RS485 OVERALL SHIELD AT GENSET CONNECTION TERMINAL ONLY.



HIGH VOLTAGE CONNECTION PANEL



Generac Power Systems, Inc. • S45 W29290 HWY. 59, Waukesha, WI 53189 • generac.com

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Sound Test ResultsGenset:SD175 6.7L lvecoEnclosure:Weather Protected Enclosure



Measured Sound Levels - 60 Hz



Notes:

1. All positions 23 ft (7M) from side faces of generator set.

2. Generator operating at full load.

3. Test conducted on a 100 foot diameter asphault surface.

4. Non-enclosed sets do not include exhaust sound during testing.

GENERAC

STATEMENT OF EXHAUST EMISSIONS 2012 IVECO DIESEL FUELED GENERATOR

The measured emission values provided here are proprietary to Generac and its' authorized dealers. This information may only be disseminated upon request, to regulatory governmental bodies for emissions permitting purposes or to specifying organizations as submittal data when expressly required by project specifications, and shall remain confidential and not open to public viewing. This information is not intended for compilation or sales purposes and may not be used as such, nor may it be reproduced without the expressed written permission of Generac Power Systems, Inc. The data provided shall not be meant to include information made public by Generac.

| Generator Model: kW _e Rating: | SD175 175 | Aspiration: Rated RPM: | Turbocharged/Aftercooled 1800 RPM |
|---|--------------|---------------------------|-----------------------------------|
| Engine Family: | CFPXL06.7DGS | EPA Certificate #: | CFPXL06.7DGS-016 |
| Engine Model: | F4HE9685A*J | CARB Certificate #: | Not Applicable |
| Rated Engine Power (BHP)*: | 279 | SCAQMD CEP #: | 511717 |
| Fuel Consumption (gal/hr)*: | 13.5 | Emission Std. Category: | Tier 3 |

*Engine Power and Fuel Consumption are declared by the Engine Manufacturer of Record and the U.S. EPA.

| Emissions based on declared Rated BHP of specific Engine Models. (These values are Actual Exhaust Emissions during a 5-Mode test based on declared Rated BHP.) | | | | | | | | |
|---|------------|------|--------------|--|--|--|--|--|
| CO | NOx + NMHC | PM | | | | | | |
| 1.2 | 3.8 | 0.08 | Grams/kW-hr | | | | | |
| 0.9 | 2.8 | 0.06 | Grams/bhp-hr | | | | | |

•The stated values are actual exhaust emission test measurements obtained from an engine representative of the type described above.

•Values based on 5-mode testing are official data of record as submitted to regulatory agencies for certification purposes. Testing was conducted in accordance with prevailing EPA & CARB protocols, which are typically accepted by SCAQMD and other regional authorities.

•No emission values provided above are to be construed as guarantees of emission levels for any given Generac generator unit.

•Generac Power Systems reserves the right to revise this information without prior notice.

•Consult state and local regulatory agencies for specific permitting requirements.

•The emission performance data supplied by the equipment manufacturer is only one element required toward completion of the permitting and installation process. State and local regulations may vary on a case-by-case basis and must be consulted by the permit applicant/equipment owner prior to equipment purchase or installation. The data supplied herein by Generac Power Systems cannot be construed as a guarantee of installability of the generating set.

INDUSTRIAL SALES P.O. BOX 8 WAUKESHA, WI 53187 262-544-4800 FAX 262-544-4854



EXHAUST EMISSIONS DATA

STATEMENT OF EXHAUST EMISSIONS 2013 FPT DIESEL FUELED GENERATOR

The measured emissions values provided here are proprietary to Generac and it's authorized dealers. This information may only be disseminated upon request, to regulatory governmental bodies for emissions permitting purposes or to specifying organizations as submittal data when expressly required by project specifications, and shall remain confidential and not open to public viewing. This information is not intended for compilation or sales purposes and may not be used as such, nor may it be reproduced without the expressed written permission of Generac Power Systems, Inc. The data provided shall not be meant to include information made public by Generac.

| Generator Model: | SD175 | EPA Certificate Number: | DFPXL06.7DGS-005 |
|-----------------------------|-------------------|-----------------------------|-------------------------------|
| kW _e Rating: | 175 | CARB Certificate Number: | Not Applicable |
| Engine Family: | DFPXL06.7DGS | SCAQMD CEP Number: | 511717 |
| Engine Model: | F4HE9685A*J | Emission Standard Category: | Tier 3 |
| Rated Engine Power (BHP)*: | 279 | Certification Type: | Stationary Emergency CI |
| Fuel Consumption (gal/hr)*: | 13.5 | | (40 CFR Part 60 Subpart IIII) |
| Aspiration: | Turbo/Aftercooled | | |
| Rated RPM: | 1800 | | |

*Engine Power and Fuel Consumption are declared by the Engine Manufacturer of Record and the U.S. EPA.



•The stated values are actual exhaust emission test measurements obtained from an engine representative of the type described above.

•Values based on 5-mode testing are official data of record as submitted to regulatory agencies for certification purposes. Testing was conducted in accordance with prevailing EPA protocol, which is typically accepted by SCAQMD and other regional authorities.

•No emissions values provided above are to be construed as guarantees of emission levels for any given Generac generator unit.

•Generac Power Systems, Inc. reserves the right to revise this information without prior notice.

•Consult state and local regulatory agencies for specific permitting requirements.

•The emission performance data supplied by the equipment manufacturer is only one element required toward completion of the permitting and installation process. State and local regulations may vary on a case-by-case basis and local agencies must be consulted by the permit application/equipment owner prior to equipment purchase or installation. The data supplied herein by Generac Power Systems cannot be construed as a guarantee of installability of the generating set.

SECTION 310516

AGGREGATES FOR EARTHWORK (BY OWNER)

PART 1 GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Aggregate materials for fill, drainage, and grading purposes
- B. Related Sections:
 - 1. Section 312317 Trenching

1.2 REFERENCES

- A. American Association of State Highway and Transportation Officials:
 - 1. AASHTO M147 Standard Specification for Materials for Aggregate and Soil-Aggregate Subbase, Base and Surface Courses.
 - 2. AASHTO T180 Standard Specification for Moisture-Density Relations of Soils Using a 4.54-kg (10-lb) Rammer and a 457-mm (18-in.) Drop.

B. ASTM International:

- 1. ASTM C136 Standard Test Method for Sieve Analysis of Fine and Coarse Aggregates.
- 2. ASTM D698 Standard Test Method for Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/ft3 (600 kN-m/m3)).
- 3. ASTM D1557 Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft3 (2,700 kN-m/m3)).
- 4. ASTM D2167 Test Method for Density and Unit Weight of Soil in Place by the Rubber Balloon Method.
- 5. ASTM D2487 Standard Classification of Soils for Engineering Purposes (Unified Soil Classification System).
- 6. ASTM D4318 Standard Test Method for Liquid Limit, Plastic Limit, and Plasticity Index of Soils.
- ASTM D6938 In Place Density and Water Content of Soil and Soil-Aggregate by Nuclear Methods (Shallow Depth).
- 8. The term "Standard Specification" as used in this section shall mean the Standard Specifications, Construction and Materials, New York State Department of Transportation, Design and Construction Division, latest version and including all addendums thereto. Where the Standard Specifications are cited such work or material shall conform in every respect except for "Method of Payment" or if cited otherwise herein.

1.3 SUBMITTALS

A. Section 013300 - Submittal Procedures: Requirements for submittals.

- B. Samples: Submit, in air-tight containers, 50 lb sample of each type of fill to testing laboratory.
- C. Materials Source: Submit name of imported materials suppliers.

1.4 QUALITY ASSURANCE

A. Furnish each aggregate material from single source throughout the Work.

PART 2 PRODUCTS

2.1 FINE AGGREGATE MATERIALS

- A. Granular Fill: Clean, screened, crushed, or bank-run gravel conforming to the following gradation:
- B. NYS DOT Item 203.20: Select Granular: Conforming to the Standard Specifications, graded in accordance with ASTM C136, conforming to the following gradation: <u>Sieve Size</u> Percent Passing

| ¹ /4" inch | 30-100 |
|-----------------------|--------|
| No. 40 | 0-50 |
| No. 200 | 0-10 |

2.2 SOURCE QUALITY CONTROL

- A. Section 014000 Quality Requirements: Testing and inspection services.
- B. Fine Aggregate Material Testing and Analysis: Perform in accordance with applicable ASTM Standard (i.e., ASTM D698, ASTM D1557, ASTM D4318, ASTM C136).
- C. When tests indicate materials do not meet specified requirements, change material and retest.
- D. With the exception of D above, furnish materials of each type form the same source throughout the Work.

PART 3 EXECUTION

3.1 STOCKPILING

- A. Stockpile materials on site at locations designated by Engineer.
- B. Stockpile in sufficient quantities to meet Project schedule and requirements.
- C. Separate different aggregate materials with dividers or stockpile individually to prevent mixing.
- D. Direct surface water away from stockpile site to prevent erosion or deterioration of materials.

- E. Stockpile hazardous materials on impervious material and cover to prevent erosion and leaching, until disposed of.
- F. Maintain stockpile materials in accordance with the SWPPP (as applicable) the Plans.

3.2 STOCKPILE CLEANUP

- A. Remove stockpile, leave area in clean and neat condition. Grade site surface to prevent free standing surface water.
- B. When borrow area is indicated, leave area in clean and neat condition. Grade site surface to prevent free standing surface water.

END OF SECTION

SECTION 312316

EXCAVATION (BY OWNER)

PART 1 GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Soil densification.
 - 2. Excavating for building foundations.
 - 3. Excavating for slabs-on-grade.
 - 4. Excavating for site structures and manholes.
 - 5. Excavating for underground utilities.
- B. Related Sections:
 - 1. Section 312317 Trenching

1.2 REFERENCES

- A. Local utility standards when working within 24 inches of utility lines.
- B. ASTM D698, Moisture-Density Relations of Soils and Soil Aggregate Mixtures, Using a 5.5-lb Rammer and a12 inch Drop.
- C. ASTM D1556, Density of Soil In-Place by the Sand-Cone Method.
- D. ASTM D2049, Relative Density of Cohesionless Soils.
- E. ASTM D2167, Density of Soil in Place by the Rubber-Balloon Method.
- F. ASTM D2922, Density of Soil and Soil Aggregate in Place by Nuclear Methods (Shallow Depth).
- G. The term "Standard Specification" as used in this section shall mean the Standard Specifications, Construction and Materials, New York State Department of Transportation, Design and Construction Division, latest version and including all addendums thereto. Where the Standard Specifications are cited such work or material shall conform in every respect except for "Method of Payment" or if cited otherwise herein.

1.3 SUBMITTALS

A. Section 013300 - Submittal Procedures: Requirements for submittals.

B. Excavation Protection Plan: Describe sheeting, shoring, and bracing materials and installation required to protect excavations and adjacent structures and property; include structural calculations to support plan.

1.4 QUALITY ASSURANCE

- A. It is the Contractor's responsibility to advise the Engineer, Geotechnical Engineer, and Owner sufficiently in advance of work to allow scheduling of required observers. Contractor must also coordinate daily testing with the testing agency which is engaged by the Owner.
- B. Do not proceed with filling operations or foundation construction until the subgrade has been approved by the Geotechnical Engineer.
- C. The Contractor must be cognizant of impending weather conditions and schedule the work in order to avoid disturbances of subgrade by precipitation or freezing. No additional compensation will be provided for correction of saturated or frozen subgrades.
- D. Compacted material which does not meet density requirements shall be re-compacted or removed and replaced at contractor's total expense. It shall be retested at the contractor's total expense until it meets the requirements.

PART 2 PRODUCTS

Not Used.

PART 3 EXECUTION

- 3.1 PREPARATION
 - A. Identify required lines, levels, contours, and datum locations.
 - B. Notify utility company to remove and relocate utilities.
 - C. Protect utilities indicated to remain from damage.
 - D. Protect plant life, lawns, and other features remaining as portion of final landscaping.
 - E. Protect bench marks, survey control points, existing structures, fences, sidewalks, paving, and curbs from excavating equipment and vehicular traffic.

3.2 EXCAVATION

A. Underpin adjacent structures which may be damaged by excavation work. Provide sheeting, shoring or bracing to protect excavations from failing or settlements of adjacent structures.

- B. Excavate subsoil to accommodate site structure foundations, slabs-on-grade, paving, manholes and construction operations.
- C. Strip footings or foundation mats bearing near or below the water table shall bear on 6 inches of NYS DOT # 2 crushed stone. Crushed stone shall be placed on undisturbed insitu soils as the excavation progresses and compacted with a minimum of four passes of a diesel powered vibratory plate tamper. It shall extend a minimum of 2 feet beyond the edges of the foundation mat or footings. This work must be performed under the observation of the Engineer. There is a possibility that liquefaction and/or pumping of insitu soils could occur due to the vibratory action. In this case, vibrating compaction will be waived.
- D. Slope banks with machine to angle of repose or less until shored.
- E. Do not interfere with 45 degree bearing splay of foundations.
- F. Grade top perimeter of excavation to prevent surface water from draining into excavation.
- G. Hand trim excavation. Remove loose matter.
- H. Remove lumped subsoil, boulders, and rock up to $1\frac{1}{2}$ cu yd measured by volume.
- I. Notify Engineer of unexpected subsurface conditions and discontinue affected Work in area until notified to resume Work.
- J. Excavated material may be used as structural backfill provided it complies with specification requirements. Remove excess excavated materials from the site.
- K. Correct areas over excavated within the building footprint with structural fill or concrete specified in Section 312323 as directed by Engineer.
- L. Remove excess and unsuitable material from site.
- M. Stockpile excavated material in area designated on site in accordance with Section 310513.
- N. Repair or replace items indicated to remain damaged by excavation.

3.3 FIELD QUALITY CONTROL

- A. Section 017000 Execution and Closeout Requirements: Field inspecting, testing, adjusting, and balancing.
- B. Request visual inspection of bearing surfaces by Geotechnical Engineer before installing subsequent work.

3.4 **PROTECTION**

- A. Prevent displacement or loose soil from falling into excavation; maintain soil stability.
- B. Protect bottom of excavations and soil adjacent to and beneath foundation from freezing.
- C. Protect structures, utilities and other facilities from damage caused by settlement, lateral movement, undermining, washout, and other hazards created by earth operations.

END OF SECTION

SECTION 312317

TRENCHING (BY OWNER)

PART 1 GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Excavating trenches for utilities.
 - 2. Compacted fill from top of utility bedding to subgrade elevations.
 - 3. Backfilling and compaction.
- B. Related Sections:
 - 1. Section 312316 Excavation

1.2 REFERENCES

- A. American Association of State Highway and Transportation Officials:
 - 1. AASHTO T180 Standard Specification for Moisture-Density Relations of Soils Using a 4.54-kg (10-lb) Rammer and a 457-mm (18-in.) Drop.
- B. ASTM International:
 - 1. ASTM D698 Standard Test Method for Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/ft3 (600 kN-m/m3)).
 - 2. ASTM D1556 Standard Test Method for Density and Unit Weight of Soil in Place by the Sand-Cone Method.
 - 3. ASTM D1557 Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Modified Effort (56,000 ft-lbf/ft3 (2,700 kN-m/m3)).
 - 4. ASTM D2167 Standard Test Method for Density and Unit Weight of Soil in Place by the Rubber Balloon Method.
 - 5. ASTM D2922 Standard Test Method for Density of Soil and Soil-Aggregate in Place by Nuclear Methods (Shallow Depth).
 - 6. ASTM D3017 Standard Test Method for Water Content of Soil and Rock in Place by Nuclear Methods (Shallow Depth).
- C. The term "Standard Specification" as used in this section shall mean the Standard Specifications, Construction and Materials, New York State Department of Transportation, Design and Construction Division, latest version and including all addendums thereto. Where the Standard Specifications are cited such work or material shall conform in every respect except for "Method of Payment" or if cited otherwise herein.

1.3 DEFINITIONS

A. Utility: Any buried pipe, duct, conduit, or cable.

1.4 SUBMITTALS

- A. Section 013300 Submittal Procedures: Requirements for submittals.
- B. Product Data: Submit data for geotextile fabric indicating fabric and construction.

1.5 FIELD MEASUREMENTS

A. Verify field measurements prior to fabrication.

1.6 COORDINATION

- A. Section 013000 Administrative Requirements: Coordination and project conditions.
- B. Verify Work associated with lower elevation utilities is complete before placing higher elevation utilities.

PART 2 PRODUCTS

2.1 FILL MATERIALS

- A. Engineered Structural Fill: As specified in Section 310516.
- B. NYS DOT Item 304.12, Type 2: As specified in Section 310516.
- C. Select Granular Fill: As specified in Section 310516.
- D. Fine Granular Fill: As specified in Section 310516.
- E. Concrete: Class A.

PART 3 EXECUTION

3.1 LINES AND GRADES

- A. Lay pipes to lines and grades indicated on Drawings.
 - 1. Engineer reserves right to make changes in lines, grades, and depths of utilities when changes are required for Project conditions.
 - 2. When located beyond "Dig Safely New York" jurisdiction (i.e., private property), contract locating service (at Contractor's expense) to identify underground utilities beyond "Dig Safely New York" jurisdiction.
- B. Use laser-beam instrument with qualified operator to establish lines and grades.

3.2 PREPARATION

- A. Contact Local Utility Line Information service at "Dig Safely New York" (www.digsafelyny.org) not less than five working days before performing Work.
 - 1. Request underground utilities to be located and marked within and surrounding construction areas.

- B. Identify required lines, levels, contours, and datum locations.
- C. Protect plant life, lawns, and other features remaining as portion of final landscaping.
- D. Protect bench marks, existing structures, fences, sidewalks, paving, and curbs from excavating equipment and vehicular traffic.
- E. Maintain and protect above and below grade utilities indicated to remain.
- F. Establish temporary traffic control and detours when trenching is performed in public right-ofway. Relocate controls and reroute traffic as required during progress of Work.

3.3 TRENCHING

- A. Excavate subsoil required for utilities to utility service or existing building connection point.
- B. Remove lumped subsoil, boulders, and rock up of 1-1/2 cubic yard, measured by volume. Remove rock larger than 1½ cubic yards at the direction of the Engineer.
- C. Perform excavation (60) inches within existing utility service in accordance with utility's requirements.
- D. Do not advance open trench more than (20) feet ahead of installed pipe.
- E. Cut trenches sufficiently wide to enable installation and allow inspection. Remove water or materials that interfere with Work.
- F. Excavate bottom of trenches maximum 2 feet wider than outside diameter of pipe.
- G. Excavate trenches to depth indicated on Drawings. Provide uniform and continuous bearing and support for bedding material and pipe utilities.
- H. Do not interfere with 45 degree bearing splay of foundations.
- I. When Project conditions permit, slope side walls of excavation starting 2 feet above top of pipe. When side walls can not be sloped, provide sheeting and shoring to protect excavation as specified in this section.
- J. When subsurface materials at bottom of trench are loose or soft, excavate to greater depth as directed by Engineer until suitable material is encountered.
- K. Cut out soft areas of subgrade not capable of compaction in place. Backfill with NYS DOT #2 stone (unless directed otherwise by Owner's Engineer) and compact to density equal to or greater than requirements for subsequent backfill material.
- L. Trim excavation. Hand trim for bell and spigot pipe joints. Remove loose matter.
- M. Correct areas over excavated areas with compacted backfill as specified for authorized excavation or replace with fill concrete as directed by Engineer.

- N. Remove excess subsoil not approved for reuse from site.
- O. Stockpile excavated material in area designated on site in accordance with Sections 310513 and 310516.

3.4 BACKFILLING

- A. Backfill trenches to contours and elevations with unfrozen fill materials.
- B. Systematically backfill to allow maximum time for natural settlement. Do not backfill over porous, wet, frozen, or spongy subgrade surfaces.
- C. Place fill material in continuous layers and compact in accordance with schedule at end of this section.
- D. Employ placement method that does not disturb or damage foundation perimeter drainage, utilities in trench, and other utilities and/or site structures or buildings.
- E. Maintain optimum moisture content of fill materials to attain required compaction density.
- F. Do not leave any trench open at end of the working day.
- G. Protect open trench to prevent danger to Owner and the public.

3.5 TOLERANCES

- A. Section 014000 Quality Requirements: Tolerances.
- B. Top Surface of Backfilling Under Paved Areas: Plus or minus 1 inch from required elevations.
- C. Top Surface of General Backfilling: Plus or minus 1 inch from required elevations.

3.6 FIELD QUALITY CONTROL

- A. Section 014000 Quality Requirements and 017000 Execution and Closeout Requirements: Field inspecting, testing, adjusting, and balancing.
- B. Perform laboratory material tests in accordance with ASTM D1557, ASTM D698, AASHTO T180, as applicable.
- C. Perform in place compaction tests in accordance with the following:
 - 1. Density Tests: ASTM D1556, ASTM D2167, or ASTM D2922, as applicable.
 - 2. Moisture Tests: ASTM D3017.
- D. When tests indicate Work does not meet specified requirements, remove Work, replace, compact, and retest.
- E. Frequency of Tests: One for every 50' of trench.

3.7 PROTECTION OF FINISHED WORK

- A. Section 017000 Execution and Closeout Requirements: Protecting finished work.
- B. Reshape and re-compact fills subjected to vehicular traffic during construction.

END OF SECTION



| SCHEDULE OF DRAWINGS | | | | | | |
|----------------------|---------------------------------|--|--|--|--|--|
| Sheet Number | Sheet Title | | | | | |
| G-001 | COVER SHEET | | | | | |
| G-002 | NOTES, SYMBOLS, & ABBREVIATIONS | | | | | |
| C-101 | SITE PLAN | | | | | |
| C-501 | DETAILS | | | | | |
| E-001 | EXISTING ELECTRICAL ONE LINE | | | | | |
| E-002 | PROPOSED ELECTRICAL ONE LINE | | | | | |
| E-101 | BASEMENT ELECTRICAL PLANS | | | | | |
| E-102 | FIRST FLOOR ELECTRICAL PLAN | | | | | |
| E-103 | SECOND FLOOR ELECTRICAL PLAN | | | | | |
| E-601 | EXISTING PANEL SCHEDULES | | | | | |
| E-602 | PROPOSED PANEL SCHEDULES | | | | | |
| 2-602 | PROPOSED PANEL SCHEDULES | | | | | |

| DRAWING SET ORGANIZATION | | | | | | | | | |
|---|---|---|--|--|--|--|--|--|--|
| DRAW | DRAWING NUMBERING SYSTEM | | | | | | | | |
| A = ALPHA CHARACTER N = NUMERIC CHARACTER | | | | | | | | | |
| | | | | | | | | | |
| | DESIGNATION (SEE] BELOW) | | | | | | | | |
| NOTE: IF N | D SECOND ALPHA CHARACTER, DRAWING IS GENER | IC AND USED FOR OVERALL PLANS OR DISCIPLINE DETAILS. | | | | | | | |
| | | | | | | | | | |
| DRAWI | NG DISCIPLINE DESIGNATO | | | | | | | | |
| G - GE | NERAL DRAWINGS | W - PROCESS DRAWINGS | | | | | | | |
| C - CIV | RVEY/MAPPING DRAWINGS | P - PLUMBING DRAWINGS M - MECHANICAL DRAWINGS | | | | | | | |
| S - STF | RUCTURAL DRAWINGS | E - ELECTRICAL DRAWINGS | | | | | | | |
| A - AR | CHITECTURAL DRAWINGS | X - ABATEMENT DRAWINGS F - FIRE PROTECTION DRAWINGS | | | | | | | |
| DRAW | ING TYPE DESIGNATOR | | | | | | | | |
| (3RD (| CHARACTER OF DWG. NO.) | | | | | | | | |
| 0 | GENERAL (SYMBOLS, LEG | ENDS, NOTES, ETC.) & DIAGRAMS | | | | | | | |
| 1 | PLANS (HORIZONTAL VIEV | VS) | | | | | | | |
| 2 | ELEVATIONS (VERTICAL V | (IEWS) | | | | | | | |
| 3 | SECTIONS (SECTIONAL VI | EWS) | | | | | | | |
| 4 | LARGE SCALE PLANS, ELE | VATIONS, & SECTIONS | | | | | | | |
| 5 | DETAILS | , | | | | | | | |
| 6 | SCHEDULES | | | | | | | | |
| 7 | USER DEFINED | | | | | | | | |
| 8 | USER DEFINED | | | | | | | | |
| 9 | USER DEFINED | | | | | | | | |
| DRAV | VING DISCIPLINE DES | BIGNATORS | | | | | | | |
| G - GEI V - SUI C - CIV S - STF A - AR(| NERAL DRAWINGS RVEY/MAPPING DRAWINGS IL DRAWINGS RUCTURAL DRAWINGS CHITECTURAL DRAWINGS | W - PROCESS DRAWINGS P - PLUMBING DRAWINGS M - MECHANICAL DRAWINGS E - ELECTRICAL DRAWINGS X - ABATEMENT DRAWINGS F - FIRE PROTECTION DRAWINGS | | | | | | | |



| LEGEND | | | | | | | | |
|---|--------------|-----------------------------|-----------------|------------------------------------|--|--|--|--|
| C | RAW | ING SYN | /IBOLS | | | | | |
| S LIGHT SWITCH | | | Ы | EXTERIOR MOTION/PHOTOCELL CONTROL | | | | |
| S 3-WAY LIGHT SWITCH | | | | HOME RUN POWER OR LIGHTING CIRCUIT | | | | |
| S4 4-WAY LIGHT SWITCH | | | | SWITCHING CIRCUIT | | | | |
| $S_{M} S_{3}^{M}$ light switch with int | EGRATED MOT | ION SENSOR | A | DAMPER ACTUATOR | | | | |
| ♥ DUPLEX RECEPTACLE | | | Q | MOTOR | | | | |
| ₽ IG DUPLEX RECEPTACLE W | I/ INSULATED | GROUND | Ì, | MOTOR W/ DISCONNECT SWITCH | | | | |
| <pre></pre> | I/ GROUND F | AULT PROTECTION | Ī | THERMOSTAT | | | | |
| ✿ QUADRUPLEX RECEPTAG | CLE | | θ | HUMIDISTAT | | | | |
| IG QUADRUPLEX RECEPTAG | CLE W/ INSUL | ATED GROUND | ٨ | AQUASTAT | | | | |
| LIGHTING FIXTURE TYPE | Ā | | ۲ | ELECTRICAL CONNECTION | | | | |
| LIGHTING FIXTURE TYPE | В | | ∇ | VOICE OUTLET JACK | | | | |
| C LIGHTING FIXTURE TYPE | C | | ▼ | DATA OUTLET JACK | | | | |
| لم LIGHTING FIXTURE TYPE | D | | • | COMBINATION VOICE/DATA JACK | | | | |
| JUNCTION BOX (SIZED | PER NEC) | | (| CABLE TV JACK | | | | |
| DISCONNECT SWITCH - | NON FUSABL | E | \$ | SMOKE DETECTOR | | | | |
| DISCONNECT SWITCH - | FUSABLE | ® | | HEAT DETECTOR | | | | |
| SERVICE DISCONNECT S | SWITCH | | \$, | DUCT SMOKE DETECTOR | | | | |
| SURFACE MTD. POWER | PANEL | | EQ | FIRE STROBE | | | | |
| AUTOMATIC TRANSFER | SWITCH | | ^ □⊲¢ | FIRE HORN/STROBE | | | | |
| EXIT LIGHT | | | Ē | FIRE PULL BOX | | | | |
| EXIT/EMERGENCY LIGHT | СОМВО | | FACP | FIRE/SECURITY CONTROL PANEL | | | | |
| WALL MTD. EMERGENCY | LIGHT | | | | | | | |
| • CEILING MTD. EMERGEN | CY LIGHT | | | | | | | |
| | ABBI | REVIATI | IONS | | | | | |
| AF AMPERE FRAME RATING | M.C | MECH. CONTRA | ACTOR | | | | | |
| A OR AMP AMP A.F.F ABOVE FINIISHED FLOOR | MCC PTD | MOTOR CONTR PAINTED | COL CTR | | | | | |
| A.F.G ABOVE FINISHED GRADE | S.C | SITE/CIVIL CO | NTR. | | | | | |
| CD CANDELA | TYP | TYPICAL | | | | | | |
| CUCOPPERDNDOWN | UN-X | UNIT HEATER (X DENOTES N | NUMBER) | | | | | |
| E.C ELECTRICAL CONTR. FE-X FXHAUST FAN | U.N.O | UNLESS NOTE | | | | | | |
| (X DENOTES NUMBER) | VFD | VARIABLE FRE | QUENCY | | | | | |
| LLEVELEVATIONEPEXPLOSION PROOF | | DRIVE | | | | | | |
| (ER) EXISTING RELOCATED | | | | | | | | |
| F.F FINISHED FLOOR | | | | | | | | |
| IG ISOLATED GROUND | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

GENERAL NOTES:

1. PERMANENTLY GROUND ENTIRE LIGHT AND POWER SYSTEM IN ACCORDANCE WITH NEC, INCLUDING SERVICE EQUIPMENT, DISTRIBUTION PANELS, LIGHTING PANELBOARDS, SWITCH AND STARTER ENCLOSURES, MOTOR FRAMES, GROUNDING TYPE RECEPTACLES, AND OTHER EXPOSED NON-CURRENT CARRYING METAL PARTS OF ELECTRICAL EQUIPMENT.

NATIONAL ELECTRIC CODE (N.E.C.). 3. INSTALLATION OF ELECTRICAL EQUIPMENT SHALL INCORPORATE REQUIRED CLEARANCES FOR OPERATION AND SERVICE ACCORDING TO ARTICLE 110

4. WIRING OF EMERGENCY SYSTEMS SHALL BE IN ACCORDANCE WITH ARTICLE 700 - EMERGENCY SYSTEMS OF THE N.E.C.

5. COORDINATE SHUTDOWNS OF ELECTRICAL SYSTEMS WITH ESSEX CO. EMERGENCY SERVICES AND PROVIDE MINIMUM 5 DAYS ADVANCE NOTICE.

TEMPORARY SHUTDOWNS AS MUCH AS POSSIBLE. 7. DEMOLITION DRAWINGS ARE BASED ON EXISTING RECORD DOCUMENTS AND CASUAL FIELD OBSERVATION. CONTRACTOR SHALL FIELD VERIFY

CONDITIONS AND REPORT DISCREPANCIES TO ENGINEER. 8. ALL ELECTRICAL EQUIPMENT, DEVICES, AND MATERIALS SHALL BE UL LISTED AND BEAR IT'S LABEL.

9. UPON COMPLETION OF EMERGENCY POWER SYSTEM WIRING, SYSTEM SHALL BE TESTED IN THE PRESENCE OF THE ENGINEER.

10. CONTRACTOR SHALL OBTAIN A UL INSPECTION CERTIFICATE OF NEW WORK AND SUBMIT TO ENGINEER. 11. OWNER SHALL BE RESPONSIBLE FOR PROVIDING ALL FUEL FOR SYSTEM

START-UP AND TESTING. 12. OWNER SHALL PROVIDE THE FOLLOWING: REMOVAL OF EXIST. CONC SLABS • ELECTRICAL TRENCHING & BACKFILL

• EXCAVATION, BACKFILL, SUBBASE MATERIAL, AND TOPSOIL SEED & MULCH • GENERATOR SPECIFIC ITEMS OUTLINES IN SPEC SECTION 263213 13. CONTRACTOR SHALL COORDINATE WORK W/ OWNER.





Plot Date: 02/27/2014 Plot Time: 1:36 PM File Path: P:\40\4048 Essex County - PSB







ot Date: 02/27/2014 Plot Time: 1:37 PM e Path: P:\40\4048 Essex County - PSB-County Jail Standby Power System Evaluation\F Drawings\E Drawings\4048 E-500s.dw



| FEEDE | r schi | EDUL | Ξ | | |
|-------|--------|------|-------|---------|--|
| ТАС | | CON | DUIT | | |
| TAG | UCPD | QTY | SIZE | F | |
| A | | (9) | 4" | (3) 500 | |
| В | | (4) | 4" | (3) 500 | |
| С | | (1) | 4" | (3) 400 | |
| D | | (1) | 1½" | (3) | |
| E | | (1) | 3" | (3) 250 | |
| F | | (2) | 3½" | (3) 300 | |
| G | | (1) | 2" | (3) | |
| Н | 225A | (1) | 21⁄2" | (3) 250 | |
| | | | | | |

<u>NOTES:</u> 1. EXIST. CONDUIT AND WIRE, FIELD VERIFY. 2. FIELD VERIFY EQUIPMENT OCPD'S.

Date: Path:

EXISTING ELECTRICAL ONE LINE SCALE: NTS

: 02/27/2014 : P:\40\4048 Date: Path:

2 GENERATOR GROUNDING PLAN E-003 SCALE: NTS

<u>NOTES:</u> 1. BOND NEUTRAL TO GROUND BUS (SEPARATELY DERIVED SYSTEM) W/ ½ COPPER.

> TO MAIN SWITCHBOARD (MSB)

(MSB)

UTILITY CO. SERVICE

Date: Path:

| PANEL SC | HEDUL | | | | 1 | | | |
|--------------|---------|--------------------|-----------------|----------------|----------------|-----------------|-----|----------|
| PANEL: P2A | | 1 | | | LOC ATION | | | |
| VOLTAGE | 208 | PHASE 3 | WIRE 4 | | MOUNTING | SURFACE | | FL |
| SOURCE POWE | R | P1A | | | NEMA RATING | 1 | 3R | |
| MAIN RATING | | 150A | | | PANEL SPACES | 24 | 30 | |
| MAIN LUG | | RATING | | | NOTES: | | | |
| MAIN BREAKER | X X | RATING | 150A | | | | | |
| AIC: | | | | | | | | |
| CIRCUIT NO. | | DESCRIPTION | BREAKER | CON. LOAD (VA) | CON. LOAD (VA) | BREAKER | | DE |
| 1a | OUTLET | S - EMERGENCY OPS. | 20A/1P | | | 20A/1P | 00 | tlets – |
| 3b | OUTLET | S - EMERGENCY OPS. | 20A/1P | | | 20A/1P | OUT | TLETS-CO |
| 5c | OUTLET | S - EMERGENCY OPS. | 20A/1P | | | 20A/1P | C | DUTLETS |
| 7a | OUTLET | S - EMERGENCY OPS. | 20A/1P | | | 20A/1P | C | DUTLETS |
| 9b | OUTLET | S - EMERGENCY OPS. | 20A/1P | | | 20A/1P | FLC | or outl |
| 11c | OUTLET | S - EMERGENCY OPS. | 20A/1P | | | 20A/1P | C | DUTLETS |
| 13a | OUTLET | S - EMERGENCY OPS. | 20A/1P | | | 20A/1P | OU | TLETS-ZO |
| 15b | OUTLET | S - EMERGENCY OPS. | 20A/1P | | | 20A/1P | | OUTLE |
| 17c | OUTLET | S - EMERGENCY OPS. | 20A/1P | | | 20A/1P | | OUTLE |
| 19a | OUT | LETS – 225, 226 | 20A/1P | | | 20A/1P | | FLOOR |
| 21b | FLOOR (| DUTLETS – 225, 226 | 20A/1P | | | 20A/1P | | |
| 23c | OUT | LETS – 225, 226 | 20A/1P | | | 20A/1P | | |
| 25a | OUTLET | S – 223, 224, 227 | 20A/1P | | | 20A/1P | | |
| 27b | OUTLE | TS – OPEN OFFICE | 20A/1P | | | 20A/1P | | P2 |
| 296 | OUTLE | TS – OPEN OFFICE | 20A/1P | | | 20A/1P | | P2 |
| 31a | 00122 | | | | | | | |
| 33a | | | | | | | | |
| 35a | | | | | | | | |
| 37a | | | | | | | | |
| 39a | | | | | | | | |
| 41a | | | | | | | | |
| | | | TOTAL PHASE A | 0 | 0 | TOTAL PHASE A | I | |
| | | | TOTAL PHASE B | 0 | 0 | TOTAL PHASE B | | |
| | | | TOTAL PHASE C | 0 | 0 | TOTAL PHASE C | | |
| | | | TOTAL PHASE A-C | 0 | 0 | TOTAL PHASE A-C | | |

| PANEL S | SCHEDUL | E - H2A | | | | | | | |
|-------------|-----------|-------------|-----------------|----------------|----------------|-----------------|------------------------------|-----------------------|-------------|
| PANEL: H2A | \ | | | | LOC ATION | | | | |
| VOLTAGE | 277/480 | PHASE | 3 WIRE 4 | | MOUNTING | SURFACE | | FLUSH | |
| SOURCE PO | WER | H1A | | | NEMA RATING | 1 | 3R | 4× | |
| MAIN RATING | 3 | 100A | | | PANEL SPACES | 24 | 30 | 42 | |
| MAIN LUG | JG RATING | | | NOTES: | | · | | | |
| MAIN BREAK | KER X | RATING | 100A | | | | | | |
| AIC : | | | | | | | | | |
| CIRCUIT NO. | • | DESCRIPTION | BREAKER | CON. LOAD (VA) | CON. LOAD (VA) | BREAKER | | DESCRIPTION | CIRCUIT NO. |
| 1a | | SPARE | 20A/1P | | | 20A/1P | | EIXT LIGHTING | 2a |
| 3b | | SPARE | 20A/1P | | | 20A/1P | | SPARE | 4b |
| 5c | | SPARE | 20A/1P | | | 20A/1P | | SPARE | |
| 7a | | SPARE | 20A/1P | | | 20A/1P | LIG | GHTING – EAST OFFICES | 8a |
| 9b | | SPARE | 20A/1P | | | 20A/1P | LIGHTS – ELECTRICAL & TOILET | | 10b |
| 11c | | SPARE | 20A/1P | | | 20A/1P | LIGHTS – CONF. & ELEC. RMS | | 12c |
| 13a | | SPARE | 20A/1P | | | 20A/1P | L | LIGHTS – SHERIFFS RM | |
| 15b | | SPARE | 20A/1P | | | 20A/1P | | LIGHTS - EOC | 16b |
| 17c | | SPARE | 20A/1P | | | 20A/1P | | SPARE | 18c |
| 19a | | SPARE | 20A/1P | | | 20A/1P | P LIGHTS – COORIDOR | | 20a |
| 21b | | SPARE | 20A/1P | | | 20A/1P | 20A/1P LIGHTS - COORIDOR | | 22b |
| 23c | | SPARE | 20A/1P | | | 20A/1P | | SPARE | 24c |
| 25a | | Blank | _ | | | _ | | BLANK | 26a |
| 27b | | Blank | _ | | | _ | | BLANK | 28b |
| 29c | | Blank | _ | | | _ | | BLANK | 30c |
| | | | TOTAL PHASE A | 0 | 0 | TOTAL PHASE A | | | |
| | | | TOTAL PHASE B | 0 | 0 | TOTAL PHASE B | | | |
| | | | TOTAL PHASE C | 0 | 0 | TOTAL PHASE C | | | |
| | | | TOTAL PHASE A-C | 0 | 0 | TOTAL PHASE A-C | | | |

| PANEL S | SCHE | DULE | E - P2A-1 | | | | | | | | |
|-------------|-------------|-------|-----------------|---|---------|----------------|----------------|-----------------|-----------------|-------------------------|------------|
| PANEL: P2A | \ −1 | | | | | | LOC ATION | | | | |
| VOLTAGE | 2 | 208 | PHASE | 3 | WIRE 4 | | MOUNTING | SURFACE | | FLUSH | |
| SOURCE PO' | WER | | P2A | 1 | | _ | NEMA RATING | 1 | 3R | 4X | |
| MAIN RATING | G | | 125A | | | | PANEL SPACES | 24 | 30 X | 42 | |
| MAIN LUG | | Х | RATING | | 125A | _ | NOTES: | | l | | |
| MAIN BREAK | KER | | RATING | | | _ | | | | | |
| AIC: | | I | | 1 | | _ | | | | | |
| CIRCUIT NO. | • | | DESCRIPTION | | BREAKER | CON. LOAD (VA) | CON. LOAD (VA) | BREAKER | | DESCRIPTION | CIRCUIT NO |
| 1a | | outle | T – DATA CLOSET | | | | | | | ECH-3 | |
| 3b | | outle | T – DATA CLOSET | | | | | | | SPARE | |
| 5c | | OUTLE | T – DATA CLOSET | | | | | | OUTL | ET-209,212,213,CORRIDOR | |
| 7a | | A22 | 8 — COPY ROOM | | | | | | DDC XFMR 1000VA | | |
| 9b | | | SPARE | | | | | | SPARE | | |
| 11c | | | SPARE | | | | | | SPARE | | |
| 13a | | | SPARE | | | | | | | SPARE | |
| 15b | | | SPARE | | | | | | | SPARE | |
| 17c | | | SPARE | | | | | | OU | TLET-CLG PROJ SCREEN | |
| 19a | | | SPARE | | | | | | | OUTLET-CLG PROJ | |
| 21b | | | SPARE | | | | | | | PLASMA TV OUTLET | |
| 23c | | | SPARE | | | | | | | PLASMA TV OUTLET | |
| 25a | | | SPARE | | | | | | | | |
| 27b | | | SPARE | | | | | | | | |
| 29c | | | SPARE | | | | | | | | |
| | | | TOTAL PHASE A | | | 0 | 0 | | ТО | tal phase a | |
| | | | TOTAL PHASE B | | | 0 | 0 | TOTAL PHASE B | | | |
| | | | TOTAL PHASE C | | | 0 | 0 | TOTAL PHASE C | | | |
| | | | TOTAL PHASE A-C | | | 0 | 0 | TOTAL PHASE A-C | | | |

Plot Date: 02/27/2014 Plot Time File Path: P:\40\4048 Essex Cou

| FLUSH | |
|-------|--|
| 4× | |
| 42 | |
| | |

| DESCRIPTION | CIRCUIT NO. | |
|--------------------------|-------------|---|
| TLETS – CONF./TRAIN ROOM | 2a | |
| LETS-CONF/TRAIN COUNTER | 4b | |
| DUTLETS – CONF SETS RM | 6c | |
| OUTLETS – CONF SETS RM | 8a | |
| OR OUTLET CONF/TRAIN RM | 10b | |
|)UTLETS – ZONE SETS RM | 12c | |
| TLETS-ZONE SETS RM, A294 | 14a | |
| OUTLETS - 205, 206 | 16b | |
| OUTLETS - 205, 207 | 18c | |
| FLOOR OUTLET - 206 | 20a | |
| SPARE | 22b | |
| SPARE | 24c | |
| SPARE | 26a | |
| P2A-1 PANEL | 28b | |
| P2A-1 PANEL | 30c | |
| | | |
| | | |
| | | 4 |
| | | - |
| | | 1 |
| | | - |
| | | - |
| | | - |
| | | - |
| | |] |
| | | |

E-1 E-1 E-1 E-1 E-1 E-1 E-1 E E-1

ALTERNATE E-1

ALTERNATE E-1 ALTERNATE E-1 ALTERNATE E-1 ALTERNATE E-1

E E-1

| VOLTAGE | 208 | DHAS | E Z | WIRE | | | SUREACE | |
|--------------|-------------|------------------|-----|----------------|----------------|----------------|-----------------|-----|
| SOURCE POWER | 200 | | | | | NEMA RATING | 1 | |
| MAIN RATING | | 150A | | | | PANEL SPACES | 24 | .30 |
| MAIN LUG | | RATING | | | | NOTES: | | |
| MAIN BREAKER | X RATING | | | 150A | | | | |
| AIC: | I | | I | | | | | |
| CIRCUIT NO. | DESCRIPTION | | | BREAKER | CON. LOAD (VA) | CON. LOAD (VA) | BREAKER | |
| 1a | | SPARE | | 20A/1P | | | 20A/1P | (|
| 3b | | SPARE | | 20A/1P | | | 20A/1P | C |
| 5c | | SPARE | | 20A/1P | | | 20A/1P | |
| 7a | | SPARE | | 20A/1P | | | 20A/1P | |
| 9b | | SPARE | | 20A/1P | | | 20A/1P | F |
| 11c | | SPARE | | 20A/1P | | | 20A/1P | |
| 13a | | SPARE | | 20A/1P | | | 20A/1P | (|
| 15b | | SPARE | | 20A/1P | | | 20A/1P | |
| 17c | | SPARE | | 20A/1P | | | 20A/1P | |
| 19a | OUT | LETS – 225, 226 | | 20A/1P | | | 20A/1P | |
| 21b | FLOOR (| DUTLETS – 225, 2 | 26 | 20A/1P | | | 20A/1P | |
| 23c | OUT | LETS – 225, 226 | | 20A/1P | | | 20A/1P | |
| 25a | OUTLET | S – 223, 224, 22 | 27 | 20A/1P | | | 20A/1P | |
| 27b | OUTLE | TS – OPEN OFFIC | E | 20A/1P | | | 20A/1P | |
| 29c | OUTLE | TS – OPEN OFFIC | | 20A/1P | | | 20A/1P | |
| 31a | | | | | | | | |
| 33a | | | | | | | | |
| 35a | | | | | | | | |
| 37a | | | | | | | | |
| 39a | | | | | | | | |
| 41a | | | | | | | | |
| | | | | TOTAL PHASE A | 0 | 0 | TOTAL PHASE A | |
| | | | | TOTAL PHASE B | 0 | 0 | TOTAL PHASE B | |
| | | | | TOTAL PHASE C | 0 | 0 | TOTAL PHASE C | |
| | | | Т | OTAL PHASE A-C | 0 | 0 | TOTAL PHASE A-C | |

PANEL SCHEDULE - EXISTING H2A

| | | | | | LOC ATION | | PANEL: H2A | | | | | | |
|-------------|----------------|------------------|---------|-----------|----------------|----------------|------------|----------|-------|-------|----------|-------|------------|
| | | FLUSH | RFACE | SUR | MOUNTING | | 4 | WIRE | 3 | PHASE | -80 | 277/4 | VOLTAGE |
| | | 3R 4X | | 1 | NEMA RATING | | | I | LI | | H1A | DWER | SOURCE PO |
| | | 30 42 | | 24 | PANEL SPACES | | | | | | 100A | IG | MAIN RATIN |
| | | | | | NOTES: | | | | | | RATING | | MAIN LUG |
| | | | | | | | | | 100A | | X RATING | KER | MAIN BREAK |
| | | | | | | | | | | | | | AIC: |
| CIRCUIT NO. | IPTION | DESCRIP | AKER | BRE | CON. LOAD (VA) | CON. LOAD (VA) | .KER | BREA | | TION | DESCRIP | Э. | CIRCUIT NO |
| 2a | GHTING | EIXT LIGH | 4/1P | 20A | | | /1P | 20A, | | E | SPAR | | 1a |
| 4b | RE | SPAR | 4/1P | 20A | | | /1P | 20A, | | E | SPAR | | 3b |
| 6c | RE | SPAR | 4/1P | 20A | | | /1P | 20A, | | E | SPAR | | 5c |
| 8a | AST OFFICES | lighting – eas | 4/1P | 20A | | | 20A/1P | | SPARE | | SPARE | | 7a |
| 10b | RICAL & TOILET | LIGHTS – ELECTRI | 4/1P | 20A | | | /1P | 20A, | | E | SPAR | | 9b |
| 12c | . & ELEC. RMS | lights – conf. | 4/1P | 20A | | | /1P | 20A, | | E | SPARE | | 11c |
| 14a | HERIFFS RM | lights – she | 4/1P | 20A | | | /1P | 20A, | | E | SPAR | | 13a |
| 16b | RE | SPAR | 4/1P | 20A | | | /1P | 20A, | | E | SPAR | | 15b |
| 18c | RE | SPAR | A/1P | 20A | | | /1P | 20A, | | E | SPAR | | 17c |
| 20a | COORIDOR | lights – c(| 4/1P | 20A | | | /1P | 20A, | | E | SPAR | | 19a |
| 22b | COORIDOR | lights – co | 4/1P | 20A | | | /1P | 20A, | | E | SPAR | | 21b |
| 24c | RE | SPAR | 4/1P | 20A | | | /1P | 20A, | | E | SPAR | | 23c |
| 26a | NK | Blani | _ | - | | | | | | K | Blank | | 25a |
| 28b | NK | Blani | _ | - | | | | | | K | Blani | | 27b |
| 30c | NK | Blani | | _ | | | | | | K | Blani | | 29c |
| | | | ASE A | TOTAL PHA | 0 | 0 | PHASE A | TOTAL F | | | | | |
| | | | ASE B | TOTAL PHA | 0 | 0 | PHASE B | TOTAL F | | | | | |
| | | | ASE C | TOTAL PHA | 0 | 0 | PHASE C | TOTAL F | | | | | |
| | | | ASE A-C | TOTAL PHA | 0 | 0 | ASE A-C | OTAL PHA | T(| | | | |

| PANEL: P2A-1 | | | | | LOC ATION | | | | | |
|--------------|---------------------------|------------------|-----------------|----------------|----------------|-----------------|-----------------|-----------------------|-------------|-------------|
| VOLTAGE | DLTAGE 208 PHASE 3 WIRE 4 | | | | MOUNTING | SURFACE | | FLUSH | | |
| SOURCE POWER | R | P2A | | | NEMA RATING | 1 | 3R | 4× | | |
| MAIN RATING | | 125A | | - | PANEL SPACES | 24 | 30 X | 42 | | |
| MAIN LUG | Х | RATING | 125A | | NOTES: | · · · · | · · | | | |
| MAIN BREAKER | 2 | RATING | | | | | | | | |
| AIC : | | | | | | | | | | |
| CIRCUIT NO. | | DESCRIPTION | BREAKER | CON. LOAD (VA) | CON. LOAD (VA) | BREAKER | | DESC RIPTION | CIRCUIT NO. | |
| 1a | OUTL | et – data closet | | | | | | ECH-3 | | |
| 3b | OUTL | et – data closet | | | | | | SPARE | | |
| 5c | COUTLET - DATA CL | | | | | | OUT | LET-209,212,213,CORRI | DOR | |
| 7a | A2. | 28 — COPY ROOM | | | | | DDC XFMR 1000VA | | | |
| 9b | | SPARE | | | | | | SPARE | | |
| 11c | | SPARE | | | | | | SPARE | | |
| 13a | | SPARE | | | | | | SPARE | | |
| 15b | | SPARE | | | | | | SPARE | | |
| 17c | | SPARE | | | | | | SPARE | | ALTERNATE E |
| 19a | | SPARE | | | | | | SPARE | | ALTERNATE E |
| 21b | | SPARE | | | | | | SPARE | | ALTERNATE E |
| 23c | | SPARE | | | | | | SPARE | | ALTERNATE E |
| 25a | | SPARE | | | | | | | | |
| 27b | | SPARE | | | | | | | | |
| 29c | | SPARE | | | | | | | | |
| | | | TOTAL PHASE A | 0 | 0 | TOTAL PHASE A | | | | |
| | | | TOTAL PHASE B | 0 | 0 | TOTAL PHASE B | | | | |
| | | | TOTAL PHASE C | 0 | 0 | TOTAL PHASE C | | | | |
| 1 | | | TOTAL PHASE A-C | 0 | 0 | TOTAL PHASE A-C | | | | |

| FLUSH | | |
|---------------------------|-------------|---------------|
| 4 X | | |
| 42 | | |
| | | |
| | | |
| DESCRIPTION | CIRCUIT NO. | |
| ITLETS – CONF./TRAIN ROOM | 2a | ALTERNATE E-1 |
| TLETS-CONF/TRAIN COUNTER | 4b | ALTERNATE E-1 |
| OUTLETS – CONF SETS RM | 6c | ALTERNATE E-1 |
| OUTLETS – CONF SETS RM | 8a | ALTERNATE E-1 |
| OOR OUTLET CONF/TRAIN RM | 10b | ALTERNATE E-1 |
| OUTLETS – ZONE SETS RM | 12c | ALTERNATE E-1 |
| ITLETS-ZONE SETS RM, A294 | 14a | ALTERNATE E-1 |
| OUTLETS – 205, 206 | 16b | ALTERNATE E-1 |
| OUTLETS – 205, 207 | 18c | ALTERNATE E-1 |
| FLOOR OUTLET - 206 | 20a | |
| SPARE | 22b | |
| SPARE | 24c | |
| SPARE | 26a | |
| P2A-1 PANEL | 28b | |
| P2A-1 PANEL | 30c | |
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| PANEL SCHE | EDULE | E - H1A-U | | | | | | | |
|--------------|-----------------------|--------------|-----------------|----------------|------------------|-----------------|-------------------|---------------------|-------------|
| PANEL: PP1 | | | | | LOC ATION | | | | |
| VOLTAGE | GE 460 PHASE 3 WIRE 4 | | | | | SURFACE X | | FLUSH | |
| SOURCE POWER | | НВА | | | NEMA RATING | 1 X | 3R | 4X | |
| MAIN RATING | | 400A | | | PANEL SPACES | 24 X | 30 | 42 | |
| MAIN LUG | | RATING | | | NOTES: FACTORY M | IOUNTED TVSS | | | |
| MAIN BREAKER | X | RATING | 300A | | | | | | |
| AIC: 42,00 | 00 | · · | | | | | | | |
| CIRCUIT NO. | | DESCRIPTION | BREAKER | CON. LOAD (VA) | CON. LOAD (VA) | BREAKER | | DESCRIPTION | CIRCUIT NO. |
| 1a | | | | 43333 | | | | | 2a |
| 3b | l | JPS (NORMAL) | 225A/3P | 43333 | | 225A/3P | | ups (static bypass) | 4b |
| 5c | | | | 43333 | | | | | 6c |
| 7a | | | | | 6000 | | | | 8a |
| 9b | | SPARE 20A/3P | | | 6000 | 40A/3P | TRANSFORMER T-EOC | | 10b |
| 11c | | | | | 6000 | | | | 12c |
| 13a | | EOC LIGHTING | 20A/1P | | | 20/1P | | SPARE | 14a |
| 15b | | | | | | | | | 16b |
| 17c | | | | | | | | | 18c |
| 19a | | | | | | | | | 20a |
| 21b | | | | | | | | | 22b |
| 23c | | | | | | | | | 24c |
| 25a | | | | | | | | | 26a |
| 27b | | | | | | | | | 28b |
| 29c | | | | | | | | | 30c |
| | | | TOTAL PHASE A | 43333 | 6000 | TOTAL PHASE A | | | |
| | | | TOTAL PHASE B | 43333 | 6000 | TOTAL PHASE B | | | |
| | | | TOTAL PHASE C | 43333 | 6000 | TOTAL PHASE C | | | |
| | | | TOTAL PHASE A-C | 129999 | 18000 | TOTAL PHASE A-C | | | |

| PANEL 5 | CHEL | JULE | HZA-U | | | | | | | | |
|-------------|------------------|--------|--------------------|-----------------|----------------|------------------|------------------------------|------------|-------------------|-------------|--|
| PANEL: H2A- | - U | | | | | LOC ATION | | | | | |
| VOLTAGE | 20 | 8 | PHASE | 3 WIRE 4 | | MOUNTING | SURFACE X | | FLUSH | | |
| SOURCE POW | URCE POWER H1A-U | | | | | NEMA RATING | 1 X | 3R | 4× | | |
| MAIN RATING | | | 100A | | | PANEL SPACES | 24 X | 24 X 30 42 | | | |
| MAIN LUG | | Х | RATING | 100A | | NOTES: FACTORY M | OUNTED TVSS | | | | |
| MAIN BREAK | ER | | RATING | | | | | | | | |
| AIC : | 42,000 | | | | | | | | | | |
| CIRCUIT NO. | | | DESCRIPTION | BREAKER | CON. LOAD (VA) | CON. LOAD (VA) | BREAKER | | DESCRIPTION | CIRCUIT NO. | |
| 1a | 01 | JTLETS | - EMERGENCY OPS | ; 20A/1P | 720 | 720 | 20A/1P | OUTL | ETS – EMERGENCY (| PS 2a | |
| 3b | 01 | JTLETS | - EMERGENCY OPS | ; 20A/1P | 720 | 540 | 20A/1P | OUTL | ETS – EMERGENCY (| PS 4b | |
| 5c | Ol | JTLETS | - EMERGENCY OPS | ; 20A/1P | 540 | 900 | 20A/1P | OUTL | ETS – EMERGENCY (| PS 6c | |
| 7a | 01 | JTLETS | - EMERGENCY OPS | ; 20A/1P | 900 | 1080 | 20A/1P | OUTL | ETS – EMERGENCY (|)PS 8a | |
| 9b | 01 | JTLETS | - EMERGENCY OPS | ; 20A/1P | 1080 | 1200 | 20A/2P | GEN | ERATOR BLOCK HEAT | ER 10b | |
| 11c | | OUTLE | et – generator | 20A/1P | 180 | | | | | 12c | |
| 13a | OUTI | _ET — | CEILING PROJ SCRE | EN 20A/1P | 500 | 1200 | 20A/1P GENERATOR BATTER CHAR | | GER 14a | | |
| 15b | OU | TLET – | - CEILING PROJECTO | R 20A/1P | 500 | | | | | 16b | |
| 17c | | PLA | SMA TV OUTLET | 20A/1P | 500 | | 20A/1P | | SPARE | 18c | |
| 19a | | PLA | SMA TV OUTLET | 20A/1P | 500 | | 20A/1P | | SPARE | 20a | |
| 21b | | | | | | | | | | 22b | |
| 23c | | | | | | | | | | 24c | |
| 25a | | | | | | | | | | 26a | |
| 27b | | | | | | | | | | 28b | |
| 29c | | | | | | | | | | 30c | |
| | | | | TOTAL PHASE A | 2620 | 3000 | TOTAL PHASE A | | | · | |
| | | | | TOTAL PHASE B | 2300 | 1740 | TOTAL PHASE B | | | | |
| | | | | TOTAL PHASE C | 1220 | #REF! | TOTAL PHASE C | | | | |
| | | | | TOTAL PHASE A-C | 6140 | #REF! | TOTAL PHASE A-C | | | | |
| | | | | | | | | | | | |

LTERNATE E-1

EXHIBIT C

INSURANCE REQUIREMENTS – PUBLIC WORKS CONTRACTORS

I. The Contractor <u>and each of its subcontractors</u> shall procure and maintain during the entire term of the contract the following required insurance:

- Commercial General Liability Insurance
 \$1,000,000 per occurrence / \$2,000,000 aggregate, including coverage for liability
 assumed by contract, completed operations, explosion, collapse, underground
 hazard and products liability.

 Automobile Liability
 \$1,000,000 combined single limit for owned, hired and borrowed and non-owned
 motor vehicles.
- Workers' Compensation
 Statutory Workers' Compensation and Employers' Liability Insurance for all employees.
- → Owners & Contractors Protective Liability Insurance \$2,000,000 per occurrence / \$2,000,000 aggregate.
- → Excess/Umbrella Liability Insurance \$1,000,000 per occurrence / \$2,000,000 aggregate.

II. Notwithstanding any terms, conditions or provisions, in any other writing between the parties, the Contractor hereby agrees to name the County as:

- (a) an <u>additional insured</u> on the Contractor's Commercial General Liability, Automobile Liability and Excess/Umbrella Liability insurance policies, and
- (b) a <u>named insured</u> on the Owners & Contractors Protective Liability Insurance Policy.

III. The policy/policies of insurance furnished by the Contractor shall:

- be from an A.M. Best rated "A" New York State licensed insurer; and
- → contain a 30-day notice of cancellation
- IV. The Contractor agrees to indemnify the County for any applicable deductibles.

V. Contractor acknowledges that failure to obtain such insurance on behalf of the County constitutes a material breach of contract and subjects it to liability for damages, indemnification and all other legal remedies available to the County. Prior to commencement of work or use of facilities, the Contractor shall provide to the County proof that such requirements have been met by furnishing certificate(s) of such insurance, and the declarations pages from the policies of such insurance. The failure of the County to object to the contents of the certificate(s) and/or declarations pages, or the absence of same, shall not be deemed a waiver of any and all rights held by the County.

VI. All certificates of insurance will provide 30 days notice to the county of cancellation or non-renewal.

VII. Contractor and subcontractor waives all rights of subrogation against the owner and will have the General Liability, Umbrella Liability Workers' Compensation policies endorsed setting forth this Waiver of Subrogation.

VII. All policies will also contain no exclusions with respect to Section 240 and 241 of the NYS Labor Law.

IX. The County shall be listed as an additional insured on a primary and non-contributory basis.

APPENDIX D - STANDARD CLAUSES FOR ESSEX COUNTY CONTRACTS

1. Independent Contractor Status

The parties each acknowledge, covenant and agree that the relationship of the Contractor to the County shall be that of an independent contractor. The Contractor, in accordance with its status as an independent contractor, further covenants and agrees that it:

- (a) will conduct itself in accordance with its status as an independent contractor;
- (b) will neither hold itself out as nor claim to be an officer or employee of the County; and
- (c) will not make any claim, demand or application for any right or privilege applicable to an officer or employee of the County, including but not limited to workers' compensation benefits, unemployment insurance benefits, social security coverage or retirement membership or credits.

2. Contractor To Comply With Laws/Regulations

The Contractor shall at all times comply with all applicable state and federal laws, rules and regulations governing the performance and rendition of the services to be furnished under this agreement.

3. Licenses, Permits, Etc.

The Contractor shall, during the term of this agreement, obtain and keep in full force and effect any and all licenses, permits and certificates required by any governmental authority having jurisdiction over the rendition and performance of the services to be furnished by the Contractor under this agreement.

4. <u>Termination</u>

This agreement may be terminated without cause by either party upon 30 days prior written notice, and upon such termination neither party shall have any claim or cause of action against the other except for services actually performed and mileage expenses actually incurred prior to such termination. Notwithstanding the foregoing, this agreement may be immediately terminated by the County:

- (a) for the Contractor's breach of this agreement, by serving written notice of such termination stating the nature of the breach upon the Contractor by personal delivery or by certified mail, return receipt requested, and upon such termination either party shall have such rights and remedies against the other as provided by law; or
- (b) upon the reduction or discontinuance of funding by the State or Federal governments to be used in furnishing some or all of the work, labor and/or services provided for under this agreement, and upon such termination neither party shall have any claim or cause of action against the other except for services actually performed and expenses (if the same are to be paid under this agreement) actually incurred prior to such termination.

5. **Defense & Indemnification**

The Contractor shall defend, indemnify and hold harmless the County to the fullest extent allowed by law, and notwithstanding any insurance requirements, from and against any and all liability, losses, claims, actions, demands, damages, expenses, suits, judgments, orders, causes of action and claims, including but not limited to attorney's fees and all other costs of defense, by reason of any liability whatsoever imposed by law or otherwise upon the County for damages to person, property or of any other kind in nature, including by not limited to those for bodily injury, property damage, death arising out of or in connection with its officers, employees, agents, contractors, sub-contractors, guests or invitees negligence or
its/their performance or failure to perform this agreement.

6. Discrimination Prohibited

The services to be furnished and rendered under this agreement by the Contractor shall be available to any and all residents of Essex County without regard to race, color, creed, sex, religion, national or ethnic origin, handicap, or source of payment; and under no circumstances shall a resident's financial ability to pay for the services provided be considered unless such consideration is allowed by State and/or Federal law, rule or regulation.

7. Non-Discrimination In Employment

The Contractor will not discriminate against any employee or applicant for employment because of race, creed, color, sex, national origin, age, disability or marital status. In the event that this is a contract to be performed in whole or in part within the State of New York for (a) the construction, alteration or repair of any public building or public work, (b) for the manufacture, sale or distribution of materials, equipment or supplies, (c) for building service, the Contractor agrees that neither it nor its subcontractors shall, by reason of race, creed, color, disability, sex or national origin:

- (1) discriminate in hiring against any citizen who is qualified and available to perform the work; or
- (2) discriminate against or intimidate any employee hired for the performance of work under this contract.

The Contractor agrees to be subject to fines of \$50.00 per person per day for any violation of this paragraph, as well as to possible termination of this contract or forfeiture of all moneys due hereunder for a second or subsequent violation.

8. Damage/Injury To Persons & Property

The Contractor shall promptly advise the County of all damages to property of the County or of others, or of injuries incurred by persons other than employees of the Contractor, in any manner relating, either directly or indirectly, to the performance of this agreement.

9. *Records*

The Contractor shall establish and maintain complete and accurate books, records, documents, accounts and other evidence directly pertinent to performance under this contract (hereinafter collectively "the Records") in accordance with the following requirements:

- (a) the Records must be kept for the balance of the calendar year in which they were made and for six (6) additional years thereafter;
- (b) the County Auditor, State Comptroller, the Attorney General or any other person or entity authorized to conduct an examination, as well as the agency or agencies involved in this contract, shall have access to the Records during normal business hours at an office of the Contractor within the State of New York, or, if no such office is available, at a mutually agreeable and reasonable venue within the State, for the term specified above for the purposes of inspection, auditing and copying.

The County shall take reasonable steps to protect from public disclosure any of the records which are exempt from disclosure under Section 87 of the Public Officers Law (the "Statute") provided that: (i) the Contractor shall timely inform an appropriate County official, in writing, that said records should not be disclosed; and (ii) said records shall be sufficiently identified and designation of said records as exempt under the statute is reasonable. Nothing

contained herein shall diminish, or in any way adversely affect, the County's right to discovery in any pending or future litigation.

10. Claims For Payment

All invoices or claims for which payment is sought from the County must be submitted in accordance with the following:

- (a) each claim for payment must include
 - (1) an invoice detailing the claim,
 - (2) copies of all documentation supporting the claim,
 - (3) a properly completed County standard voucher, which includes
 (i) the County contract number under which payment is being claimed, <u>AND</u>
 (ii) the payee's Federal employer identification number or Federal social security number, or both such numbers when the payee has both such numbers. [Failure to include this number or numbers will prevent and preclude payment by the County; except that where the payee does not have such number or numbers, the payee, on the invoice or County voucher, must give the reason or reasons why the payee does not have such number or numbers and such reasons constitute a valid excuse under law.]
- (b) Unless otherwise provided in this agreement, each claim for payment must be submitted to the County no later than 30 days after the work, labor, materials, and/or services for which payment is claimed were rendered or furnished.
- (c) Notwithstanding any other provision of this agreement, no claim for payment shall be valid, and the County shall not be liable for payment thereof, unless it is submitted to the County within 30 days of the close of the calendar year in which the work, labor, materials, and/or services for which payment is claimed were rendered or furnished.
- (d) Unless otherwise provided in this agreement, the requirements of this paragraph 10, and/or of any other provisions of this agreement which supersede the same, shall constitute conditions precedent to the County's payment obligation, and failure to comply with any or all of said requirements shall entitle the County to deny payment.
- (e) As a further condition of payment, each claim of payment shall be accompanied by a Contractor and Sub-Contractor Progress Payment Waiver, Release and Discharge, and each Final Payment shall be accompanied by a Contractor and Sub-Contractor Final Payment, Waiver and Release form. As well as a Contractor Affidavit relative to Final Payment. Copies of these forms are attached and made a part hereof.

11. <u>Consent</u>

In the event that State or Federal law requires the recipient of services to be furnished and rendered under this agreement to give his/her prior consent thereto, the contractor shall obtain such person's consent and furnish proof thereof to the County.

12. Executory Clause

The County shall have no liability under this contract to the Contractor or to anyone else beyond the funds appropriated and available for this contract.

13. Public Work & Building Service Contract Requirements

If this is a public work contract covered by Article 8 of the Labor Law or a building service contract covered by Article 9 thereof:

(a) neither the Contractor's employees nor the employees of its subcontractors may be required or permitted to work more than the number of hours or days stated in said

statutes, except as otherwise provided in the Labor Law and as set forth in prevailing wage and supplement schedules issued by the State Labor Department; and

(b) the Contractor and its subcontractors must pay at least the prevailing wage rate and pay or provide the prevailing supplements, including the premium rates for overtime pay, as determined by the State Labor Department in accordance with the Labor Law.

14. Public Work Contracts – Hazardous Substances

If this is a contract for public work, the Contractor agrees as follows:

- (a) the Contractor acknowledges that the County uses and/or produces various substances which may be classified as hazardous under OSHA's Hazard Communication Standard;
- (b) the Contractor recognizes the use of said substances by the County and acknowledges that the County has provided, or upon request will provide, the Contractor with a description of such substances which may be present in the area of the County's facility/facilities to which the Contractor may have accessed during the performance of this contract;
- (c) the Contractor acknowledges that the County has provided, or upon request will provide, suggestions for appropriate protective measures which should be observed when the Contractor is in the area of any such hazardous substances;
- (d) the Contractor agrees to be solely responsible for providing training and information to its employees regarding any such hazardous substances, as well as of any protective measures suggested by the County;
- (e) the Contractor agrees to be solely responsible to ensure that the Contractor's employees observe protective measures during the performance of their duties in the performance of the contract, and that all such protective measures will be at least as stringent as those suggested or which would have been suggested by the County;
- (f) in the event that the Contractor's performance of the work under this contract requires the use of any hazardous substances, the Contractor shall notify the County in advance of bringing in and/or using such substances in or upon County property and suggest to the County appropriate measures to be observed by the County, its officers and employees, and/or the public; and
- (g) in the event the Contractor fails in whole or in part to comply with the terms of this paragraph, the County shall have the right to interrupt the Contractor's work and/or terminate this contract, and the Contractor shall be prohibited from renewing such work until all applicable safety and health procedures and practices are implemented by the Contractor.

15. Disputes

Disputes involving this contract, including the breach or alleged breach thereof, may not be submitted to binding arbitration, but must, instead, by heard in a court of competent jurisdiction within the State of New York.

16. Non-Assignment

This agreement may not be assigned, subcontracted, transferred, conveyed, sublet or otherwise disposed of in whole or in part, by the Contractor, without the prior written consent of the County, and any attempts to assign the contract without the County's written consent are null and void.

17. No Collusion

If this contract was awarded based upon the submission of bids, the Contractor

warrants, under penalty of perjury, that:

- (a) its bid was arrived at independently and without collusion aimed at restricting competition; and
- (b) at the time Contractor submitted its bid, an authorized and responsible person executed and delivered to the County a non-collusive bidding certification on Contractor's behalf.

18. International Boycott

In accordance with Section 220-f of the Labor Law, if this contract exceeds \$5,000.00, the Contractor agrees, as a material condition of the contract, that neither the Contractor nor any substantially owned or affiliated person, firm, partnership or corporation, has participated, is participating, or shall participate in an International boycott in violation of the federal Export Administration Act of 1979, or regulations thereunder. If such contractor, or any of the aforesaid affiliates of Contractor, is convicted, or is otherwise found to have violated said laws or regulations upon the final determination of the United States Commerce Department or any other appropriate agency of the United States subsequent to the contract's execution, such contract, amendment or modification thereto shall be rendered forfeit and void. The Contractor shall so notify the County Manager within five (5) business days of such conviction, determination or disposition of appeal.

19. County's Rights of Set-Off

The County shall have all of its common law, equitable and statutory rights of set-off. These rights shall include, but not be limited to, the County's option to withhold for the purposes of set-off any moneys due to the Contractor under this agreement up to any amounts due and owing to the County with regard to this contract, any other contract with any County department or agency, including any contract for a term commencing prior to the term of this contract, plus any amounts due and owing to the County for any other reason, including, without limitation, tax delinquencies, fee delinquencies or monetary penalties relative thereto. The County shall exercise its set-off rights in accordance with normal County practices, including, in cases of set-off pursuant to an audit, the acceptance of such audit by the County Board of Supervisors or its designated representative.

20. Contractor Defined

Whenever the term "Contractor" is used in this agreement, such term shall include and apply to all employees, all officers, directors and agents, if any, of the Contractor.

21. Amendment

This agreement may not be amended, modified or renewed except by written agreement signed by the Contractor and the County.

22. Ownership Of Work Products

All final and written or tangible work products completed by the Contractor shall belong to the County. In the event of premature discontinuance of performance, the Contractor agrees to deliver all existing products and data files to the County.

23. Executive Order Debarment/Suspension

In the event that this contract involves the Contractor furnishing goods and services in excess of \$100,000.00, or constitutes a subaward to subrecipients, under any Federal program, grant or other funding source, then by executing this agreement the Contractor certifies that neither it nor any of its principals are suspended or debarred within the scope or

meaning of Executive Orders 12549 and 12689, any Federal or State regulation implementing or codifying the same, or any other Federal or State law, rule or regulation.

24. Health Insurance Portability and Accountability Act of 1996 (HIPAA)

In the event that this contract involves the use or disclosure of protected health information within the meaning or application of the Health Insurance Portability and Accountability Act of 1996 (HIPAA), and the regulations thereunder, the following provisions of this paragraph shall apply.

(a) <u>Definitions.</u> The terms used, but not otherwise defined, in this Agreement shall have the same meaning as given such terms in 45 CFR §160.103 and §164.501, as the same may be amended from time to time, including but not limited to the following.

(1) "Business Associate" shall mean the Contractor, its officers, employees, agents and subcontractors.

(2) "Covered Entity" shall mean Essex County (the "County"), its departments, agencies, officers and employees.

(3) "Individual" shall have the same meaning as given such term in 45 CFR §164.501 and shall also include a person who qualifies as a personal representative in accordance with 45 CFR §164.502(g).

(4) "Privacy Rule" shall mean the Standards for Privacy of Individually Identifiable Health Information at 45 CFR Part 160 and Part 164, subparts A and E.

(5) "Protected Health Information" shall have the same meaning as given such term in 45 CFR §164.501, limited to the information created or received by Contractor from or on behalf of the County.

(6) "Required by law" shall have the same meaning as given such term in 45 CFR §164.501.

(7) "Secretary" shall mean the Secretary of the Department of Health and Human Services or his/her designee.

(b) Obligations and Activities of Contractor.

Contractor agrees to:

(1) not use or disclose Protected Health Information other than as permitted or required by this Agreement or as required by law;

(2) use appropriate safeguards to prevent use or disclosure of the Protected Health Information other than as provided for by this Agreement;

(3) mitigate, to the extent practicable, any harmful effect that is known, should have been known, and/or discovered to/by Contractor of a use or disclosure of Protected Health Information by Contractor in violation of the requirements of this Agreement;

(4) report to the County any use or disclosure of the Protected Health Information not provided for by this Agreement of which it becomes aware;

(5) ensure that any agent, including a subcontractor, to whom it provides Protected Health Information received from, or created or received by Contractor on behalf of the County agrees to the same restrictions and conditions that apply through this Agreement to Contractor with respect to such information;

(6) provide access, at the request of the County, and in the time and manner designated by the County or the Secretary, to Protected Health Information in a Designated Record Set, to the County or, as directed by the County, to an Individual in order to meet the requirements under 45 CFR §164.524;

(7) make any amendment(s) to Protected Health Information in a Designated Record

Set that the County directs or agrees to pursuant to 45 CFR §164.526 at the request of the County or an Individual, and in the time and manner designated by the County or the Secretary;

(8) make internal practices, books, and records, including policies and procedures and Protected Health Information, relating to the use and disclosure of Protected Health Information received from, or created or received by Contractor on behalf of, the County available to the County, and/or to the Secretary, in a time and manner designated by the County or by the Secretary, for purposes of the Secretary determining the County's compliance with the Privacy Rule;

(9) document such disclosures of Protected Health Information and information related to such disclosures as would be required for the County to respond to a request by an Individual for an accounting of disclosures of Protected Health Information in accordance with 45 CFR §164.528;

(10) provide to the County or an Individual, in time and manner designated by the County or the Secretary, information collected in accordance with the above subparagraph (b)(9) of this Agreement, to permit the County to respond to a request by an Individual for an accounting of disclosures of Protected Health Information in accordance with 45 CFR §164.528.

(c) <u>Permitted Uses and Disclosures by Contractor.</u>

Except as otherwise limited in this Agreement, Contractor may use or disclose Protected Health Information on behalf of, or to provide services to, the persons entitled to services under this Agreement:

(1) solely for the purposes of performing Contractor's obligations under this Agreement, if such use or disclosure of Protected Health Information would not violate the Privacy Rule if done by the County or the minimum necessary policies and procedures of the County; or

(2) provided that such use or disclosures are required by law; or

(3) Contractor

(A) obtains written authorization(s) from the individual to which the information pertains permitting the specific uses or disclosures of such information to third persons,

(B) represents and agrees in writing with such individual that the information to be used and/or disclosed will remain confidential and used or further disclosed only as required by law or for the purposes specified in the written authorization(s), and

(C) such third persons agree in writing to notify the County as soon as practicable and in writing of any instances of which such third person(s) is/are aware in which the confidentiality of the information has been breached; or

(4) provide Data Aggregation services to the County as permitted by 42 CFR §164.504(e)(2)(i)(B); or

(5) report violations of law to appropriate Federal and State authorities, consistent with §164.502(j)(1).

(d) <u>County To Inform Contractor of Privacy Practices and Restrictions.</u>

The County agrees to notify the Contractor of any

(1) limitation(s) in its notice of privacy practices of the County in accordance with 45 CFR §164.520, to the extent that such limitation may affect the Contractor's use or disclosure of Protected Health Information;

(2) changes in, or revocation of, permission by Individual to use or disclose Protected Health Information, to the extent that such changes may affect the Contractor's use or disclosure of Protected Health Information; and/or

(3) restriction to the use or disclosure of Protected Health Information that the County has agreed to in accordance with 45 CFR §164.522, to the extent that such restriction may affect Contractor's use or disclosure of Protected Health Information.

(e) <u>Permissible Requests by County.</u>

The County shall not request Contractor to use or disclose Protected Health Information in any manner that would not be permissible under the Privacy Rule if done by the County; except that in the event that the services to be furnished by the Contractor under this Agreement requires data aggregation by the Contractor, the Contractor may use or disclose protected health information for such data aggregation or management and administrative activities of Contractor.

(f) Survival of Provisions.

The obligations of the Contractor under this paragraph 24 shall survive the expiration of the term of this Agreement and/or the termination of this Agreement, and said obligations shall remain effective and shall not terminate until all of the Protected Health Information provided by the County to Contractor, or created or received by Contractor on behalf of the County, is destroyed or returned to the County, or, if it is infeasible to return or destroy Protected Health Information, protections are extended to such information, in accordance with the termination provisions in subparagraph (g) below.

(g) <u>Return or Destruction of Protected Health Information.</u>

Except as otherwise provided below, upon termination of this Agreement for any reason, Contractor shall return or destroy all Protected Health Information received from the County, or created or received by Contractor on behalf of the County. This provision shall apply to Protected Health Information that is in the possession of subcontractors or agents of Contractor. Contractor shall retain no copies of the Protected Health Information.

In the event that Contractor determines that returning or destroying the Protected Health Information is infeasible, Contractor shall provide to the County notification of the conditions that make return or destruction infeasible. Upon determination by the County that return or destruction of Protected Health Information is infeasible, Contractor shall extend the protections of this Agreement to such Protected Health Information and limit further uses and disclosures of such Protected Health Information to those purposes that make the return or destruction infeasible, for so long as Contractor maintains such Protected Health Information.

(h) <u>Termination for Cause.</u>

Upon the County's knowledge of a material breach of this paragraph by Contractor, the County shall:

(1) either:

(A) provide an opportunity for Contractor to cure the breach or end the violation and terminate this Agreement within the time specified by the County, or
 (B) immediately terminate this Agreement if cure is not possible; and

(2) report the violation to the Secretary.

(I) <u>Miscellaneous.</u>

(1) Regulatory References. A reference in this Agreement to a section in the Privacy

Rule means the section as in effect or as amended.

(2) Amendment. The Parties agree to take such action as is necessary to amend this Agreement from time to time as is necessary for the County to comply with the requirements of the Privacy Rule and the Health Insurance Portability and Accountability Act of 1996, Pub. L. No. 104-191.

(3) Survival. The respective rights and obligations of Contractor under this paragraph 24 of this Agreement shall survive the termination of this Agreement.
 (4) Interpretation. Any ambiguity in this Agreement shall be resolved to permit the County to comply with the Privacy Rule.

25. <u>Severability</u>

If any term or provision of this agreement or the application thereof to any person or circumstance shall to any extent be held invalid or unenforceable, the remainder of this agreement or the application of such term or provision to persons or circumstances other than those as to which it is held invalid or unenforceable, shall not be affected thereby and every other term and provision of this agreement shall be valid and be enforced to the fullest extent permitted by law.

26. <u>Entire Agreement</u>

This agreement is the entire agreement between the parties, and the same shall be construed in accordance with the laws of the State of New York.

27. For Medicaid/Federal Health Care Related Work

Excluded/Debarred Party Clause

The Vendor/Contractor represents and warrants that it, nor its employees or contractors, are not excluded from participation, and is not otherwise ineligible to participate, in a "federal health care program" as defined in 42 U.S.C. § 1320a-7b(f) or in any other government payment program.

In the event Vendor/Contractor, or one of it employees or contractors, is excluded from participation, or becomes otherwise ineligible to participate in any such program during the Term, Vendor/Contractor will notify Essex County in writing within three (3) days after such event. Upon the occurrence of such event, whether or not such notice is given to the Vendor/Contractor, Essex County reserves the right to immediately cease contracting with the Vendor/Contractor.

If Vendor/Contractor is an Employment Agency, the Vendor/Contractor represents and warrants that its employees and contractors are not excluded from participation in a "federal health care program" as defined in 42 U.S.C. § 1320a-7b(f) or debarred from participation in any federal or other program.

The Vendor/Contractor further represents and warrants it will, at a minimum, check monthly all of it employees and subcontractors against:

- The General Services Administration's Federal Excluded Party List System (or any successor system,
- The United States Department of Health and Human Service's Office of the Inspector General's Lists of Excluded Individuals and Entities or any successor list,
- The New York State Department of Health's Office of the Medicaid Inspector General's list of Restricted, Terminated or Excluded Individuals or Entities.

In the event an excluded party is discovered the Vendor/Contractor will notify Essex County in

writing within three (3) days after such event. Upon the occurrence of such event, whether or not such notice is given to the Vendor/Contractor, Essex County reserves the right to immediately cease contracting with the Vendor/Contractor.

28. Cooperative Purchasing (Piggybacking)

Pursuant to General Municipal Law §103 and County Law §408-a, any political subdivision or fire company (as both are defined in Section 100 of the GML) or district authorized to make purchases of apparatus, materials, equipment or supplies, or to contract for services related to the installation, maintenance or repair of apparatus, materials, equipment and supplies may make said purchases under this existing contract (Piggybacking) provided, and on condition that this present contract was **LET TO THE LOWEST RESPONSIBLE BIDDER**. Therefore all terms and condition under this contract are extended to other political subdivisions and governmental entities.

Purchases under this contract by any other political sub-division other than Essex County shall be pursuant to the terms and conditions of Resolution No. 207 of 2013 dated July 1, 2013.

CONTRACTOR PROGRESS PAYMENT WAIVER, RELEASE AND DISCHARGE

WITNESSETH:

The above-named Contractor, hereinafter referred to as the "Releasor", does, for and on behalf of itself, its' successors, assigns and all parties claiming any interest or right through the Releasor, hereby warrant, covenant and agree as follows:

1. Releasor is/was a Contractor relative to the above-referenced Project pursuant to a contract or other relationship for the performing and/or furnishing of work, labor, services, materials and/or equipment at the Project site or to be incorporated in said Project.

2 Whenever the term "Releasor" is used in this instrument such term shall mean: (a) the above-named Contractor, its, successors and assigns; (b) any and all sureties and all other guarantors of the Releasor on any payment, performance, labor and/or material bond or other undertaking; (c) all parties claiming any interest or right through the Releasor, including but not limited to subcontractors and suppliers; and (d) the respective officers, directors, principals, shareholders, agents, employees and attorneys of (a), (b) and (c).

3. Whenever the term "Releasees" is used in this instrument such term shall mean: (a) the above-named Owner, its' successors and assigns; (b) the Project Architect/Engineer; and (c) the respective officers, directors, principals, shareholders, agents, employees and attorneys of (a) and (b).

4. For and in consideration of the sum of \$_____, and other good and valuable consideration, which sum is acknowledged as being the full and total amount due or allegedly due or owing from the Releasees to the Releasor <u>as of the date hereof</u>, and the receipt of such payment being hereby acknowledged, the Releasor does waive, release and discharge the Releasees from any and all causes of action, suits, debts, claims, liens, accounts, bonds, contracts, damages, encumbrances, judgments and demands whatsoever and of every kind and nature, in law or in equity, which against the Releasees, jointly and/or severally, the Releasor ever had, now has, or might hereafter have, relating directly or indirectly to the work, labor, services, materials and/or equipment furnished and/or performed at the Project site, or incorporated or to be incorporated in said Project, <u>as of the date hereof</u>, including but not in any manner limited to the right of the Releasor to assert, file or claim any lien or other security interest in or upon the real and/or personal property of the Releasees.

5. The Releasor hereby agree to defend, indemnify, and hold harmless the Releasees from any and all damages, costs, expenses, demands, suits, liens and legal fees, directly or indirectly relating to any claim for compensation by any other party for work, labor, services, materials and/or equipment furnished and/or performed at the Project site, or which should have been so furnished or performed, or incorporated or to be incorporated in said Project, as of the date hereof, by the Releasor or by any other party claiming any interest or right through the

Releasor.

6. The Releasor hereby certifies and warrants that it has fully paid for all work, labor, services, materials and/or equipment provided to it in connection with the Project and/or any contract relating thereto.

The Releasor hereby grants to the Releasees the right to review and audit any and 7. books and records of the Releasor at any time for verification.

IN WITNESS WHEREOF this instrument has been executed this ____ day of _____ _____, 20___.

| | Releasor |
|--|--|
| | Ву: |
| | (Print Name) |
| | (Title) |
| STATE OF NEW YORK | |
| COUNTY OF) | |
| I, | _, being duly sworn, depose and say that: I reside at |
| the of the Releasor identified here the Releasor; and I hereby affirm correct. | ein; I am fully authorized to execute this instrument on behalf of that the statements contained in this instrument are true and |
| | |

Vendor/Releasor Agent Sign Here

of

Sworn to before me this _____ day of _____, 20___.

Notary Public

CONTRACTOR FINAL PAYMENT WAIVER, RELEASE AND DISCHARGE

| PROJECT: | | |
|-------------|--------------|--|
| OWNER: | ESSEX COUNTY | |
| CONTRACTOR: | | |

WITNESSETH:

The above-named Contractor, hereinafter referred to as the "Releasor", does, for and on behalf of itself, its' successors, assigns and all parties claiming any interest or right through the Releasor, hereby warrants, covenants and agrees as follows:

1. Releasor is/was a Contractor relative to the above-referenced Project pursuant to a contract or other relationship for the performing and/or furnishing of work, labor, services, materials and/or equipment at the Project site or to be incorporated in said Project.

2 Whenever the term "Releasor" is used in this instrument such term shall mean: (a) the above-named Contractor, its, successors and assigns; (b) any and all sureties and all other guarantors of the Releasor on any payment, performance, labor and/or material bond or other undertaking; (c) all parties claiming any interest or right through the Releasor, including but not limited to subcontractors and suppliers; and (d) the respective officers, directors, principals, shareholders, agents, employees and attorneys of (a), (b) and (c).

3. Whenever the term "Releasees" is used in this instrument such term shall mean: (a) the above-named Owner, its' successors and assigns; (b) Essex County, its agencies and departments (including but not limited to its Office for the Aging); and (c) the respective officers, directors, principals, shareholders, agents, employees and attorneys of (a) and (b).

4. For and in consideration of the sum of <u>\$</u>, and other good and valuable consideration, which sum is acknowledged as being the full, final and total amount due or allegedly due or owing from the Releasees to the Releasor as of the date hereof, and the receipt of such payment being hereby acknowledged, the Releasor does waive, release and discharge the Releasees from any and all causes of action, suits, debts, claims, liens, accounts, bonds, contracts, damages, encumbrances, judgments and demands whatsoever and of every kind and nature, in law or in equity, which against the Releasees, jointly and/or severally, the Releasor ever had, now has, or might hereafter have, relating directly or indirectly to the work, labor, services, materials and/or equipment furnished and/or performed at the Project site, or incorporated or to be incorporated in said Project, as of the date hereof, including but not in any manner limited to the right of the Releasor to assert, file or claim any lien or other security interest in or upon the real and/or personal property of the Releasees.

5. The Releasor hereby agree to defend, indemnify, and hold harmless the Releasees from any and all damages, costs, expenses, demands, suits, liens and legal fees, directly or indirectly relating to any claim for compensation by any other party for work, labor, services, materials and/or equipment furnished and/or performed at the Project site, or which should have been so furnished or performed, or incorporated or to be incorporated in said Project, as of the date hereof, by the Releasor or by any other party claiming any interest or right through the Releasor.

6. The Releasor hereby certifies and warrants that it has fully paid for all work, labor, services, materials and/or equipment provided to it in connection with the Project and/or any contract relating thereto.

7. The Releasor hereby grants to the Releasees the right to review and audit any and books and records of the Releasor at any time for verification.

IN WITNESS WHEREOF this instrument has been executed this _____ day of _____, 20__.

| | Contractor | |
|--|--|----------------|
| | By: | |
| | | |
| | (Print Name) | |
| | (Title) | |
| | | |
| | | |
| STATE OF NEW YORK | | |
| COUNTY OF ESSEX |) 33. | |
| I, | , being duly sworn, depose and say that: I reside at , and I hereby sign this instrument under penalty of periury | _ :lam |
| the of the Releasor identified the Releasor; and I hereby correct. | affirm that the statements contained in this instrument are true | half of and |

Vendor/Releasor Agent Sign Here

Sworn to before me this _____ day of _____, 20___.

Notary Public

CONTRACTORS AFFIDAVIT RELATIVE TO FINAL PAYMENT

| PROJECT: | | |
|-------------|--------------|--|
| OWNER: | ESSEX COUNTY | |
| CONTRACTOR: | | |

WITNESSETH:

The herein below designated representative of the Contractor being duly sworn deposes and states:

1. He is duly authorized to sign this Affidavit on behalf of the Contractor.

2. That all payrolls, bills for materials and equipment, and other indebtedness connection with the work for which the County or the County's property might be responsible or encumbered have been paid or otherwise satisfied and there remain no further indebtedness or bills outstanding.

3. Attached hereto and made a part hereof is a valid certificate of insurance evidencing that insurance required by the contract documents will remain in full force after final payment is currently in effect and will not be cancelled or allowed to expire until at least 30 days prior written notice has been given to the owner.

4. Contractor knows of no substantial reason that the insurance will not be renewable to cover the period required by the contract documents.

5. Attached hereto and made a part hereof at Schedule B is a detailed list of all subcontractors and material suppliers.

6. Contractor warrants and represents that all sub-contractors, material suppliers and fringe benefit trust funds for employees of contractor and sub-contractors on the portion of the project encompassed by the work, as well as all workers and persons employed in connection therewith have been paid in full for all labor and work and materials furnished.

7. Contractor releases and waives any and all public improvement lien rights which contractor has against the County.

IN WITNESS WHEREOF, deponent has executed this document on _____ day of ______

_____, 20____.

Contractor

Ву:_____

(Print Name)

(Title)

STATE OF NEW YORK)) SS: COUNTY OF ESSEX)

I, _____, being duly sworn, depose and say that: I reside at _____, and I hereby sign this instrument under penalty of perjury; I

am the of the Releasor identified herein; I am fully authorized to execute this instrument on behalf of the Releasor; and I hereby affirm that the statements contained in this instrument are true and correct.

Vendor/Releasor Agent Sign Here

Sworn to before me this _____ day of _____, 20____.

Notary Public

SUBCONTRACTOR/SUPPLIER PROGRESS PAYMENT WAIVER, RELEASE AND DISCHARGE

| PROJECT: | |
|-------------------------|--------------|
| OWNER: | ESSEX COUNTY |
| CONTRACTOR: | |
| SUBCONTRACTOR/SUPPLIER: | |

WITNESSETH:

The above-named Subcontractor/Supplier, hereinafter referred to as the "Releasor", does, for and on behalf of itself, its, successors, assigns and all parties claiming any interest or right through the Releasor, hereby warrants, covenants and agrees as follows:

1. Releasor is/was a subcontractor/supplier to the Contractor above-named relative to the above-referenced Project pursuant to a contract or other relationship for the performing and/or furnishing of work, labor, services, materials and/or equipment at the Project site or to be incorporated in said Project.

2. Whenever the term "Releasor" is used in this instrument such term shall mean: (a) the above-named Subcontractor/Supplier, its' successors and assigns; (b) any and all sureties and all other guarantors of the Releasor on any payment, performance, labor and/or material bond or other undertaking; (c) all parties claiming any interest or right through the Releasor; and (d) the respective officers, directors, principals, shareholders, agents, employees and attorneys of (a), (b) and (c).

3. Whenever the term "Releasees" is used in this instrument such term shall mean: (a) the above-named Contractor and all of its, sureties and other guarantors on any payment, performance, labor and/or material bond or other undertaking; (b) the abovenamed Owner, its, successors and assigns; (c) the Project Architect/Engineer; and (d) the respective officers, directors, principals, shareholders, agents, employees and attorneys of (a), (b) and (c).

4. For and in consideration of the sum of \$______, and other good and valuable consideration, which sum is acknowledged as being the full and total amount due or allegedly due or owing from the Releasees to the Releasor <u>as of the date hereof</u>, and the receipt of such payment being hereby acknowledged, the Releasor does waive, release and discharge the Releasees from any and all causes of action, suits, debts, claims, liens, accounts, bonds, contracts, damages, encumbrances, judgments and demands whatsoever and of every kind and nature, in law or in equity, which against the Releasees, jointly and/or severally, the Releasor ever had, now has, or might hereafter have, relating directly or indirectly to the work, labor, services, materials and/or equipment furnished and/or performed at the Project site, or incorporated or to be incorporated in said Project, <u>as of the date hereof</u>, including but not in any manner limited to the right of the Releasor to assert, file or claim any lien or other security interest in or upon the real and/or personal property of the Releasees.

5. The Releasor hereby agree to defend, indemnify, and hold harmless the Releasees from any and all damages, costs, expenses, demands, suits, liens and legal fees, directly or indirectly relating to any claim for compensation by any other party for work, labor, services, materials and/or

equipment furnished and/or performed at the Project site, or which should have been so furnished or performed, or incorporated or to be incorporated in said Project, as of the date hereof, by the Releasor or by any other party claiming any interest or right through the Releasor.

6. The Releasor hereby certifies and warrants that it has fully paid for all work, labor, services, materials and/or equipment provided to it in connection with the Project and/or any contract relating thereto.

7. The Releasor hereby grants to the Releasees the right to review and audit any and books and records of the Releasor at any time for verification.

Releasor

Ву:_____

(Print Name)

(Title)

STATE OF NEW YORK)) SS: COUNTY OF ESSEX)

I, ______, being duly sworn, depose and say that: I reside at ______, and I hereby sign this instrument under penalty of perjury; I am the of the Releasor identified herein; I am fully authorized to execute this instrument on behalf of the Releasor; and I hereby affirm that the statements contained in this instrument are true and correct.

Vendor/Releasor Agent Sign Here

Sworn to before me this _____ day of _____, 20____

Notary Public

SUBCONTRACTOR/SUPPLIER FINAL WAIVER, RELEASE AND DISCHARGE

| PROJECT: | |
|-------------------------|--------------|
| OWNER: | ESSEX COUNTY |
| CONTRACTOR: | |
| SUBCONTRACTOR/SUPPLIER: | |

WITNESSETH:

The above-named Subcontractor/Supplier, hereinafter referred to as the "Releasor", does, for and on behalf of itself, its, successors, assigns and all parties claiming any interest or right through the Releasor, hereby warrants, covenants and agrees as follows:

1. Releasor is/was a subcontractor/supplier to the Contractor above-named relative to the above-referenced Project pursuant to a contract or other relationship for the performing and/or furnishing of work, labor, services, materials and/or equipment at the Project site or to be incorporated in said Project.

2. Whenever the term "Releasor" is used in this instrument such term shall mean: (a) the above-named Subcontractor/Supplier, its' successors and assigns; (b) any and all sureties and all other guarantors of the Releasor on any payment, performance, labor and/or material bond or other undertaking; (c) all parties claiming any interest or right through the Releasor; and (d) the respective officers, directors, principals, shareholders, agents, employees and attorneys of (a), (b) and (c).

3. Whenever the term "Releasees" is used in this instrument such term shall mean: (a) the above-named Contractor and all of its, sureties and other guarantors on any payment, performance, labor and/or material bond or other undertaking; (b) the abovenamed Owner, its, successors and assigns; (c) the Project Architect/Engineer; and (d) the respective officers, directors, principals, shareholders, agents, employees and attorneys of (a), (b) and (c).

4. For and in consideration of the sum of \$______, and other good and valuable consideration, which sum is acknowledged as being the full, final and total amount due or allegedly due or owing from the Releasees to the Releasor as of the date hereof, and the receipt of such payment being hereby acknowledged, the Releasor does waive, release and discharge the Releasees from any and all causes of action, suits, debts, claims, liens, accounts, bonds, contracts, damages, encumbrances, judgments and demands whatsoever and of every kind and nature, in law or in equity, which against the Releasees, jointly and/or severally, the Releasor ever had, now has, or might hereafter have, relating directly or indirectly to the work, labor, services, materials and/or equipment furnished and/or performed at the Project site, or incorporated or to be incorporated in said Project, as of the date hereof, including but not in any manner limited to the right of the Releasor to assert, file or claim any lien or other security interest in or upon the real and/or personal property of the Releasees.

5. The Releasor hereby agree to defend, indemnify, and hold harmless the Releasees from any and all damages, costs, expenses, demands, suits, liens and legal fees, directly or indirectly relating to any claim for compensation by any other party for work, labor, services, materials and/or equipment furnished and/or performed at the Project site, or which should have been so furnished or performed, or incorporated or to be incorporated in said Project, as of the date hereof, by the Releasor or by any other party claiming any interest or right through the Releasor.

6. The Releasor hereby certifies and warrants that it has fully paid for all work, labor, services, materials and/or equipment provided to it in connection with the Project and/or any contract relating thereto.

7. The Releasor hereby grants to the Releasees the right to review and audit any and books and records of the Releasor at any time for verification.

IN WITNESS WHEREOF this instrument has been executed this ____ day of _____

| | Releasor |
|--|---|
| | By: |
| | (Print Name) |
| | (Title) |
| STATE OF NEW YORK) | |
|) SS: COUNTY OF ESSEX) | |
| I, reside at penalty of perjury; I am the of the this instrument on behalf of the F in this instrument are true and co | , being duly sworn, depose and say that: I , and I hereby sign this instrument under e Releasor identified herein; I am fully authorized to execute Releasor; and I hereby affirm that the statements contained prrect. |
| | Vendor/Releasor Agent Sign Here |
| Sworn to before me this day of, 20 | |
| Notary Public | |

APPENDIX E



ESSEX COUNTY Office of the Purchasing Agent

7551 Court Street, P.O. Box 217 Elizabethtown, NY 12932 518-873-3330/Fax 518-873-3339

GENERAL SPECIFICATIONS FOR PROCUREMENT CONTRACTS

Adopted May 20, 1999.

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PART I General Provisions

1. APPLICABILITY The terms and conditions set forth herein are expressly incorporated in and applicable to all procurements and resulting procurement contracts let by the Office of the Essex County Purchasing Agent where incorporated by reference in its Bid Documents. The provisions herein shall govern such procurement or contract unless expressly modified or amended by the terms of a Bid Specifications, or a negotiated Contract/Clarification document, if any. Captions are intended as descriptive and are not intended to limit or otherwise restrict the terms and conditions set forth herein.

2. GOVERNING LAW The laws of the State of New York shall govern and apply to the procurement, any resulting contract and for determinations in a court of competent jurisdiction in New York of any and all disputes, litigation or interpretations arising from or connected with the procurement or contract, except where expressly superseded in a specific contract letting or where the Federal supremacy clause requires otherwise. These specifications are modeled after and upon the specifications developed and used by the New York State Office of General Services for procurements by New York State.

3. APPENDIX A / INSURANCE The mandatory terms for all Essex County contracts are expressly incorporated herein and in all bid documents and/or resulting contracts, such terms being set forth in Appendix A *(Standard Clauses for Essex County Contracts)*. Insurance requirements are also attached and incorporated herein.

4. ETHICS COMPLIANCE All Bidders/Contractors and their employees must comply with the requirements of the *General Municipal Law*, the *Public Officers Law*, and other State codes, rules and regulations establishing ethical standards for the conduct of business with New York State and/or municipalities. In signing the bid, Bidder certifies full compliance with those provisions for any present or future dealings, transactions, sales, contracts, services, offers, relationships, etc., involving Essex County and/or its employees. Failure to comply with those provisions may result in disqualification from the bidding process, termination of contract, and/or other civil or criminal proceedings as required by law.

5. CONFLICT OF CLAUSES Conflicts between procurement or contract documents shall be resolved in the following order of precedence:

(a) Appendix A (Standard Clauses for Essex County Contracts)

(b) Contract/Clarification Documents Writing(s) setting forth the final agreements, clarifications, terms, statement of work and/or modifications between the Bid Documents and Contractors Bid or Mini-bid.

- (c) Bid Documents Bid Specifications prepared by Essex County
- (d) Contractors Bid or Proposal

6. **DEFINITIONS**

Terms used in this document shall have the following meanings:

AGENCY OR AGENCIES Essex County, New York, acting by or through one or more departments, boards, commissions, offices or institutions of Essex County.

ANCILLARY PRODUCT: Product which is purchased or licensed on a restricted use basis in conjunction with the principal manufacturers Product being acquired (e.g. may be used only in combination, or by educational institutions for research use).

AUTHORIZED USER(S) Agencies, or any other entity authorized by Essex County to participate in Essex County procurement contracts (including but not limited to political subdivisions, public authorities, school districts and public benefit corporations), provided that each such Agency or other entity shall be held solely responsible for liabilities or payments due as a result of its participation. The term "Authorized User" shall include "Licensees."

BID OR BID PROPOSAL An offer or proposal submitted by a Bidder to furnish a described product or a solution or means of achieving a practical end, at a stated price for the stated contract term.

BIDDER Any individual or other legal entity, (including but not limited to partnership, firm or corporation) which submits a bid in response to a Bid Solicitation. The term Bidder shall also include "offeror" and/or "contractor".

BID DOCUMENTS Writings setting forth the scope, terms, conditions and technical specifications for a procurement of Product. Such writings typically include, but are not limited to: Invitation for Bids (IFB), Request for Quotation (RFQ), Request for Proposals (RFP), addenda or amendments thereto, and terms and conditions which are incorporated by reference, e.g. Appendix A (*Standard Clauses for NYS Contracts*), Appendix B, (*General Specifications*). Where these General Specifications are incorporated in negotiated contracts which have not been competitively bid, the term "Bid Documents" shall be deemed to refer to the terms and conditions set forth in the negotiated contract.

BID SOLICITATION The notice or advertisement of an intent to purchase a specified Product by or on behalf of Authorized User(s).

BID SPECIFICATION A written description drafted by Essex County or an authorized user setting forth the specific terms of the intended procurement, which may include: physical or functional characteristics, the nature of a commodity or construction item, any description of the work to be performed, Products to be provided, the necessary qualifications of the Bidder, the capacity and capability of the Bidder to successfully carry out the proposed contract, or the process for achieving specific results and/or anticipated outcomes or any other requirement necessary to perform work. Where these *General Specifications* are incorporated in negotiated contracts which have not been competitively bid, the term "Bid Specifications" shall be deemed to refer to the terms and conditions set forth in the negotiated contract.

CONTRACT The writing(s) which contain the agreement of the Commissioner and the Bidder/Contractor setting forth the total legal obligation between the parties as determined by applicable rules of law.

CONTRACT AWARD NOTIFICATION An announcement to Authorized Users that a contract has been established.

CONTRACTOR Any successful Bidder(s) to whom a contract has been awarded by the Purchasing Agent. The term "Contractor" includes Licensors.

COUNTY Essex County, New York.

EMERGENCY An urgent and unexpected requirement where health and public safety or the conservation of public resources is at risk.

ERROR CORRECTIONS Machine executable software code furnished by Contractor which corrects the Product so as to conform to the applicable warranties, performance standards and/or obligations of the Contractor.

GROUP A classification of Product (commodities, services or technology).

INVITATION FOR BIDS (IFB) A type of Bid Document which is most typically used where requirements can be stated and award will be made to the lowest responsive bid submitted by the most responsible Bidder(s).

LATE BID For purposes of bid openings held and conducted by the Essex County Purchasing Agent, a bid not received in such place as may be designated on the Bid Specifications or in the Office of the Essex County Purchasing Agent, at or before the date and time established in the Bid Specifications for the bid opening.

LETTER OF ACCEPTANCE A letter to the successful Bidder(s) indicating acceptance of its bid in response to a solicitation. Unless otherwise specified, the issuance of a Letter of Acceptance forms a contract but is not an order for Product, and Contractor should not take any action with respect to actual contract deliveries except on the basis of Purchase Orders sent from Authorized User(s).

LICENSED SOFTWARE Software transferred upon the terms and conditions set forth in the Contract. "Licensed Software" includes ancillary products, error corrections, upgrades, enhancements or new releases, and any deliverables due under a maintenance or service contract (e.g. patches, fixes, PTFs, programs, code or data conversion, or custom programming).

LICENSEE The County, or one or more Agencies or Authorized Users who acquire Product from Contractor by execution of a license in accordance with the terms and conditions of the Contract; provided that, for purposes of compliance with an individual license, the term "Licensee" shall be deemed to refer separately to the individual Authorized User(s) on whose behalf the license was executed who took receipt of the Product, and who shall be solely responsible for performance and liabilities incurred.

LICENSOR A Contractor who transfers rights in proprietary Product to Authorized Users in accordance with the rights and obligations specified in the Contract.

MULTIPLE AWARD A determination and award of a contract in the discretion of the Purchasing Agent to more than one responsive and responsible Bidder who meets the requirements of a specification, where the multiple award is made on the grounds set forth in the Bid Document in order to satisfy multiple factors and needs of Authorized Users (e.g., complexity of items, various manufacturers, differences in performance required to accomplish or produce required end results, production and distribution facilities, price, compliance with delivery requirements, geographic location or other pertinent factors).

NEW PRODUCT RELEASES (Product Revisions) Any commercially released revisions to the version of a Product as may be generally offered and available to Authorized Users. New releases involve a substantial revision of functionality from a previously released version of the Product.

PROCUREMENT RECORD Documentation by the Essex County Purchasing Agent of the decisions made and approach taken during the procurement process.

PRODUCT A deliverable under any Bid or Contract which may include commodities (including printing), services and/or technology. The term "Product" includes Licensed Software.

PURCHASE ORDER The County's fiscal form or format which is used when making a purchase.

REQUEST FOR PROPOSALS (RFP) A type of Bid Document which is used for procurements where factors in addition to cost are considered and weighted in awarding the contract and where the method of award is "best value", as defined by the County's Procurement Policy and New York Law.

REQUEST FOR QUOTATION (RFQ) A type of Bid Document which can be used when a formal bid opening is not required (e.g. discretionary, sole source, single source or emergency purchases).

RESPONSIBLE BIDDER A Bidder that is determined to have skill, judgment and integrity, and that is found to be competent, reliable, experienced and qualified financially, as determined by the Purchasing Agent.

RESPONSIVE BIDDER A Bidder meeting the specifications or requirements prescribed in the Bid Document or solicitation, as determined by the Purchasing Agent.

SINGLE SOURCE A procurement where two or more offerors can supply the required Product, and the Purchasing Agent may award the contract to one Bidder over the other.

SOLE SOURCE A procurement where only one offeror is capable of supplying the required Product.

Bid Submission

7. BID LANGUAGE & CURRENCY All offers (tenders), and all information and Product documentation required by the solicitation or provided as explanation thereof, shall be submitted in English. All prices shall be expressed, and all payments shall be made, in United States Dollars (\$ US). Any offers (tenders) submitted which do not meet the above criteria will be rejected.

8. BID OPENING Bids may, as applicable, be opened publicly. The Purchasing Agent reserves the right at anytime to postpone or cancel a scheduled bid opening.

9. BID SUBMISSION The submission of a bid will be construed to mean that the bidder is fully informed as to the extent and character of the supplies, material, or equipment required and a representation that the bidder can furnish the supplies, materials, or equipment satisfactorily in complete compliance with the specifications.

All bids shall comply with the following:

(a) Bids are to be packaged, sealed and submitted to the location stated in the Bid Specifications. Bidders are solely responsible for timely delivery of their bids to the location set forth in the Bid Specifications prior to the stated bid opening date/time.

(b) A bid return envelope, if provided with the Bid Specifications, should be used with the bid sealed inside. If the bid response does not fit into the envelope, the bid envelope should be taped onto the outside of the sealed box or package with the bid inside. If using a commercial delivery company which requires use of their shipping package or envelope, Bidders sealed bid, labeled as detailed below, should be placed within the shippers sealed envelope to ensure that the bid is not prematurely opened. All bids must have a label on the outside of the package or shipping container outlining the following information:

"BID ENCLOSED" (bold print, all capitals) IFB or RFP Number Bid Submission date and time

In the event that a Bidder fails to provide such information on the return bid envelope or shipping material, the County reserves the right to open the shipping package or envelope to determine the proper bid number or Product group, and the date and time of bid opening. Bidder shall have no claim against the receiving entity arising from such opening and such opening shall not affect the validity of the bid or the procurement. Notwithstanding the County's right to open a bid to ascertain the foregoing information, Bidder assumes all risk of late delivery associated with the bid not being identified, packaged or labeled in accordance with the foregoing requirements.

10. FACSIMILE SUBMISSIONS Unless specifically authorized by the terms of the Bid Specifications,

facsimile bids ARE PROHIBITED AND SHALL NOT BE ACCEPTED. Where the bid specifications are silent as to the submission of bids by facsimile, no fax bids shall be permitted or accepted. Where specifically authorized, the following rules and conditions apply:

(a) FAX number(s) indicated in the Bid Specifications must be used.

(b) Access to the facsimile machine(s) is on a "first come, first serve" basis, and the Purchasing Agent bears no liability or responsibility and makes no guarantee whatsoever with respect to the Bidders access to such equipment at any specific time.

(c) Bidders are solely responsible for submission and receipt of the entire facsimile bid by the Essex County Purchasing Agent prior to bid opening and must include on the first page of the transmission the total number of pages transmitted in the bid, including the cover page. Incomplete, ambiguous or unreadable transmissions in whole or in part may be rejected at the sole discretion of the Purchasing Agent.

(d) Facsimile bids are fully governed by all conditions outlined in the Bid Documents and must be submitted on forms or in the format required in the Bid Specifications, including the executed signature page and acknowledgment.

11. AUTHENTICATION OF FACSIMILE BIDS The act of submitting a bid by facsimile transmission, when, as and if specifically authorized, including an executed signature page, shall be deemed a confirming act by Bidder which authenticates the signing of the bid.

12. LATE BIDS Any bid received at the specified location after the time specified will be considered a late bid. A late bid shall not be considered for award unless acceptance of the late bid is in the best interests of Essex County and either (a) no timely bids meeting the requirements of the Bid Documents are received, or (b) in the case of a multiple award, an insufficient number of timely bids were received to satisfy the multiple award. Delays in United States mail deliveries or any other means of transmittal, including couriers or agents of Essex County, shall not excuse late bid submissions. Otherwise, all late bids will not be considered and will be returned unopened to the bidder. The bidder assumes the risk of any delay in the mail or in the handling of the mail by employees of the County. Whether sent by mail or by means of personal delivery, the bidder assumes responsibility for having his bid deposited on time at the place specified.

13. BID CONTENTS Bids must be complete and legible. All bids must be signed. All information required by the Bid Specifications must be supplied by the Bidder on the forms or in the format specified in the Bid Specifications. No alteration, erasure or addition is to be made to the Bid Documents. Changes may be ignored by the Purchasing Agent or may be grounds for rejection of the bid. Changes, corrections and/or use of white-out in the bid or Bidders response portion of the Bid Document must be initialed by an authorized representative of the Bidder. Bidders are cautioned to verify their bids before submission, as amendments to bids or requests for withdrawal of bids received by the Purchasing Agent after the time specified for the bid opening, may not be considered. All lines must have an indication of bidders response whether it be "o", "N/A" or a dollar figure. All lines must be filled in to indicate bidder acknowledgment of the request. Bids that do not have all applicable lines filled in on bid sheet may be disqualified as a non-responsive bid. The Purchasing Agent shall not assume there is "no charge" when lines are left empty.

Bidders must submit with bid detailed specifications, circulars, warranties and all necessary data on items he proposes to furnish. This information must show clearly that the item offered meets all detailed specifications herein. The Purchasing Agent reserves the right to reject any bid if its compliance with the specifications is not clearly evident. If item offered differs from the provisions contained in these specifications such differences must be explained in detail, and bid will receive careful consideration if such deviations do not depart from the intent of these specifications and are to the best interests of Essex County as interpreted by the Purchasing Agent of Essex County.

It is the responsibility of the bidder to offer a product that meets the specifications of the manufacturer model as listed.

All stock electrical items must be listed and approved by Underwriters' Laboratories, Inc.

14. EXTRANEOUS TERMS Bids must conform to the terms set forth in the Bid Documents, as extraneous terms or material deviations (including additional, inconsistent, conflicting or alternative terms) may render the bid non-responsive and may result in rejection of the bid.

Extraneous term(s) submitted on standard, pre-printed forms (including but not limited to: product literature, order forms, license agreements, contracts or other documents) which are attached or referenced with the submission shall not be considered part of the bid, but shall be deemed included for informational or promotional purposes only.

Only those extraneous terms which meet all the following requirements will be considered as having been submitted as part of the Bid:

(a) Each proposed extraneous term (addition, counter-offer, deviation, or modification) must be specifically enumerated in a writing which is not part of a pre-printed form; and

(b) The writing must identify the particular specification requirement (if any) which Bidder rejects or proposes to modify by inclusion of the extraneous term; and

(c) The Bidder shall enumerate the proposed addition, counteroffer, modification or deviation from the Bid Document, and the reasons therefore.

No extraneous term(s), whether or not deemed "material," shall be incorporated into a contract unless the Purchasing Agent expressly accepts each such term(s) in writing. Acceptance and/or processing of the Bid shall not constitute such written acceptance of Extraneous Term(s).

15. CONFIDENTIAL / TRADE SECRET MATERIALS Confidential, trade secret or proprietary materials as defined by the laws of the State of New York must be clearly marked and identified as such upon submission. Bidders/Contractors intending to seek an exemption from disclosure of these materials under the *Freedom of Information Law* must request the exemption in writing, setting forth the reasons for the claimed exemption, at the time of submission. Acceptance of the claimed materials does not constitute a determination on the exemption request, which determination will be made in accordance with statutory procedures.

16. PREVAILING WAGE RATES - **Public Works and Building Services Contracts** If any portion of work being bid is subject to the prevailing wage rate provisions of Labor Law, the following shall apply:

(a) "Public Works" and "Building Services" – Definitions

i. Public Works *Labor Law* Article 8 applies to contracts for public improvement in which laborers, workers or mechanics are employed on a "public works" project (distinguished from public "procurement" or "service" contracts). The State, a public benefit corporation, a municipal corporation (including a school district), or a commission appointed by law must be a party to the contract. The wage and hours provision applies to any work performed by contractor or subcontractors.

ii. Building Services *Labor* Law Article 9 applies to contracts for building service work over \$1,500 with a public agency, which 1) involve the care or maintenance of an existing building, or 2) involve the transportation of office furniture or equipment to or from such building, or 3) involve the transportation and delivery of fossil fuel to such building, and 4) the principal purpose of which is to furnish services through use of building service employees.

(b) Prevailing Wage Rate Applicable to Bid Submissions A copy of the applicable prevailing wage rates to be paid or provided are attached to this solicitation. Bidders must submit bids which are based upon the prevailing hourly wages, and supplements in cash or equivalent benefits (i.e., fringe benefits and any cash or non-cash compensation which are not wages, as defined by law) that equal or exceed the applicable prevailing wage rate(s) for the location where the work is to be performed. Where the Bid Documents require the Bidder to enumerate hourly wage rates in the bid, Bidders may not submit bids based upon hourly wage rates and supplements below the applicable prevailing wage rates as established by the New York State Department of Labor. Bids which fail to comply with this requirement will be disgualified.

(c) Wage Rate Payments / Changes During Contract Term The wages to be paid under any resulting contract shall not be less than the prevailing rate of wages and supplements as set forth by law. It is required that the Contractor keep informed of all changes in the Prevailing Wage Rates during the contract term that apply to the classes of individuals supplied by the contractor on any projects which result from this contract which are subject to the provisions of the *Labor Law*. Contractor is solely liable for and must pay such required prevailing wage adjustments during the contract term as required by law.

(d) **Public Posting & Certified Payroll Records** In compliance with Article 8, Section 220 of the *Labor Law,* as amended by Chapter 565 of the Laws of 1997:

i. Posting The Contractor must publicly post on the work site, in a prominent and accessible place, a legible schedule of the prevailing wage rates and supplements.

ii. Payroll Records Contractors and sub-contractors must keep original payrolls or transcripts subscribed and affirmed as true under the penalties of perjury as required by law. For public works contracts over \$25,000 where the contractor maintains no regular place of business in New York State, such records must be kept at the work site. For building services contracts, such records must be kept at the work site.

iii. Submission of Certified Payroll Transcripts for Public Works Contracts Only Contractors and sub-contractors on public works projects must submit monthly payroll transcripts to Essex County which has prepared or directs the preparation of the plans and specifications for a public works project, as set forth in the Bid Specifications. For mini-bid solicitations, the payroll records must be submitted to the entity preparing the agency mini-bid project specification. For "agency specific" bids, the payroll records should be submitted to the entity issuing the purchase order. For all other Essex County procurement contracts, such records should be submitted to the individual agency issuing the purchase order(s) for the work. Upon mutual agreement of the Contractor and Essex County, the form of submission may be submitted in a specified disk format acceptable to the Department of Labor so long as: 1) the contractor/subcontractor retains the original records; and, (2) an original signed letter by a duly authorized individual of the contractor or subcontractor attesting to the truth and accuracy of the records accompanies the disk. This provision does not apply to building services contracts.

iv. Records Retention Contractors and subcontractors must preserve such certified transcripts for a period of three years from the date of completion of work on the awarded contract.

(e) Days Labor - Defined for Article 8, Public Works (For Purposes of Article 8 of the *Labor Law*) No laborer, worker or mechanic in the employ of the contractor, subcontractor or other person doing or contracting to do all or part of the work contemplated by the contract shall be permitted or required to work more than eight hours in any one calendar day or more than five days in any one week except in cases of extraordinary emergency including fire, flood or danger to life or property. "Extraordinary emergency" shall be deemed to include situations in which sufficient laborers, workers and mechanics cannot be employed to carry on public work expeditiously as a result of such

restrictions upon the number of hours and days of labor and the immediate commencement or prosecution or completion without undue delay of the public work is necessary in the judgment of the Essex County Purchasing Agent for the preservation of the contract site or for the protection of the life and limb of the persons using the contract site.

17. TAXES

(a) Unless otherwise specified in the Bid Specifications or set forth in this clause, the quoted bid price includes all taxes applicable to the transaction.

(b) Purchases made by Essex County and certain non-County Authorized Users are exempt from New York State and local sales taxes and, with certain exceptions, federal excise taxes. To satisfy the requirements of the New York State Sales tax exemption, either the Purchase Order issued by a County Agency or the invoice forwarded to authorize payment for such items will be sufficient evidence that the sale by the Contractor was made to the County, an exempt organization under Section 1116 (a) (1) of the *Tax Law*. Non-County Authorized Users must offer their own proof of exemption where required. No person, firm or corporation is, however, exempt from paying the State Truck Mileage and Unemployment Insurance or Federal Social Security taxes, which remain the sole responsibility of the Bidder/Contractor. For tax free transactions under the Internal Revenue Code, the Essex County Registration Number is 14 6002889.

(c) Purchases by Authorized Users other than Essex County may be subject to such taxes, and in those instances the tax should be computed based on the bid price and added to the invoice submitted to such entity for payment.

18. EXPENSES PRIOR TO AWARD Essex County is not liable for any costs incurred by a Bidder in the preparation and production of a bid or for any work performed prior to contract award and/or issuance of an approved Purchase Order.

19. ADVERTISING BID RESULTS A Bidder in submitting a bid agrees not to use the results therefrom as a part of any commercial advertising without the prior written approval of the Purchasing Agent. In addition to any other sanctions or remedies available to it in law or equity, the Purchasing Agent may suspend from bidding on its requirements or terminate a contract of any Bidder/Contractor who violates the terms of this clause.

20. PRODUCT REFERENCES

(a) "Or Equal" On all Bid Specifications the words "or equal" are understood to apply where a copyright brand name, trade name, catalog reference, or patented Product is referenced. References to such specific Product are intended as descriptive, not restrictive, unless otherwise stated. Comparable Product will be considered if proof of compatibility is provided, including appropriate catalog excerpts, descriptive literature, specifications and test data, etc. The Purchasing Agents decision as to acceptance of the Product as equal shall be final.

(b) Discrepancies in References In the event of a discrepancy between the model number referenced in the Bid Specifications and the written description of the Products therein which cannot be reconciled, with respect to such discrepancy, then the written description shall prevail.

21. RECYCLED OR RECOVERED MATERIALS Upon the conditions specified in the Bid Specifications and in accordance with the laws of the State of New York, Contractors are encouraged to use recycled or recovered materials in the manufacture of Products and packaging to the maximum extent practicable without jeopardizing the performance or intended end use of the Product or packaging unless such use is precluded due to health, welfare, safety requirements or in the Bid

Specifications. Where such use is not practical, suitable, or permitted by the Bid Specifications, Contractor shall deliver new materials in accordance with the "Warranties & Guaranties" set forth below.

Refurbished or remanufactured components or items may only be accepted at the discretion of the Purchasing Agent, or upon the conditions set forth in the Bid Specifications.

Items with recycled, recovered, refurbished or remanufactured content must be identified in the bid or will be deemed new Product.

22. PRODUCTS MANUFACTURED IN PUBLIC INSTITUTIONS Bids offering Products which are manufactured or produced in public institutions will be rejected.

23. PRICING

(a) Unit Pricing If required by the Bid Specifications, the Bidder should insert the price per unit specified and the price extensions in decimals, not to exceed four places for each item, in the bid. In the event of a discrepancy between the unit price and the extension, the unit price shall govern unless, in the sole judgment of the Purchasing Agent, such unit pricing is obviously erroneous.

(b) Net Pricing Prices must be net, including transportation, customs, tariff, delivery and other charges fully prepaid by the Contractor to the destination(s) indicated in the Bid Specifications, subject only to the cash discount. If the award is to be made on another basis, transportation and other charges must be prepaid by the Contractor and added to the invoice as a separate item, unless otherwise required in the Bid Specifications.

(c) "No Charge" Bid When bids are requested on a number of Products as a group or Lot, a Bidder desiring to bid "no charge" on a Product in the grouping or Lot must clearly indicate such. Otherwise, such bid may be considered incomplete and be rejected, in whole or in part, at the discretion of the Purchasing Agent.

If a price is written in numbers and alpha, the alpha will govern.

Prices shall be net FOB any point in Essex County, New York. Price quoted shall include all delivery costs. Prices shall be net, including transportation and delivery charges fully prepaid by the successful bidder to destination indicated in the proposal. If award is made on any other basis, transportation charges must be prepaid by the successful bidder and added to the invoice as a separate item. In any case, title shall not pass until items have been delivered and accepted by the County.

24. DRAWINGS

(a) Drawings Submitted With Bid When the Bid Specifications require the Bidder to furnish drawings and/or plans, such drawings and/or plans shall conform to the mandates of the Bid Documents and shall, when approved by the Purchasing Agent, be considered a part of the bid and of any resulting contract. All symbols and other representations appearing on the drawings shall be considered a part of the drawing.

(b) Drawings Submitted During the Contract Term Where required by the Bid Specifications to develop, maintain and deliver diagrams or other technical schematics regarding the scope of work, Contractor shall be required to develop, maintain, deliver and update such drawings on an ongoing basis at no additional charge. Contractor shall be responsible for updating drawings and plans during the contract term to reflect additions, alterations, and deletions. Such drawings and diagrams shall be delivered to the Authorized

Users representative as required by the Bid Specifications. Where required, Contractor shall furnish to Authorized User in a timely manner the required drawings representing the then current, "as modified" condition of all product included in the scope of work.

(c) Accuracy of Drawings Submitted All drawings shall be neat and professional in manner and shall be clearly labeled as to locations and type of product, connections and components. Drawings and diagrams are to be in compliance with accepted drafting standards. Acceptance or approval of such plans shall not relieve the Contractor from responsibility for design or other errors of any sort in the drawings or plans, or from its responsibility for performing as required, furnishing product, services or installation, or carrying out any other requirements of the intended scope of work.

25. SITE INSPECTION Where Bidder is required by the Bid Specifications to deliver or install Product, or to service installed product(s) or equipment, Bidder shall be given an opportunity and shall be required to inspect the site prior to submission of the Bid, including environmental or other conditions or pre-existing deficiencies in the installed product, equipment or environment, which may affect Bidders ability to deliver, install or otherwise provide the required product. All inquiries regarding such conditions may only be made in writing. Bidder shall be deemed to have knowledge of any deficiencies or conditions which such inspection or inquiry might have disclosed, and to have included the costs of repair in its bid. Bidder must provide a detailed explanation of work intended to be performed under this clause. Bidder shall be required to remedy any pre-existing deficiencies or conditions at the commencement of the contract term. Reimbursement for the cost of repairing the conditions or deficiencies shall be separately enumerated in the bid.

26. SAMPLES

(a) **Standard Samples** Bid Specifications may indicate that the Product to be purchased must be equal to a standard sample on display in a place designated by the Purchasing Agent and such sample will be made available to the Bidder for examination prior to the opening date. Failure by the Bidder to examine such sample shall not entitle the Bidder to any relief from the conditions imposed by the Bid Documents.

(b) Bidder Supplied Samples The Purchasing Agent reserves the right to request from the Bidder/Contractor a representative sample(s) of the Product offered at any time prior to or after award of a contract. Unless otherwise instructed, samples shall be furnished within the time specified in the request. Untimely submission of a sample may constitute grounds for rejection of bid or cancellation of the Contract. Samples must be submitted free of charge and be accompanied by the Bidders name and address, any descriptive literature relating to the Product and a statement indicating how and where the sample is to be returned. Where applicable, samples must be properly labeled with the appropriate bid or Essex County contract reference.

A sample may be held by the Purchasing Agent during the entire term of the contract and for a reasonable period thereafter for comparison with deliveries. At the conclusion of the holding period the sample, where feasible, will be returned as instructed by the Bidder, at the Bidders expense and risk. Where the Bidder has failed to fully instruct the Purchasing Agent as to the return of the sample (i.e. mode and place of return, etc.) or refuses to bear the cost of its return, the sample shall become the sole property of the receiving entity at the conclusion of the holding period.

(c) Enhanced Samples When an approved sample exceeds the minimum specifications, all Product delivered must be of the same enhanced quality and identity as the sample. Thereafter, in the event of a Contractors default, the Purchasing Agent may procure a commodity substantially equal to the enhanced sample from other sources, charging the Contractor for any additional costs incurred.

(d) Conformance with Sample(s) Submission of a sample (whether or not such sample is tested by, or for, the Purchasing Agent) and approval thereof shall not relieve the Contractor from full compliance with all conditions and terms, performance related and otherwise, specified in the Bid Documents. If in the judgment of the Purchasing Agent the sample or product submitted is not in accordance with the specifications or testing requirements prescribed in the Bid Documents, the Purchasing Agent may reject the bid. If an award has been made, the Purchasing Agent may cancel the contract at the expense of the Contractor.

(e) **Testing** All samples are subject to tests in the manner and place designated by the Purchasing Agent, either prior to or after contract award. Unless otherwise stated in the Bid Specifications, Bidder Samples consumed or rendered useless by testing will not be returned to the Bidder.

27. ADDENDA / INTERPRETATION No verbal interpretation of the intent of any of the specifications or other Contract Documents will be made before receipt of bids. Requests for interpretations prior to receipt of bids must be presented, in writing, to the Purchasing Agent, 100 Court Street, P.O. Box 217, Elizabethtown, NY 12932, and to be given consideration must be received by the Purchasing Agent at least seven (7) days prior to the date set for the opening of bids.

Any interpretation, and any additional information or instruction will, if issued, be in the form of a written Addendum or Addenda sent to all holders of Contract Documents at the addresses furnished therefor, at least five (5) days prior to the date of the opening of bids.

Failure of any bidder to receive any Addenda shall not relieve such bidder from any obligation under this bid as submitted. All Addenda so issued shall become a part of the Contract Documents.

Bid Evaluation

28. BID EVALUATION The Purchasing Agent reserves the right to accept or reject any and all bids, or separable portions of offers, and waive technicalities, irregularities, and omissions if the Purchasing Agent determines the best interests of the County will be served. The Purchasing Agent, in his/her sole discretion, may accept or reject illegible, incomplete or vague bids and his/her decision shall be final. A conditional or revocable bid which clearly communicates the terms or limitations of acceptance may be considered and contract award may be made in compliance with the Bidders conditional or revocable terms in the offer.

Where a bidder is requested to submit a bid on individual items and/or on a total sum or sums, the right is reserved to award bids on individual items or on total sums. The County reserves the right to award in whole or in part based on the lowest responsible bid.

The following three items will automatically render a bid unacceptable to Essex County:

- a. Failure to sign bid proposal page.
- b. Failure to include necessary bid deposit (as required).
- c. Failure to sign and submit non-collusive bidding certificate.

It shall be fully understood that any deviations from the inclusion of the above items will be grounds to see the bid as non-compliant and will not be considered for award.

The Purchasing Agent reserves the right to reject such bids, as in his opinion, are incomplete, conditional, obscure, or which contain irregularities of any kind including unbalanced bids. One in which the amount bid for one or more separate items is substantially out of line with the current market prices for the materials and/or work covered thereby.

29. CONDITIONAL BID Unless the Bid Specifications provides otherwise, a bid is not rendered nonresponsive if the Bidder specifies that the award will be accepted only on all or a specified group of items or Product included in the specification. It is understood that nothing herein shall be deemed to change or alter the method of award contained in the Bid Documents.

30. CLARIFICATIONS / REVISIONS Prior to award, the Purchasing Agent reserves the right to seek clarifications, request bid revisions, or to request any information deemed necessary for proper evaluation of bids from all Bidders deemed to be eligible for contract award. Failure to provide requested information may result in rejection of the bid.

31. PROMPT PAYMENT DISCOUNTS While prompt payment discounts will not be considered in determining the low bid, the Purchasing Agent may consider any prompt payment discount in resolving bids which are otherwise tied. However, any notation indicating that the price is net, (e.g. net 30 days), shall be understood to mean only that no prompt payment discount is offered by the Bidder. The imposition of service, interest, or other charges, except pursuant to the provisions of Article 11_A of the *State Finance Law,* which are applicable in any case, may render the bid non-responsive and may be cause for its rejection.

32. EQUIVALENT OR IDENTICAL BIDS In the event two offers are found to be substantially equivalent, price shall be the basis for determining the award recipient. If two or more Bidders submit substantially equivalent bids as to pricing or other factors, the decision of the Purchasing Agent to award a contract to one or more of such Bidders shall be final.

33. PERFORMANCE QUALIFICATIONS The Purchasing Agent reserves the right to investigate or inspect at any time whether or not the Product, qualifications or facilities offered by the Bidder/Contractor meet the requirements set forth in the Bid Documents. Contractor shall at all times during the contract term remain responsible and responsive. A Bidder/Contractor must be prepared, if requested by the Purchasing Agent, to present evidence of experience, ability and financial standing, as well as a statement as to plant, machinery and capacity of the manufacturer for the production, distribution and servicing of the Product bid. If the Purchasing Agent determines that the conditions and terms of the Bid Documents or Contract are not complied with, or that items or Product proposed to be furnished do not meet the specified requirements, or that the qualifications, financial standing or facilities are not satisfactory, or that performance is untimely, the Purchasing Agent may reject such bid or terminate the contract. Nothing in the foregoing shall mean or imply that it is obligatory upon the Purchasing Agent to make an investigation either before or after award of a contract, but should such investigation be made, it in no way relieves the Bidder/Contractor from fulfilling all requirements and conditions of the contract.

34. DISQUALIFICATION FOR PAST PERFORMANCE Bidder may be disqualified from receiving awards if Bidder, or anyone in Bidders employment, has previously failed to perform satisfactorily in connection with public bidding or contracts.

35. QUANTITY CHANGES PRIOR TO AWARD The Purchasing Agent reserves the right, at any time prior to the award of a specific quantity contract, to alter in good faith the quantities listed in the Bid Specifications to conform with requirements. In the event such right is exercised, the lowest responsible Bidder meeting specifications will be advised of the revised requirements and afforded an opportunity to extend or reduce its bid price in relation to the changed quantities. Refusal by the low Bidder to so extend or reduce its bid price may result in the rejection of its bid and the award of such contract to the lowest responsible Bidder who accepts the revised requirements.

36. RELEASE OF BID EVALUATION MATERIALS Requests concerning the evaluation of bids may be submitted under the *Freedom of Information Law*. Information, other than the Bid Tabulation, shall be released as required by law after contract award. Written requests should be directed to the Purchasing Agent.

37. TIME FRAME FOR OFFERS The Purchasing Agent reserves the right to make awards within sixty (60) days after the date of the bid opening, during which period, bids must remain firm and cannot be withdrawn. If, however, an award is not made within the sixty (60) day period, bids shall remain firm until such later time as either a contract is awarded or the Bidder delivers to the Purchasing Agent written notice of the withdrawal of its bid. Any bid which expressly states therein that acceptance must be made within a shorter specified time, may at the sole discretion of the Purchasing Agent, be accepted or rejected.

TERMS & CONDITIONS

38. CONTRACT CREATION / EXECUTION Except as may be otherwise provided by law or by the Purchasing Agent, upon receipt of all required approvals a Contract shall be deemed executed and created with the successful Bidder(s) upon the Purchasing Agent's mailing or electronic communication to the address on the bid of (a) a Letter of Acceptance and (b) a fully executed contract, or (c) a Purchase Order authorized by the Purchasing Agent.

39. COMPLIANCE WITH LAWS, ETC. The Bidder shall comply with all the provisions of the laws of the State of New York and of the United States of America which affect municipalities and municipal contracts, and any and all State and Federal rules and regulation, and of amendments and additions thereto, insofar as the same shall be applicable to any contract awarded hereunder with the same force and effect as if set forth at length herein. The Bidder's special attention is called to the following laws: *General Municipal Law* Section 1 03-d, *State Finance* Law Section 167-b prohibiting the purchase of tropical hardwood products, and the New York State Public Employee Safety & Health Act of 1980.

40. MODIFICATION OF TERMS The terms and conditions set forth in the Contract shall govern all transactions by Authorized User(s) under this Contract. The Contract may only be modified or amended upon mutual written agreement of the Purchasing Agent and Contractor.

The Contractor may, however, offer Authorized User(s) more advantageous pricing, payment, or other terms and conditions than those set forth in the Contract. In such event, a copy of such terms shall be furnished to the Authorized User(s) and Purchasing Agent by the Contractor.

Other than where such terms are more advantageous for the Authorized User(s) than those set forth in the Contract, no alteration or modification of the terms of the Contract, including substitution of Product, shall be valid or binding against Authorized User(s) unless authorized by the Purchasing Agent or specified in the Contract Award Notification. No such alteration or modification shall be made by unilaterally affixing such terms to Product upon delivery (including, but not limited to, attachment or inclusion of standard pre-printed order forms, product literature, "shrink wrap" terms accompanying software upon delivery, or other documents) or by incorporating such terms onto order forms, purchase orders or other documents forwarded by the Contractor for payment, notwithstanding Authorized Users subsequent acceptance of Product, or that Authorized User has subsequently processed such document for approval or payment.

41. SCOPE CHANGES The Purchasing Agent reserves the right, unilaterally, to require, by written order, changes by altering, adding to or deducting from the contract specifications, such changes to be within the general scope of the contract. The Purchasing Agent may make an equitable adjustment in the contract price or delivery date if the change affects the cost or time of performance.

With respect to any specific quantity stated in the contract, the Purchasing Agent reserves the right after award to order up to 20% more or less (rounded to the next highest whole number) than the specific quantities called for in the contract. Notwithstanding the foregoing, the Purchasing Agent may purchase greater or lesser percentages of contract quantities should the Purchasing Agent and Contractor so agree.

42. ESTIMATED QUANTITY CONTRACTS Estimated quantity contracts are expressly agreed and
understood to be made for only the quantities, if any, actually ordered during the contract term. No guarantee of any estimated quantity(s) is implied or given. Unless otherwise set forth in the Bid Specifications, contracts for services and technology are completely voluntary as to use, and therefore no quantities are guaranteed.

43. BEST PRICING OFFER During the contract term, if substantially the same or a smaller quantity of a Product is sold by the Contractor outside of this contract vehicle upon the same or similar terms and conditions as that of this contract at a lower price, the price under this contract shall be immediately reduced to the lower price.

44. PURCHASE ORDERS Unless otherwise authorized in writing by the Purchasing Agent, no Products are to be delivered or furnished by Contractor until transmittal of an official Purchase Order from the Authorized User requiring the Product. Unless terminated or canceled pursuant to the authority vested in the Purchasing Agent, Purchase Orders shall be effective and binding upon the Contractor when placed in the mail or electronically transmitted prior to the termination of the contract period, addressed to the Contractor at the address set forth in the Contract for receipt of orders, or in the Contract Award Notification.

All Purchase Orders issued pursuant to contracts let by the Purchasing Agent must bear the appropriate contract number and, if necessary, required State approvals. Unless otherwise specified, all Purchase Orders against centralized contracts will be placed by Authorized Users directly with the Contractor and any discrepancy between the terms stated on the vendors order form, confirmation or acknowledgment, and the contract terms shall be

resolved in favor of the terms most favorable to the Authorized User.

If, with respect to an agency specific contract, a Purchase Order is not received within two weeks after the issuance of a Contract Award Notification, it is the responsibility of the Contractor to request in writing that the appropriate Authorized User forward a Purchase Order. If, thereafter, a Purchase Order is not received within a reasonable period of time, the Contractor shall promptly notify the appropriate purchasing officer in Essex County. Failure to timely notify such officer may, in the discretion of the Purchasing Agent and without cost to the State, result in the canceling of such requirement by the Purchasing Agent with, at the Purchasing Agents discretion, a corresponding reduction in the contract quantity and price.

45. PRODUCT DELIVERY It shall be understood that with respect to contract deliveries, time is of the essence. Delivery must be made as ordered and in accordance with the terms of the contract. Unless otherwise specified in the Bid Specifications, delivery shall be made within thirty calendar days after receipt of a purchase order by the Contractor. The decision of the Purchasing Agent as to compliance with delivery terms shall be final. The burden of proof for delay in receipt of Purchase Order shall rest with the Contractor. In all instances of a potential or actual delay in delivery, the Contractor shall immediately notify the Purchasing Agent and the Authorized User, and confirm in writing the explanation of the delay, and take appropriate action to avoid any subsequent late deliveries. Any extension of the time for delivery must be requested in writing by the Contractor and approved in writing by the Purchasing Agent. Failure to meet such time schedule may be grounds for cancellation of the order or, in the Purchasing Agents discretion, the Contract.

The County must be notified twenty-four (24) hours in advance of delivery. The County reserves the right to deny acceptance of delivery if this notice is not given, at no cost to the County.

The successful bidder shall be responsible for delivery of items in good condition at point of destination, and shall file with the carrier all claims for breakage, imperfections, and other losses, which will be deducted from invoices. The Purchasing Agent will note for the benefit of successful bidder when packages are not received in good condition. Carton shall be labeled with purchase order or contract number, successful bidders name and general statement of contents. Failure to comply with this condition shall be considered sufficient reason for refusal to accept the goods.

Unless otherwise stated in the specifications, all items must be delivered into and placed at a point within the building as directed by the shipping instructions or the Purchasing Agent. The successful bidder will be required to furnish proof of delivery in every instance.

Unloading and placing of equipment and furniture is the responsibility of the successful bidder, and the County accepts no responsibility for unloading and placing of equipment Any costs incurred due to the failure of the successful bidder to comply with this requirement will be charged to him. No help for unloading will be provided by the County, and suppliers should notify their truckers accordingly.

All deliveries shall be accompanied by delivery tickets or packing slips. Ticket shall contain the following information for each item delivered:

Contract Number and/or Purchase Order Number Name of Article Item Number (if applicable) Quantity Name of the Successful Bidder

46. SATURDAY & HOLIDAY DELIVERIES Unless otherwise specified in the Bid Specifications or by an Authorized User, deliveries will not be scheduled for Saturdays, Sundays or legal holidays observed by the State of New York except of Product for daily consumption or where an emergency exists or the delivery is a replacement or is late, in which event the convenience of the Authorized User shall govern.

47. SHIPPING / RECEIPT OF PRODUCT

(a) **Packaging** Tangible Product shall be securely and properly packed for shipment, storage and stocking in appropriate, clearly labeled shipping containers and according to accepted commercial practice, without extra charge for packing materials, cases or other types of containers. The container shall become and remain the property of the receiving entity unless otherwise specified in the contract documents.

(b) Shipping Charges Contractor shall be responsible for insuring that the Bill of Lading states "charges prepaid" for all shipments. Unless otherwise stated in the Bid Specifications, all deliveries shall be deemed to be FOB Destination tailgate delivery at the dock of the Authorized User. Unless otherwise agreed, items purchased at a price F.O.B. Shipping point plus transportation charges are understood to not relieve the contractor from responsibility for safe and proper delivery notwithstanding the Authorized Users payment of transportation charges.

(c) Receipt of Product The Contractor shall be solely responsible for assuring that deliveries are made to personnel authorized to accept delivery on behalf of the Authorized User. Any losses resulting from the Contractors failure to deliver Product to authorized personnel shall be borne exclusively by the Contractor.

48. TITLE AND RISK OF LOSS Notwithstanding the form of shipment, title and risk of loss shall not pass from the Contractor to the Authorized User until the Products have been received, inspected and accepted by the receiving entity. Acceptance shall occur within a reasonable time or in accordance with such other defined acceptance period as may be specified in the Bid Specifications. Mere acknowledgment by Authorized User personnel of the delivery or receipt of goods (e.g. signed bill of lading) shall not be deemed or construed as acceptance of the Products received. Any delivery of Product which is substandard or does not comply with the Contract terms, may be rejected or accepted on an adjusted price basis, as determined by the Purchasing Agent.

49. RE-WEIGHING PRODUCT Deliveries are subject to re-weighing at the point of destination by the receiving entity. If shrinkage occurs which exceeds that normally allowable in the trade, the receiving

entity shall have the option to require delivery of the difference in quantity, or to reduce the payment accordingly.

50. PRODUCT SUBSTITUTION In the event a specified manufacturers Product listed in the Contractors Bid becomes unavailable or cannot be supplied by the Contractor for any reason (except as provided for in the Force Majeure Clause below) a Product deemed by the Purchasing Agent to be the equal or better of the specified commodity or service must be substituted by the Contractor at no additional cost or expense to the Authorized User. Unless otherwise specified, any substitution of Product prior to the Purchasing Agents approval may be cause for cancellation of contract.

51. REJECTED PRODUCT When Products are rejected, they must be removed by the Contractor from the premises of the receiving entity within ten days of notification of rejection by Authorized User. Upon rejection notification, risk of loss of rejected or non-conforming Product shall remain on Contractor. Rejected items not removed by the Contractor within ten days of notification shall be regarded as abandoned by the Contractor, and the Authorized User shall have the right to dispose of the items as its own property. The Contractor shall promptly reimburse the Authorized User for any and all costs and expenses incurred in storage or effecting removal or disposition.

52. INSTALLATION Where installation is required, Bidder shall be responsible for placing and installing the equipment in the required locations. All materials used in the installation shall be of good quality and shall be free from any and all defects which would mar the appearance of the equipment or render it structurally unsound. Installation includes the furnishing of any equipment, rigging and materials required to install or replace the Product in the proper location. The Contractor shall protect the site from damage for all its work and shall repair damages or injury of any kind caused by the Contractor, its employees, officers or agents. If any alteration, dismantling or excavation, etc. is required to effect installation, the Contractor shall thereafter promptly restore the structure or site to its original condition. Work shall be performed so as to cause the least inconvenience to the Authorized User(s) and with proper consideration for the rights of other contractors or workers. The Contractor shall promptly perform its work and shall coordinate its activities with those of other contractors. The Contractor shall promptly perform its work and shall coordinate its activities with those of other contractors. The Contractor shall clean up and remove all debris and rubbish from its work as required or directed. Upon completion of the work, the building and surrounding area of work shall be left clean and in a neat, unobstructed condition, and everything in satisfactory repair and order.

53. REPAIRED OR REPLACED PRODUCT / COMPONENTS Where the Contractor is required to repair, replace or substitute Product or components under the Contract, the repaired, replaced or substituted Product shall be subject to all terms and conditions for new Product set forth in the contract, including product warranties.

54. ON-SITE STORAGE Materials, equipment or supplies may be stored at the County/s or Authorized User's site at the Contractors sole risk and only with the approval of, as the case may be, the County or the Authorized User.

55. EMPLOYEES / **SUBCONTRACTORS** / **AGENTS** All employees, subcontractors or agents performing work under the contract must be trained technicians who meet or exceed the technical and training qualifications set forth in the Bid Specifications or the Bid, whichever is greater, and must comply with all rules and requirements of the Contract. The Purchasing Agent reserves the right to conduct a security background check or otherwise approve any employee or agent furnished by Contractor and to refuse access to or require replacement of any personnel for cause, including but not limited to, technical or training qualifications, quality of work or change in security status or non-compliance with Authorized Users security or other requirements. Such approval shall not relieve the Contractor of the obligation to perform all work in compliance with the contract terms. The Purchasing Agent reserves the right to reject and/or bar from the facility for cause any employee, subcontractor, or agents of the Contractor.

56. ASSIGNMENT / SUBCONTRACTORS The Contractor shall not assign, transfer, convey, sublet, or otherwise dispose of the contract or its right, title or interest therein, or its power to execute such contract to any other person, company, firm or corporation in performance of the contract, other than the assignment of the right to receive moneys due, without the prior written consent of Essex County. Prior to an assignment of the right to receive moneys becoming effective, Contractor shall file a written notice of such assignment simultaneously with Essex County and participating Authorized User(s).

The Purchasing Agent reserves the right to reject any proposed subcontractor, assignee or supplier for bona fide business reasons, which may include, but are not limited to: that the proposed transferee is on the Department of Labors list of companies with which New York State cannot do business; the Purchasing Agent determines that the company is not qualified; unsatisfactory contract performance or service has been previously provided; or attempts were not made to solicit minority and womens business enterprises (M/WBE) bidders for the subcontract.

57. PERFORMANCE / BID BOND Essex County reserves the right to require the Bidder/Contractor to furnish without additional cost, a performance, payment or bid bond or negotiable irrevocable letter of credit or other form of security for the faithful performance of the contract, whenever the Purchasing Agent in his/her sole discretion deems such bond or security to be in Essex County's best interest. Where required, such bond or other security shall be in the form prescribed by the Purchasing Agent.

58. STOP / SUSPENSION OF WORK

(a) Stop Work Order The Purchasing Agent reserves the right to stop the work covered by this contract at any time that the successful Contractor becomes unable or incapable of performing the work or meeting any requirements or qualifications set forth in the contract. In the event of such stopping, the Purchasing Agent shall have the right to arrange for the completion of the work in such manner as it may deem advisable and if the cost thereof exceeds the amount of the bid, the successful Contractor shall be liable for any such cost on account thereof.

(b) Suspension of Work Order The Purchasing Agent, in his/her sole discretion, reserves the right to suspend any or all activities under this contract, at anytime, in the best interests of the State or Issuing Entity. In the event of such suspension, the contractor will be given a formal written notice outlining the particulars of such suspension. Examples of the reason for such suspension include, but are not limited to, a budget freeze on County spending, declaration of emergency, or other such circumstances. Upon issuance of such suspension Order. Activity may resume at such time as the Purchasing Agent issues a formal written notice authorizing a resumption of work.

59. CANCELLATION A contract may be canceled by the Purchasing Agent, and/or an Authorized User may cancel its participation, license or service order under the contract, at the Contractors expense upon non-performance, or upon a determination that Contractor is non-responsive, or non-responsible.

60. FORCE MAJEURE The Contractor shall not be responsible for delay resulting from its failure to perform if neither the fault nor negligence of the Contractor, its officers, employees or agents contributed to such delay and the delay is due directly to acts of God, wars, acts of public enemies, strikes, fires or floods, or other similar cause beyond the control of the Contractor, or for any of the foregoing which affect subcontractors or suppliers and no alternate source of supply is available to the Contractor. In such event, Contractor shall notify the Purchasing Agent, by certified or registered mail, of the delay or potential delay and the cause(s) thereof either (a) within ten (10) calendar days after the cause which creates or will create the delay first arose if the Contractor could reasonably foresee that a delay could occur by reason thereof, or (b), if delay is not reasonably foreseeable, within five (5) calendar days after the date the Contractor first had reason to believe a delay could result. The foregoing shall constitute the Contractors sole remedy or excuse with respect to such delay. In the

event performance is suspended or delayed, in whole or in part, by reason of any of the aforesaid causes or occurrences and proper notification is given the Purchasing Agent, any performance so suspended or delayed shall be performed by the Contractor at no increased cost, promptly after such disabilities have ceased to exist unless it is determined in the sole discretion of the Purchasing Agent that the delay will significantly impair the value of the contract to the County or to Authorized Users, whereupon the Purchasing Agent may:

(a) Accept allocated performance or deliveries from the Contractor. The Contractor, however, hereby agrees to grant preferential treatment to County Agencies with respect to Product subjected to allocation; and/or

(b) Purchase from other sources (without recourse to and by the Contractor for the costs and expenses thereof) to replace all or part of the Products which are the subject of the delay, which purchases may be deducted from the contract quantity; or

(c) Terminate the contract or the portion thereof which is subject to delivery delays, and thereby discharge any unexecuted portion of the contract or the relative part thereof.

61. CONTRACT BILLINGS Contractor shall provide complete and accurate billing invoices to each Authorized User in order to receive payment. Billings for Agencies must contain all information required by the County Treasurer and/or Auditor. The County Treasurer shall render payment for Agency purchases, and such payment shall be made in accordance with ordinary County procedures and practices. Payment of contract purchases made by Authorized Users other than Agencies shall be billed directly by Contractor on invoices/vouchers, together with complete and accurate supporting documentation as required by the Authorized User.

Submission of an invoice and payment thereof shall not preclude the Purchasing Agent from reimbursement or demanding a price adjustment in any case where the Product delivered is found to deviate from the terms and conditions of the bid and award documents.

62. DEFAULT - **AUTHORIZED USER** An Authorized Users breach shall not be deemed a breach of the centralized contract. In the event a participating Authorized User fails to make payment to the Contractor for Products delivered, accepted and properly invoiced, within 60 days of such delivery and acceptance, the Contractor may, upon 10 days advance written notice to both the Purchasing Agent and the Authorized Users purchasing official, suspend additional shipments of Product or provision of services to such entity until such time as reasonable arrangements have been made and assurances given by such entity for current and future contract payments.

Notwithstanding the foregoing, the Contractor shall, at least 10 days prior to declaring a breach of contract by any Authorized User, by certified or registered mail, notify both the Purchasing Agent and the purchasing official of the breaching Authorized User of the specific facts, circumstances and grounds upon which a breach will be declared. It is understood, however, that if the Contractors basis for declaring a breach is insufficient, the Contractors declaration of breach and failure to service an Authorized User shall constitute a breach of its contract and the County or Authorized User may thereafter utilize any remedy available at law or equity.

63. INTEREST ON LATE PAYMENTS

(a) **County Agencies** The payment of interest on certain payments due and owed by a County agency may be made in accordance with Section 3-a of the *General Municipal Law* at the rate of three percent (3%) per annum.

(b) By Non-County Agencies The terms of Article 11-A apply only to procurements by and the consequent payment obligations of the County. Neither expressly nor by any implication is the County responsible for payments on any purchases made by a Non-County Agency

Authorized User.

(c) By Contractor Should the Contractor be liable for any payments to the County hereunder, interest, late payment charges and collection fee charges will be determined and assessed pursuant to Section 18 of the *State Finance Law to* the same extent as though the contract was with the State of New York rather than the County.

64. REMEDIES FOR BREACH It is understood and agreed that all rights and remedies afforded below shall be in addition to all remedies or actions otherwise authorized or permitted by law:

(a) **Cover / Substitute Performance** Upon the failure of the Contractor to properly perform within the time specified, failure to provide acceptable service, to make immediate replacement of rejected Product when so requested, or upon the revocation of the Contract by the Purchasing Agent for cause, or upon repudiation of the contract by the Contractor, the Purchasing Agent may, with or without formally bidding same:

i. Purchase from other sources to replace the Product rejected, revoked, not timely delivered or repudiated; or

ii. If after making reasonable attempts, under the circumstances then existing, to timely provide acceptable service or acquire replacement product of equal or comparable quality, the Purchasing Agent is unsuccessful, the Purchasing Agent may acquire acceptable service or replacement product of lesser or greater quality.

Such purchases may, in the discretion of the Purchasing Agent, be deducted from the contract quantity.

(b) Withhold Payment In any case where a question of non-performance by Contractor arises, payment may be withheld in whole or in part at the discretion of the Purchasing Agent. Should the amount withheld be finally paid, a cash discount originally offered may be taken as if no delay in payment had occurred.

(c) Reimbursement of Costs Incurred The Contractor agrees to reimburse the County and/or Authorized User promptly for any and all additional costs and expenses incurred for acquiring acceptable services, and/or replacement Product. Should the cost of cover be less than the contract price, the Contractor shall have no claim to the difference. The Contractor covenants and agrees that in the event suit is successfully prosecuted for any default on the part of the Contractor, all costs and expenses expended or incurred by the County or Authorized User in connection therewith, including reasonable attorneys fees, shall be paid by the Contractor.

Where the Contractor fails to timely deliver pursuant to the guaranteed delivery terms of the contract, the Purchasing Agent may authorize an ordering Authorized User to rent substitute equipment temporarily. Any sums expended for such rental shall, upon demand, be reimbursed to the Authorized User promptly by the Contractor or deducted by the Authorized User from payments due or to become due the Contractor on the same or another transaction.

(d) Deduction / Credit Sums due as a result of these remedies may be deducted or offset by the County or Authorized User from payments due, or to become due, the Contractor on the same or another transaction. If no deduction or only a partial deduction is made in such fashion the Contractor shall pay to the County or Authorized User the amount of such claim or portion of the claim still outstanding, on demand. The Purchasing Agent reserves the right to determine the disposition of any rebates, settlements, restitution, liquidated damages, etc. which arise from the administration of the contract. **65. ASSIGNMENT OF CLAIM** Contractor hereby assigns to the County any and all its claims for overcharges associated with this contract which may arise under the antitrust laws of the United States, 15 U.S.C. Section 1, *et seq.* and the antitrust laws of the State of New York, *General Business Law* Section 340, *et seq.*

66. TOXIC SUBSTANCES Each Contractor furnishing a toxic substance as defined by Section 875 of the *Labor Law,* shall provide such Authorized User with not less than two copies of a material safety data sheet, which sheet shall include for each such substance the information outlined in Section 876 of the *Labor Law.*

Before any chemical product is used or applied on or in any building, a copy of the product label and Material Safety Data Sheet must be provided to and approved by the user agency representative.

67. INDEPENDENT CONTRACTOR It is understood and agreed that the legal status of the Contractor, its agents, officers and employees under this Contract is that of an independent contractor, and in no manner shall they be deemed employees of the County or Authorized User, and therefore are not entitled to any of the benefits associated with such employment. The Contractor agrees, during the term of this contract, to maintain at Contractors expense those benefits to which its employees would otherwise be entitled by law, including health benefits, and all necessary insurance for its employees, including workers compensation, disability and unemployment insurance, and to provide the Authorized User with certification of such insurance upon request. The Contractor remains responsible for all applicable federal, state and local taxes, and all FICA contributions.

68. SECURITY / CONFIDENTIALITY Contractor warrants, covenants and represents that it will comply fully with all security procedures of the County and any Authorized User(s) in performance of the Contract.

Contractor further warrants, covenants and represents that any confidential information obtained by Contractor, its agents, subcontractors, officers, or employees in the course of performing its obligations, including without limitation, security procedures, business operations information, or commercial proprietary information in the possession of the County or any Authorized User hereunder or received from another third party, will not be divulged to any third parties. Contractor shall not be required to keep confidential any such confidential material which is publicly available through no fault of Contractor, independently developed by Contractor without reliance on confidential information of the County or Authorized User, or otherwise obtained under the Freedom of Information Act or other applicable New York State Laws and Regulations. This warranty shall survive termination of this Contract for a period of five (5) years. Contractor further agrees to take appropriate steps to instruct its personnel, agents, officers and any subcontractors regarding the obligations arising under this clause to insure such confidentiality.

69. COOPERATION WITH THIRD PARTIES The Contractor shall be responsible for fully cooperating with any third party agents, including but not limited to subcontractors of the Authorized User, relating to delivery of product or coordination of services.

70. CONTRACT TERM - EXTENSION In addition to any stated renewal periods in the Contract, any contract or unit portion thereof let by the Purchasing Agent may be extended by the Purchasing Agent for an additional period(s) of up to one year (cumulatively) with the written concurrence of the Contractor.

71. WARRANTIES & GUARANTEES Contractor hereby warrants and guarantees:

(a) To fully defend, indemnify and save harmless the County, Authorized Users and their respective officers, agents and employees from suits, actions, damages and costs of every name and description arising out of the acts or omissions of Contractor, its officers, employees,

subcontractors, partners, or agents, in any performance under this contract including: i) personal injury, damage to real or personal tangible or intangible property, without limitation; ii) negligence, either active or passive, without limitation, or iii) infringement of any law or of a United States Letter Patent with respect to the Products furnished, or of any copyright, trademark, trade secret or other third party intellectual proprietary rights, without limitation, provided that the County or Authorized User shall give Contractor: (a) prompt written notice of any action, claim or threat of infringement suit, or other suit, promptness of which shall be established by Authorized User upon the furnishing of written notice and verified receipt, (b) the opportunity to take over, settle or defend such action, claim or suit at Bidders sole expense, and (c) assistance in the defense of any such action at the expense of Contractor. Where a dispute or claim arises relative to a real or anticipated infringement, the County or Authorized User may require Bidder/Contractor, at its sole expense, to submit such information and documentation, including formal patent attorney opinions, as the Purchasing Agent shall require.

(b) Contractor warrants full ownership, clear title free of all liens, or perpetual license rights to any Products transferred to Authorized User under this Contract, and Contractor shall be solely liable for any costs of acquisition associated therewith without limitation. Contractor warrants that Authorized User will have undisturbed, peaceful use of the Products, including, without limitation, software, object or source codes, custom programming or third party intellectual property rights incorporated or embedded therein, and training modules or Documentation. Contractor fully indemnifies the County and Authorized User for any loss, damages or actions arising from a breach of said warranty without limitation.

(c) To pay, at its sole expense, all applicable permits, licenses, tariffs, tolls and fees and give all notices and comply with all laws, ordinances, rules and regulations of any governmental entity in conjunction with the performance of obligations under the contract.

Unless recycled or recovered materials are available in accordance with the "Recycled & (d) Recovered Materials" clause, Product offered shall be standard new equipment, current model of regular stock product with all parts regularly used with the type of equipment offered; and no attachment or part has been substituted or applied contrary to the manufacturers recommendations and standard practice. Every Product, including any substituted or replacement unit delivered, must be guaranteed against faulty material and workmanship for a period of one year from and after the date the unit is accepted unless otherwise specified by the County or Authorized User. Notwithstanding the foregoing, when the manufacturers standard guarantee for Product or any component thereof exceeds one year, the longer guarantee period shall apply to such unit or component thereof delivered under this contract. Furthermore, the Contractor agrees to extend its warranty period with regard to any Product delivered by the cumulative periods of time, after notification, during which the Product requires servicing or replacement (down time) or is in the possession of the Contractor, its agents, officers or employees. If during the regular or extended warranty periods faults develop, the Contractor shall promptly repair or, upon demand, replace the defective unit or component part affected. All costs for labor and material and transportation incurred to repair or replace defective goods during the warranty periods shall be borne solely by the Contractor, and the County or Authorized User shall in no event be liable or responsible therefore. This warranty shall survive any termination of the contract in accordance with the warranty term.

(e) Where the provision of services requires the replacement or repair of Product, any replaced or repaired component, part or Product shall be new and shall, if available, be replaced by the original manufacturers component, part or Product. All proposed substitutes for the original manufacturers installed Product must be approved by the Authorized User before installation. The Product or part shall be equal to or of better quality than the original Product being replaced. Any Product replaced by the Contractor under the contract shall be guaranteed for one (1) year from the date of replacement and replaced at no cost to the Authorized User if found defective during that time.

(f) Prior to award and during the Contract term and any renewals thereof, Contractor must establish to the satisfaction of the Purchasing Agent that it meets or exceeds all requirements of the bid and any applicable laws, including but not limited to, permits, insurance coverage, licensing, proof of coverage for workman's compensation, and shall provide such proof as required by the Purchasing Agent. Failure to do so may constitute grounds for the County to cancel or suspend this contract, in whole or in part, or to take any other action deemed necessary by the Purchasing Agent.

The Contractor further warrants and guarantees:

i. His/Her/Its products against defective material or workmanship and to repair or replace any damages or marring occasioned in transit.

ii. To furnish adequate protection from damage for all work and repair damages of any kind for which he or his workmen are responsible, to the building or equipment, to his own work, or to the work of other successful bidders.

iii. To carry adequate insurance to protect the County from loss in case of accident, fire, theft, etc.

iv. That all deliveries will be equal to the accepted bid sample.

v. That the equipment delivered is standard, new, latest model of regular stock product or as required by the specifications; also that no attachment or part has been substituted or applied contrary to manufacturer's recommendations and standard practice. Every unit delivered must be guaranteed against faulty material and workmanship for a period of at least one year from date of delivery. If during this period such faults develop, the successful bidder agrees to replace the unit or the part affected without cost to the County. Any merchandise provided under the contract which is or becomes defective during the guarantee period shall be replaced by the successful bidder free of charge with the specific understanding that all replacements shall carry the same guarantee as the original equipment The successful bidder shall make any such replacement immediately upon receiving notice from the County.

vi. That all manufacturers product warranties and guarantees shall be furnished to the County, and that the County's rights thereunder shall not be in any way impaired or limited.

GENERAL

72. APPLICABILITY In addition to the terms contained in **Part I** (*General - All Procurements*), the terms contained in **Part II** (*Software & Technology Procurements*) apply to software and technology procurements.

73. DEFINITIONS - Part II

DOCUMENTATION The complete set of manuals (e.g. user, installation, instruction or diagnostic manuals) in either hard or electronic copy, necessary to enable an Authorized User to properly test, install, operate and enjoy full use of the Product in accordance with the license rights.

ENTERPRISE The business operations in the United States of a Licensee or Enterprise Participant, without regard to geographic location where such operations are performed or the entity actually performing such operations on behalf of Licensee or Enterprise Participant. For the County of New York, "business operations" shall be defined as the business operations of all Agencies, as defined in Part I.

ENTERPRISE LICENSE A contract which grants Enterprise Participants unlimited license rights to access, use and/or execute Product within the Enterprise.

ENTERPRISE PARTICIPANTS One or more Licensees, as defined in Part I, participating in an Enterprise License.

LICENSE EFFECTIVE DATE The date Product is delivered to an Authorized User. Where a License involves Licensees right to copy a previously licensed and delivered Master Copy of a Program, the license effective date for additional copies shall be deemed to be the date on which the Purchase Order is executed.

LOGICAL PARTITION A subset of the processing power within a CEC which has been divided through hardware and/or software means (i.e. *Processor Resources/System Manager* [PR/SM]) so as to limit the total processing power which is accessible by an operating system image by individual users or individual software products.

OBJECT CODE The machine executable code that can be directly executed by a computers central processing unit(s).

PHYSICAL PARTITION A subset of the processing power within a CEC which has been derived through hardware means so as to limit the total processing power accessible by an operating system image by individual users or individual Products.

SITE The location (street address) where Product will be executed.

SOURCE CODE The programming statements or instructions written and expressed in any language understandable by a human being skilled in the art which are translated by a language compiler to produce executable machine Object Code.

TERMS OF LICENSE The terms and conditions set forth in the Contract which are in effect and applicable to a Product order at the time of order placement, and only such additional terms as are consistent therewith or more advantageous to the Authorized User as are set forth on the individual Product order form executed and approved by both Authorized User and Contractor.

VIRUS Any computer code, whether or not written or conceived by Contractor, which disrupts, disables, harms, or otherwise impedes in any manner the operation of the Product, or any other associated software, firmware, hardware, or computer system (such as local area or wide-area networks), including aesthetic disruptions or distortions, but does not include security keys or other such devices installed by Product manufacturer.

TERMS AND CONDITIONS

74. SOFTWARE LICENSE GRANT Unless otherwise set forth in the Bid Specifications or Contract, where Product is acquired on a licensed based the following shall constitute the license grant:

(a) License Scope Licensee is granted a non-exclusive, perpetual license to use, execute, reproduce, display, perform, or merge the Product with other product within its business enterprise in the United States. Licensee shall ha\~e the right to use and distribute modifications or customizations of the Product to and for use by any Authorized Users otherwise licensed to use the product, provided that any modifications, however extensive, shall not diminish manufacturers proprietary title or interest. No license, right or interest in any trademark, trade name, or service mark is granted hereunder.

(b) License Term The license term shall commence upon the License Effective Date. Where the terms of license permit licensing on a non-perpetual basis, the license term stated in

the Contract shall be extended by the time periods allowed for testing and acceptance.

(c) Licensed Documentation Contractor hereby grants to Licensee a perpetual license right to make, reproduce (including downloading electronic copies of the Product) and distribute, either electronically or otherwise, copies of Product Documentation as necessary to enjoy full use of the Product. If commercially available, Licensee shall have the option to require the Contractor to deliver, at Contractors expense: a) One (1) hard copy and One (1) Master Electronic Copy of the Documentation in diskette or CD-ROM format; or b) hard copies of the Product Documentation by type of license in the following amounts, unless otherwise mutually agreed:

- Individual/Named User License 1 copy per License
- Concurrent Users 8 copies per site
- Processing Capacity 8 copies per site

(d) **Product Use** Product may be accessed, used, executed, reproduced, displayed, performed by Licensee to service all Authorized Users of the machine on which Product is installed, up to the capacity measured by the applicable licensing unit stated in the terms of license (i.e. payroll size, number of employees, CPU, MIPS, MSU, concurrent user, workstation).

(e) Permitted License Transfers As Licensee's business operations may be altered, expanded or diminished, licenses granted hereunder may be transferred or combined for use at an alternative or consolidated Authorized User site not originally specified in the license, including transfers between Agencies ("permitted license transfers"). Licensee(s) do not have to obtain the approval of Contractor for permitted license transfers, but must give thirty (30) days prior written notice to Contractor of such move(s) and certify in writing that the Product is not in use at the prior site. There shall be no additional license or other transfer fees due Contractor, provided that: i) the maximum capacity of the consolidated machine is equal to the combined individual license capacity of all licenses running at the consolidated or transferred site. (e.g., named users, seats, or MIPS); and ii) that, if the maximum capacity of the consolidated machine is greater than the individual license capacity being transferred, a logical or physical partition or other means of restricting access will be maintained within the computer system so as to restrict use and access to the Product to that unit of licensed capacity solely dedicated to beneficial use for Licensee.

(f) Restricted Use By Outsourcers / Facilities Management, Service Bureaus / or Other Third Parties Outsourcers, facilities management or service bureaus retained by Licensee shall have the right to use the Product to maintain Licensee's business operations, including data processing, for the time period that they are engaged in such activities, provided that: 1) Licensee gives notice to Contractor of such party, site of intended use of the Product, and means of access; and 2) such party has executed, or agrees to execute, the Product manufacturers standard nondisclosure or restricted use agreement which executed agreement shall be accepted by the Contractor ("NonDisclosure Agreement"); and 3) if such party is engaged in the business of facility management, outsourcing, service bureau or other services, such third party will maintain a logical or physical partition within its computer system so as to restrict use and access to the program to that portion solely dedicated to beneficial use for Licensee. In no event shall Licensee assume any liability for third partys compliance with the terms of the Non-Disclosure Agreement, nor shall the Non-Disclosure Agreement create or impose any liabilities on the County or Licensee.

Any third party with whom a Licensee has a relationship for a state function or business operation, shall have the temporary right to use Product (using, for example, but not limited to, JAVA Applets), provided that such use shall be limited to the time period during which the third party is using the Product for the stated function or business activity.

(g) Archival Back-Up and Disaster Recovery Licensee may use and copy the Product and related Documentation in connection with: 1) reproducing a reasonable number of copies of the Product for archival backup and disaster recovery procedures in the event of destruction or corruption of the Product or disasters or emergencies which require Licensee to restore backup(s) or to initiate disaster recovery procedures for its platform or operating systems; 2) reproducing a reasonable number of copies of the Product and related documentation for cold site storage. "Cold Site" storage shall be defined as a restorable back-up copy of the Product not to be installed until and alter the declaration by the Licensee of a disaster; 3) reproducing a back-up copy of the Product to run for a reasonable period of time in conjunction with a documented consolidation or transfer otherwise allowed under paragraph (F) above. "Disaster Recovery" shall be defined as the installation and storage of Product in ready-to-execute, backup computer systems prior to disaster or breakdown which is not used for active production or development.

(h) **Confidentiality Restrictions** The Product is a trade secret and proprietary product. Licensee and its employees will keep the Product strictly confidential, and Licensee will not disclose or otherwise distribute or reproduce any Product to anyone other than as authorized under the terms of license. Licensee will not remove or destroy any proprietary markings of Contractor.

(i) **Restricted Use by Licensee** Except as expressly authorized by the terms of license, Licensee shall not:

a. Copy the Product;

b. Cause or permit reverse compilation or reverse assembly of all or any portion of the Product;

c. Distribute, disclose, market, rent, lease or transfer to any third party any portion of the Product or the Documentation, or use the Product or Documentation in any service bureau arrangement;

d. Disclose the results of Product performance benchmarks to any third party who is not an Authorized User without prior notice to Contractor;

e. Export the Licensed Software in violation of any U.S. Department of Commerce export administration regulations.

75. ENTERPRISE LICENSE OPTION FOR SOFTWARE Multiple Authorized Users may license any Product offered under the Contract on behalf of their collective business operations. An Enterprise License shall incorporate the terms set forth in this Part II and the pricing set forth in the Contract, and additionally the following terms:

(a) Enterprise – Defined Any Authorized User may be an Enterprise Participant. Enterprise Participants will be enumerated in the Enterprise License, including: i) contact name, ship to and main billing address of each Enterprise Participant, ii) street address of the included End User sites of each Enterprise Participant. The originally defined Enterprise may be modified at any time thereafter, including deletion or addition of Enterprise Participants, sites ownership to" locations, provided that Contractor is given written notice and that any additional capacity required by such addition is licensed in accordance with the Enterprise License terms.

(b) **Product Use** Product licensed under this Enterprise Option shall be licensed with the rights set forth in this Part II, without reference to a specific designated system or Licensee, up to the maximum licensed capacity. Product may be used and freely transferable anywhere

within the defined Enterprise, including higher or lower performance machines, and Enterprise Participants will not incur an increase in license, support or other charges provided that the aggregate utilization of the Product does not exceed the aggregate Enterprise Licensed capacity.

(c) Submission of Orders, Billing and Usage Reporting An Enterprise may be established for order placement and billing as either a "single" or "multiple" point of contact, at Licensees option. Where designated as a "single", one Enterprise Participant shall be designated as the lead agency and central point for submission of Purchase Orders, usage reporting and billing. Where designated as "multiple" point of contact, each designated Enterprise Participant shall be responsible for submission of Purchase Orders, reporting and billing with regard to its use of Enterprise Licensed Product. For either single or multiple point of contact Enterprises, a) Contractor agrees to hold each Enterprise Participant solely responsible for payment and performance; and b) Contractor shall be responsible for furnishing an annual report to each designated point of contact summarizing overall Enterprise License activity for the preceding twelve months.

(d) Shipping / Delivery Contractor shall be responsible for delivery of Master Copies of Enterprise Licensed Product and documentation to Enterprise Participants. Within either "Single" or "Multiple" Enterprise Licenses, shipping and delivery of Master Copies of Product and Documentation shall be the responsibility of Contractor to each "ship to" location specified on the Purchase Order(s). Distribution and installation of Enterprise Licensed Product to End Users at a site shall be the responsibility of the Licensee.

(e) Enterprise Operating Systems Unless otherwise specified by the parties, up to ten (10) hardware/operating system combinations for Product shall be included at no additional charge. The initial ten hardware/operating systems may be specified at any time within five (5) years of the Enterprise License effective date. Additional hardware/operating systems beyond the initial ten (10) may be specified at anytime by the Enterprise, however if additional copies of Product are required for hardware/operating systems beyond the initial ten, the cost for such systems will be as mutually agreed between the parties.

(f) **Product Acceptance** Each Enterprise Participant shall have a right of acceptance, as set forth above in this Part II, only for the first copy of Product for its site(s).

(g) Enterprise Fees Enterprise License Fees shall be set forth in the Contract. Notwithstanding the foregoing, the Product license fees for additional copies or units of capacity for Enterprise licensed Product shall not increase by more than six percent (6%) annually each year during the Enterprise License term. Contractor may offer additional discounts/incentives for Enterprise Participants as may be mutually agreed between the parties. Enterprise Participants shall be entitled to aggregate the volume of all Enterprise Participants for purposes of establishing any applicable discounts under the Contract, and Enterprise Licensed Volume shall be aggregated with volume of non-Enterprise Licensed Product otherwise purchased under the centralized Contract. Upon termination of the Enterprise, Enterprise Participants have the right to acquire additional capacity or users at the Enterprise License price for twelve months after the termination of the Enterprise License.

(h) **Technical Support** Unless otherwise mutually agreed, technical support is optional and may be elected individually by Product by each Enterprise Participant. Where an Enterprise Participant is under a current maintenance or technical support contract, such Enterprise Participant shall be entitled to credit any support paid covering any portion of the Enterprise License Term to the fees due under the Enterprise license.

Enterprise Participants shall have the right to partially or wholly de-support a subset of unused Enterprise licensed capacity upon written notice to Contractor at the end of any then -

current technical support term without penalty or charge. The capacity for a Program license which has been de-supported must remain inactive and may not be used within the Enterprise unless technical support for such capacity has been reinstated. In the event of de-support, Contractor reserves the right to reasonably determine compatibility of future releases or new programs prior to shipment.

(i) Merger of Two or More Enterprises Two or more Enterprises may be merged to form a larger Enterprise for the purpose of sharing and exchanging data at no additional license fee provided that participants give Contractor notice of such merger and that the combined capacity does not exceed the maximum capacity of the individual licenses.

(j) "Nested" Enterprises Individual Enterprise License participant(s) may license additional capacity or products for the specific use of a subset of the larger enterprise. Said participant(s) must certify in writing to Contractor that such use is only by the enumerated subset of participants.

(k) **Default** A default by any Enterprise Participant shall entitle the Contractor to the remedies against such participant under the Contract, but shall not be deemed a default by the remaining non-defaulting Enterprise Participants.

76. PRODUCT ACCEPTANCE Unless otherwise provided in the Bid Specifications, the County and/or Authorized User(s) shall have sixty (60) days from delivery to accept Product. Failure to provide notice of acceptance or rejection by the end of the period provided for under this clause would constitute acceptance by the County or Authorized User(s) as of the expiration of that period.

Unless otherwise provided in the Bid Specifications, The County or Authorized User shall have the option to run acceptance testing on the Product prior to acceptance, such tests and data sets to be specified by User. Where using its own data or tests, The County or Authorized User must have the tests or representative set of data available upon delivery. This demonstration will take the form of a documented installation test, capable of observation by the County or Authorized User, and shall be made part of the Contractors standard documentation. The test data shall remain accessible to the County or Authorized User after completion of the test.

In the event that the documented installation test cannot be completed successfully within sixty (60) days from delivery, and the Contractor or Product is responsible for the delay, The County or Authorized User shall have the option to cancel the order in whole or in part, or to extend the testing period for another sixty (60) day increment. The County or Authorized User shall notify Contractor of acceptance upon successful completion of the documented installation test. Such cancellation shall not give rise to any cause of action against the County or Authorized User for damages, loss of profits, expenses, or other remuneration of any kind.

Costs and liabilities associated with a failure of the Product to perform in accordance with the functionality tests or product specifications during the acceptance period shall be borne fully by Contractor to the extent that said costs or liabilities shall not have been caused by negligent or willful acts or omissions of the The County or Authorized Users agents or employees. Said costs shall be limited to fees paid to Contractor, if any, or any liability for costs incurred at the direction or recommendation of Contractor.

77. AUDIT OF LICENSED PRODUCT USAGE Contractor shall have the right to periodically audit, at its expense, use of licensed Product at any site where a copy of the Product resides provided that: i) Contractor gives Licensee or Enterprise Participants at least thirty (30) days advance notice, ii) such audit is conducted during such party's normal business hours iii) each Licensee or Enterprise Participant is entitled to designate a representative who shall be entitled to participant and simultaneously review all information obtained by the audit, and shall be entitled to copies of all reports, data or information obtained by the Contractor; and iv) if the audit shows that such party is not in

compliance such party shall be liable for the unlicensed capacity and shall be required to purchase the additional units or rights necessary to bring it into compliance.

78. OWNERSHIP / TITLE TO CUSTOM PRODUCTS OR PROGRAMMING Where contract deliverables include custom products or programming, title, rlghts and interests to such Product(s) shall be determined as follows:

(a) Definitions

Product For purposes of this section, the term "Product" shall have the meaning set forth in Part I of these *General Specifications*, which includes, but is not limited to: software applications or programming, programming tools, documentation ~including user or training manuals), modules, interfaces, templates, and other elements such as utilities, subroutines, algorithms, formulas, source code, object code, reports, drawings, or data.

"Existing Product" is defined as any proprietary material(s) existing or developed independently and not at the expense of Licensee.

"**Custom Product**" is defined as any material(s), exclusive of Existing Product, created, prepared, written, compiled or developed by Contractor, or anyone acting on his behalf for The County or Authorized User pursuant to the Contract.

(b) Contractor or Third Party Manufacturers Title to Existing Product Title to Existing Product(s) does not transfer. With respect to such Existing Product(s), whether embedded in or operating in conjunction with Custom Product, Contractor warrants: a) all right, title and interest in Contractors Existing Product(s); or b) all license rights, title and interest in third party Existing Product(s), which include the right to grant to The County or Authorized User an irrevocable, non-exclusive, worldwide, paid-up license to use, execute, reproduce, display, perform, and distribute Existing Product(s). Contractor hereby grants a irrevocable, non-exclusive, worldwide, paid-up license to use, execute, reproduce, worldwide, paid-up license to use, execute, reproduce, display, perform, and distribute Existing Product(s) embedded in or transferred for use in conjunction with Custom Product(s). The Licensee agrees to reproduce the copyright notice and any other legend of ownership on any copies made under the license granted under this paragraph prior to distribution or use.

(c) Title to Custom Product Title to Custom Product(s), excluding Existing Product, shall be deemed the sole and exclusive property of the County or Authorized User, who shall have all right, title and interest (including ownership and copyrights). For the purposes of the federal copyright law, execution of this contract shall constitute an assignment of all right, title and interest in the Custom Product(s) by Contractor to the County or Authorized User. The County or Authorized User, in its sole discretion, reserves the right to sell Custom Product or to license them on an exclusive or non-exclusive basis to Contractor or other Third Parties. Contractor hereby agrees to take all necessary and appropriate steps to ensure that Custom Product is protected against unauthorized use, execution, reproduction, display, performance, or distribution by or through Contractor, its partners or agents. Notwithstanding this reservation of title, Contractor shall not be precluded from using the related or underlying general knowledge, skills and experience developed in the course of providing the Custom Product in the course of Contractor's business.

(d) Acquisitions Funded By Tax Exempt Financing In addition to the foregoing rights under a, b and c, the sale or licensing of Custom Product or rights therein shall not occur until such Product or rights are or become useable, and shall be at fair market value which shall be determined at the time of sale or licensing. Any such transfer shall be pursuant to a separate written agreement. If the Contract deliverables are to be funded through tax exempt financing, the County or Authorized User may assign to a Trustee or other entity for security purposes County or Authorized Users ownership and license rights in Custom and Existing Products. Contractor will cooperate with the County or Authorized User to execute such other documents as may be appropriate to achieve the objectives of this paragraph.

(e) Other Acquisitions (Not Funded by Tax Exempt Financing) In addition to the rights set forth above (paragraphs "a", "b" and "c"), the County or Authorized User reserves the right to transfer any or all rights to Custom Materials on an exclusive or non-exclusive basis. Where such transfer (sale or licensing) is provided in the Bid Specifications, Contractor shall include a purchase price for such rights in its bid. Such price shall be offered as a deduction from Contractor's overall Bid or Project Bid price, and shall be weighted as set forth in the bid evaluation criteria, if any. Such rights shall transfer to the successful Bidder/Contractor upon successful completion and acceptance by the County or Authorized User of all contract deliverables. Contractor will cooperate with the County or Authorized User to execute such other documents as may be appropriate to achieve the objectives of this paragraph.

79. PROOF OF LICENSE The Contractor must provide to each Licensee who places a Product order either: a) the Product manufacturer's certified License Confirmation Certificates in the name of each such Licensee; or b) a written confirmation from the Product manufacturer accepting Contractors Product invoice as proof of license. Bidder or Contractor shall submit a sample manufacturers certificate, or alternatively such written confirmation from the manufacturer, with the Bid or Contract. Such certificates must be in a form acceptable to the Licensee.

80. PRODUCT VERSION Product orders shall be deemed to reference Manufacturers most recently released model or version of the Product at time of delivery, unless an earlier model or version is specifically requested in writing by the County or Authorized User and Contractor is willing to provide such version.

81. MIGRATION TO CENTRALIZED CONTRACT The County or Authorized User may obtain additional Product authorized under this contract, (e.g., licensed capacity upgrades, new releases, documentation, maintenance, consulting or training) whether or not Product was initially obtained independently of this contract. The County or Authorized Users election to obtain additional Product shall not operate to diminish, alter or extinguish rights previously granted.

82. NOTICE OF PRODUCT DISCONTINUANCE In the event that a Product manufacturer proposes to discontinue maintenance or support for Product, Contractor shall (1) notify the County and each Authorized User in writing of the intended discontinuance, and (2) continue to provide maintenance and support for the greater of: a) the best terms offered by Contractor to any other customer, or b) not less than eighteen (18) months from the date of notice, and (3) at The County or Authorized Users option, either a) provided that the County or Authorized User is under maintenance, provide the County or Authorized User with equivalent functionality at no additional charge, or b) provide County or Authorized User with the source code for Licensed Product at no additional charge to enable it to continue use and maintenance of the Product.

83. REINSTATEMENT OF MAINTENANCE The County or Authorized User shall not be required to purchase maintenance for use of Product, and may discontinue maintenance at the end of any current maintenance term upon written notice to Contractor. In the event that The County or Authorized User discontinues maintenance of licensed Product, it may, at any time thereafter, reinstate maintenance for Product without any additional penalties or other charges, by paying Contractor at rates which would have been due under the contract for the period of time that such maintenance had lapsed, or for twelve months, whichever is less.

84. NO HARDSTOP / PASSIVE LICENSE MONITORING Contractor hereby represents, warrants and covenants that the Product and all Upgrades do not and will not contain any computer code that would disable the Product or Upgrades or impair in any way its operation based on the elapsing of a period of time, exceeding an authorized number of copies, advancement to a particular date or other numeral, or other similar self-destruct mechanisms (sometimes referred to as "time bombs", "time locks", or "drop dead" devices) or that would permit Contractor to access the Product to cause such disablement or

impairment (sometimes referred to as a "trap door" device). Contractor agrees that in the event of a breach or alleged breach of this provision that The County or Authorized User shall not have an adequate remedy at law, including monetary damages, and that The County or Authorized User shall consequently be entitled to seek a temporary restraining order, injunction, or other form of equitable relief against the continuance of such breach, in addition to any and all remedies to which The County or Authorized User shall be entitled.

85. ADDITIONAL WARRANTIES / GUARANTEES Where Contractor or Product manufacturer offers additional or more advantageous warranties than set forth herein, Contractor shall offer or pass through any additional or more advantageous warranties to The County or Authorized Users. In addition to the 'Warranties/Guarantees' set forth in Part I, Contractor makes the following warranties.

(a) **Product Performance Warranty** Contractor represents and warrants that the Products delivered pursuant to this contract conform to the manufacturers specifications, performance standards, and documentation and that the documentation fully describes the proper procedure for using the Products in an efficient manner. Contractor does not warrant that software is error-free.

In the event that Contractor does not remedy a substantial breach of this warranty within the cure period, Licensee shall also have the right to terminate any payments due Contractor, with a refund of the any fees prospectively paid from the date of breach.

(b) Year 2000 Warranty For all procurements of Product, Contractor must furnish a warranty statement in accordance with the NYS Standard Year 2000 Warranty Compliance Statement set forth in Part I at the time of bid for agency specific contracts or product order for centralized contracts.

(c) Virus Warranty Contractor represents and warrants that Licensed Software contains no known viruses. Bidder is not responsible for viruses introduced at Licensees site. For purposes of this provision, "Virus" shall have the meaning set forth in Part II, "Definitions".

A breach of any of the foregoing shall be deemed a material breach of the Contract or any License granted thereunder. The defaulting party shall be given written notice of a warranty breach under this section and shall have a thirty (30) day period to cure such breach.

86. INDEMINIFICATION THE WARRANTIES SET FORTH IN THESE *GENERAL SPECIFICATIONS* (PARTS I and II) ARE IN LIEU OF ALL OTHER WARRANTIES, EXPRESS OR IMPLIED, INCLUDING BUT NOT LIMITED TO, THE IMPLIED WARRANTIES OF MERCHANTIBILITY AND FITNESS FOR A PARTICULAR PURPOSE.

Contractor shall defend, indemnify and save harmless the County and Authorized Users from suits, actions, claims, damages and costs arising under or connected to Contractors actions, and except where express loss liabilities set forth elsewhere in the Contract provide for a higher loss limitation liability than as set forth in this paragraph, or where such express provisions impose Contractor liability on "without limitation", the total liability of Contractor for such claim(s), regardless of the nature and basis for the claim, shall not exceed two (2) times the fees paid for the applicable Product. For any suit, action, claim, damages or costs arising under or are connected to personal injury or property damage, or breach of the title, patent and copyright warranties, Contractor shall be fully liable without limitation.

The County or Authorized User may retain such moneys from the amount due Contractor as may be necessary to satisfy any claim for damages, costs and the like asserted by or against the County or Authorized User, provided however, that Contractor shall not indemnify each such entity to the extent that any claim, loss or damages arising hereunder is caused by the negligence act or failure to act of said entity.

87. SOURCE CODE ESCROW FOR LICENSED PRODUCTS If source code or source code escrow is offered by either Contractor or Product manufacturer/developer to any other commercial customers, Contractor either: i) will provide Licensee with the Source Code for the Product; or ii) place the Source Code in a third party escrow arrangement with a designated escrow agent who shall be named and identified to the County, and who shall be directed to release the deposited Source Code in accordance with a standard escrow agreement acceptable to the County, or iii) will certify to the County that the Product manufacturer/developer has named the County, and the Licensee, as a named beneficiary of an established escrow arrangement with its designated escrow agent who shall be named and identified to the County and Licensee, and who shall be directed to release the deposited Source Code in accordance with the terms of escrow. Source Code, as well as any corrections or enhancements to such source code, shall be updated for each new release of the Product in the same manner as provided above. Contractor shall identify the escrow agent upon commencement of the contract term and shall certify annually that the escrow remains in effect in compliance with the terms of this paragraph.

The County may release the Source Code to Licensees under this Contract who have licensed Product or obtained services, who may use such copy of the Source Code to maintain the Product.

Peter M. Rivera, Commissioner



Andrew M. Cuomo, Governor

Essex County

Donna Thompson, Sr Purchasing Clerk 7551 Court St Elizabethtown NY 12932

Schedule Year Date Requested 02/28/2014 PRC#

2013 through 2014 2014001701

Location Public Safety Building Project ID# Project Type Install a diesel generator and supporting electrical equipment

PREVAILING WAGE SCHEDULE FOR ARTICLE 8 PUBLIC WORK PROJECT

Attached is the current schedule(s) of the prevailing wage rates and prevailing hourly supplements for the project referenced above. A unique Prevailing Wage Case Number (PRC#) has been assigned to the schedule(s) for your project.

The schedule is effective from July 2013 through June 2014. All updates, corrections, posted on the 1st business day of each month, and future copies of the annual determination are available on the Department's website www.labor.state.ny.us. Updated PDF copies of your schedule can be accessed by entering your assigned PRC# at the proper location on the website.

It is the responsibility of the contracting agency or its agent to annex and make part, the attached schedule, to the specifications for this project, when it is advertised for bids and /or to forward said schedules to the successful bidder(s), immediately upon receipt, in order to insure the proper payment of wages.

Please refer to the "General Provisions of Laws Covering Workers on Public Work Contracts" provided with this schedule, for the specific details relating to other responsibilities of the Department of Jurisdiction.

Upon completion or cancellation of this project, enter the required information and mail **OR** fax this form to the office shown at the bottom of this notice. **OR** fill out the electronic version via the NYSDOL website.

NOTICE OF COMPLETION / CANCELLATION OF PROJECT

Date Completed:

Date Cancelled:

Name & Title of Representative:

Phone: (518) 457-5589 Fax: (518) 485-1870 W. Averell Harriman State Office Campus, Bldg. 12, Room 130, Albany, NY 12240

General Provisions of Laws Covering Workers on Article 8 Public Work Contracts

Introduction

The Labor Law requires public work contractors and subcontractors to pay laborers, workers, or mechanics employed in the performance of a public work contract not less than the prevailing rate of wage and supplements (fringe benefits) in the locality where the work is performed.

Responsibilities of the Department of Jurisdiction

A Department of Jurisdiction (Contracting Agency) includes a state department, agency, board or commission: a county, city, town or village; a school district, board of education or board of cooperative educational services; a sewer, water, fire, improvement and other district corporation; a public benefit corporation; and a public authority awarding a public work contract.

The Department of Jurisdiction (Contracting Agency) awarding a public work contract MUST obtain a Prevailing Rate Schedule listing the hourly rates of wages and supplements due the workers to be employed on a public work project. This schedule may be obtained by completing and forwarding a "Request for wage and Supplement Information" form (PW 39) to the Bureau of Public Work. The Prevailing Rate Schedule MUST be included in the specifications for the contract to be awarded and is deemed part of the public work contract.

Upon the awarding of the contract, the law requires that the Department of Jurisdiction (Contracting Agency) furnish the following information to the Bureau: the name and address of the contractor, the date the contract was let and the approximate dollar value of the contract. To facilitate compliance with this provision of the Labor Law, a copy of the Department's "Notice of Contract Award" form (PW 16) is provided with the original Prevailing Rate Schedule.

The Department of Jurisdiction (Contracting Agency) is required to notify the Bureau of the completion or cancellation of any public work project. The Department's PW 200 form is provided for that purpose.

Both the PW 16 and PW 200 forms are available for completion online.

Hours

No laborer, worker, or mechanic in the employ of a contractor or subcontractor engaged in the performance of any public work project shall be permitted to work more than eight hours in any day or more than five days in any week, except in cases of extraordinary emergency. The contractor and the Department of Jurisdiction (Contracting Agency) may apply to the Bureau of Public Work for a dispensation permitting workers to work additional hours or days per week on a particular public work project.

There are very few exceptions to this rule. Complete information regarding these exceptions is available on the "4 Day / 10 Hour Work Schedule" form (PW 30R).

Wages and Supplements

The wages and supplements to be paid and/or provided to laborers, workers, and mechanics employed on a public work project shall be not less than those listed in the current Prevailing Rate Schedule for the locality where the work is performed. If a prime contractor on a public work project has not been provided with a Prevailing Rate Schedule, the contractor must notify the Department of Jurisdiction (Contracting Agency) who in turn must request an original Prevailing Rate Schedule form the Bureau of Public Work. Requests may be submitted by: mail to NYSDOL, Bureau of Public Work, State Office Bldg. Campus, Bldg. 12, Rm. 130, Albany, NY 12240; Fax to Bureau of Public Work (518) 485-1870; or electronically at the NYSDOL website www.labor.state.ny.us.

Upon receiving the original schedule, the Department of Jurisdiction (Contracting Agency) is REQUIRED to provide complete copies to all prime contractors who in turn MUST, by law, provide copies of all applicable county schedules to each subcontractor and obtain from each subcontractor, an affidavit certifying such schedules were received. If the original schedule expired, the contractor may obtain a copy of the new annual determination from the NYSDOL website www.labor.state.ny.us.

The Commissioner of Labor makes an annual determination of the prevailing rates. This determination is in effect from July 1st through June 30th of the following year. The annual determination is available on the NYSDOL website www.labor.state.ny.us.

Payrolls and Payroll Records

Every contractor and subcontractor MUST keep original payrolls or transcripts subscribed and affirmed as true under penalty of perjury. Payrolls must be maintained for at least three (3) years from the project's date of completion. At a minimum, payrolls must show the following information for each person employed on a public work project: Name, Address, Last 4 Digits of Social Security Number, Classification(s) in which the worker was employed, Hourly wage rate(s) paid, Supplements paid or provided, and Daily and weekly number of hours worked in each classification.

Every contractor and subcontractor shall submit to the Department of Jurisdiction (Contracting Agency), within thirty (30) days after issuance of its first payroll and every thirty (30) days thereafter, a transcript of the original payrolls, subscribed and affirmed as true under penalty of perjury. The Department of Jurisdiction (Contracting Agency) shall collect, review for facial validity, and maintain such payrolls.

In addition, the Commissioner of Labor may require contractors to furnish, with ten (10) days of a request, payroll records sworn to as their validity and accuracy for public work and private work. Payroll records include, by are not limited to time cards, work description sheets, proof that supplements were provided, cancelled payroll checks and payrolls. Failure to provide the requested information within the allotted ten (10) days will result in the withholding of up to 25% of the contract, not to exceed \$100,000.00. If the contractor or subcontractor does not maintain a place of business in New York State and the amount of the contract exceeds \$25,000.00, payroll records and certifications must be kept on the project worksite.

The prime contractor is responsible for any underpayments of prevailing wages or supplements by any subcontractor.

All contractors or their subcontractors shall provide to their subcontractors a copy of the Prevailing Rate Schedule specified in the public work contract as well as any subsequently issued schedules. A failure to provide these schedules by a contractor or subcontractor is a violation of Article 8, Section 220-a of the Labor Law.

All subcontractors engaged by a public work project contractor or its subcontractor, upon receipt of the original schedule and any subsequently issued schedules, shall provide to such contractor a verified statement attesting that the subcontractor has received the Prevailing Rate Schedule and will pay or provide the applicable rates of wages and supplements specified therein. (See NYS Labor Laws, Article 8. Section 220-a).

Determination of Prevailing Wage and Supplement Rate Updates Applicable to All Counties

The wages and supplements contained in the annual determination become effective July 1st whether or not the new determination has been received by a given contractor. Care should be taken to review the rates for obvious errors. Any corrections should be brought to the Department's attention immediately. It is the responsibility of the public work contractor to use the proper rates. If there is a question on the proper classification to be used, please call the district office located nearest the project. Any errors in the annual determination will be corrected and posted to the NYSDOL website on the first business day of each month. Contractors are responsible for paying these updated rates as well, retroactive to July 1st.

When you review the schedule for a particular occupation, your attention should be directed to the dates above the column of rates. These are the dates for which a given set of rates is effective. To the extent possible, the Department posts rates in its possession that cover periods of time beyond the July 1st to June 30th time frame covered by a particular annual determination. Rates that extend beyond that instant time period are informational ONLY and may be updated in future annual determinations that actually cover the then appropriate July 1st to June 30th time period.

Withholding of Payments

When a complaint is filed with the Commissioner of Labor alleging the failure of a contractor or subcontractor to pay or provide the prevailing wages or supplements, or when the Commissioner of Labor believes that unpaid wages or supplements may be due, payments on the public work contract shall be withheld from the prime contractor in a sufficient amount to satisfy the alleged unpaid wages and supplements, including interest and civil penalty, pending a final determination.

When the Bureau of Public Work finds that a contractor or subcontractor on a public work project failed to pay or provide the requisite prevailing wages or supplements, the Bureau is authorized by Sections 220-b and 235.2 of the Labor Law to so notify the financial officer of the Department of Jurisdiction (Contracting Agency) that awarded the public work contract. Such officer MUST then withhold or cause to be withheld from any payment due the prime contractor on account of such contract the amount indicated by the Bureau as sufficient to satisfy the unpaid wages and supplements, including interest and any civil penalty that may be assessed by the Commissioner of Labor. The withholding continues until there is a final determination of the underpayment by the Commissioner of Labor or by the court in the event a legal proceeding is instituted for review of the determination of the Commissioner of Labor.

The Department of Jurisdiction (Contracting Agency) shall comply with this order of the Commissioner of Labor or of the court with respect to the release of the funds so withheld.

Summary of Notice Posting Requirements

The current Prevailing Rate Schedule must be posted in a prominent and accessible place on the site of the public work project. The prevailing wage schedule must be encased in, or constructed of, materials capable of withstanding adverse weather conditions and be titled "PREVAILING RATE OF WAGES" in letters no smaller than two (2) inches by two (2) inches.

The "Public Work Project" notice must be posted at the beginning of the performance of every public work contract, on each job site.

Every employer providing workers. compensation insurance and disability benefits must post notices of such coverage in the format prescribed by the Workers. Compensation Board in a conspicuous place on the jobsite.

Every employer subject to the NYS Human Rights Law must conspicuously post at its offices, places of employment, or employment training centers, notices furnished by the State Division of Human Rights.

Employers liable for contributions under the Unemployment Insurance Law must conspicuously post on the jobsite notices furnished by the NYS Department of Labor.

Apprentices

Employees cannot be paid apprentice rates unless they are individually registered in a program registered with the NYS Commissioner of Labor. The allowable ratio of apprentices to journeyworkers in any craft classification can be no greater than the statewide building trade ratios promulgated by the Department of Labor and included with the Prevailing Rate Schedule. An employee listed on a payroll as an apprentice who is not registered as above or is performing work outside the classification of work for which the apprentice is indentured, must be paid the prevailing journeyworker's wage rate for the classification of work the employee is actually performing.

NYSDOL Labor Law, Article 8, Section 220-3, require that only apprentices individually registered with the NYS Department of Labor may be paid apprenticeship rates on a public work project. No other Federal or State Agency of office registers apprentices in New York State.

Persons wishing to verify the apprentice registration of any person must do so in writing by mail, to the NYSDOL Office of Employability Development / Apprenticeship Training, State Office Bldg. Campus, Bldg. 12, Albany, NY 12240 or by Fax to NYSDOL Apprenticeship Training (518) 457-7154. All requests for verification must include the name and social security number of the person for whom the information is requested.

The only conclusive proof of individual apprentice registration is written verification from the NYSDOL Apprenticeship Training Albany Central office. Neither Federal nor State Apprenticeship Training offices outside of Albany can provide conclusive registration information.

It should be noted that the existence of a registered apprenticeship program is not conclusive proof that any person is registered in that program. Furthermore, the existence or possession of wallet cards, identification cards, or copies of state forms is not conclusive proof of the registration of any person as an apprentice.

Interest and Penalties

In the event that an underpayment of wages and/or supplements is found:

- Interest shall be assessed at the rate then in effect as prescribed by the Superintendent of Banks pursuant to section 14-a of the Banking Law, per annum from the date of underpayment to the date restitution is made.
- A Civil Penalty may also be assessed, not to exceed 25% of the total of wages, supplements, and interest due.

Debarment

Any contractor or subcontractor and/or its successor shall be ineligible to submit a bid on or be awarded any public work contract or subcontract with any state, municipal corporation or public body for a period of five (5) years when:

- Two (2) willful determinations have been rendered against that contractor or subcontractor and/or its successor within any consecutive six (6) year period.
- There is any willful determination that involves the falsification of payroll records or the kickback of wages or supplements.

Criminal Sanctions

Willful violations of the Prevailing Wage Law (Article 8 of the Labor Law) may be a felony punishable by fine or imprisonment of up to 15 years, or both.

Discrimination

No employee or applicant for employment may be discriminated against on account of age, race, creed, color, national origin, sex, disability or marital status.

No contractor, subcontractor nor any person acting on its behalf, shall by reason of race, creed, color, disability, sex or national origin discriminate against any citizen of the State of New York who is qualified and available to perform the work to which the employment relates (NYS Labor Law, Article 8, Section 220-e(a)).

No contractor, subcontractor, nor any person acting on its behalf, shall in any manner, discriminate against or intimidate any employee on account of race, creed, color, disability, sex, or national origin (NYS Labor Law, Article 8, Section 220e(b)). The Human Rights Law also prohibits discrimination in employment because of age, marital status, or religion.

There may be deducted from the amount payable to the contractor under the contract a penalty of \$50.00 for each calendar day during which such person was discriminated against or intimidated in violation of the provision of the contract (NYS Labor Law, Article 8, Section 220-e(c)).

The contract may be cancelled or terminated by the State or municipality. All monies due or to become due thereunder may be forfeited for a second or any subsequent violation of the terms or conditions of the anti-discrimination sections of the contract (NYS Labor Law, Article 8, Section 220-e(d)).

Every employer subject to the New York State Human Rights Law must conspicuously post at its offices, places of employment, or employment training centers notices furnished by the State Division of Human Rights.

Workers' Compensation

In accordance with Section 142 of the State Finance Law, the contractor shall maintain coverage during the life of the contract for the benefit of such employees as required by the provisions of the New York State Workers' Compensation Law.

A contractor who is awarded a public work contract must provide proof of workers' compensation coverage prior to being allowed to begin work.

The insurance policy must be issued by a company authorized to provide workers' compensation coverage in New York State. Proof of coverage must be on form C-105.2 (Certificate of Workers' Compensation Insurance) and must name this agency as a certificate holder.

If New York State coverage is added to an existing out-of-state policy, it can only be added to a policy from a company authorized to write workers' compensation coverage in this state. The coverage must be listed under item 3A of the information page.

The contractor must maintain proof that subcontractors doing work covered under this contract secured and maintained a workers' compensation policy for all employees working in New York State.

Every employer providing worker's compensation insurance and disability benefits must post notices of such coverage in the format prescribed by the Workers' Compensation Board in a conspicuous place on the jobsite.

Unemployment Insurance

Employers liable for contributions under the Unemployment Insurance Law must conspicuously post on the jobsite notices furnished by the New York State Department of Labor.

THENT OF L

Andrew M. Cuomo, Governor

Essex County

Donna Thompson, Sr Purchasing Clerk 7551 Court St Elizabethtown NY 12932 Schedule Year Date Requested PRC#

2013 through 2014 02/28/2014 2014001701

LocationPublic Safety BuildingProject ID#Install a diesel generator and supporting electrical equipment

Notice of Contract Award

New York State Labor Law, Article 8, Section 220.3a requires that certain information regarding the awarding of public work contracts, be furnished to the Commissioner of Labor. One "Notice of Contract Award" (PW 16, which may be photocopied), **MUST** be completed for **EACH** prime contractor on the above referenced project.

Upon notifying the successful bidder(s) of this contract, enter the required information and mail **OR** fax this form to the office shown at the bottom of this notice, **OR** fill out the electronic version via the NYSDOL website.

| Federal Employer Identification N | umber: | | |
|-----------------------------------|-----------|--------|--|
| Name: | | | |
| City: | | State: | Zip: |
| Amount of Contract: | <u>\$</u> | | Contract Type: |
| Approximate Starting Date: | // | | [] (01) General Construction[] (02) Heating/Ventilation |
| Approximate Completion Date: | // | | [] (03) Electrical [] (04) Plumbing [] (05) Other : |

Contractor Information All information must be supplied

Phone: (518) 457-5589 Fax: (518) 485-1870 W. Averell Harriman State Office Campus, Bldg. 12, Room 130, Albany, NY 12240

IMPORTANT NOTICE

FOR

CONTRACTORS & CONTRACTING AGENCIES

Social Security Numbers on Certified Payrolls

The Department of Labor is cognizant of the concerns of the potential for misuse or inadvertent disclosure of social security numbers. Identity theft is a growing problem and we are sympathetic to contractors' concerns with regard to inclusion of this information on payrolls if another identifier will suffice.

For these reasons, the substitution of the use of the <u>last four digits</u> of the social security number on certified payrolls submitted to contracting agencies on public work projects is now acceptable to the Department of Labor.

NOTE: This change does not affect the Department's ability to request and receive the entire social security number from employers during the course of its public work / prevailing wage investigations.

To all State Departments, Agency Heads and Public Benefit Corporations IMPORTANT NOTICE REGARDING PUBLIC WORK ENFORCEMENT FUND

Budget Policy & Reporting Manual

B-610

Public Work Enforcement Fund

effective date December 7, 2005

1. Purpose and Scope:

This Item describes the Public Work Enforcement Fund (the Fund, PWEF) and its relevance to State agencies and public benefit corporations engaged in construction or reconstruction contracts, maintenance and repair, and announces the recently-enacted increase to the percentage of the dollar value of such contracts that must be deposited into the Fund. This item also describes the roles of the following entities with respect to the Fund:

- New York State Department of Labor (DOL),
- The Office of the State of Comptroller (OSC), and
- State agencies and public benefit corporations.

2. Background and Statutory References:

DOL uses the Fund to enforce the State's Labor Law as it relates to contracts for construction or reconstruction, maintenance and repair, as defined in subdivision two of Section 220 of the Labor Law. State agencies and public benefit corporations participating in such contracts are required to make payments to the Fund.

Chapter 511 of the Laws of 1995 (as amended by Chapter 513 of the Laws of 1997, Chapter 655 of the Laws of 1999, Chapter 376 of the Laws of 2003 and Chapter 407 of the Laws of 2005) established the Fund.

3. Procedures and Agency Responsibilities:

The Fund is supported by transfers and deposits based on the value of contracts for construction and reconstruction, maintenance and repair, as defined in subdivision two of Section 220 of the Labor Law, into which all State agencies and public benefit corporations enter.

Chapter 407 of the Laws of 2005 increased the amount required to be provided to this fund to .10 of one-percent of the total cost of each such contract, to be calculated at the time agencies or public benefit corporations enter into a new contract or if a contract is amended. The provisions of this bill became effective August 2, 2005.

To all State Departments, Agency Heads and Public Benefit Corporations IMPORTANT NOTICE REGARDING PUBLIC WORK ENFORCEMENT FUND

OSC will report to DOL on all construction-related ("D") contracts approved during the month, including contract amendments, and then DOL will bill agencies the appropriate assessment monthly. An agency may then make a determination if any of the billed contracts are exempt and so note on the bill submitted back to DOL. For any instance where an agency is unsure if a contract is or is not exempt, they can call the Bureau of Public Work at the number noted below for a determination. Payment by check or journal voucher is due to DOL within thirty days from the date of the billing. DOL will verify the amounts and forward them to OSC for processing.

For those contracts which are not approved or administered by the Comptroller, monthly reports and payments for deposit into the Public Work Enforcement Fund must be provided to the Administrative Finance Bureau at the DOL within 30 days of the end of each month or on a payment schedule mutually agreed upon with DOL.

Reports should contain the following information:

- Name and billing address of State agency or public benefit corporation;
- State agency or public benefit corporation contact and phone number;
- Name and address of contractor receiving the award;
- Contract number and effective dates;
- Contract amount and PWEF assessment charge (if contract amount has been amended, reflect increase or decrease to original contract and the adjustment in the PWEF charge); and
- Brief description of the work to be performed under each contract.

Checks and Journal Vouchers, payable to the "New York State Department of Labor" should be sent to:

Department of Labor Administrative Finance Bureau-PWEF Unit Building 12, Room 464 State Office Campus Albany, NY 12240

Any questions regarding billing should be directed to NYSDOL's Administrative Finance Bureau-PWEF Unit at (518) 457-3624 and any questions regarding Public Work Contracts should be directed to the Bureau of Public Work at (518) 457-5589.

Construction Industry Fair Play Act

Required Posting For Labor Law Article 25-B § 861-d

Construction industry employers must post the "Construction Industry Fair Play Act" notice in a prominent and accessible place on the job site.

Failure to post the notice can result in penalties of up to \$1,500 for a first offense and up to \$5,000 for a second offense.

The posting is included as part of this wage schedule. Additional copies may be obtained from the NYS DOL website, <u>www.labor.ny.gov</u>.

If you have any questions concerning the Fair Play Act, please call the State Labor Department toll-free at 1-866-435-1499 or email us at: dol.misclassified@labor.state.ny.us. New York State Department of Labor Required Notice under Article 25-B of the Labor Law



ATTENTION ALL EMPLOYEES, CONTRACTORS AND SUBCONTRACTORS: YOU ARE COVERED BY THE CONSTRUCTION INDUSTRY FAIR PLAY ACT

The law says that you are an employee unless:

- You are free from direction and control in performing your job AND
- You perform work that is not part of the usual work done by the business that hired you AND
- You have an independently established business

Your employer cannot consider you to be an independent contractor unless all three of these facts apply to your work.

IT IS AGAINST THE LAW FOR AN EMPLOYER TO MISCLASSIFY EMPLOYEES AS INDEPENDENT CONTRACTORS OR PAY EMPLOYEES OFF-THE-BOOKS.

Employee rights. If you are an employee:

- You are entitled to state and federal worker protections such as
 - unemployment benefits, if unemployed through no fault of your own, able to work, and otherwise qualified
 - o workers' compensation benefits for on-the-job injuries
 - o payment for wages earned, minimum wage, and overtime (under certain conditions)
 - o prevailing wages on public work projects
 - o the provisions of the National Labor Relations Act and
 - o a safe work environment
- It is a violation of this law for employers to retaliate against anyone who asserts their rights under the law. Retaliation subjects an employer to civil penalties, a private lawsuit or both.

Independent Contractors: If you are an independent contractor:

• You must pay all taxes required by New York State and Federal Law.

Penalties for paying off-the-books or improperly treating employees as independent contractors:

- **Civil Penalty** First Offense: up to \$2,500 per employee. Subsequent Offense(s): up to \$5,000 per employee.
- Criminal Penalty
 First Offense: Misdemeanor up to 30 days in jail, up to a \$25,000 fine and debarment from performing Public Work for up to one year. Subsequent Offense(s): Misdemeanor - up to 60 days in jail, up to a \$50,000 fine and debarment from performing Public Work for up to 5 years.

If you have questions about your employment status or believe that your employer may have violated your rights and you want to file a complaint, call the Department of Labor at 1(866)435-1499 or send an email to <u>dol.misclassified@labor.state.ny.us</u>. All complaints of fraud and violations are taken seriously and you can remain anonymous.

Employer Name:

IA 999 (09/10)

WORKER NOTIFICATION

(Labor Law §220, paragraph a of subdivision 3-a)

Effective February 24, 2008

This provision is an addition to the existing prevailing wage rate law, Labor Law §220, paragraph a of subdivision 3-a. It requires contractors and subcontractors to provide written notice to all laborers, workers or mechanics of the *prevailing wage rate* for their particular job classification on each pay stub*. It also requires contractors and subcontractors to *post a notice* at the beginning of the performance of every public work contract on each job site that includes the telephone number and address for the Department of Labor and a statement informing laborers, workers or mechanics of their right to contact the Department of Labor if he/she is not receiving the proper prevailing rate of wages and/or supplements for his/her particular job classification. The required notification will be provided with each wage schedule, may be downloaded from our website www.labor.state.ny.us or made available upon request by contacting the Bureau of Public Work at 518-457-5589.

^{*} In the event that the required information will not fit on the pay stub, an accompanying sheet or attachment of the information will suffice.

New York State Department of Labor Bureau of Public Work



Attention Employees

THIS IS A:

[•] PUBLIC WORK PROJECT

If you are employed on this project as a **worker, laborer, or mechanic** you are entitled to receive the **prevailing wage and supplements rate** for the classification at which you are working.

Chapter 629 of the Labor Laws of 2007: These wages are set by law and must be posted at the work site. They can also be found at: <u>www.labor.ny.gov</u>

If you feel that you have not received proper wages or benefits, please call our nearest office.*

Albany Binghamton Buffalo Garden City New York City Newburgh

(518) 457-2744 (607) 721-8005 (716) 847-7159 (516) 228-3915 (212) 775-3568 (845) 568-5287 Patchogue Rochester Syracuse Utica White Plains

(631) 687-4882 (585) 258-4505 (315) 428-4056 (315) 793-2314 (914) 997-9507

 For New York City government agency construction projects, please contact the Office of the NYC Comptroller at (212) 669-4443, or <u>www.comptroller.nyc.gov</u> – click on Bureau of Labor Law.

Contractor Name:

Project Location:

OSHA 10-hour Construction Safety and Health Course – S1537-A

Effective July 18, 2008

This provision is an addition to the existing prevailing wage rate law, Labor Law §220, section 220-h. It requires that on all public work projects of at least \$250,000.00, all laborers, workers and mechanics working on the site, be certified as having successfully completed the OSHA 10-hour construction safety and health course. It further requires that the advertised bids and contracts for every public work contract of at least \$250,000.00, contain a provision of this requirement.

NOTE: The OSHA 10 Legislation only applies to workers on a public work project that are required, under Article 8, to receive the prevailing wage.

Where to find OSHA 10-hour Construction Course

- NYS Department of Labor website for scheduled outreach training at: www.labor.state.ny.us/workerprotection/safetyhealth/DOSH_ONSITE_CONSULTATION.shtm
- 2. OSHA Training Institute Education Centers:

Rochester Institute of Technology OSHA Education Center Rochester, NY Donna Winter Fax (585) 475-6292 e-mail: <u>dlwtpo@rit.edu</u> (866) 385-7470 Ext. 2919 www.rit.edu/~outreach/course.php3?CourseID=54

Atlantic OSHA Training Center

UMDNJ – School of Public Health Piscataway, NJ Janet Crooks Fax (732) 235-9460 e-mail: <u>crooksje@umdnj.edu</u> (732) 235-9455 https://ophp.umdnj.edu/wconnect/ShowSchedule.awp?~~GROUP~AOTCON~10~

Atlantic OSHA Training Center

University at Buffalo Buffalo, New York Joe Syracuse Fax (716) 829-2806 e-mail:<u>mailto:japs@buffalo.edu</u> (716) 829-2125 http://www.smbs.buffalo.edu/CENTERS/trc/schedule_OSHA.php

Keene State College

Manchester, NH Leslie Singleton e-mail: <u>lsingletin@keene.edu</u> (800) 449-6742 www.keene.edu/courses/print/courses_osha.cfm

3. List of trainers and training schedules for OSHA outreach training at:

www.OutreachTrainers.org
Requirements for OSHA 10 Compliance

Chapter 282 of the Laws of 2007, codified as Labor Law 220-h took effect on July 18, 2008. The statute provides as follows:

The advertised specifications for every contract for public work of \$250,000.00 or more must contain a provision requiring that every worker employed in the performance of a public work contract shall be certified as having completed an OSHA 10 safety training course. The clear intent of this provision is to require that all employees of public work contractors, required to be paid prevailing rates, receive such training "prior to the performing any work on the project."

The Bureau will enforce the statute as follows:

All contractors and sub contractors must attach a copy of proof of completion of the OSHA 10 course to the first certified payroll submitted to the contracting agency and on each succeeding payroll where any new or additional employee is first listed.

Proof of completion may include but is not limited to:

- Copies of bona fide course completion card (*Note: Completion cards do not have an expiration date.*)
- Training roster, attendance record of other documentation from the certified trainer pending the issuance of the card.
- Other valid proof

**A certification by the employer attesting that all employees have completed such a course is not sufficient proof that the course has been completed.

Any questions regarding this statute may be directed to the New York State Department of Labor, Bureau of Public Work at 518-485-5696.

WICKS Reform 2008

(For all contracts advertised or solicited for bid on or after 7/1/08)

- Raises the threshold for public work projects subject to the Wicks Law requiring separate specifications and bidding for the plumbing, heating and electrical work. The total project's threshold would increase from \$50,000 to: \$3 million in Bronx, Kings, New York, Queens and Richmond counties; \$1.5 million in Nassau, Suffolk and Westchester counties; and \$500,000 in all other counties.
- For projects below the monetary threshold, bidders must submit a sealed list naming each subcontractor for the plumbing, HVAC and electrical work and the amount to be paid to each. The list may not be changed unless the public owner finds a legitimate construction need, including a change in specifications or costs or use of a Project Labor Agreement (PLA), and must be open to public inspection.
- Allows the state and local agencies and authorities to waive the Wicks Law and use a PLA if it will provide the best work at the lowest possible price. If a PLA is used, all contractors shall participate in apprentice training programs in the trades of work it employs that have been approved by the Department of Labor (DOL) for not less than three years. They shall also have at least one graduate in the last three years and use affirmative efforts to retain minority apprentices. PLA's would be exempt from Wicks, but deemed to be public work subject to prevailing wage enforcement.
- The Commissioner of Labor shall have the power to enforce separate specification requirements on projects, and may issue stop-bid orders against public owners for non-compliance.
- Other new monetary thresholds, and similar sealed bidding for non-Wicks projects, would apply to certain public authorities including municipal housing authorities, NYC Construction Fund, Yonkers Educational Construction Fund, NYC Municipal Water Finance Authority, Buffalo Municipal Water Finance Authority, Westchester County Health Care Association, Nassau County Health Care Corp., Clifton-Fine Health Care Corp., Erie County Medical Center Corp., NYC Solid Waste Management Facilities, and the Dormitory Authority.
- Reduces from 15 to 7 days the period in which contractors must pay subcontractors.

IMPORTANT INFORMATION

Regarding Use of Form PW30R

"Employer Registration for Use of 4 Day / 10 Hour Work Schedule"

To use the '4 Day / 10 Hour Work Schedule':

There MUST be a *Dispensation of Hours (PW30)* in place on the project

AND

You MUST register your intent to work 4 / 10 hour days, by completing the PW30R Form.

REMEMBER

The '4 Day / 10 Hour Work Schedule' applies ONLY to Job Classifications and Counties listed on the PW30R Form.

Do not write in any additional Classifications or Counties.

(**Please note** : For each Job Classification check the individual wage schedule for specific details regarding their 4/10 hour day posting.)

Instructions for Completing Form PW30R

"Employer Registration for Use of 4 Day / 10 Hour Work Schedule"

Before completing Form PW30R check to be sure ...

- There is a *Dispensation of Hours* in place on the project.
- The 4 Day / 10 Hour Work Schedule applies to the Job Classifications you will be using.
- The 4 Day / 10 Hour Work Schedule applies to the County / Counties where the work will take place.

Instructions (Type or Print legibly):

Contractor Information:

- Enter the Legal Name of the business, FEIN, Street Address, City, State, Zip Code; the Company's Phone and Fax numbers; and the Company's email address (if applicable)
- Enter the Name of a Contact Person for the Company along with their Phone and Fax numbers, and the personal email address (if applicable)

Project Information:

- Enter the Prevailing Rate Case number (PRC#) assigned to this project
- Enter the Project Name / Type (i.e. Smithtown CSD Replacement of HS Roof)
- Enter the Exact Location of Project (i.e. Smithtown HS, 143 County Route #2, Smithtown,NY; Bldgs. 1 & 2)
- If you are a Subcontractor, enter the name of the Prime Contractor for which you work
- On the Checklist of Job Classifications -
 - Go to pages 2 and 3 of the form
 - Place a checkmark in the box to the right of the Job Classification you are choosing
 - Mark all Job Classifications that apply
 - ***Do not write in any additional Classifications or Counties.***

Requestor Information:

• Enter the name of the person submitting the registration, their title with the company , and the date the registration is filled out

Return Completed Form:

- Mail the completed PW30R form (3 pages) to: NYSDOL Bureau of Public Work, SOBC Bldg.12 Rm.130, Albany, NY 12240 -OR -
- Fax the completed PW30R form (3 pages) to: NYSDOL Bureau of Public Work at (518)485-1870



New York State Department of Labor Bureau of Public Work W. Averell Harriman State Office Campus Building 12 - Room 130 Albany, New York 12240 Phone - (518) 457-5589 Fax - (518) 485-1870

Employer Registration for Use of 4 Day / 10 Hour Work Schedule

Before completing Form PW30R check to be sure ...

There is a Dispensation of Hours in place on the project.

The 4 Day / 10 Hour Work Schedule applies to the Job Classifications you will be using.

The 4 Day / 10 Hour Work Schedule applies to the County / Counties where the work will take place.

Please Type or Print the Requested Information

When completed ... Mail to NYSDOL Bureau of Public Work, SOBC, Bldg. 12, Rm.130, Albany, NY 12240 -or-Fax to NYSDOL Bureau of Public Work at (518) 485-1870

Contractor Information

| Company Name: | | | | | FEIN: |
|---|---------------|---|---------------------------|----------------------------------|--|
| Address: | | | | | |
| City: | | | State: | | Zip Code: |
| Phone Number | Fax Num | ber: | Email | Address: | |
| Contact Person: | | | | | |
| Phone No: | Fax No: | | _ Email: | | |
| Project Information | | | | | |
| Project PRC#: | | Project N | ame/Type: | | |
| Exact Location of Project: | | | | County: | |
| (If you are Subcontractor) Prime Contractor Name: | | | | | |
| Job Classification(s) to Work 4 | /10 Schedule: | <u>(Choose all that a</u> *** Do not write | pply on Job in any add | <u>Classifica</u> itional Cla | tion Checklist - Pages 2 & 3) ssifications or Counties*** |
| Requestor Informati | on | | | | |
| Name: | | | | | |
| Title: | | | Date : | | |

(Place a checkmark by all classifications that will be using the 4/10 schedule)

| Job Classification | Tag # | Entire Counties | Partial Counties | Check Box |
|------------------------------------|--------------|---|------------------|--------------|
| Carpenter-Building | 276B-All | Allegany, Cattaraugus, Chautauqua | | |
| Carpenter-Building | 276B-Cat | Cattaraugus, Erie | | |
| Carpenter - Building | 276-B-DW-LIV | Livingston, Monroe, Ontario, Wayne | Wyoming | |
| Carpenter-Building | 276B-Gen | Genesee, Niagara, Orleans, Wyoming | | |
| Carpenter-Floor Layers | 276B-FL-Liv | Livingston, Monroe, Ontario, Wayne | Wyoming | |
| Carpenter-Heavy&Highway | 276HH-All | Allegany, Cattaraugus, Chautauqua | | |
| Carpenter-Heavy&Highway | 276HH-All | Erie | | |
| Carpenter-Heavy&Highway | 276HH-All | Genesee, Niagara, Orleans, Wyoming | | |
| Carpenter-Heavy&Highway | 276HH-Liv | Livingston, Monroe, Ontario, Wayne | | |
| Carpenter-Residential | 276R-All | Allegany, Cattaraugus, Chautauqua | | |
| Carpenter - Building | 277B-CAY | Cayuga, Seneca, Yates | | |
| Carpenter - Building | 277B-CS | Allegany, Chemung, Cortland, Schuyler, Tompkins | | |
| Carpenter - Building | 277 JLS | Jefferson, Lewis, St. Lawrence | | |
| Carpenter - Building | 277 omh | Herkimer, Madison, Oneida | | |
| Carpenter - Building | 277 On | Onondaga | | |
| Carpenter - Building | 277 Os | Oswego | | |
| Carpenter - Building/Heavy&Highway | 277CDO | Chenango, Delaware, Otsego | | |
| Carpenter - Heavy/Highway | 277HH-BBO | Broome,Cortland, Schuyler, Tioga, | | |
| Carpenter - Heavy/Highway | 277-HH-CAY | Cayuga, Seneca, Yates | | |
| Carpenter - Heavy/Highway | 277 oneida | Herkimer, Jefferson, Lewis, Madison, Oneida, Onondaga, Oswego, St. Lawrence | | |
| Carpenter - Building | 291B-Alb | Albany, Fulton, Greene, Montgomery, Rensselaer, Schenectady, Schoharie | | |
| Carpenter - Building | 291B-Cli | Clinton, Essex, Franklin | | |
| Carpenter - Building | 291B-Ham | Hamilton, Warren, Washington | | |
| Carpenter - Building | 291B-Sar | Saratoga | | |

(Place a checkmark by all classifications that will be using the 4/10 schedule)

| Job Classification | Tag # | Entire Counties | Partial Counties | Check Box |
|------------------------------------|---------------------------|---|---|--------------|
| Carpenter - Heavy&Highway | 291HH-Sar | Saratoga | | |
| Carpenter - Heavy&Highway | 291HH-Alb | Albany, Fulton, Greene, Montgomery, Rensselaer, Schenectady, Schoharie | | |
| Carpenter - Heavy&Highway | 291HH-Alb | Clinton, Essex, Franklin, Hamilton, Warren, Washington | | |
| Carpenter - Building | 276B-All | Chautauqua | Allegany, Cattaraugus | |
| Carpenter - Heavy&Highway | 276HH-All | Allegany, Chautauqua | Cattaraugus | |
| Carpenter - Heavy&Highway | 276HH-All | Erie | Cattaraugus | |
| Electrician | 25m | Nassau, Suffolk | | |
| Electrician-Teledata Cable Splicer | 43 | Cortland, Herkimer, Madison, Oneida, Onondaga, Oswego, | Cayuga, Chenango, Onondaga, Otsego, Tompkins, Wayne | |
| Electrician | 86 | Livingston, Monroe | Genesee, Ontario, Orleans, Wayne, Wyoming | |
| Electrician | 840Teledata and 840 Z1 | Сауида | Onondaga, Ontario, Seneca, Wayne, Yates | |
| Electrician | 910 | Clinton, Essex, Franklin, Jefferson, Lewis, St. Lawrence | | |
| Electrician Lineman | 1049Line/Gas | Nassau, Queens, Suffolk | | |
| Electrician Lineman | 1249a | Albany, Allegany, Broome, Cattaraugus, Cayuga, Chautauqua, Chemung, Chenango, Clinton, Columbia, Cortland, Delaware, Dutchess, Erie, Essex, Franklin, Fulton, Genesee, Greene, Hamilton, Herkimer, Jefferson, Lewis, Livingston, Madison, Monroe, Montgomery, Niagara, Oneida, Onondaga, Ontario, Orange, Orleans, Oswego, Otsego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Sullivan, Tioga, Tompkins, Ulster, Warren, Washington, Wayne, Wyoming, Yates | | |
| Electrical Lineman | 1249a West | Westchester | | |

(Place a checkmark by all classifications that will be using the 4/10 schedule)

| Job Classification | Tag # | Entire Counties | Partial Counties | Check Box |
|----------------------|-------------|---|------------------------------------|--------------|
| Electrical Lineman | 1249LT | Albany, Allegany, Broome, Cattaraugus, Cayuga, Chautauqua, Chemung, Chenango, Clinton, Columbia, Cortland, Delaware, Dutchess, Erie, Essex, Franklin, Fulton, Genesee, Greene, Hamilton, Herkimer, Jefferson, Lewis, Livingston, Madison, Monroe, Montgomery, Niagara, Oneida, Onondaga, Ontario, Orange, Orleans, Oswego, Otsego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Sullivan, Tioga, Tompkins, Ulster, Warren, Washington, Wayne, Wyoming, Yates | | |
| Electrical Lineman | 1249REG8LT | Columbia, Dutchess, Orange, Putnam, Rockland, Ulster | | |
| Electrical Lineman | 1249aWestLT | Westchester | | |
| Elevator Constructor | 138 | Columbia, Dutchess, Greene, Orange, Putnam, Sullivan, Ulster | Delaware, Rockland, Westchester | |
| Elevator Constructor | 14 | Genesee, Niagara, Orleans, Wyoming | | |
| Elevator Constructor | 27 | Chemung, Livingston, Monroe, Ontario, Schuyler, Seneca, Steuben, Wayne, Yates | | |
| Elevator Constructor | 35 | Albany, Clinton, Essex, Fulton, Hamiliton, Herkimer, Montgomery, Otsego, Rensselaer, Saratoga, Schenectady, Schoharie, Warren, Washington | | |
| Elevator Constructor | 62.1 | Broome, Cayuga, Chenango, Cortland, Jefferson, Lewis, Madison, Oneida, Onondaga, Oswego, St. Lawrence, Tioga, Tompkins | Delaware, Franklin | |
| Glazier | 660r | Allegany, Cattaraugus, Chautaugua, Erie, Genesee, Niagara, Orleans, Wyoming | | |
| Glazier | 660 | Allegany, Cattaraugus, Chautaugua, Erie, Genesee, Niagara, Orleans, Wyoming | | |

(Place a checkmark by all classifications that will be using the 4/10 schedule)

| Job Classification | Tag # | Applicable Counties | Partial Counties | S Check Box | |
|----------------------------|-------------|---|------------------|----------------|--|
| Clasics | (77.1 | Jefferson, Lewis, Livingston, Monroe, Ontario, Seneca, St. Lawrence, Wayne, | | | |
| | 677.1 | Cayuga, Cortland, Herkimer, Madison, | | | |
| Glazier | 677Z-2 | Oneida, Onondaga, Oswego | | | |
| Glazier | 677z3 | Broome, Chemung, Chenango, Delaware, Otsego, Schuyler, Steuben, Tioga, Tompkins | | | |
| Glazier | 677r.2 | Cayuga, Cortland, Herkimer, Madison, Oneida, Onondaga, Oswego | | | |
| Insulator - Heat & Frost | 30-Syracuse | Broome, Cayuga, Chemung, Chenango, Cortland, Herkimer, Jefferson, Lewis, Madison, Oneida, Onondaga, Oswego, Otsego, Schuyler, Seneca, St. Lawrence, Tioga, Tompkins | | | |
| Laborer - Building | 621b | Allegany, Cattaraugus, Chautauqua | Cattaraugus | | |
| Laborer - Residential | 621r | Allegany, Cattaraugus, Chautauqua | Cattaraugus | | |
| Mason-Building | 3B-Z1 | Genesee, Livingston, Monroe, Ontario, Seneca, Wayne, Wyoming, Yates | | | |
| Mason-Building | 3B-Bing-Z2 | Broome, Chenango, Delaware, Otsego, Tioga | | | |
| Mason-Building | 3B-Jam-Z2 | Allegany, Cattaraugus, Chautauqua | | | |
| Mason-Building | 3-Co-Z2 | Allegany, Chemung, Schuyler, Steuben | | | |
| Mason-Building | 3B-lth-Z2 | Cortland, Tompkins | | | |
| Mason-Building | 3B-Z3 | Cattaraugus, Erie, Niagara, Orleans | | | |
| Mason-Building-Residential | 3B-Z1R | Geneseo, Livingston, Monroe, Ontario, Seneca, Wayne, Wyoming, Yates | | | |
| Mason-Building-Residential | 3B-Jam-Z2R | Allegany, Broome, Cattaraugus, Chemung, Chautauqua, Chenango, Cortland, Otsego, Delaware, Schuyler, Steuben, Tioga, Tompkins | | | |

(Place a checkmark by all classifications that will be using the 4/10 schedule)

| Job Classification | Tag # | Applicable Counties | Partial Counties | Check Box |
|--|---------|---|---------------------|--------------|
| Mason-Building-Residential | 3B-Z3R | Cattaraugus, Erie, Niagara, Orleans | | |
| Mason-Heavy Highway | 3h | Allegeny, Broome, Cattaraugus, Chemung, Chautauqua, Chenango, Cortland, Erie, Delaware, Genesee, Livingston, Monroe, Niagara, Ontario, Orleans, Otsego, Schuyler, Seneca, Steuben, Tioga, Tompkin, Wayne, Wyoming, Yates | | |
| Mason-Tile Finisher | 3TF-Z1 | Genesee, Livingston, Monroe, Ontario, Seneca, Wayne, Wyoming, Yates | | |
| Mason-Tile Finisher | 3TF-Z2 | Allegeny, Broome, Cattaraugus, Chemung, Chautauqua, Chenango, Cortland, Delaware, Otsego, Schuyler, Steuben, Tioga, Tompkins | | |
| Mason-Tile Finisher | 3TF-Z3 | Cattaraugus, Erie, Niagara, Orleans | | |
| Mason-Tile Finisher | 3TF-Z1R | Genesee, Livingston, Monroe, Ontario, Seneca, Wayne, Wyoming, Yates | | |
| Mason-Tile Finisher | 3TF-Z2R | Allegany, Broome, Cattaraugus, Chenango, Chautauqua, Cortland, Delaware, Otsego, Schuyler, Steuben, Tioga, Tompkins | | |
| Mason-Tile Finisher | 3TF-Z3R | Cattaraugus, Erie, Niagara, Orleans | | |
| Mason-Tile Setter | 3TS-Z1 | Genesee, Livingston, Monroe, Ontario, Seneca, Wayne, Wyoming, Yates | | |
| Mason-Tile Setter | 3TS-Z2 | Allegany, Broome, Cattaraugus, Chemung, Chautauqua, Chenango, Cortland, Delaware, Otsego, Schuyler, Steuben, Tioga, Tompkins | | |
| Mason Tile Setter | 3TS-Z3 | Cattaraugus, Erie, Niagara, Orleans | | |
| Mason-Tile Setter Residential | 3TS-Z1R | Genesee, Livingston, Monroe, Ontario, Seneca, Wayne, Wyoming, Yates | | |
| Mason-Tile Setter Residential | 3TS-Z2R | Allegany, Broome, Cattaraugus, Chemung, Chautauqua, Chenango, Cortland, Delaware, Otsego, Schuyler, Steuben, Tioga, Tompkins | | |
| Mason-Tile Setter Residential | 3TS-Z3R | Cattaraugus, Erie, Niagara, Orleans | | |
| Mason - Building/Heavy&Highway | 780 | Bronx, Kings, Nassau, New York, Queens, Richmond, Suffolk | | |
| Operating Engineer - Heavy/Highway | 137H/H | Putnam, Westchester | Dutchess | |
| Operating Engineer - Heavy& Highway | 832H | Allegany, Chemung, Genesee, Livingston, Monroe, Ontario, Schuyler, Steuben, Wayne, Yates | Genesee | |
| Painter | 150 | Livingston, Monroe, Ontario, Wayne, Yates | Livingston, Ontario | |

(Place a checkmark by all classifications that will be using the 4/10 schedule)

| Job Classification | Tag # | Applicable Counties | Partial Counties | Check Box |
|----------------------------------|-----------------|---|--|--------------|
| Painter | 178 B | Broome, Chenango, Tioga | | |
| Painter | 178 E | Chemung, Schuyler, Steuben | Steuben | |
| Painter | 178 O | Delaware, Otsego | | |
| Painter | 31 | Cayuga, Herkimer, Lewis, Madison, Oneida, Onondaga, Ontario, Oswego, Seneca | Lewis, Ontario, Oswego | |
| Painter | 38.O | Oswego | Oswego | |
| Painter | 4-Buf,Nia,Olear | Allegany, Cattaraugus, Chautauqua, Erie, Genesee, Livingston, Niagara, Orleans, Steuben, Wyoming | Cattaraugus, Chautauqua, Livingston, Steuben | |
| Painter | 4-Jamestown | Cattaraugus, Chautauqua | Cattaraugus, Chautauqua | |
| Sheetmetal Worker | 46 | Livingston, Monroe, Ontario, Seneca, Wayne, Yates | | |
| Teamsters-Heavy&Highway | 294h/h | Albany, Columbia, Fulton, Greene, Montgomery, Rensselaer, Saratoga, Schenectady, Schoharie, Warren, Washington | | |
| Teamsters-Heavy&Highway | 317bhh | Allegany, Cayuga, Cortland, Seneca, Steuben, Tompkins, Wayne,Yates | | |
| Teamsters-Heavy&Highway | 693bhh | Broome, Chenango, Delaware, Otsego, Tioga | | |
| Teamsters-Building/Heavy&Highway | 456 | Putnam, Westchester | | |

Introduction to the Prevailing Rate Schedule

Information About Prevailing Rate Schedule

This information is provided to assist you in the interpretation of particular requirements for each classification of worker contained in the attached Schedule of Prevailing Rates.

Classification

It is the duty of the Commissioner of Labor to make the proper classification of workers taking into account whether the work is heavy and highway, building, sewer and water, tunnel work, or residential, and to make a determination of wages and supplements to be paid or provided. It is the responsibility of the public work contractor to use the proper rate. If there is a question on the proper classification to be used, please call the district office located nearest the project. District office locations and phone numbers are listed below.

Prevailing Wage Schedules are issued separately for "General Construction Projects" and "Residential Construction Projects" on a countyby-county basis.

General Construction Rates apply to projects such as: Buildings, Heavy & Highway, and Tunnel and Water & Sewer rates.

Residential Construction Rates generally apply to construction, reconstruction, repair, alteration, or demolition of one family, two family, row housing, or rental type units intended for residential use.

Some rates listed in the Residential Construction Rate Schedule have a very limited applicability listed along with the rate. Rates for occupations or locations not shown on the residential schedule must be obtained from the General Construction Rate Schedule. Please contact the local Bureau of Public Work office before using Residential Rate Schedules, to ensure that the project meets the required criteria.

Paid Holidays

Paid Holidays are days for which an eligible employee receives a regular day's pay, but is not required to perform work. If an employee works on a day listed as a paid holiday, this remuneration is in addition to payment of the required prevailing rate for the work actually performed.

Overtime

At a minimum, all work performed on a public work project in excess of eight hours in any one day or more than five days in any workweek is overtime. However, the specific overtime requirements for each trade or occupation on a public work project may differ. Specific overtime requirements for each trade or occupation are contained in the prevailing rate schedules.

Overtime holiday pay is the premium pay that is required for work performed on specified holidays. It is only required where the employee actually performs work on such holidays.

The applicable holidays are listed under HOLIDAYS: OVERTIME. The required rate of pay for these covered holidays can be found in the OVERTIME PAY section listings for each classification.

Supplemental Benefits

Particular attention should be given to the supplemental benefit requirements. Although in most cases the payment or provision of supplements is for each hour worked, some classifications require the payment or provision of supplements for each hour paid (including paid holidays on which no work is performed) and/or may require supplements to be paid or provided at a premium rate for premium hours worked.

Effective Dates

When you review the schedule for a particular occupation, your attention should be directed to the dates above the column of rates. These are the dates for which a given set of rates is effective. The rate listed is valid until the next effective rate change or until the new annual determination which takes effect on July 1 of each year. All contractors and subcontractors are required to pay the current prevailing rates of wages and supplements. If you have any questions please contact the Bureau of Public Work or visit the New York State Department of Labor website (www.labor.state.ny.us) for current wage rate information.

Apprentice Training Ratios

The following are the allowable ratios of registered Apprentices to Journey-workers.

For example, the ratio 1:1,1:3 indicates the allowable initial ratio is one Apprentice to one Journeyworker. The Journeyworker must be in place on the project before an Apprentice is allowed. Then three additional Journeyworkers are needed before a second Apprentice is allowed. The last ratio repeats indefinitely. Therefore, three more Journeyworkers must be present before a third Apprentice can be hired, and so on.

Please call Apprentice Training Central Office at (518) 457-6820 if you have any questions.

| Ratio |
|---------|
| 1:1,1:4 |
| 1:1,1:3 |
| 1:1,1:4 |
| 1:1,1:3 |
| 1:1,1:2 |
| |

| Electrician (Inside) | 1:1,1:3 |
|--|---------|
| Elevator/Escalator Construction & Modernizer | 1:1,1:2 |
| Glazier | 1:1,1:3 |
| Insulation & Asbestos Worker | 1:1,1:3 |
| Iron Worker | 1:1,1:4 |
| Laborer | 1:1,1:3 |
| Mason | 1:1,1:4 |
| Millwright | 1:1,1:4 |
| Op Engineer | 1:1,1:5 |
| Painter | 1:1,1:3 |
| Plumber & Steamfitter | 1:1,1:3 |
| Roofer | 1:1,1:2 |
| Sheet Metal Worker | 1:1,1:3 |
| Sprinkler Fitter | 1:1,1:2 |
| | |

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If you have any questions concerning the attached schedule or would like additional information, please contact the nearest BUREAU of PUBLIC WORK District Office or write to:

New York State Department of Labor Bureau of Public Work State Office Campus, Bldg. 12 Albany, NY 12240

| District Office Locations: | Telephone # | FAX # |
|--|--------------|--------------|
| Bureau of Public Work - Albany | 518-457-2744 | 518-485-0240 |
| Bureau of Public Work - Binghamton | 607-721-8005 | 607-721-8004 |
| Bureau of Public Work - Buffalo | 716-847-7159 | 716-847-7650 |
| Bureau of Public Work - Garden City | 516-228-3915 | 516-794-3518 |
| Bureau of Public Work - Newburgh | 845-568-5287 | 845-568-5332 |
| Bureau of Public Work - New York City | 212-775-3568 | 212-775-3579 |
| Bureau of Public Work - Patchogue | 631-687-4882 | 631-687-4904 |
| Bureau of Public Work - Rochester | 585-258-4505 | 585-258-4708 |
| Bureau of Public Work - Syracuse | 315-428-4056 | 315-428-4671 |
| Bureau of Public Work - Utica | 315-793-2314 | 315-793-2514 |
| Bureau of Public Work - White Plains | 914-997-9507 | 914-997-9523 |
| Bureau of Public Work - Central Office | 518-457-5589 | 518-485-1870 |

Essex County General Construction

Boilermaker

JOB DESCRIPTION Boilermaker

ENTIRE COUNTIES

Albany, Broome, Chenango, Columbia, Delaware, Essex, Fulton, Greene, Hamilton, Herkimer, Montgomery, Otsego, Rensselaer, Saratoga, Schenectady, Schoharie, Tioga, Warren, Washington

WAGES

| Per hour | 07/01/2013 | 01/01/2014 |
|---------------------------------|---------------------|---------------------|
| Boilermaker | \$ 31.10 | \$ 31.24 |
| SUPPLEMENTAL Per hour worked | BENEFITS | |
| Journeymen | \$ 22.87* + 1.19 | \$ 23.88* + 1.19 |

* This portion of the benefit is subject to the SAME PREMIUM as shown for overtime.

OVERTIME PAY

See (B, E, Q) on OVERTIME PAGE

HOLIDAY

Paid:

See (1) on HOLIDAY PAGE

Overtime: See (5, 6, 15, 25) on HOLIDAY PAGE

Note: When a holiday falls on Sunday, the day observed by the State or Nation shall be observed, and when Christmas Day and New Year's fall on Saturday, Friday will be observed as the holiday.

REGISTERED APPRENTICES

| Wages p | er hour | | | | | | |
|----------|-----------------|---------------|----------------|---------------|-----|-----|-----|
| (1/2) ye | ar terms at the | following per | centage of Jou | ırneyman's wa | ge. | | |
| 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th |
| 65% | 65% | 70% | 75% | 80% | 85% | 90% | 95% |

Supplemental Benefits per hour worked

All Apprentices get same benefits as Journeyman.

| 1-1 | 97 |
|-----|----|
|-----|----|

02/01/2014

Carpenter - Building

JOB DESCRIPTION Carpenter - Building

ENTIRE COUNTIES

Clinton, Essex, Franklin

WAGES

| Per hour: | 07/01/2013 | 06/01/2014 An Additional |
|----------------|------------|-----------------------------|
| Carpenter | \$ 24.24 | \$ 1.44* |
| Floor Coverer | 24.24 | 1.44* |
| Carpet Layer | 24.24 | 1.44* |
| Dry-Wall | 24.24 | 1.44* |
| Lather | 24.24 | 1.44* |
| Piledriver | 24.49 | 1.44* |
| Diver-Wet Day | 61.25 | 1.44* |
| Diver -Dry Day | 25.24 | 1.44* |
| Diver Tender | 25.24 | 1.44* |
| | | |

NOTE ADDITIONAL PREMIUMS PAID FOR THE FOLLOWING WORK LISTED BELOW:

- Certified welders shall receive \$1.00 per hour over the journeyman's rate of pay when the employee is required to be certified and performs DOT or ABS specified welding work

- When an employee performs work within a contaminated area on a State and/or Federally designated hazardous waste site, and where relevant State and/or Federal regulations require employees to be furnished and use or wear required forms of personal protection, then the employee shall receive his regular hourly rate plus \$1.50 per hour.

- Depth pay for Divers based upon deepest depth on the day of the dive:

02/01/2014

DISTRICT 1

0' to 80' no additional fee
81'to 100' additional \$.50 per foot
101'to 150' additional \$0.75 per foot
151'and deeper additional \$1.25 per foot
Penetration pay for Divers based upon deepest penetration on the day of the dive:
0' to 50' no additional fee
51' to 100' additional \$.75 per foot
101' and deeper additional \$1.00 per foot

(*)To be allocated at a later date

Four (4), ten (10) hour days may be worked at straight time during a week, Monday thru Thursday. Friday may be used as a make-up day.

NOTE - In order to use the '4 Day/10 Hour Work Schedule,' you must submit an 'Employer Registration for Use of 4 Day/10 Hour Work Schedule,' form PW30R; additionally, there must be a dispensation of hours in place on the project.

SUPPLEMENTAL BENEFITS

Per hour worked:

Journeyman \$ 16.90

OVERTIME PAY

See (B, E, E2, Q) on OVERTIME PAGE

HOLIDAY

 Paid:
 See (1) on HOLIDAY PAGE

 Overtime:
 See (5, 6) on HOLIDAY PAGE

 Note:
 Any holiday which occurs on Sunday shall be observed the following Monday.

REGISTERED APPRENTICES

Wages per hour

One year terms at the following percentage of Journeyman's base wage:1st2nd3rd4th50%60%70%80%

Supplemental Benefits per hour worked:

| Carpenter | |
|---------------|---------|
| 1st year term | \$ 9.31 |
| 2nd year term | 9.31 |
| 3rd year term | 11.91 |
| 4th year term | 11.91 |

1-291B-Cli

02/01/2014

Carpenter - Building / Heavy&Highway

JOB DESCRIPTION Carpenter - Building / Heavy&Highway

ENTIRE COUNTIES

Albany, Allegany, Broome, Cattaraugus, Cayuga, Chautauqua, Chemung, Chenango, Clinton, Columbia, Cortland, Delaware, Erie, Essex, Franklin, Fulton, Genesee, Greene, Hamilton, Herkimer, Jefferson, Lewis, Livingston, Madison, Monroe, Montgomery, Niagara, Oneida, Onondaga, Ontario, Orleans, Oswego, Otsego, Rensselaer, Saratoga, Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Sullivan, Tioga, Tompkins, Ulster, Warren, Washington, Wayne, Wyoming, Yates

| WAGES | | |
|---------------------------|------------|---------------|
| wages per nour: | | |
| | 07/01/2013 | 07/01/2014 |
| | | An Additional |
| Carpenter - ONLY for | | |
| Artificial Turf/Synthetic | | |
| Sport Surface Installer | \$ 27.96 | \$ 0.73* |

Note - Does not include the operation of equipment. Please see Operating Engineers rates.

| (*)To be allocated at a later date. | | |
|--------------------------------------|------------|--|
| SUPPLEMENTAL BENEFITS Per hour Paid: | | |
| | 07/01/2013 | |
| Journeyman | \$ 18.14 | |

OVERTIME PAY

See (B, E, Q) on OVERTIME PAGE

HOLIDAY

Paid: Overtime: Notes: See (2, 17) on HOLIDAY PAGE See (5, 6, 16) on HOLIDAY PAGE

When a holiday falls upon a Saturday, it shall be observed on the preceding Friday. Whan a holiday falls upon a Sunday, it shall be observed on the following Monday.

An employee taking an unexcused day off the regularly scheduled day before or after a paid Holiday shall not receive Holiday pay.

REGISTERED APPRENTICES

Wages per hour:

One year terms at the following percentage of Journeyman's wage:

| 1st | 2nd | 3rd | 4th |
|-----|-----|-----|-----|
| 50% | 60% | 70% | 80% |

Supplemental Benefits per hour paid:

| | 07/01/2013 |
|---------------|------------|
| Carpenter | |
| 1st year term | \$ 9.29 |
| 2nd year term | 9.29 |
| 3rd year term | 11.89 |
| 4th year term | 11.89 |
| - | |

Carpenter - Heavy&Highway

JOB DESCRIPTION Carpenter - Heavy&Highway

ENTIRE COUNTIES

Clinton, Essex, Franklin, Hamilton, Warren, Washington

WAGES Per hour

| | 07/01/2013 | 07/01/2014 |
|------------------|------------|---------------|
| | | An Additional |
| Carpenter | \$ 27.56 | \$ 1.05* |
| Millwright | 29.06 | 1.05* |
| Piledriver | 27.56 | 1.05* |
| Diver-Wet Day | 62.50 | 1.05* |
| Diver-Dry Day | 28.56 | 1.05* |
| Diver-Tender | 28.56 | 1.05* |
| Diver-Slurry and | | |
| Effluent | 93.75 | 1.05* |

(*)To be allocated at a later date.

State or Federal designated hazardous site, requiring protective gear shall be an additional \$2.00 per hour. Certified welders when required to perform welding work will receive an additional \$1.25 per hour.

Four (4), ten (10) hour days may be worked at straight time during a week, Monday thru Friday, provided the project duration is more than forty (40) hours.

NOTE - In order to use the '4 Day/10 Hour Work Schedule,' you must submit an 'Employer Registration for Use of 4 Day/10 Hour Work Schedule,' form PW30R; additionally, there must be a dispensation of hours in place on the project.

Diver rates applies to all hours worked on dive day. Additional pay based upon the deepest depth or penetration on the day of the dive:

| Depth Pay | 0' to 80' no additional. 81' to 100' an additional \$0.50 per foot 101' to 150' an additional \$0.75 per foot 151' and deeper an additional \$1.25 per foot |
|----------------------------|--|
| Penetration pay for divers | 0' to 50' no additional. 51' to 100' an additional \$0.75 per foot 101' and deeper an additional \$1.00 per foot |

SUPPLEMENTAL BENEFITS

02/01/2014

1-42AtSS

DISTRICT 1

Page 34

Per hour worked

Journeyman

OVERTIME PAY

See (B, E, Q) on OVERTIME PAGE

HOLIDAY

Paid:

See (2, 17) on HOLIDAY PAGE See (5, 6) on HOLIDAY PAGE

\$18.22

Overtime: See (5, 6) on HOLIDAY PAGE In the event a Holiday falls on a Saturday, the Friday before will be observed as a Holiday. If a Holiday falls on a Sunday, then Monday will be observed as a Holiday.

REGISTERED APPRENTICES

Wages per hour

One year terms at the following percentage of Journeyman's base wage

| 1st | 2nd | 3rd | 4th |
|-----|-----|-----|-----|
| 50% | 60% | 70% | 80% |

Supplemental Benefits per hour worked

| 1st year terms | \$ 9.29 |
|----------------|---------|
| 2nd year terms | 9.29 |
| 3rd year terms | 11.89 |
| 4th year terms | 11.89 |

Electrician

JOB DESCRIPTION Electrician

ENTIRE COUNTIES

Clinton, Essex, Franklin, Jefferson, Lewis, St. Lawrence

| WAGES | |
|-----------------------|------------|
| Per hour: | 07/01/2013 |
| Electrician | \$ 32.00 |
| Cable Splicer | 33.50 |
| Tunnel Worker, Welder | 33.50 |

NOTE:

A) Shift Work: The following rates will apply on all Contracting Agency mandated shifts worked between the hours listed below. The employer may be permitted to adjust the starting hours of the shift by up to two (2) hours if required by the agency. If a shift begins outside of the stated shift hours, the rate paid would be determined by what shift the majority of the hours were worked.

| 1st shift: | 8:00 AM to 4:30 PM Regular wage rate | |
|------------|---|------|
| 2nd shift: | 4:30 PM to 1:00 AM Regular wage rate plus 17 | .3% |
| 3rd shift: | 12:30 AM to 9:00 AM Regular wage rate plus 31 | 1.4% |

B) Additional \$1.50 per hour for all underground and tunnel work, working 35 feet or more on scaffolds, ladders, towers, steeples, structural steel, or mechanical lifts over 65 feet.

** IMPORTANT NOTICE - EFFECTIVE 07/01/2012 **

Four (4), ten (10) hour days may be worked at straight time during a week, Monday thru Thursday. Friday may be used as a make-up day.

NOTE - In order to use the '4 Day/10 Hour Work Schedule,' you must submit an 'Employer Registration for Use of 4 Day/10 Hour Work Schedule,' form PW30R; additionally, there must be a dispensation of hours in place on the project.

SUPPLEMENTAL BENEFITS

Per hour paid:

\$ 16.93 *plus 3% of gross wage

* NOTE: THE 3% IS BASED ON THE HOURLY WAGE PAID ON STRAIGHT TIME RATE OR PREMIUM TIME RATE.

.

1-291HH-Alb

02/01/2014

OVERTIME PAY

See (B, E, Q) on OVERTIME PAGE

HOLIDAY

See (1) on HOLIDAY PAGE

Paid: Overtime: See (5, 6) on HOLIDAY PAGE

REGISTERED APPRENTICES

WAGES: Hourly terms at the following percentage of Journeyman's wage.

| Electrician | 1-1000 40% \$12.80 | to 2000 45% \$14.40 | to 3500 50% \$16.00 | to 5000 60% \$19.20 | to 6500 70% \$22.40 | to 8000 80% \$25.60 | |
|--------------------------|--------------------------|---------------------------|--|---------------------------|---------------------------|---------------------------|-------|
| Cable Splicer, Tunnel | \$14.30 | \$15.90 | \$17.50 | \$20.70 | \$23.90 | \$27.10 | |
| SUPPLEMENTAL BENEFI | TS per hour wo | orked: | | | | | |
| Appr 1st & 2nd term | | | \$7.92 * plus 3% of gross wage | | | | |
| Appr All other terms | | | \$16.93 * plus 3% of gross wage pa | aid. | | | |
| * NOTE: THE 3% IS BASE | ED ON THE HO | URLY WAGE | PAID ON STR | RAIGHT TIME | RATE OR PR | EMIUM TIME F | RATE. |

6-910

| Elevator Constructor | 02/01/2014 |
|--------------------------------------|------------|
| JOB DESCRIPTION Elevator Constructor | DISTRICT 1 |
| ENTIRE COUNTIES | |

Albany, Clinton, Essex, Fulton, Hamilton, Herkimer, Montgomery, Otsego, Rensselaer, Saratoga, Schenectady, Schoharie, Warren, Washington

WAGES Per hour

| | 07/01/2013 | 01/01/2014 | 01/01/2015 |
|----------|------------|------------|------------|
| Mechanic | \$ 40.36 | \$ 40.90 | \$ 41.51 |
| | | | |

70% of Mechanic Helper Wage Rate

**** IMPORTANT NOTICE - EFFECTIVE 04/01/2009 **** Four (4), ten (10) hour days may be worked at straight time during a week, Monday thru Thursday.

NOTE - In order to use the '4 Day/10 Hour Work Schedule,' you must submit an 'Employer Registration for Use of 4 Day/10 Hour Work Schedule,' form PW30R; additionally, there must be a dispensation of hours in place on the project.

SUPPLEMENTAL BENEFITS

| Per hour worked | | | |
|-------------------|------------|------------|------------|
| . " | 07/01/2013 | 01/01/2014 | 01/01/2015 |
| Journeyman/Helper | \$ 25.185* | \$ 26.785* | \$ 28.385* |

(*)Plus 6% of gross wages if less than 5 years service (*)Plus 8% of gross wages if more than 5 years service

OVERTIME PAY

See (D, O) on OVERTIME PAGE

HOLIDAY

See (5, 6, 15, 16) on HOLIDAY PAGE See (5, 6, 15, 16) on HOLIDAY PAGE Paid: Overtime:

Note: When a paid holiday falls on Saturday, it shall be observed on Friday. When a paid holiday falls on Sunday, it shall be observed on Monday.

REGISTERED APPRENTICES

Wages per hour

| Prevailing V Last Publis | Vage Rates for hed on Feb 01 2 | 07/01/2013 - 0 2014 | 6/30/2014 | | | Pul | blished by the New York State Departme PRC Number 2014001701 Ess | nt of Labor sex County |
|---|---|---|---|--------------------------------|-----------------------------|-----------------------------------|--|---------------------------|
| 0-6 mo 50 % | 6-12 mo 55 % | 2nd yr 65 % | 3rd yr 70 % | 4th yr 80 % | | | | |
| Suppleme | ntal Benefits p | er hour worke | ed | | | | | |
| Same as J | lourneyman/He | elper | | | | | | |
| | , | - F - | | | | | | 1-35 |
| Glazier | | | | | | | 02/ | 01/2014 |
| JOB DES | CRIPTION | Glazier | | | | | DISTRICT 1 | |
| ENTIRE (Albany, Cl Warren, W | COUNTIES inton, Columbi /ashington | a, Essex, Fra | nklin, Fulton, | Greene, Hamil | ton, Montgom | iery, Renssela | er, Saratoga, Schenectady, Schoharie | 9, |
| WAGES Per hour | | | 07/01/20 | 113 | | | | |
| <u>.</u> | | | 01101120 | 515 | | | | |
| Glazier ba | se wage | + addition | \$ 24.80 al \$1.50 per h |) our for all hou | rs worked | | | |
| *High Wor | k Base Wage | + addition | 28.50 al \$3.50 per h |) our for all hou | rs worked | | | |
| (*)When w SUPPLE Per hour w | rorking on Swir MENTAL BEI vorked | ng Stage or Li NEFITS | ift 100 feet or i | more in height | , measured fro | om the ground | level up. | |
| Journeyma | an | | \$ 15.78 | 3 | | | | |
| Journeyma High Work | an | | 20.58 | 3 | | | | |
| OVERTIN See (B, E, Premium i | IE PAY E2, Q) on OV s applied to the | ERTIME PAG | E base wage onl | у. | | | | |
| HOLIDA Paid: Overtime: Note: If an holiday sh | f ny of the holida all be celebrate | See (1) or See (5, 6) lys are desigr ed on the day | n HOLIDAY P on HOLIDAY nated by feder set by said fe | AGE PAGE al law to be ce | lebrated on a the day on wi | day other thar nich the holida | n that on which they regularly fall, ther v is celebrated was actually the holida | n the av date. |
| REGISTE Wages pe | RED APPRE | NTICES | , | | 5 | | | , |
| Apprentice | e Glazier One I | Half Year (900 | 0 hr) terms at t | the following p | ercentage of | Journeyman's | base wage. | |
| 1st 35% + additiona | 2nd 45% al \$1.50 per ho | 3rd 55% our for all hour | 4th 65% rs worked for a | 5th 75% all terms | 6th 85% | 7th 90% | 8th 95% | |
| Apprentice | e Glazier Hi-Wo | ork One Half | Year (900 hr) | terms at the fo | llowing percer | ntage of Journe | eyman's Hi-Work base wage. | |
| 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | |
| 35% + additiona | 45% al \$3.50 per ho | 55% our for all hour | 65% s worked for a | 75% all terms | 85% | 90% | 95% | |
| Suppleme | ntal Benefits p | er hour worke | ed | | | | | |
| For apprer | ntices indentur | ed after 07/01 | /2009 the follo | owing supplem | nental benefit | applies: | | |
| Apprentice 1st-4th ter | e m | | \$ 14.01 | 1 | | | | |
| 5th-8th ter | m | | 15.78 | 3 | | | | |
| Apprentice | e High Work m | | \$ 16.01 | 3 | | | | |
| 5th-8th ter | m | | 20.58 | 3 | | | | |

For apprentices indentured prior to and including 07/01/2009, the following supplemental benefit applies:

DISTRICT 1

| | 1-201 |
|--------------------------|------------|
| Insulator - Heat & Frost | 02/01/2014 |

Insulator - Heat & Frost

JOB DESCRIPTION Insulator - Heat & Frost

ENTIRE COUNTIES

Albany, Columbia, Delaware, Essex, Fulton, Greene, Hamilton, Montgomery, Rensselaer, Saratoga, Schenectady, Schoharie, Sullivan, Ulster, Warren, Washington

| 07/01/2013 | 05/01/2014 | 05/01/2015 |
|------------|--|---|
| | Additional | Additional |
| \$ 30.60 | \$ 1.50** | \$ 1.50** |
| 30.60 | 1.50** | 1.50** |
| 26.01 | 1.50** | 1.50** |
| | 07/01/2013 \$ 30.60 30.60 26.01 | 07/01/2013 Additional \$ 30.60 \$ 1.50** 30.60 1.50** 26.01 1.50** |

(*)On Mechanical Systems only.

(**)To be allocated at a later date.

SUPPLEMENTAL BENEFITS

Per hour worked

Journeyman

WAGES

\$ 19.49

OVERTIME PAY

See (*B1, **Q) on OVERTIME PAGE *B1=Double time begins after 10 hours on Saturday **Q=Triple time on Labor Day if worked.

HOLIDAY

See (1) on HOLIDAY PAGE Paid: Overtime: See (5, 6) on HOLIDAY PAGE When a holiday falls on Sunday the following Monday shall be observed as the holiday.

REGISTERED APPRENTICES

Wages per hour

one year terms at the following percentage of Journeyman's wage.

| 1st | 2nd | 3rd | 4th |
|------|------|------|------|
| 60 % | 70 % | 80 % | 90 % |

Supplemental Benefits per hour worked:

Apprentices

1-40

02/01/2014

Ironworker

JOB DESCRIPTION Ironworker

ENTIRE COUNTIES

Albany, Clinton, Columbia, Delaware, Essex, Greene, Rensselaer, Saratoga, Schenectady, Schoharie, Warren, Washington

PARTIAL COUNTIES

Fulton: Only the Townships of Broadalbin, Mayfield, Northampton, Bleecker and Johnstown.

Hamilton: Only the Townships of Hope, Benson and Wells. Montgomery: Only the Townships of Florida, Amsterdam, Charleston, Glen, Mohawk and Root.

\$ 19.49

Otsego: Only the Towns of Unadilla, Butternuts, Morris, Otego, Oneonta, Laurens, Millford, Maryland and Worchester.

WAGES

| Per hour | 07/01/2013 | 05/01/2014 An Additional |
|----------------------|------------|-----------------------------|
| Ornamental | \$ 28.50 | \$1.55* |
| Reinforcing | 28.50 | 1.55* |
| Rodman | 28.50 | 1.55* |
| Structural & Precast | 28.50 | 1.55* |
| Mover/Rigger | 28.50 | 1.55* |
| Fence Erector | 28.50 | 1.55* |
| Stone Derrickman | 28.50 | 1.55* |
| Sheeter | 28.75 | 1.55* |

| Prevailing Wage Rates for 0 Last Published on Feb 01 20 | 7/01/2013 - 06/30/2014)14 | Published by the New York State Department of Labor PRC Number 2014001701 Essex County |
|---|--|---|
| Curtain Wall Installer Metal Window Installer | 28.50 28.50 | 1.55* 1.55* |
| *To be allocated at a later | date | |
| SUPPLEMENTAL BEN Per hour worked | EFITS | |
| JOURNEYMAN | \$ 24.06 | |
| OVERTIME PAY See (B, E, Q) on OVERTIM | ME PAGE | |
| HOLIDAY Paid: Overtime: Note: Any holiday which c | See (1) on HOLIDAY PAGE See (5, 6) on HOLIDAY PAGE occurs on Sunday shall be observed the following Mono | day. |
| REGISTERED APPREN Wages per hour ONE YEAR TERMS AT TH | NTICES HE FOLLOWING WAGE RATES: | |
| | 07/01/2013 | |
| 1st year 2nd year 3rd year 4th year | \$ 16.00 18.00 20.00 22.00 | |
| Supplemental Benefits per | r hour worked | |
| 1st year 2nd year 3rd year 4th year | \$ 9.50 18.53 19.82 21.11 | 1-12 |
| Laborer - Building | | 02/01/2014 |
| | borer - Building | DISTRICT 1 |
| ENTIRE COUNTIES | | |

Clinton, Essex, Warren

WAGES

GROUP #A:

Basic Rate, Multi Trade Tender, Pipe Layer (water, sewer & etc), Self-propelled equipment operator

GROUP #B:

Demolition and wrecking, Concrete or plaster pump.

GROUP #C:

Sandblaster on construction clean-up, Drilling equipment only where a separate air compressor unit supplies power, Metal formsetter (sidewalk) and Curb Setter, Asphalt Raker, and Tail/Screwman on paving machine.

GROUP #D:

Acetylene Burner on demolition and cutting of Pipe

GROUP #E: Blaster

GROUP #F:

Workers in kilns, tanks, boilers etc., Asbestos & Hazardous Waste Work.

| WAGES | per | hour |
|-------|-----|------|
|-------|-----|------|

| | 07/01/2013 | 07/01/2014 Additional |
|-----------|------------|--------------------------|
| Group # A | \$ 20.48 | \$ 1.30* |
| Group # B | 20.63 | 1.30* |
| Group # C | 20.78 | 1.30* |
| Group # D | 20.93 | 1.30* |
| Group # E | 20.98 | 1.30* |

Published by the New York State Department of Labor

(*)To be allocated at a later date.

SUPPLEMENTAL BENEFITS

Per hour worked

Group # F

Journeymen

OVERTIME PAY

\$ 17.32

21.48

See (B, E, *E2, Q) on OVERTIME PAGE

*Inclement weather makeup day may be provided November 15 to May 15.

HOLIDAY

| Paid: | See (1) on HOLIDAY PAGE |
|-----------|----------------------------|
| Overtime: | See (5, 6) on HOLIDAY PAGE |

REGISTERED APPRENTICES

Wages per hour

Terms are at the following percentage of Group Rate A.

| 0-1,333 Hrs | 1,334-2,666 Hrs | 2,667-4,000 Hrs |
|-------------|-----------------|-----------------|
| 70% | 80% | 90% |

Supplemental Benefits per hour worked

Apprentices \$17.32

Laborer - Heavy&Highway

JOB DESCRIPTION Laborer - Heavy&Highway

ENTIRE COUNTIES

Clinton, Essex, Warren

WAGES

GROUP # A:

Basic Rate, Drill Helper, Flagman, Outboard and Hand Boats.

GROUP # B:

Chain Saw, Concrete Aggregate Bin, Concrete Bootman, Gin Buggy, Hand or Machine Vibrator, Jack Hammer, Mason Tender, Mortar Mixer, Pavement Breaker, Handlers of Steelmesh, Small Generators for Laborers' Tools, Installation of Bridge Drainage Pipe, Pipe Layers, Vibrator Type Rollers, Tamper, Drill Doctor, Water Pump Operator (1-1/2" and Single Diaphragm) Nozzle (Asphalt, Gunite, Seeding, and Sand Blasting), Laborers Assisting on Chain Link Fence Installation, Rock Splitter and Power Unit, Pusher Type Concrete Saw and all other Gas, Electric, Oil and Air Tool Operators, Wrecking Laborer.

GROUP # C:

Drilling Equipment Only Where a Separate Air Compressor Unit Supplies Power, Acetylene Torch Operators, Asphalt Raker, Powderman, Tail or Screw Operator on Asphalt Paver.

GROUP # D:

Blasters, Metal Form Setters (sidewalk), Stone or Granite Curb Setters.

GROUP # E:

Hazardous waste, Lead & Abestos abatement.

| WAGES per hour | 07/01/2013 | 07/01/2014 Additional | 07/01/2015 Additional |
|----------------|------------|--------------------------|--------------------------|
| Group # A | \$ 23.59 | \$ 1.30* | \$ 1.30* |
| Group # B | 23.79 | 1.30* | 1.30* |
| Group # C | 23.99 | 1.30* | 1.30* |
| Group # D | 24.19 | 1.30* | 1.30* |
| Group # E | 25.56 | 1.30* | 1.30* |

All employees who work a single irregular shift starting between 5:00 pm and 1:00 am on governmental mandated night work shall be paid an additional \$1.75 per hour.

1-186ew

02/01/2014

(*)To be allocated at a later date.

SUPPLEMENTAL BENEFITS

Per hour worked

Journeymen \$18.33

OVERTIME PAY See (B, E, Q) on OVERTIME PAGE

HOLIDAY

Paid:

See (5, 6) on HOLIDAY PAGE See (5, 6) on HOLIDAY PAGE

Overtime: See (5, 6) on HOLIDAY PAGE Note: If a holiday falls on Sunday, it will be celebrated on Monday. In the event that men work on this Sunday holiday, they shall be paid double time. In the event that men work on Monday, they shall be compensated at double time plus the holiday pay. Accordingly, the Monday following the Sunday is treated as the holiday.

REGISTERED APPRENTICES

Wages per hour

Terms are at the following percentage of Group A rate.

| 0-1333 Hrs | 1334-2666 Hrs | 2667-4000 Hrs |
|------------|---------------|---------------|
| 70% | 80% | 90% |
| | | |

Supplements per hour worked Apprentices \$ 18.33

Laborer - Tunnel

JOB DESCRIPTION Laborer - Tunnel

ENTIRE COUNTIES Clinton, Essex, Warren

WAGES

GROUP A: Change House Man

GROUP B: Miners and all Machine Men, Safety Miner, all Shaft-work, Caisson work, Drilling, Blow Pipe, all Air Tools, Tugger, Scaling, Nipper, Guniting pot to nozzle, Bit Grinder, Signal Man (top and bottom), Concrete Men, Shield driven tunnels, mixed face and soft ground, liner plate tunnels in free air.

GROUP C: Hazardous/Waste Work

WAGES (per hour)

| | 07/01/2013 | 07/01/02014 | 07/01/2015 |
|-----------------|------------|-------------|------------|
| | | Additional | Additional |
| Tunnel Laborer: | | | |
| Group A | \$ 26.77 | \$ 1.30** | \$ 1.30** |
| Group B | 26.97 | 1.30** | 1.30** |
| Group C* | 28.77 | 1.30** | 1.30** |

(*)Work site required to be designated by State/Federal as hazardous waste site and relevant regulations require employees to use personal protection.

(**)To be allocated at a later date.

SUPPLEMENTAL BENEFITS

Per hour worked:

Journeyman \$18.33

See (B, E, Q) on OVERTIME PAGE

HOLIDAY

Paid: See (5, 6) on HOLIDAY PAGE Overtime: See (5, 6) on HOLIDAY PAGE

If the holiday falls on Saturday, it will be celebrated on Friday. If the holiday falls on Sunday, it will be celebrated on Monday.

REGISTERED APPRENTICES

Wages per hour

02/01/2014

1-186/2h

Terms are at the following percentage of Group B rate.

| 0-1333 Hrs | 1334-2666 Hrs | 2667-4000 Hrs |
|------------|---------------|---------------|
| 70% | 80% | 90% |

Supplements per hour worked Apprentices \$ 18.33

Lineman Electrician

JOB DESCRIPTION Lineman Electrician

ENTIRE COUNTIES

Albany, Allegany, Broome, Cattaraugus, Cayuga, Chautauqua, Chemung, Chenango, Clinton, Columbia, Cortland, Delaware, Dutchess, Erie, Essex, Franklin, Fulton, Genesee, Greene, Hamilton, Herkimer, Jefferson, Lewis, Livingston, Madison, Monroe, Montgomery, Niagara, Oneida, Onondaga, Ontario, Orange, Orleans, Oswego, Otsego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Sullivan, Tioga, Tompkins, Ulster, Warren, Washington, Wayne, Wyoming, Yates

WAGES

Per hour:

NOTE: Includes Teledata Work within ten (10) feet of High Voltage Transmission Lines

Below rates applicable on all overhead and underground distribution and maintenance work, and all overhead and underground transmission line work and the installation of fiber optic cable where no other construction trades are or have been involved. (14.01.01)

| | 07/01/2013 | 05/05/2014 | 05/04/2015 |
|--------------------------|------------|------------|------------|
| Lineman, Technician | \$44.12 | \$45.51 | \$46.90 |
| Crane, Crawler Backhoe | 44.12 | 45.51 | 46.90 |
| Welder, Cable Splicer | 44.12 | 45.51 | 46.90 |
| Digging Machine Operator | 39.71 | 40.96 | 42.21 |
| Tractor Trailer Driver | 37.50 | 38.68 | 39.87 |
| Groundman, Truck Driver | 35.30 | 36.41 | 37.52 |
| Mechanic 1st Class | 35.30 | 36.41 | 37.52 |
| Flagman | 26.47 | 27.31 | 28.14 |

Additional \$1.00 per hour for entire crew when a helicopter is used.

Below rates applicable on all electrical sub-stations, switching structures, fiber optic cable and all other work not defined as "Utility outside electrical work". (14.02.01-A)

| Lineman, Technician | \$44.12 | \$45.51 | \$46.90 |
|-------------------------------|---------|---------|---------|
| Crane, Crawler Backhoe | 44.12 | 45.51 | 46.90 |
| Cable Splicer-Pipe Type Cable | 48.53 | 50.06 | 51.59 |
| Cert. Welder-Pipe Type Cable | 46.33 | 47.79 | 49.25 |
| Digging Machine Operator | 39.71 | 40.96 | 42.21 |
| Tractor Trailer Driver | 37.50 | 38.68 | 39.87 |
| Mechanic 1st Class | 35.30 | 36.41 | 37.52 |
| Groundman, Truck Driver | 35.30 | 36.41 | 37.52 |
| Flagman | 26.47 | 27.31 | 28.14 |

Additional \$1.00 per hour for entire crew when a helicopter is used.

Below rates apply on switching structures, maintenance projects, railroad catenary install/maintenance third rail installation, bonding of rails and pipe type cable and installation of fiber optic cable. (14.02.01-B)

| Lineman, Technician, Welder | \$45.40 | \$46.80 | \$48.20 |
|-------------------------------|---------|---------|---------|
| Crane, Crawler Backhoe | 45.40 | 46.80 | 48.20 |
| Digging Machine Operator | 40.86 | 42.12 | 43.38 |
| Tractor Trailer Driver | 38.59 | 39.78 | 40.97 |
| Groundman, Truck Driver | 36.32 | 37.44 | 38.56 |
| Mechanic 1st Class | 36.32 | 37.44 | 38.56 |
| Flagman | 27.24 | 28.08 | 28.92 |
| Cert. Welder-Pipe Type Cable | 47.67 | 49.14 | 50.61 |
| Cable Splicer-Pipe Type Cable | 49.94 | 51.48 | 53.02 |
| | | | |

Additional \$1.00 per hour for entire crew when a helicopter is used.

1-186T

02/01/2014

Below rates applicable on all overhead and underground transmission line work & fiber optic cable where other construction trades are or have been involved. This applies to transmission line work only, not other construction. (14.03.01)

| Lineman, Technician, Welder | \$46.62 | \$48.02 | \$49.41 |
|-----------------------------|---------|---------|---------|
| Crane, Crawler Backhoe | 46.62 | 48.02 | 49.41 |
| Cable Splicer | 46.62 | 48.02 | 49.41 |
| Digging Machine Operator | 41.96 | 43.22 | 44.47 |
| Tractor Trailer Driver | 39.63 | 40.82 | 42.00 |
| Groundman, Truck Driver | 37.30 | 38.42 | 39.53 |
| Mechanic 1st Class | 37.30 | 38.42 | 39.53 |
| Flagman | 27.97 | 28.81 | 29.65 |

Additional \$1.00 per hour for entire crew when a helicopter is used.

** IMPORTANT NOTICE **

Four (4), ten (10) hour days may be worked at straight time during a week, Monday thru Thursday. Friday may be used as a make-up day. *Effective 05/06/2013, Tuesday thru Friday may be worked with no make-up day.

NOTE - In order to use the '4 Day/10 Hour Work Schedule,' you must submit an 'Employer Registration for Use of 4 Day/10 Hour Work Schedule,' form PW30R; additionally, there must be a dispensation of hours in place on the project.

SUPPLEMENTAL BENEFITS

Per hour worked including holidays listed below:

The following SUPPLEMENTAL BENEFITS apply to all classification categories of CONSTRUCTION, TRANSMISSION and DISTRIBUTION.

| \$19.00 | \$19.75 | \$20.50 |
|---------------|---------------|---------------|
| *plus 7.5% of | *plus 7.5% of | *plus 7.5% of |
| hourly wage | hourly wage | hourly wage |

*The 7.5% is based on the hourly wage paid, straight time rate or premium rate.

OVERTIME PAY

See (B, E, Q,) on OVERTIME PAGE. *Note* Double time for all emergency work designated by the Dept. of Jurisdiction.

NOTE: THE FOLLOWING RATES WILL APPLY ON ALL CONTRACTING AGENCY MANDATED MULTIPLE SHIFTS OF AT LEAST FIVE (5) DAYS DURATION WORKED BETWEEN THE HOURS LISTED BELOW:

| 1ST SHIFT | 8:00 AM to 4:30 PM REGULAR RATE |
|-----------|--|
| 2ND SHIFT | 4:30 PM to 1:00 AM REGULAR RATE PLUS 17.3 % |
| 3RD SHIFT | 12:30 AM to 9:00 AM REGULAR RATE PLUS 31.4 % |
| | |

HOLIDAY

| Paid | See (5, 6, 8, 13, 25) on HOLIDAY PAGE plus Governor of NYS Election Day. |
|----------|--|
| Overtime | See (5, 6, 8, 13, 25) on HOLIDAY PAGE plus Governor of NYS Election Day. |

SUPPLEMENTS for holidays paid at straight time

REGISTERED APPRENTICES

WAGES: 1000 hour terms at the following percentage of Journeyman's wage.

| 1st | 2nd | 3rd | 4th | 5th | 6th | 7th |
|-----|-----|-----|-----|-----|-----|-----|
| 60% | 65% | 70% | 75% | 80% | 85% | 90% |

SUPPLEMENTAL BENEFITS: Same as Journeyman

Lineman Electrician - Teledata

02/01/2014

6-1249a

JOB DESCRIPTION Lineman Electrician - Teledata

DISTRICT 6

ENTIRE COUNTIES

Albany, Allegany, Broome, Cattaraugus, Cayuga, Chautauqua, Chemung, Chenango, Clinton, Columbia, Cortland, Delaware, Dutchess, Erie, Essex, Franklin, Fulton, Genesee, Greene, Hamilton, Herkimer, Jefferson, Lewis, Livingston, Madison, Monroe, Montgomery, Niagara, Oneida, Onondaga, Ontario, Orange, Orleans, Oswego, Otsego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Sullivan, Tioga, Tompkins, Ulster, Warren, Washington, Wayne, Westchester, Wyoming, Yates

WAGES

Per hour:

FOR OUTSIDE WORK.

| | 07/01/2013 | 01/01/2014 |
|--------------------------------|------------|------------|
| Cable Splicer | \$28.55 | \$29.12 |
| Installer, Repairman | 27.10 | 27.64 |
| Teledata Lineman | 27.10 | 27.64 |
| Technician, Equipment Operator | 27.10 | 27.64 |
| Groundman | 14.37 | 14.66 |

NOTE: EXCLUDES Teledata work within ten (10) feet of High Voltage (600 volts and over) transmission lines. For this work please see LINEMAN.

SUPPLEMENTAL BENEFITS

Per hour worked:

| \$ 4.43 | \$ 4.43 |
|-------------|-------------|
| *plus 3% of | *plus 3% of |
| wage paid | wage paid |

*The 3% is based on the hourly wage paid, straight time rate or premium rate.

OVERTIME PAY

See (B, E, Q) on OVERTIME PAGE

HOLIDAY

| Paid: | See (1) on HOLIDAY PAGE |
|-----------|--------------------------------|
| Overtime: | See (5, 6, 16) on HOLIDAY PAGE |

Lineman Electrician - Traffic Signal Lighting

JOB DESCRIPTION Lineman Electrician - Traffic Signal Lighting

ENTIRE COUNTIES

Albany, Allegany, Broome, Cattaraugus, Cayuga, Chautauqua, Chemung, Chenango, Clinton, Cortland, Delaware, Erie, Essex, Franklin, Fulton, Genesee, Greene, Hamilton, Herkimer, Jefferson, Lewis, Livingston, Madison, Monroe, Montgomery, Niagara, Oneida, Onondaga, Ontario, Orleans, Oswego, Otsego, Rensselaer, Saratoga, Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Sullivan, Tioga, Tompkins, Warren, Washington, Wayne, Wyoming, Yates

WAGES

| Per hour: | 07/01/2013 | 05/05/2014 | 05/04/2015 | 05/02/2016 |
|-------------------------|------------|------------|------------|------------|
| | | | | Additional |
| Lineman, Technician | \$39.19 | \$40.12 | \$41.04 | \$2.00 |
| Crane, Crawler Backhoe | 39.19 | 40.12 | 41.04 | 2.00 |
| Certified Welder | 41.15 | 42.13 | 43.09 | 2.00 |
| Digging Machine | 35.27 | 36.11 | 36.94 | 2.00 |
| Tractor Trailer Driver | 33.31 | 34.10 | 34.88 | 2.00 |
| Groundman, Truck Driver | 31.35 | 32.10 | 32.83 | 2.00 |
| Mechanic 1st Class | 31.35 | 32.10 | 32.83 | 2.00 |
| Flagman | 23.51 | 24.07 | 24.62 | 2.00 |

* To be allocated at a later date.

Above rates applicable on all Lighting and Traffic Signal Systems with the installation, testing, operation, maintenance and repair of all traffic control and illumination projects, traffic monitoring systems, road weather information systems and the installation of Fiber Optic Cable.

** IMPORTANT NOTICE **

Four (4), ten (10) hour days may be worked at straight time during a week, Monday thru Thursday. Friday may be used as a make-up day. *Effective 05/06/2013, Tuesday thru Friday may be worked with no make-up day.

NOTE - In order to use the '4 Day/10 Hour Work Schedule,' you must submit an 'Employer Registration for Use of 4 Day/10 Hour Work Schedule,' form PW30R; additionally, there must be a dispensation of hours in place on the project.

SUPPLEMENTAL BENEFITS

Per hour worked including holidays listed below:

| All classifications | \$19.00 | \$19.75 | \$20.50 |
|---------------------|---------------|---------------|---------------|
| | *plus 7.5% of | *plus 7.5% of | *plus 7.5% of |

6-1249LT - Teledata

02/01/2014

| nearly nage | | hourly wage | hourly wage | hourly wage | |
|-------------|--|-------------|-------------|-------------|--|
|-------------|--|-------------|-------------|-------------|--|

*The 7.5% is based on the hourly wage paid, straight time rate or premium rate. Supplements paid at STRAIGHT TIME rate for holidays.

OVERTIME PAY

See (B, E, Q) on OVERTIME PAGE. *Note* Double time for all emergency work designated by the Dept. of Jurisdiction

NOTE: THE FOLLOWING RATES WILL APPLY ON ALL CONTRACTING AGENCY MANDATED MULTIPLE SHIFTS OF AT LEAST FIVE (5) DAYS DURATION WORKED BETWEEN THE HOURS LISTED BELOW:

| 1ST SHIFT | 8:00 AM TO 4:30 PM | REGULAR RATE |
|-----------|---------------------|-------------------------|
| 2ND SHIFT | 4:30 PM TO 1:00 AM | REGULAR RATE PLUS 17.3% |
| 3RD SHIFT | 12:30 AM TO 9:00 AM | REGULAR RATE PLUS 31.4% |

HOLIDAY

| Paid | See (5, 6, 8, 13, 25) on HOLIDAY PAGE plus Governor of NYS Election Day. |
|----------|--|
| Overtime | See (5, 6, 8, 13, 25) on HOLIDAY PAGE plus Governor of NYS Election Day. |

REGISTERED APPRENTICES

WAGES: 1000 hour terms at the following percentage of Journeyman's wage.

| 1st | 2nd | 3rd | 4th | 5th | 6th | 7th |
|-----|-----|-----|-----|-----|-----|-----|
| 60% | 65% | 70% | 75% | 80% | 85% | 90% |

SUPPLEMENTAL BENEFITS: Same as Journeyman

Lineman Electrician - Tree Trimmer

JOB DESCRIPTION Lineman Electrician - Tree Trimmer

ENTIRE COUNTIES

Albany, Allegany, Broome, Cattaraugus, Cayuga, Chautauqua, Chemung, Chenango, Clinton, Columbia, Cortland, Delaware, Dutchess, Erie, Essex, Franklin, Fulton, Genesee, Greene, Hamilton, Herkimer, Jefferson, Lewis, Livingston, Madison, Monroe, Montgomery, Niagara, Oneida, Onondaga, Ontario, Orange, Orleans, Oswego, Otsego, Putnam, Rensselaer, Rockland, Saratoga, Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Sullivan, Tioga, Tompkins, Ulster, Warren, Washington, Wayne, Wyoming, Yates

WAGES

Per hour:

Applies to line clearance, tree work and right-of-way preparation on all new or existing energized overhead or underground electrical, telephone and CATV lines. This also would include stump removal near underground energized electrical lines, including telephone and CATV lines.

| | 07/01/2013 |
|--------------------|------------|
| Tree Trimmer | \$ 22.08 |
| Equipment Operator | 19.48 |
| Mechanic | 19.48 |
| Truck Driver | 16.46 |
| Groundman | 13.51 |
| Flag person | 9.62 |

SUPPLEMENTAL BENEFITS

Per hour worked including holidays listed below:

| \$ 8.30 |
|-------------|
| *plus 3% of |
| hourly wage |

* The 3% is based on the hourly wage paid, straight time rate or premium rate.

OVERTIME PAY

See (B, E, Q) on OVERTIME PAGE

| HOLIDAY | |
|-----------|---|
| Paid: | See (5, 6, 8, 15, 16, 25) on HOLIDAY PAGE |
| Overtime: | See (5, 6, 8, 15, 16, 25) on HOLIDAY PAGE |

6-1249a-LT

02/01/2014

Mason - Building

JOB DESCRIPTION Mason - Building

ENTIRE COUNTIES

Clinton, Essex, Franklin

PARTIAL COUNTIES

Warren: Only the Townships of Chester, Hague, Horicon and Johnsburg.

WAGES

| Pernour | 07/01/2013 | 05/01/2014 Additional |
|-------------------------|------------|--------------------------|
| Bricklayer | \$ 27.75 | \$ 1.00** |
| Cement Finisher | 27.75 | 1.00** |
| Plasterer/Fireproofer* | 27.75 | 1.00** |
| Pointer/Caulker/Cleaner | 27.75 | 1.00** |
| Stone Mason | 27.75 | 1.00** |
| Acid Brick | 28.25 | 1.00** |
| | | |

(*)Fireproofer on Structural only.

(**)To be allocated at a later date.

SUPPLEMENTAL BENEFITS

Per hour worked

| Journey | /man |
|---------|-------|
| oounic | , man |

\$ 17.38

OVERTIME PAY See (B, E, E2, Q) on OVERTIME PAGE

HOLIDAY

| Paid: | See (1) on HOLIDAY PAGE |
|----------------------------|---|
| Overtime: | See (5, 6) on HOLIDAY PAGE |
| Note: Any holiday which of | ccurs on Sunday shall be observed the following Monday. |

REGISTERED APPRENTICES

Wages per hour

750 hr terms at the following percentage of Journeyman's wage

| 1st 55% | 2nd 60% | 3rd 65% | 4th 70% | 5th 75% | 6th 80% | 7th 85% | 8th 90% |
|-------------|----------------|-------------|------------|------------|------------|------------|------------|
| Supplementa | l Benefits per | hour worked | | | | | |
| 0-500 Hours | | | | \$ 9.98 | | | |

All others 17.38 1-2b.8

Mason - Building

JOB DESCRIPTION Mason - Building

ENTIRE COUNTIES

Albany, Clinton, Columbia, Essex, Franklin, Fulton, Greene, Hamilton, Montgomery, Rensselaer, Saratoga, Schenectady, Schoharie, Warren, Washington

| WAGES Per hour | 07/01/2013 | 08/01/2013 | 06/01/2014 Additional |
|-------------------------------------|------------|------------|--------------------------|
| Tile/Marble/Terazzo | | | |
| Setter | \$ 29.78 | \$ 29.76 | \$ 1.35* |
| Finisher | 23.57 | 23.55 | 1.07* |
| (*) To be allocated at a later date |) . | | |

SUPPLEMENTAL BENEFITS

Per hour worked

02/01/2014

DISTRICT 1

02/01/2014

| Prevailing Wage Rates for 07 Last Published on Feb 01 207 | Published by the New York State Department of Labor PRC Number 2014001701 Essex County | | | | |
|---|---|---|-----------------------------------|-------------------------|--------------|
| Journeyman Setter Journeyman Finisher | \$ 17.93 15.23 | \$ 17.95 15.25 | | | <u>.</u> |
| OVERTIME PAY See (B, E, Q) on OVERTIM | IE PAGE | | | | |
| HOLIDAY Paid: | See (1) on HOLIDAY PAGE | | | | |
| Overtime: | See (5, 6) on HOLIDAY PAGE | | | | |
| REGISTERED APPREN Wages per hour | TICES | | | | |
| Hour Terms at the following | percentage of Journeyman's wage | | | | |
| Setter: | | | | | |
| 1st term 0-500 hours | 60% | | | | |
| 2nd term 501-1500 hours | 70% | | | | |
| 3rd term 1501-2500 hours | 80% | | | | |
| 4th term 2501-3500 hours | 85% | | | | |
| 5th term 3501-4500 hours | 90% | | | | |
| 6th term 4501-6000 hours | 95% | | | | |
| Finisher: | | | | | |
| 1st term 0-500 hours | 70% | | | | |
| 2nd term 501-1500 hours | 80% | | | | |
| 3rd term 1501-2500 hours | 90% | | | | |
| 4th term 2501-3700 hours | 95% | | | | |
| Supplemental Benefits per | hour worked | | | | |
| | 07/01/2 | 2013 | 08/01/2013 | | |
| Setter: | | | | | |
| 1st term 0-500 hours | \$ 10.3 | 33 | \$ 10.35 | | |
| 2nd term 501-1500 hours | 10.3 | 33 | 10.35 | | |
| 3rd term 1501-2500 hours | 14.1 | 13 | 14.15 | | |
| 4th term 2501-3500 hours | 14.7 | 13 | 14.15 | | |
| 5th term 3501-4500 hours | 16.0 |)3 | 16.05 | | |
| 6th term 4501-6000 hours | 17.9 | 93 | 17.95 | | |
| Finisher: | | | * • • - | | |
| 1st term 0-500 hours | \$ 9.8 | 33 | \$ 9.85 | | |
| 2nd term 501-1500 hours | 9.8 | 33 | 9.85 | | |
| 3rd term 1501-2500 hours | 12.5 | 53 | 12.55 | | |
| 4th term 2501-3700 hours | 12.5 | 53 | 12.55 | | 1-2TS.1 |
| Maaan Haarrollinku | | | | | 00/04/0044 |
| wason - Heavy&Highw | ay | | | | 02/01/2014 |
| JOB DESCRIPTION Ma | son - Heavy&Highway | | DIS | STRICT 1 | |
| ENTIRE COUNTIES Albany, Cayuga, Clinton, C Oswego, Rensselaer. Sara | olumbia, Essex, Franklin, Fulton, Gre toga, Schenectady, Schoharie, St. La | ene, Hamilton, Herk wrence, Warren. Wa | timer, Jefferson, Lo ashington | ewis, Madison, Montgome | ery, Oneida, |

PARTIAL COUNTIES

Onondaga: For Heavy & Highway Cement Mason or Plaster Work in Onondaga County, refer to Mason-Heavy&Highway tag 1-2h/h on.

WAGES Per hour

| reinoui | 07/01/2013 | 08/01/2013 | 07/01/2014 Additional | 07/01/2015 Additional | 07/01/2016 Additional |
|-----------------------|------------|------------|--------------------------|--------------------------|--------------------------|
| Mason & Bricklayer | \$ 32.17 | \$ 32.15 | \$ 1.25* | \$ 1.35* | \$ 1.45* |

Additional \$1.00 per hour for work on any swing scaffold or staging suspended by means of ropes or cables.

(*)To be allocated at a later date.

SUPPLEMENTAL BENEFITS

Per hour worked

| Prevailing Wage Rates for Last Published on Feb 01 | 07/01/2013 - 06/3 2014 | 30/2014 | | | Pul | blished by the New Yor PRC Number | k State Department of Labor 2014001701 Essex County |
|---|--|---|---|--|---|--|--|
| Journeyman \$ 17.64 | | \$ 17.66 | | | | | |
| OVERTIME PAY See (B, E, E2, Q) on OV | ERTIME PAGE | | | | | | |
| HOLIDAY Paid: Overtime: Note: If a holiday falls of | See (1) on H See (5, 6) or n Sunday, the M | IOLIDAY PAGE n HOLIDAY PAC londay following | GE shall constit | ute the day | of the legal he | oliday. | |
| REGISTERED APPRE Wages per hour | ENTICES | | | | | | |
| 750 HR TERMS at the fo | bllowing percent | of Journeyman' | s wage | | | | |
| 1st2nd55%60% | 3rd 65% | 4th 70% | 5th 75% | 6th 80% | 7th 85% | 8th 90% | |
| Supplemental Benefits p | er hour worked 07/01/2013 | 3 | 08/01/2013 | 3 | | | |
| 0-500 Hours All others | \$ 10.29 17.64 | | \$ 10.29 17.66 | | | | 1-2hh.1 |
| Millwright | | | | | | | 02/01/2014 |
| JOB DESCRIPTION | Villwright | | | | | DISTRICT 1 | |
| ENTIRE COUNTIES Clinton, Essex, Franklin, | Hamilton, Warr | en, Washington | | | | | |
| WAGES Per hour: | | 07/01/2013 | | 07/01/20 An Additi | 014 onal | | |
| Millwright | | \$ 25.71 | | \$1.1 | 9* | | |
| Note: WELDER/HAZMA directed to perform certif (including, as a minimum per hour. | T - A Certified W ied welding. If a n, air purifying ca | /elder shall rece a work site has b anister-type cher | ived \$ 1.25 been declare mical respira | ber hour in a d a hazardo tors) are re | addition to the ous site by the quired, then th | current journeyman's Owner and the use o hat Employee shall re | s rate provided he/she is of protective gear ceive a \$ 1.25 premium |
| (*)To be allocated at a la SUPPLEMENTAL BE Per hour worked: | ter date. NEFITS | | | | | | |
| Journeyman Millwright | | \$ 17.69 | | | | | |
| OVERTIME PAY See (B, E, *E2, Q) on O ^V *Saturday may be used a power failure, fire or natu minimun of eight hours n | /ERTIME PAGE as a make-up da iral disaster pre nust be schedulo | ay and worked a vent the perform ed. | t the straight ance of wor | t time rate c k on a regul | of pay during a larly scheduled | work week when cor d work day. If a make | nditions such as weather, -up day is utilized, a |
| HOLIDAY Paid: Overtime: Note: Any holiday that fa preceding Friday. | See (1) on H See (5, 6) of Ils on Sunday sl | HOLIDAY PAGE n HOLIDAY PAC hall be observed | GE I the followin | g Monday. | Any holiday th | at falls on Saturday s | hall be observed the |

REGISTERED APPRENTICES

Wages per hour:

1 year terms at the following percentage of Journeyman's wage1st2nd60%70%80%90%

| Millwrights | | |
|---------------|---------|---------|
| 1st Year Term | \$ 7.79 | |
| 2nd Year Term | 14.72 | |
| 3rd Year Term | 15.71 | |
| 4th Year Term | 16.70 | |
| | | 1-1163b |

02/01/2014

Operating Engineer - Building

JOB DESCRIPTION Operating Engineer - Building

DISTRICT 1

ENTIRE COUNTIES

Albany, Clinton, Columbia, Essex, Franklin, Fulton, Greene, Hamilton, Herkimer, Montgomery, Otsego, Rensselaer, Saratoga, Schenectady, Schoharie, Warren, Washington

PARTIAL COUNTIES

Dutchess: Defined as north of the northern boundary line of City of Poughkeepsie then due east to Route 115 to Bedelt Road then east along Bedelt Road to VanWagner Road then north along VanWagner Road to Bower Road then east along Bower Road to Rte. 44 east to Route 343 then along Route 343 east to the northern boundary of Town of Dover Plains and east along the northern boundary of Town of Dover Plains to Connecticut.

WAGES

CLASS A1:

Crane, hydraulic cranes, tower crane, locomotive crane, piledriver, cableway, derricks, whirlies, dragline, boom trucks over 5 tons.

CLASS A:

Shovel, all Excavators (including rubber tire full swing), Gradalls, power road grader, all CMI equipment, front-end rubber tire loader, tractormounted drill (quarry master), mucking machine, concrete central mix plant, concrete pump, belcrete system, automated asphalt concrete plant, and tractor road paver, boom trucks 5 tons and under, maintenance engineer, self-contained crawler drill-hydraulic rock drill.

CLASS B:

Backhoes (rubber tired backhoe/loader combination), bulldozer, pushcat, tractor, traxcavator, scraper, LeTourneau grader, form fine grader, self-propelled soil compactor (fill roller), asphalt roller, blacktop spreader, power brooms, sweepers, trenching machine, Barber Green loader, side booms, hydro hammer, concrete spreader, concrete finishing machine, one drum hoist, power hoisting (single drum), hoist two drum or more, three drum engine, power hoisting (two drum and over), two drum and swinging engine, three drum swinging engine, hod hoist, A-L frame winches, core and well drillers (one drum), post hole digger, model CHB Vibro-Tamp or similar machine, batch bin and plant operator, dinky locomotive, skid steer loader, track excavator 5/8 cubic yard or smaller, front end rubber tired loader under four cubic yards, vac truck.

CLASS C:

Fork lift, high lift, all terrain fork lift: or similar, oiler, fireman and heavy-duty greaser, boilers and steam generators, pump, vibrator, motor mixer, air compressor, dust collector, welding machine, well point, mechanical heater, generators, temporary light plants, electric submersible pumps 4" and over, murphy type diesel generator, conveyor, elevators, concrete mixer, beltcrete power pack (belcrete system), seeding, and mulching machines, pumps.

* In the event that equipment listed above is operated by robotic control, the classification covering the operation will be the same as if manually operated.

| 07/01/2013 | 07/01/2014 |
|------------|---|
| \$ 36.55 | \$ 37.90 |
| 36.11 | 37.46 |
| 35.20 | 36.55 |
| 32.63 | 33.98 |
| | 07/01/2013 \$ 36.55 36.11 35.20 32.63 |

Additional \$0.50 per hr for Tower Cranes.

Additional \$1.00 per hr for Cranes with Boom length & jib 150ft. and over.

Additional \$2.00 per hr for Cranes with Boom length & jib 200ft. and over.

Additional \$2.00 per hr over B rate for Nuclear Leader work.

Additional \$0.40 per hr for tunnel or excavation of shaft 40' or more deep.

Additional \$2.50 per hour if work requires Personal Protective Equipment for hazardous waste site activities with a level C or over rating.

SUPPLEMENTAL BENEFITS

Per hour worked

Journeyman \$ 23.12 \$ 23.87

OVERTIME PAY

See (B, E, Q) on OVERTIME PAGE

| HOLIDAY | |
|-----------|----------------------------|
| Paid: | See (1) on HOLIDAY PAGE |
| Overtime: | See (5, 6) on HOLIDAY PAGE |

Note: If a holiday falls on Sunday, it will be celebrated on Monday. If the holiday falls on Saturday, it will be celebrated on Friday. Employees who work a Saturday holiday shall be paid double time plus the holiday pay.

REGISTERED APPRENTICES

Wages per hour

1000 hours terms at the following percentage of Journeyman's wage Class B

| 1st 60% | 2nd 70% | 3rd 80% | 4th 90% | | |
|------------|------------------|---------------|------------|------------|------------|
| Suppleme | ntal Benefits pe | r hour worked | | | |
| | | 07/01/2013 | | 07/01/2014 | |
| All terms | | \$ 18.55 | | \$ 19.30 | 1-158 Alb |
| Operatin | g Engineer - | Heavy&High | way | | 02/01/2014 |

JOB DESCRIPTION Operating Engineer - Heavy&Highway

DISTRICT 1

ENTIRE COUNTIES

Albany, Broome, Chenango, Clinton, Columbia, Essex, Franklin, Fulton, Greene, Hamilton, Herkimer, Montgomery, Otsego, Rensselaer, Saratoga, Schenectady, Schoharie, Tioga, Warren, Washington

PARTIAL COUNTIES

Dutchess: Defined as north of the northern boundary line of City of Poughkeepsie then due east to Route 115 to Bedelt Road then east along Bedelt Road to VanWagner Road then north along VanWagner Road to Bower Road then east along Bower Road to Rte. 44 east to Route 343 then along Route 343 east to the northern boundary of Town of Dover Plains and east along the northern boundary of Town of Dover Plains to Connecticut.

WAGES

CLASSIFICATION A:

Asphalt Curb Machine (Self Propelled, Slipform), Automated Concrete Spreader (CMI Type), Automatic Fine Grader, Backhoe (Except Tractor Mounted, Rubber Tired), Backhoe Excavator Full Swing (CAT 212 or similar type), Back Filling Machine, Belt Placer (CMI Type), Blacktop Plant (Automated), Boom truck, Cableway, Caisson Auger, Central Mix Concrete Plant (Automated), Concrete Curb Machine (Self Propelled, Slipform), Concrete Pump, Crane, Cherry Picker, Derricks (steel erection), Dragline, Overhead Crane (Gantry or Straddle type), Pile Driver, Truck Crane, Directional Drilling Machine, Dredge, Dual Drum Paver, Excavator (All PurposeHydraulically Operated) (Gradall or Similar), Front End Loader (4 cu. yd. and Over), Head Tower (Sauerman or Equal), Hoist (Two or Three Drum), Holland Loader, Maintenance Engineer, Mine Hoist, Mucking Machine or Mole, Pavement Breaker(SP) Wertgen; PB-4 and similar type, Power Grader, Profiler (over 105 H.P.), Quad 9, Quarry Master (or equivalent), Scraper, Shovel, Side Boom, Slip Form Paver (If a second man is needed, he shall be an Oiler), Tractor Drawn BeltType Loader, Truck or Trailer Mounted Log Chipper (Self Feeder), Tug Operator (Manned Rented Equipment Excluded), Tunnel Shovel

CLASSIFICATION B:

Asphalt Paver, Backhoe (Tractor Mounted, Rubber Tired), Bituminous Recycler Machine, Bituminous Spreader and Mixer, Blacktop Plant (NonAutomated), Blast or Rotary Drill (Truck or Tractor Mounted), Boring Machine, Cage Hoist, Central Mix Plant [(NonAutomated) and All Concrete Batching Plants], Cherry Picker (5 tons capacity and under), Concrete Paver (Over 16S), Crawler Drill (Self-contained), Crusher, Diesel Power Unit, Drill Rigs, Tractor Mounted, Front End Loader (Under 4 cu. yd.), Greaseman/Lubrication Engineer, HiPressure Boiler (15 lbs. and over), Hoist (One Drum), Hydro-Axe, Kolman Plant Loader and Similar Type Loaders (If Employer requires another man to clean the screen or to maintain the equipment, he shall be an Oiler), L.C.M. Work Boat Operator, Locomotive, Mixer (for stabilized base selfpropelled), Monorail Machine, Plant Engineer, Profiler (105 H.P. and under), Pug Mill, Pump Crete, Ready Mix Concrete Plant, Refrigeration Equipment (for soil stabilization), Road Widener, Roller (all above subgrade), Sea Mule, Self-contained Ride-on Rock Drill(Excluding Air-Track Type Drill), Skidder, Tractor with Dozer and/or Pusher, Trencher, Tugger Hoist, Vac Truck, Vermeer saw (ride on, any size or type), Welder

CLASSIFICATION C:

A Frame Winch Hoist on Truck, Articulated Heavy Hauler, Aggregate Plant, Asphalt or Concrete Grooving Machine (ride on), Ballast Regulator(Ride-on), oiler (used in conjunction with production), Bituminous Heater (self-propelled), oat (powered), Cement and Bin Operator, Concrete Pavement Spreader and Finisher Concrete Paver or Mixer (16S and under), Concrete Saw (self-propelled), Conveyor, Deck Hand, Directional Drill Machine Locator, Drill (Core and Well), Farm Tractor with accessories, Fine Grade Machine, Fireman, Fork Lift, Form Tamper, Grout Pump, Gunite Machine, Hammers (Hydraulic self-propelled), Hydra-Spiker (ride-on), Hydraulic Pump (jacking system), Hydro -Blaster (Water), Mulching Machine, Oiler, Parapet Concrete or Pavement Grinder, Post Hole Digger and Post Driver, Power Broom (towed), Power Heaterman, Power Sweeper, Revinius Widener, Roller (Grade and Fill), Scarifier (ride-on), Shell Winder, Skid steer loader (Bobcat or similar), Span-Saw (ride-on), Steam Cleaner, Tamper (ride-on), Tie Extractor (ride-on), Tie Handler (ride-on), Tie Inserter (ride-on), Tie Spacer (ride-on), Tire Repair, Track Liner (ride-on), Tractor, Tractor (with towed accessories), Vibratory Compactor, Vibro Tamp, Well Point, and the following hands-off equipment: Compressors, Dust Collectors, Generators, Pumps, Welding Machines, Light Plants and Heaters

- Note for all above classifications of Operating Engineer - In the event that equipment listed above is operated by robotic control, the classification covering the operation will be the same as if manually operated.

| WAGES per hour | | |
|-----------------|------------|------------|
| | 07/01/2013 | 07/01/2014 |
| Master Mechanic | \$ 37.67 | \$ 39.02 |
| Class A* | 36.06 | 37.41 |
| Class B | 35.15 | 36.50 |
| Class C | 32.58 | 33.93 |

Additional \$2.00 per hour for All Employees who work a single irregular work shift starting from 5:00 PM to 1:00 AM that is mandated by the Contracting Agency.

Additional \$2.50 per hr. for hazardous waste removal work on State and/or Federally designated waste site which require employees to wear Level C or above forms of personal protection.

(*) Premiums for CRANES is based upon Class A rates with the following premiums:

- Additional \$4.00 per hr for Tower Cranes, including self erecting.

- Additional \$3.00 per hr for Lattice Boom Cranes and all other cranes with a manufacturers rating of fifty (50) tons and over.

- Additional \$2.00 per hr for all Hydraulic Cranes and Derricks with a manufacturer's rating of 49 ton and below, including boom trucks.

SUPPLEMENTAL BENEFITS

Per hour worked

| Journeyman | \$ 23.35 | \$ 24.10 |
|------------|----------|----------|
| | | |

OVERTIME PAY See (B, E, Q) on OVERTIME PAGE

HOLIDAY

Paid:See (5, 6) on HOLIDAY PAGEOvertime:See (5, 6) on HOLIDAY PAGE

Note: If the holiday falls on Sunday, it will be celebrated on Monday. If the holiday falls on a Saturday, it will be celebrated on Saturday.

REGISTERED APPRENTICES

Wages per hour

1000 hours terms at the following percentage of Journeyman's wage Class B

| 1st | 2nd | 3rd | 4th |
|-----|-----|-----|-----|
| 60% | 70% | 80% | 90% |

Supplemental Benefits per hour worked

| | 07/01/2013 | 07/01/2014 | |
|-----------|------------|------------|--------------|
| All Terms | \$ 18.75 | \$ 19.50 | |
| | | | 1-158H/H Alb |

Operating Engineer - Marine Construction

JOB DESCRIPTION Operating Engineer - Marine Construction

ENTIRE COUNTIES

Albany, Allegany, Bronx, Broome, Cattaraugus, Cayuga, Chautauqua, Chemung, Chenango, Clinton, Columbia, Cortland, Delaware, Dutchess, Erie, Essex, Franklin, Fulton, Genesee, Greene, Hamilton, Herkimer, Jefferson, Kings, Lewis, Livingston, Madison, Monroe, Montgomery, Nassau, New York, Niagara, Oneida, Onondaga, Ontario, Orange, Orleans, Oswego, Otsego, Putnam, Queens, Rensselaer, Richmond, Rockland, Saratoga, Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Suffolk, Sullivan, Tioga, Tompkins, Ulster, Warren, Washington, Wayne, Westchester, Wyoming, Yates

| WAGES Per Hour: DREDGING OPERATIONS CLASS A Operator, Leverman, Lead Dredgeman | 07/01/2013 \$ 32.89 |
|---|--|
| CLASS A1 Dozer,Front Loader Operator | To conform to Operating Engineer Prevailing Wage in locality where work is being performed including benefits. |
| CLASS B Spider/Spill Barge Operator, Tug Operator(over1000hp), OperatorII, Fill Placer, Derrick Operator, Engineer, Chief Mate, Electrician, | \$ 28.49 |

DISTRICT 4

02/01/2014

| Chief Welder, Maintenance Engineer | |
|---|---|
| Certified Welder, Boat Operator(licensed) | \$ 26.84 |
| CLASS C Drag Barge Operator, Steward, Mate, Assistant Fill Placer, | \$ 26.14 |
| Welder (please add)\$ 0.06 | |
| Boat Operator | \$ 25.29 |
| CLASS D Shoreman, Deckhand, Rodman, Scowman, Cook, Messman, Porter/Janitor | \$ 21.09 |
| Oiler(please add)\$ 0.09 | |
| SUPPLEMENTAL BENEFITS Per Hour: THE FOLLOWING SUPPLEMENTAL BE | NEFITS APPLY TO ALL CATEGORIES |
| All Classes A & B | 07/01/2013 \$ 8.45 plus 7% of straight time wage overtime hours add \$ 0.63 |
| All Class C | \$ 8.10 plus 8% of straight time wage overtime hours add \$ 0.48 |
| All Class D | \$ 7.85 plus 8% of straight time wage overtime hours add \$ 0.33 |
| ΟVERTIME ΡΔΥ | |

OVERTIME PAY See (B, F, R) on OVERTIME PAGE

HOLIDAY Paid: See (1) on HOLIDAY PAGE Overtime: See (5, 6, 8, 15, 26) on HOLIDAY PAGE

Operating Engineer - Survey Crew

JOB DESCRIPTION Operating Engineer - Survey Crew

ENTIRE COUNTIES

Albany, Allegany, Broome, Cayuga, Chemung, Chenango, Clinton, Columbia, Cortland, Essex, Franklin, Fulton, Greene, Hamilton, Herkimer, Jefferson, Lewis, Livingston, Madison, Monroe, Montgomery, Niagara, Oneida, Onondaga, Ontario, Oswego, Otsego, Rensselaer, Saratoga, Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Tioga, Tompkins, Warren, Washington, Wayne, Yates

PARTIAL COUNTIES

Dutchess: The Northern portion of the county from the Northern boundry line of the City of Poughkeepsie north. Genesee: Only that portion of the county that lies east of a line down the center of Route 98 to include all area that lies within the City of Batavia

WAGES

Per hour:

SURVEY CLASSIFICATIONS:

Party Chief- One who directs a survey party. Instrument person- One who runs the instrument and assists the Party Chief. Rod person- One who holds the rods and, in general, assists the Survey Party.

DISTRICT 6

4-25a-MarConst 02/01/2014

| | 07/01/2013 | 07/01/2014 | 07/01/2015 |
|-------------------|------------|------------|------------|
| Party Chief | \$ 34.02 | \$ 35.49 | \$ 36.53 |
| Instrument person | 31.16 | 32.53 | 33.46 |
| Rod person | 22.75 | 23.83 | 24.46 |

| Additional \$3.00 per hr. for work in a Tunnel. Additional \$2.50 per hr. for EPA or DEC certified toxic or hazardous waste work | | | |
|---|--|----------|--|
| SUPPLEMENTAL BENEFITS Per hour worked: | | | |
| Journeyman | \$ 22.25 | \$ 22.75 | |
| OVERTIME PAY See (B, E, Q) on OVERTIME PAGE | | | |
| HOLIDAY Paid: Overtime: | See (5, 6) on HOLIDAY PAGE See (5, 6) on HOLIDAY PAGE | | |
| REGISTERED APPRENTICES | | | |

WAGES: One year or 1000 hour terms at the following wage rates.

| | 07/01/2013 | 07/01/2014 | 07/01/2015 |
|--------------------|-----------------------|------------|------------|
| 1st year | \$ 13.65 | \$ 14.30 | \$ 14.68 |
| 2nd year | 15.93 | 16.68 | 17.12 |
| 3rd year | 18.20 | 19.06 | 19.57 |
| SUPPLEMENTAL BENER | FITS per hour worked: | | |
| | \$ 22.25 | \$ 22.75 | \$ 23.75 |

6-545 D.H.H.

| Operating Engineer - Survey Crew - Consulting Engineer | 02/01/2014 |
|--|------------|
|--|------------|

JOB DESCRIPTION Operating Engineer - Survey Crew - Consulting Engineer

DISTRICT 6

\$23.75

ENTIRE COUNTIES

Albany, Allegany, Broome, Cayuga, Chemung, Chenango, Clinton, Columbia, Cortland, Essex, Franklin, Fulton, Greene, Hamilton, Herkimer, Jefferson, Lewis, Livingston, Madison, Monroe, Montgomery, Niagara, Oneida, Onondaga, Ontario, Oswego, Otsego, Rensselaer, Saratoga, Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Tioga, Tompkins, Warren, Washington, Wayne, Yates

PARTIAL COUNTIES Dutchess: The northern portion of the county from the northern boundry line of the City of Poughkeepsie north. Genesee: Entire county except that portion of the county that lies west of a line down the center of Route 98 excluding that area that lies within the City of Batavia.

WAGES

Per hour:

Feasibility and preliminary design surveying, line and grade surveying for inspection or supervision of construction when performed under a Consulting Engineer Agreement.

SURVEY CLASSIFICATIONS:

Party Chief- One who directs a survey party. Instrument person- One who runs the instrument and assists the Party Chief. Rod person- One who holds the rods and in general, assists the Survey Party.

| | 07/01/2013 | 07/01/2014 | 07/01/2015 |
|-------------------|------------|------------|------------|
| Party Chief | \$34.02 | \$35.49 | \$36.53 |
| Instrument person | 31.16 | 32.53 | 33.46 |
| Rod person | 22.75 | 23.83 | 24.46 |

Additional \$3.00 per hr. for work in a Tunnel.

Additional \$2.50 per hr. for EPA or DEC certified toxic or hazardous waste work

SUPPLEMENTAL BENEFITS

| Per hour | worked: | |
|----------|---------|--|
|----------|---------|--|

| \$22.25 | \$22.75 | \$23.75 |
|---------|---------|---------|
| | | |

OVERTIME PAY

| HOLIDAY | |
|---------|--|
| Daid | |

6-545 DCE

02/01/2014

Operating Engineer - Tunnel

JOB DESCRIPTION Operating Engineer - Tunnel

DISTRICT 5

ENTIRE COUNTIES

Albany, Allegany, Broome, Cayuga, Chemung, Chenango, Clinton, Columbia, Cortland, Essex, Franklin, Fulton, Greene, Hamilton, Herkimer, Jefferson, Lewis, Livingston, Madison, Monroe, Montgomery, Oneida, Onondaga, Ontario, Oswego, Otsego, Rensselaer, Saratoga, Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Tioga, Tompkins, Warren, Washington, Wayne, Yates

PARTIAL COUNTIES

Dutchess: Northern part of Dutchess to the northern boundary line of the City of Poughkeepie then due east to Route 115 to Bedelt Road then east along Bedelt Road to VanWagner Road then north along VanWagner Road to Bower Road then east along Bower Road to Rte. 44 east to Rte. 343 then along Rte. 343 east to the northern boundary of the Town of Dover Plains and east along the northern boundary of the Town of Dover Plains to Connecticut.

Genesee: Only that portion of the county that lies east of a linedrawn down the center of Route 98 and the entirety of the City of Batavia.

WAGES

Crane 1: All cranes, including self erecting to be paid \$4.00 per hour over the Class A rate.

Crane 2: All Lattice Boom Cranes and all other cranes with a manufacturer's rating of fifty (50) ton and over to be paid \$3.00 per hour over Class A rate.

Crane 3: All hydraulic cranes and derricks with a manufacturer's rating of forty nine (49) ton nad below, including boom trucks, to be paid \$2.00 per hour over Class A rate.

MASTER MECHANIC/CHIEF TUNNEL ENG .:

CLASS A: Automatic Concrete Spreader (CMI Type); Automatic Fine Grader; Backhoe (except tractor-mounted,rubber tired); Belt Placer (CMI Type); Blacktop Plant (Automated); Cableway; Caisson Auger; Central Mix Concrete Plant (Automated); Concrete Curb Machine (Self-propelled slipform) Concrete Pump (8" or over); Dredge; Dual Drum Paver; Any Mechanical Shaft Drill; Excavator (all purpose-hydraulic-Gradall or Similar); Fork Lift (factory rated 15 ft and over); Front End Loader (4 c.y & over); Gradall; Head Tower (Sauerman or Equal), Hoist Shaft; Hoist (two or three Drum); Mine Hoist; Maintenance Engineer (Shaft and Tunnel) ; Mine Hoist; Mucking Machine or Mole, Overhead Crane (Gantry or Straddle Type); Pile Driver; Power Grader; Remote Controlled Mole or Tunnel Mach.; Scraper; Shovel; Side Boom; Slip Form Paver (If a second man is needed, he shall be an Oiler); Tractor Drawn Belt Type Loader; Tripper/Maintenance Eng.(Shaft & Tunnel); Truck or Trailer Mounted Log Chipper (self-feeding); Tug Operator (Manned rented equip. excluded); Tunnel Shovel; Mining Machine(Mole and Similar Types).

CLASS B: Automated Central Mix Concrete Plant; Backhoe Trac-Mtd, Rubber Tired); Backhoe (topside); Bitum. Spred. & Mixer, Blacktop Plant non-automated); Blast or Rotary Drill (Truck or Tractor Mounted); Boring Machine; Cage Hoist; Central Mix Plant(NonAutomated) and All Concrete Batching Plants; Compressors (4 or less exceeding 2,000 c.f.m. combined capacity); Concrete Pump; Crusher; Diesel Power Unit; Drill Rigs (Tractor Mounted); Front End Loader (under 4 c.y.); Grayco Epoxy Machine; Hoist (One Drum); Hoist 2 or 3 Drum (Topside); Kolman Plant Loader & Similar Type Loaders (if Employer requires another person to clean the screen or to maintain the equipment, he shall be an Oiler); L.C.M. Work Boat Operator; Locomotive; Maint. Eng. (Topside); Grease Man; Welder; Mixer (for stabilized base-self propelled); Monorail Machine; Plant Eng.; Personnel Hoist; Pump Crete; Ready Mix Concrete Plant; Refrigeration Equipment (for soil stabilization); Road Widener; Roller (all above sub-grade); Sea Mule; Shotcrete Mach.; Shovel (Topside); Tractor with Dozer and/or Pusher; Trencher; Tugger Hoist; Tunnel Locomotive; Winch and Winch Cat.

CLASS C: A Frame Truck; Ballast Regulator (ride-on); Compressors (4 under 2,000 cfm combined capacity; or 3 or less with more than 1200 cfm. but not to exceed 2,000 cfm); Compressors (any size but subject to other provisions for compressors-Dust Collectors, Generators, Pumps, Welding Machines, Light Plants-4 of any type or combination); Concrete Pavement Spreaders and Finishers; Conveyor; Drill (core); Drill well; Elec Pump Used in Conjunction with Well Point System; Farm Tractor with Accessories; Fine Grade Machine; ForkLift (under 15 ft); Grout Pump (over (5) cu. ft.; Gunite Machine; Hammers (hydraulic- self propel.); Hydra-Spiker-Ride on; Hydra-Blaster; Hydra Blaster (water); Motorized Form Carrier; Post Hole Digger & Post Driver; Power Sweep; Roller grade & fill); Scarifer (Ride on); Span-Saw (Ride-on); Submersible Electric Pump (when used in lieu of well point system); Tamper (Ride-on); Tie-Extractor, Tie Handler, Tie Inserter, Tie Spacer and Track Liner (Ride-on); Tractor (with towed accessories); Vibratory Compactor; Vibro Tamp, Well Point.
CLASS D: Aggregate Plant; Cement & Bin Operator; Compressors(3 or less not to exceed 1,200 c.f.m. combined capacity); Compressors(any size, but subject to other provisions for compressors-Dust Collectors, Generators, Pumps, Welding Machines, Light Plants-3 or less-any type or combination); Concrete Saw (self propelled); Fireman; Form Tamper; Hydraulic Pump (jacking system); Light Plants; Mulching Machine; Oiler; Parapet Concrete or Pavement Grinder; Power Broome towed; Power Heaterman; Revinius Widener; Shell Winder; Steam Cleaner and Tractor; Greaseman; Junior Engineer.

| Per hour: | 07/01/2013 | 07/01/2014 |
|-----------------|------------|------------|
| Crane 1 | \$ 42.48 | \$ 43.68 |
| Crane 2 | 41.48 | 42.68 |
| Crane 3 | 40.48 | 41.68 |
| Master Mechanic | 40.61 | 41.81 |
| CLASS A | 38.48 | 39.68 |
| CLASS B | 37.26 | 38.46 |
| CLASS C | 34.47 | 35.67 |
| CLASS D | 31.46 | 32.66 |

On hazardous waste work bid, on a state or federally designated hazardous waste site, where the Operating Engineer is in direct contact with hazardous material and when personal protective equipment is required for respiratory, skin and eye protection, the Operating Engineer shall receive the hourly wage plus \$5.00 per hour. Fringe benefits will be paid at the contractual hourly wage.

SUPPLEMENTAL BENEFITS

| Per hour paid: | | |
|------------------------------------|----------|----------|
| Journeyman | \$ 23.65 | \$ 24.55 |
| OVERTIME PAY | | |
| See (B, B2, E, Q) on OVERTIME PAGE | | |
| | | |

| H | υ | L | ID | Α | Y | |
|---|---|---|----|---|---|--|
| - | | | | | | |

| Paid: | See (5, 6) on HOLIDAY PAGE |
|-----------|----------------------------|
| Overtime: | See (5, 6) on HOLIDAY PAGE |

REGISTERED APPRENTICES

(1000) hours terms at the following percentages.

Supplemental Benefits per hour paid:

| | \$ 23.65 | \$ 24.55 | | 5-832TL. |
|--|------------|--------------------------|--------------------------|------------|
| Painter | | | | 02/01/2014 |
| JOB DESCRIPTION Painter | | | DISTRICT 1 | |
| ENTIRE COUNTIES Essex, Hamilton, Warren, Washington | | | | |
| WAGES | | | | |
| Per hour | | | | |
| | 07/01/2013 | 05/01/2014 Additional | 05/01/2015 Additional | |
| Painter\Wallcover | \$ 26.69 | \$ 1.35** | \$ 1.40** | |
| Drywall Finishers | 26.69 | 1.35** | 1.40** | |
| Spray Rate | 26.69 | 1.35** | 1.40** | |
| Structural Steel* | 27.69 | 1.35** | 1.40** | |
| Lead Abatement Lead Abatement on | 27.69 | 1.35** | 1.40** | |

| Prevailing Wage Rates for 07/ Last Published on Feb 01 201 | 01/2013 - 06/30/2014 4 | | Publis | shed by the New York State Department of Labor PRC Number 2014001701 Essex County |
|--|--|--|--|--|
| Structural Steel | 28.69 | | 1.35** | 1.40** |
| (*)Employees working on ob (**) To be allocated at a late | pjects with the use of swin r date. | g stage, boatswain c | hair, pick and cables o | nly will be paid at Structural Steel rate. |
| Bridge Painter See Bridge Painter rates for All Bridges and Tanks | the following work: | | | |
| SUPPLEMENTAL BENE Per hour worked | FITS | | | |
| Journeyman | \$ 10.77 | | | |
| OVERTIME PAY See (B, E2, H) on OVERTIM | /IE PAGE | | | |
| HOLIDAY Paid: Overtime: Note: If the holiday falls on | See (1) on HOLIDAY PA See (5, 6) on HOLIDAY F Sunday, it shall be observ | GE PAGE red on Monday. | | |
| REGISTERED APPRENT Wages per hour | FICES | | | |
| 1 year terms at the following | g percentage of Journeym | an's wage. | | |
| 1st year 40% | 2nd year 50% | 3rd year 60% | 4th year 80% | |
| Supplemental Benefits per h | nour worked | | | |
| All terms | \$ 10.77 | | | 1-466-Z2 |
| Painter - Bridge & Struc | ctural Steel | | | 02/01/2014 |
| JOB DESCRIPTION Pair | nter - Bridge & Structural S | Steel | | DISTRICT 9 |
| ENTIRE COUNTIES Albany, Bronx, Clinton, Colu Putnam, Queens, Renssela Westchester | umbia, Dutchess, Essex, F er, Richmond, Rockland, \$ | ranklin, Fulton, Gree Saratoga, Schenecta | ne, Hamilton, Kings, N dy, Schoharie, Suffolk | /lontgomery, Nassau, New York, Orange, , Sullivan, Ulster, Warren, Washington, |
| WAGES Per Hour Worked: | | 07/01/2013 | 10/1/2013 | 3 |
| STEEL: Bridge Painting | | \$52.13 | \$53.13 | |
| Power Tool/Spray is an add | itional \$6.00 per hour abo | ve hourly rate, wheth | er straight time or ove | rtime |
| Note: Generally, for Bridge I | Painting Contracts, ALL W Bridge Painting | ORKERS on and off | the bridge (including I | Flagmen) are to be paid Painter's Rate; the |
| SUPPLEMENTAL BENE Per Hour Worked: | FITS | | | |
| | | 07/01/2013 | 10/1/2013 | 3 |
| Journeyworker | | \$27.05* | \$27.55* | |
| Hourly Rate after 40 hours from May 1st to Nov. 15th | | \$6.75 only | \$6.75 only | |
| Hourly Rate after 50 hours from Nov. 16th to April 30th | 1 | \$6.75 only | \$6.75 only | |
| *For the period of May 1st to This rate shall be paid up to | o November 15th: maximum of forty (40) ho | urs worked per week | . For all hours exceed | ing 40, the hourly rate shall drop to the |

τy (40) hours worl g hourly rate shall be paid up to maximum of forty (40) nours worked per week. For all hours exceeding 40, the hourly rate shall drop to the hourly rate shall drop to the EXCEPT for the first and last week of employment on the project, and for the weeks of Memorial Day, Independence Day and Labor Day, this rate shall be paid for the actual number of hours worked.

*For the period of November 16th to April 30th:

This rate shall be paid up to a maximum of fifty (50) hours worked per week. For all hours exceeding 50, the hourly rate shall drop to the hourly rate shown above by date.

OVERTIME PAY

See (A, F, R) on OVERTIME PAGE

HOLIDAY Paid: Overtime:

See (1) on HOLIDAY PAGE See (4, 6) on HOLIDAY PAGE

REGISTERED APPRENTICES

(Wage per hour Worked):

Apprentices: (1) year terms

| | 07/01/2013 | 10/01/2013 |
|------------------------------------|------------|------------|
| 1st 90 days | \$20.85 | \$21.25 |
| 1st year after 90 days | \$20.85 | \$21.25 |
| 2nd year | \$31.28 | \$31.88 |
| 3rd year | \$41.70 | \$42.50 |
| Supplemental Benefits per hour wor | ked: | |
| | 07/01/2013 | 10/01/2013 |
| 1st 90 days | \$ 8.45 | \$ 8.65 |
| 1st year after 90 days | \$ 8.70 | \$ 8.90 |
| 2nd year | \$19.15 | \$19.45 |
| 3rd year | \$23.10 | \$23.50 |

Painter - Line Striping

JOB DESCRIPTION Painter - Line Striping

ENTIRE COUNTIES

Albany, Bronx, Clinton, Columbia, Dutchess, Essex, Franklin, Fulton, Greene, Hamilton, Kings, Montgomery, Nassau, New York, Orange, Putnam, Queens, Rensselaer, Richmond, Rockland, Saratoga, Schenectady, Schoharie, Suffolk, Sullivan, Ulster, Warren, Washington, Westchester

WAGES

Per hour:

| Painter (Striping-Highway): | 07/01/2013 |
|-----------------------------|------------|
| Striping-Machine Operator* | \$ 27.11 |
| Linerman Thermoplastic | \$ 32.37 |

Note: * Includes but is not limited to: Positioning of cones and directing of traffic using hand held devices. Excludes the Driver/Operator of equipment used in the maintenance and protection of traffic safety

Four (4), ten (10) hour days may be worked at straight time during a week.

NOTE - In order to use the '4 Day/10 Hour Work Schedule,' you must submit an 'Employer Registration for Use of 4 Day/10 Hour Work Schedule,' form PW30R; additionally, there must be a dispensation of hours in place on the project.

| SUPPLEMENTAL BENE Per hour paid: Journeyworker: | FITS 07/01/2013 |
|---|---|
| Striping-Machine operator Linerman Thermoplastic | \$ 14.18 \$ 14.55 |
| OVERTIME PAY See (B, E, E2, S) on OVER | TIME PAGE |
| HOLIDAY Paid: Overtime: | See (5, 20) on HOLIDAY PAGE See (5, 8, 11, 12, 15, 16, 17, 20, 21, 22) on HOLIDAY PAGE |

Painter - Metal Polisher

DISTRICT 9

9-DC-9/806/155-BrSS

02/01/2014

Albany, Allegany, Bronx, Broome, Cattaraugus, Cayuga, Chautauqua, Chemung, Chenango, Clinton, Columbia, Cortland, Delaware, Dutchess, Erie, Essex, Franklin, Fulton, Genesee, Greene, Hamilton, Herkimer, Jefferson, Kings, Lewis, Livingston, Madison, Monroe, Montgomery, Nassau, New York, Niagara, Oneida, Onondaga, Ontario, Orange, Orleans, Oswego, Otsego, Putnam, Queens, Rensselaer, Richmond, Rockland, Saratoga, Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Suffolk, Sullivan, Tioga, Tompkins, Ulster, Warren, Washington, Wayne, Westchester, Wyoming, Yates

WAGES

| | 07/01/2013 |
|-------------------|------------|
| Metal Polisher | \$ 27.15 |
| Metal Polisher** | \$ 28.24 |
| Metal Poilsher*** | \$ 30.65 |

Note: Applies on New Construction & complete renovation * Note: Applies when working on scaffolds over 34 feet.

| SUPPLEMENTAL BENEFITS | |
|-----------------------|------------|
| Per Hour: | 07/01/2013 |
| Journeyworker: | |
| All classification | \$ 13.61 |

OVERTIME PAY

See (B, E, E2, P, T) on OVERTIME PAGE

HOLIDAY Overtime:

Paid:

See (5, 6, 11, 15, 16, 25, 26) on HOLIDAY PAGE See (5, 6, 9, 11, 15, 16, 25, 26) on HOLIDAY PAGE

REGISTERED APPRENTICES

Wages per hour: One (1) year term at the following wage rates:

| | 1st | 2nd | 3rd |
|----------------------------------|----------|---------|---------|
| | \$11.00 | \$12.50 | \$15.50 |
| Supplentals be Per hour paid: | enefits: | | |

| 1st | 2nd | 3rd |
|---------|---------|---------|
| \$ 9.94 | \$10.31 | \$10.51 |

Plumber

JOB DESCRIPTION Plumber

ENTIRE COUNTIES Essex, Franklin

PARTIAL COUNTIES

Hamilton: The Townships of Long Lake and Indian Lake

WAGES Per hour

07/01/2013

\$ 32.93

Plumber & Steamfitter

**To be allocated at a later date

SUPPLEMENTAL BENEFITS

Per hour worked

Journeyman \$15.45 + 9.10*

* This portion of the benefit is subject to the SAME PREMIUM as shown for overtime.

OVERTIME PAY

See (B, E, Q) on OVERTIME PAGE

HOLIDAY Paid: Overtime:

See (1) on HOLIDAY PAGE See (5, 6, 16, 23) on HOLIDAY PAGE 9-8A/28A-MP 02/01/2014

DISTRICT 1

Note: Whenever a Holiday falls on a Saturday, the preceding day, Friday, shall be observed as the Holiday. If a Holiday falls on a Sunday, the following day, Monday shall be observed as the Holiday.

REGISTERED APPRENTICES

Wages per hour

One year terms at the following percentage of Journeyman's wage

| 1st yr | 50% |
|--------|-----|
| 2nd yr | 60% |
| 3rd yr | 70% |
| 4th yr | 80% |
| 5th yr | 90% |

Supplemental Benefits per hour worked

| 1st yr | \$ 13.88 + 4.55* | |
|-------------|---|--|
| 2nd yr | 14.19 + 5.46* | |
| 3rd yr | 14.51 + 6.37* | |
| 4th yr | 14.82 + 7.28* | |
| 5th yr | 15.14 + 8.19* | |
| * This port | ortion of the benefit is subject to the SAME PREMIUM as shown for overtime. | |

Roofer

JOB DESCRIPTION Roofer

ENTIRE COUNTIES

Albany, Clinton, Columbia, Essex, Fulton, Greene, Hamilton, Montgomery, Rensselaer, Saratoga, Schenectady, Warren, Washington WAGES

| **^ | GLO | |
|-----|------|--|
| Per | hour | |

| | 07/01/2013 |
|---------------------|------------|
| Roofer/Waterproofer | \$ 27.45 |
| Pitch & Asbestos | 29.45 |

SUPPLEMENTAL BENEFITS

Per hour worked

Journeyman \$14.52

OVERTIME PAY

See (B, E*, Q) on OVERTIME PAGE. * Saturday may be used as a make up day at straight time if employee misses 8 hrs or more during that week due to inclement weather.

HOLIDAY

| Paid: | See (1) on HOLIDAY PAGE |
|------------------|--|
| Overtime: | See (5, 6) on HOLIDAY PAGE |
| Noto: Whon any I | Holiday falls on Saturday, the Eriday before s |

Note: When any Holiday falls on Saturday, the Friday before such Holiday shall be recognized as the legal Holiday. When a Holiday falls on Sunday, it shall be observed the following Monday.

REGISTERED APPRENTICES

Wages per hour

(1/2) year terms at the following per cent of the Roofer/Waterproofer rate. For Pitch & Asbestos work, an additional \$2.00 must be paid in wages.

| 1st yr 1st half | 50% |
|-----------------|-----|
| 1st yr 2nd half | 58% |
| 2nd yr 1st half | 66% |
| 2nd yr 2nd half | 74% |
| 3rd yr 1st half | 82% |
| 3rd yr 2nd half | 90% |

Supplemental Benefits per hour worked

1st yr 1st half \$12.75

DISTRICT 1

1-773-SF

02/01/2014

DISTRICT 1

1-241

02/01/2014

| 12.94 | |
|-------|---|
| 13.17 | |
| 13.37 | |
| 13.65 | |
| 13.85 | |
| | 12.94 13.17 13.37 13.65 13.85 |

Sheetmetal Worker

JOB DESCRIPTION Sheetmetal Worker

ENTIRE COUNTIES

Albany, Clinton, Columbia, Essex, Franklin, Fulton, Greene, Hamilton, Montgomery, Rensselaer, Saratoga, Schenectady, Schoharie, Warren, Washington

WAGES

| Per hour | 07/01/2013 | 06/01/2014 Additional | 06/01/2015 Additional |
|---|--------------------|--------------------------|--------------------------|
| Sheetmetal Worker | \$ 31.39 | \$ 2.10* | \$ 2.15* |
| (*) To be allocated at a later date. SUPPLEMENTAL BENEFITS Per hour worked | | | |
| Journeyman | \$ 23.58 +3% of | | |

OVERTIME PAY

See (B,E*,Q,) on OVERTIME PAGE

* Time and one half 1st 8 hours on Saturday. Double the hourly rate all additional Saturday hours.

wage

HOLIDAY

| Paid: | See (1) on HOLIDAY PAGE |
|-----------|----------------------------|
| Overtime: | See (5, 6) on HOLIDAY PAGE |

When any holiday falls on Saturday, the Friday before such holiday shall be recognized as the legal holiday. Any holiday falling on Sunday, the following Monday shall be recognized as the legal holiday.

REGISTERED APPRENTICES

Wages per hour

6 Month Terms at the following rate:

| 1st term | \$ 16.40 |
|-----------|----------|
| 2nd term | 17.77 |
| 3rd term | 18.46 |
| 4th term | 19.15 |
| 5th term | 19.31 |
| 6th term | 20.26 |
| 7th term | 21.85 |
| 8th term | 23.44 |
| 9th term | 25.03 |
| 10th term | 26.62 |
| | |

Supplemental Benefits per hour worked

| 1st term | \$ 14.77* |
|--------------------------------|-----------|
| 2nd term | 15.18* |
| 3rd term | 15.39* |
| 4th term | 15.59* |
| 5th term | 19.70* |
| 6th term | 20.00* |
| 7th term | 20.51* |
| 8th term | 21.03* |
| 9th term | 21.54* |
| 10th term | 22.05* |
| (*) Plus additional 3% of wage | |

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DISTRICT 1

02/01/2014

JOB DESCRIPTION Sprinkler Fitter

ENTIRE COUNTIES

Albany, Allegany, Broome, Cattaraugus, Cayuga, Chautauqua, Chemung, Chenango, Clinton, Columbia, Cortland, Delaware, Erie, Essex, Franklin, Fulton, Genesee, Greene, Hamilton, Herkimer, Jefferson, Lewis, Livingston, Madison, Monroe, Montgomery, Niagara, Oneida, Onondaga, Ontario, Orleans, Oswego, Otsego, Rensselaer, Saratoga, Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Tioga, Tompkins, Warren, Washington, Wayne, Wyoming, Yates

WAGES

| Per hour | 07/01/2013 |
|-----------|------------|
| Sprinkler | \$ 29.83 |
| Fitter | |

SUPPLEMENTAL BENEFITS

Per hour worked

Journeyman \$20.52

OVERTIME PAY See (B, E, Q) on OVERTIME PAGE

HOLIDAY

Paid: See (1) on HOLIDAY PAGE Overtime: See (5, 6) on HOLIDAY PAGE

Note: When a holiday falls on Sunday, the following Monday shall be considered a holiday and all work performed on either day shall be at the double time rate. When a holiday falls on Saturday, the preceding Friday shall be considered a holiday and all work performed on either day shall be at the double time rate.

REGISTERED APPRENTICES

Wages per hour

For Apprentices HIRED PRIOR TO 04/01/2010:

One Half Year terms at the following wage

| 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th |
|---------------|-----------------|---------------|------------|----------|----------|----------|----------|----------|----------|
| \$ 15.08 | \$ 15.08 | \$ 16.26 | \$ 17.77 | \$ 19.28 | \$ 20.79 | \$ 22.29 | \$ 23.80 | \$ 25.31 | \$ 26.82 |
| Supplementa | I Benefits per | hour worked | | | | | | | |
| | | 07/01/2013 | | | | | | | |
| 1st & 2nd Te | rms | \$ 8.60 | | | | | | | |
| 3rd Term | | 14.87 | | | | | | | |
| 4th Term | | 14.91 | | | | | | | |
| 5th Term | | 20.21 | | | | | | | |
| 6th Term | | 20.25 | | | | | | | |
| 7th Term | | 20.30 | | | | | | | |
| 8th Term | | 20.34 | | | | | | | |
| 9th Term | | 20.39 | | | | | | | |
| 10th Term | | 20.43 | | | | | | | |
| For Apprentic | es HIRED ON | I OR AFTER (| 4/01/2010: | | | | | | |
| One Half Yea | ar terms at the | following wag | е | | | | | | |
| 1st | 2nd | 3rd | 4th | 5th | 6th | 7th | 8th | 9th | 10th |
| \$ 13.57 | \$ 15.08 | \$ 16.26 | \$ 17.77 | \$ 19.28 | \$ 20.79 | \$ 22.29 | \$ 23.80 | \$ 25.31 | \$ 26.82 |
| Supplementa | I Benefits per | hour worked | | | | | | | |
| | | 07/01/2013 | | | | | | | |
| 1st Term | | \$ 8.56 | | | | | | | |
| 2nd Term | | 8.60 | | | | | | | |
| 3rd Term | | 14.87 | | | | | | | |
| 4th Term | | 14.91 | | | | | | | |
| 5th Term | | 15.46 | | | | | | | |
| 6th Term | | 15.50 | | | | | | | |
| 7th Term | | 15.55 | | | | | | | |
| 8th Term | | 15.59 | | | | | | | |
| 9th Term | | 15.64 | | | | | | | |
| 10th Term | | 15.68 | | | | | | | |

Teamster - Building

JOB DESCRIPTION Teamster - Building

ENTIRE COUNTIES

Clinton, Essex, Franklin, Jefferson, St. Lawrence

PARTIAL COUNTIES

Lewis: Only the Townships of Croghan, Denmark, Diana, New Bremen, Harrisburg, Montague, Osceola and Pinckney. Oswego: Only the Towns of Boylston, Redfield, and Sandy Creek. Warren: Only the Townships of Hague, Horicon, Chester and Johnsburg.

WAGES

GROUP # 1: Fuel Trucks, Fork Lift (Warehouse & Storage Area Only), Bus, Warehouse, Yardman, Truck Helper, Pickups, Panel Truck, Flatbody Material Trucks (straight Jobs), Single axle Dump Trucks, Dumpsters, Material Checkers & Receivers, Greasers, Tiremen, Mechanic Helpers and Parts Chasers.

GROUP # 2: Tandems, Mechanics & Batch Trucks.

GROUP # 3: Semi Trailers, Low Boys, Asphalt Distributor Trucks, and Agitator Mixer Truck, Dump Crete Type Vehicles and 3 axle Dump trucks.

GROUP # 4: Asbestos Removal, Special earth moving Euclid type or similar off highway equip.(non self load.) Articulated and all-track dump trucks.

Wages per hour

| 0/01/2014 |
|------------|
| Additional |
| \$ 1.80 |
| 1.80 |
| 1.80 |
| 1.80 |
| |

SUPPLEMENTAL BENEFITS

Per hour worked:

07/01/2013 \$ 20.81

All groups

OVERTIME PAY See (B, E, Q) on OVERTIME PAGE

| Paid: | See (1) on HOLIDAY PAGE |
|-----------|----------------------------|
| Overtime: | See (5, 6) on HOLIDAY PAGE |

Teamster - Heavy&Highway

JOB DESCRIPTION Teamster - Heavy&Highway

ENTIRE COUNTIES

Clinton, Essex, Franklin, Jefferson, St. Lawrence

PARTIAL COUNTIES

Lewis: Only the Townships of Croghan, Denmark, Diana, New Bremen, Harrisburg, Montague, Osceola and Pinckney. Oswego: Only the Towns of Boylston, Redfield, and Sandy Creek. Warren: Only the Townships of Hague, Horicon, Chester and Johnsburg.

WAGES

GROUP 1: Warehousemen, Yardmen, Truck Helpers, Pickups, Panel Trucks, Flatboy Material Trucks(straight jobs), Single Axle Dump Trucks, Dumpsters, Material Checkers and Receivers, Greasers, Truck Tiremen, Mechanics Helpers and Parts Chasers. Fork Lift (storage & warehouse areas only) Tandems and Batch Trucks, Mechanics, Dispatcher. Semi-Trailers, Low-boy Trucks, Asphalt Distributor Trucks, and Agitator, Mixer Trucks and dumpcrete type vehicles, Truck Mechanic, Fuel Truck.

GROUP 2: Specialized Earth Moving Equipment, Euclid type, or similar off-highway where not self-loading, Straddle (Ross) Carrier, and self-contained concrete mobile truck. Off-highway Tandem Back-Dump, Twin Engine Equipment and Double-Hitched Equipment where not self-loading.

| 07/01/2013 | 06/01/2014 |
|------------|---------------------------------|
| | Additional |
| \$ 22.40 | \$ 1.70 |
| 22.62 | 1.70 |
| | 07/01/2013 \$ 22.40 22.62 |

02/01/2014

DISTRICT 7

7-687B

02/01/2014

DISTRICT 7

Additional \$1.50 per hr for hazardous waste removal work on a City, County, and/or Federal Designated waste site and regulations require employee to use or wear respiratory protection. For work bid on or after April 1, 1982 there shall be a 12 month carryover of the negotiated rate in effect at the time of the bid.

SUPPLEMENTAL BENEFITS

Per hour worked:

07/01/2013

All classes

\$ 22.39

OVERTIME PAY

See (B, E, Q) on OVERTIME PAGE

HOLIDAY

| Paid: | See (5, 6) on HOLIDAY PAGE |
|-----------|----------------------------|
| Overtime: | See (5, 6) on HOLIDAY PAGE |

Welder

7-687

02/01/2014

JOB DESCRIPTION Welder

DISTRICT 1

ENTIRE COUNTIES

Albany, Allegany, Bronx, Broome, Cattaraugus, Cayuga, Chautauqua, Chemung, Chenango, Clinton, Columbia, Cortland, Delaware, Dutchess, Erie, Essex, Franklin, Fulton, Genesee, Greene, Hamilton, Herkimer, Jefferson, Kings, Lewis, Livingston, Madison, Monroe, Montgomery, Nassau, New York, Niagara, Oneida, Onondaga, Ontario, Orange, Orleans, Oswego, Otsego, Putnam, Queens, Rensselaer, Richmond, Rockland, Saratoga, Schenectady, Schoharie, Schuyler, Seneca, St. Lawrence, Steuben, Suffolk, Sullivan, Tioga, Tompkins, Ulster, Warren, Washington, Wayne, Westchester, Wyoming, Yates

WAGES

Per hour

Welder (To be paid the same rate of the mechanic performing the work)

07/01/2013

OVERTIME PAY

HOLIDAY

1-As Per Trade

Overtime Codes

Following is an explanation of the code(s) listed in the OVERTIME section of each classification contained in the attached schedule. Additional requirements may also be listed in the HOLIDAY section.

- (B1) Time and one half of the hourly rate for the 9th & 10th hours week days and the 1st 8 hours on Saturday. Double the hourly rate for all additional hours
- (A) Time and one half of the hourly rate after 7 hours per day
- (AA) Time and one half of the hourly rate after 7 and one half hours per day
- (E3) Between November 1st and March 3rd Saturday may be used as a make-up day at straight time when a day is lost during that week due to inclement weather, provided a given employee has worked between 16 and 32 hours that week
- (B) Time and one half of the hourly rate after 8 hours per day
- (S1) Two and one half times the hourly rate the first 8 hours on Sunday or Holidays One and one half times the hourly rate all additional hours.
- (E5) Double time after 8 hours on Saturdays
- (B2) Time and one half of the hourly rate after 40 hours per week
- (C) Double the hourly rate after 7 hours per day
- (C1) Double the hourly rate after 7 and one half hours per day
- (D) Double the hourly rate after 8 hours per day
- (D1) Double the hourly rate after 9 hours per day
- (E) Time and one half of the hourly rate on Saturday
- (E1) Time and one half 1st 4 hours on Saturday Double the hourly rate all additional Saturday hours
- (E2) Saturday may be used as a make-up day at straight time when a day is lost during that week due to inclement weather
- (E4) Saturday and Sunday may be used as a make-up day at straight time when a day is lost during that week due to inclement weather
- (F) Time and one half of the hourly rate on Saturday and Sunday
- (G) Time and one half of the hourly rate on Saturday and Holidays
- (H) Time and one half of the hourly rate on Saturday, Sunday, and Holidays
- (I) Time and one half of the hourly rate on Sunday
- (J) Time and one half of the hourly rate on Sunday and Holidays
- (K) Time and one half of the hourly rate on Holidays
- (L) Double the hourly rate on Saturday
- (M) Double the hourly rate on Saturday and Sunday
- (N) Double the hourly rate on Saturday and Holidays
- (O) Double the hourly rate on Saturday, Sunday, and Holidays
- (P) Double the hourly rate on Sunday
- (Q) Double the hourly rate on Sunday and Holidays
- (R) Double the hourly rate on Holidays
- (S) Two and one half times the hourly rate for Holidays, if worked

- (T) Triple the hourly rate for Holidays, if worked
- (U) Four times the hourly rate for Holidays, if worked
- (V) Including benefits at SAME PREMIUM as shown for overtime
- (W) Time and one half for benefits on all overtime hours.

NOTE:BENEFITS are PER HOUR WORKED, for each hour worked, unless otherwise noted

Holiday Codes

PAID Holidays:

Paid Holidays are days for which an eligible employee receives a regular day's pay, but is not required to perform work. If an employee works on a day listed as a paid holiday, this remuneration is in addition to payment of the required prevailing rate for the work actually performed.

OVERTIME Holiday Pay:

Overtime holiday pay is the premium pay that is required for work performed on specified holidays. It is only required where the employee actually performs work on such holidays. The applicable holidays are listed under HOLIDAYS: OVERTIME. The required rate of pay for these covered holidays can be found in the OVERTIME PAY section listings for each classification.

Following is an explanation of the code(s) listed in the HOLIDAY section of each classification contained in the attached schedule. The Holidays as listed below are to be paid at the wage rates at which the employee is normally classified.

- (1) None
- (2) Labor Day
- (3) Memorial Day and Labor Day
- (4) Memorial Day and July 4th
- (5) Memorial Day, July 4th, and Labor Day
- (6) New Year's, Thanksgiving, and Christmas
- (7) Lincoln's Birthday, Washington's Birthday, and Veterans Day
- (8) Good Friday
- (9) Lincoln's Birthday
- (10) Washington's Birthday
- (11) Columbus Day
- (12) Election Day
- (13) Presidential Election Day
- (14) 1/2 Day on Presidential Election Day
- (15) Veterans Day
- (16) Day after Thanksgiving
- (17) July 4th
- (18) 1/2 Day before Christmas
- (19) 1/2 Day before New Years
- (20) Thanksgiving
- (21) New Year's Day
- (22) Christmas
- (23) Day before Christmas
- (24) Day before New Year's
- (25) Presidents' Day
- (26) Martin Luther King, Jr. Day
- (27) Memorial Day

| Submitted By: Contracting Agency Architect or Engineering Fim Public Work District Office Date: A. Public Work Contract to be let by: (Enter Data Pertaining to Contracting/Public Agency) 1. Name and complete address (Check timew or change) 2. NY State Units (see Item 5) 07 City 1. Name and complete address (Check timew or change) 2. NY State Units (see Item 5) 07 City 1. Name and complete address (Check timew or change) 0. State University 0. Of Date 1. Barbonic State 0. Somitory Authority Fis. Source, Water District 1. O'Ullage 0. O'THERN Y. STATE UNIT 10 Village Construction Fund 11 Town 12 County 1. SERVICE REQUIRED. Check appropriate tox and provide project 10 Village 1. Additional Occupation and/or Restetermination Name and complete address. 0 Frice USE ONLY 1. Service REQUIRED. Check appropriate tox and provide project Additional Occupation and/or Restetermination 0 Frice USE ONLY 1. Reproduct Title Exact 0 Contract Moderess 0 Frice USE ONLY 1. Beproduct To Check One: 0. Coastinuction of Project 0 Coastinuction (Building, Heavy 0 Guards, Watchmen 1. Additional Deck Strine Reconstructio | New York State Department of Labor - Bureau of Public Work State Office Building Campus Building 12 - Room 130 Albany, New York 12240REQUEST FOR WAGE AND SUPPLEMENT INFORMATION As Required by Articles 8 and 9 of the NYS Labor LawFax (518) 485-1870 or mail this form for new schedules or for determination for additional occupations.This Form Must Be Typed | | | | | | | | |
|--|--|---|--|--|--|--|--|--|--|
| A. Public Work Contract to be let by: (Enter Data Pertaining to Contracting/Public Agency) 1. Name and complete address (Check if new or change) 2. NY State Units (see item 5) 07 City 0 0 Special Local School District 09 Special Local District 1.e., 0 2 OCS 09 Special Local District 1.e., 0 3 Dernitory Authority 11 Town 0 4 State University 10 Village Construction Fund 11 Town 0 5 Mental Hygiene 12 County Facilities Corp. 13 Other Non-N.Y. State 0 6 OTHER N.Y. STATE UNIT (Deasorbe) 3 SEND REPLY TO dheck if new or change) Name and complete address: 4. SERVICE REQUIRED. Check appropriate box and provide project Information. PRO NUMBER ISSUED PREVIOUSLY FOR OFFICE USE ONLY E-Mail: Exercice 0. Additional Occupation andror Redetermination Telephone:(| Submitted By: (Check Only One) Contracting Agency Architect or Engineering I | Firm Public Work District Office Date: | | | | | | | |
| 1. Name and complete address 1. Uneck in new or change) 2. NY State Units (see item 5) 0 or Cky 1. Name and complete address 0. Domitory Autority 0. Bickal School District. 1. Out Domitory Autority 0. Price, Stever, Water District. 0. 00 Special Local School District. 1. Out Domitory Autority 0. Price, Stever, Water District. 0. 00 Special Local School District. 1. Out Domitory Autority 1. Town 1. On the school District. 0. 00 Special Local School District. 1. Out Domitory Autority 1. Town 1. District. 1. District. 1. District. 2. Additional Complete address: 1. Check if new or change) 1. Service REQUIRED. Check appropriate box and provide project 1. Name and complete address: Exervice REQUIRED. Check appropriate box and provide project 1. New Schedue of Wages and Supplements. 2. PROJECT PARTICULARS E. PROJECT PARTICULARS 6. Location of Project: Contract Identification Number 3. Sheav, and Highnay Construction (New and Repair) 4. SERVICE PROVINCENT FOR PROJECT 1 Gaurds, Watchmen Highway/Sever/Water) Gaurds, Watchmen Highway/Sever/Water) 2. Additional Docastruction (Explain) 6. Outer Reconstruction, Real Repair) 6. Outer Reconstruction, Real Repair) Gaurds, Watchmen Highway/Sever/Water) 3 | A. Public Work Contract to be let by: (Enter Data Pertaining to C | Contracting/Public Agency) | | | | | | | |
| 3. SEND REPLY TO | Telephone: () Fax: () | 2. NY State Units (see Item 5) 07 City 01 DOT 08 Local School District 02 OGS 09 Special Local District, i.e., Fire, Sewer, Water District 03 Dormitory Authority 10 Village 04 State University 11 Town 05 Mental Hygiene 12 County Facilities Corp. 13 Other Non-N.Y. State 06 OTHER N.Y. STATE UNIT (Describe) | | | | | | | |
| E-Mail: Image: Construction of Project PARTICULARS 5. Project Title | 3. SEND REPLY TO □ check if new or change) Name and complete address: Telephone:() Fax: () | SERVICE REQUIRED. Check appropriate box and provide project information. New Schedule of Wages and Supplements. APPROXIMATE BID DATE : Additional Occupation and/or Redetermination PRC NUMBER ISSUED PREVIOUSLY FOR OFFICE USE ONLY THIS PROJECT : | | | | | | | |
| 5. Project Title | E-Mail: B. PROJECT PARTICULARS | | | | | | | | |
| 7. Nature of Project - Check One: 1. New Building 1. New Building 2. Addition to Existing Structure 3. Heavy and Highway Construction (New and Repair) Construction (Building, Heavy Highway/Sewer/Water) 4. New Sewer or Waterline District Construction (Explain) 6. Other Reconstruction, Maintenance, Repair or Alteration Residential 7. Demolition Trash and refuse removal 8. Building Service Contract Fire Safety Director, NYC Only 9. Has this project been reviewed for compliance with the Wicks Law involving separate bidding? YES 10.Name and Title of Requester Signature | 5. Project Title Description of Work Contract Identification Number Note: For NYS units, the OSC Contract No. | 6. Location of Project: Location on Site Route No/Street Address Village or City Town County | | | | | | | |
| 10. Name and Title of Requester Signature | 7. Nature of Project - Check One: 1. New Building 2. Addition to Existing Structure 3. Heavy and Highway Construction (New and Repair) 4. New Sewer or Waterline 5. Other New Construction (Explain) 6. Other Reconstruction, Maintenance, Repair or Alteration 7. Demolition 8. Building Service Contract 9. Has this project been reviewed for compliance with the Wick | 8. OCCUPATION FOR PROJECT : Construction (Building, Heavy Highway/Sewer/Water) Tunnel Residential Landscape Maintenance Elevator maintenance Exterminators, Fumigators Fire Safety Director, NYC Only YES NO | | | | | | | |
| | 10. Name and Title of Requester | Signature | | | | | | | |



NEW YORK STATE DEPARTMENT OF LABOR Bureau of Public Work - Debarment List

LIST OF EMPLOYERS INELIGIBLE TO BID ON OR BE AWARDED ANY PUBLIC WORK CONTRACT

Under Article 8 and Article 9 of the NYS Labor Law, a contractor, sub-contractor and/or its successor shall be debarred and ineligible to submit a bid on or be awarded any public work or public building service contract/sub-contract with the state, any municipal corporation or public body for a period of five (5) years from the date of debarment when:

- Two (2) final determinations have been rendered within any consecutive six-year
 (6) period determining that such contractor, sub-contractor and/or its successor has
 WILLFULLY failed to pay the prevailing wage and/or supplements
- One (1) final determination involves falsification of payroll records or the kickback of wages and/or supplements

NOTE: The agency issuing the determination and providing the information, is denoted under the heading 'Fiscal Officer'. DOL = NYS Dept. of Labor; NYC = New York City Comptroller's Office; AG = NYS Attorney General's Office; DA = County District Attorney's Office.

A list of those barred from bidding, or being awarded, any public work contract or subcontract with the State, under section 141-b of the Workers' Compensation Law, may be obtained at the following link, on the NYS DOL Website:

https://dbr.labor.state.ny.us/EDList/searchPage.do

| AGENCY | Fiscal Officer | FEIN | EMPLOYER NAME | EMPLOYER DBA NAME | ADDRESS | DEBARMENT START DATE | DEBARMENT END DATE |
|--------|----------------|-----------|-------------------------------------|----------------------|---|-------------------------|-----------------------|
| DOL | DOL | | 4618 FOSTER AVE LLC | | C/O KAHAN & KAHAN 225 BROADWAY-SUITE 715NEW YORK NY 10007 | 02/05/2013 | 02/05/2018 |
| DOL | NYC | | A & T IRON WORKS INC | | 25 CLIFF STREET NEW ROCHELLE NY 10801 | 12/21/2009 | 12/21/2014 |
| DOL | DOL | *****0711 | A ULIANO & SON LTD | | 22 GRIFFEN COURT MILLER PLACE NY 11746 | 10/26/2010 | 10/26/2015 |
| DOL | DOL | | A ULIANO CONSTRUCTION | | 22 GRIFFEN COURT MILLER PLACE NY 11746 | 10/26/2010 | 10/26/2015 |
| DOL | NYC | *****5804 | AAR/CO ELECTRIC INC | | 5902 AVENUE N BROOKLYN NY 11234 | 03/20/2009 | 03/20/2014 |
| DOL | NYC | *****4486 | ABBEY PAINTING CORP | | 21107 28TH AVENUE BAYSIDE NY 11360 | 07/02/2012 | 07/02/2017 |
| DOL | DOL | *****9095 | ABDO TILE CO | | 6179 EAST MOLLOY ROAD EAST SYRACUSE NY 13057 | 06/25/2010 | 07/02/2017 |
| DOL | DOL | *****9095 | ABDO TILE COMPANY | | 6179 EAST MOLLOY ROAD EAST SYRACUSE NY 13057 | 06/25/2010 | 07/02/2017 |
| DOL | DOL | *****8488 | ABELCRAFT OF NEW YORK CORP | | 640 ASHFORD AVENUE ARDSLEY NY 10502 | 08/27/2013 | 08/27/2018 |
| DOL | DOL | *****1219 | ABSOLUTE GENERAL CONTRACTING INC | | 1229 AVENUE U BROOKLYN NY 11229 | 01/28/2013 | 01/28/2018 |
| DOL | DOL | *****4539 | ACCOMPLISHED WALL SYSTEMS INC | | 112 OSCAWANA HEIGHTS ROAD PUTNAM VALLEY NY 10542 | 08/27/2013 | 08/27/2018 |
| DOL | DOL | | ADAM A CEMERYS | | 2718 CURRY ROAD SCHENECTADY NY 12303 | 07/08/2010 | 07/08/2015 |
| DOL | DOL | ****7584 | ADAM'S FLOOR COVERING LLC | | 2718 CURRY ROAD SCHENECTADY NY 12303 | 07/08/2010 | 02/15/2017 |
| DOL | DOL | | ADESUWA UWUIGBE | | P O BOX 21-1022 BROOKLYN NY 11221 | 05/16/2012 | 05/16/2017 |
| DOL | DOL | | AFFORDABLE PAINTING PLUS | | 367 GREEVES ROAD NEW HAMPTON NY 10958 | 10/01/2010 | 10/01/2015 |
| DOL | DOL | *****2538 | AGG MASONRY INC | | 160 72ND ST - SUITE 721 BROOKLYN NY 11209 | 03/19/2013 | 03/19/2018 |
| DOL | DOL | | ALBERT CASEY | | 43-28 54TH STREET WOODSIDE NY 11377 | 07/01/2011 | 07/01/2016 |
| DOL | DOL | | ALEJANDRO MATOS | | C/O SEVEN STAR ELECTRICAL 23-24 STEINWAY STREETASTORIA NY 11105 | 06/27/2011 | 06/27/2016 |
| DOL | DOL | | ALISHER KARIMOV | | C/O AGG MASONRY INC 7105 3RD AVENUEBROOKLYN NY 11209 | 03/19/2013 | 03/19/2018 |
| DOL | DOL | *****8740 | ALLSTATE ENVIRONMENTAL CORP | | C/O JOSE MONTAS 27 BUTLER PLACEYONKERS NY 10710 | 03/18/2011 | 03/15/2017 |
| DOL | DOL | *****8534 | ALPHA INTERIORS INC | | 513 ACORN STREET/ SUITE C DEER PARK NY 11729 | 05/27/2010 | 05/27/2015 |
| DOL | DOL | *****4274 | AMERICAN STEEL MECHANICAL INC | | 693 PAINTER STREET MEDIA PA 19063 | 02/20/2013 | 02/20/2018 |
| DOL | NYC | | ANDERSON LOPEZ | | 670 SOUTHERN BLVD BRONX NY 10455 | 06/14/2011 | 06/14/2016 |
| DOL | DOL | | ANDREW DIPAUL | | C/O CONSOLIDATED INDUSTRI 2051 ROUTE 44/55MODENA NY 12548 | 12/11/2012 | 12/11/2017 |
| DOL | NYC | | ANDRZEJ WROBEL | | 24 CONGRESS LANE SOUTH RIVER NJ 08882 | 05/01/2013 | 05/01/2018 |
| DOL | AG | | ANTHONY BRANCA | | 700 SUMMER STREET STAMFORD CT | 11/24/2009 | 11/24/2014 |
| DOL | DA | | ANTHONY CARDINALE | | 58-48 59TH STREET MASPETH NY 11378 | 05/16/2012 | 05/16/2017 |
| DOL | DOL | | ANTHONY POSELLA | | 30 GLEN HOLLOW ROCHESTER NY 14622 | 10/19/2009 | 10/19/2014 |
| DOL | DOL | | ANTHONY TAORMINA | | 215 MCCORMICK DRIVE BOHEMIA NY 11716 | 05/20/2009 | 05/20/2014 |
| DOL | DOL | | ANTHONY ULIANO | | 22 GRIFFEN COURT MILLER PLACE NY 11746 | 10/26/2010 | 10/26/2015 |
| DOL | DOL | *****3020 | APCO CONTRACTING CORP | | 24 SOUTH MARYLAND AVENUE PORT WASHINGTON NY 11050 | 09/24/2012 | 09/24/2017 |
| DOL | AG | *****0194 | APPLIED CONSTRUCTION INC | | 46 RUGBY ROAD WESTBURY NY 11590 | 11/20/2013 | 11/20/2018 |
| DOL | DOL | *****8688 | ARC MECHANICAL CORP | | 215 MCCORMICK DRIVE BOHEMIA NY 11716 | 05/20/2009 | 05/20/2014 |

| DOL | NYC | | ARIE BAR | | 5902 AVENUE N BROOKLYN NY 11234 | 03/20/2009 | 03/20/2014 |
|-----|-----|-----------|---------------------------------------|--------------------------------|--|------------|------------|
| DOL | DOL | ****9336 | ARTIERI SPECIALTIES LLC | SWITZER SALES | 107 STEVENS STREET LOCKPORT NY 14094 | 11/04/2009 | 11/04/2014 |
| DOL | DOL | *****3953 | ASCPAPE LANDSCAPE & CONSTRUCTION CORP | | 634 ROUTE 303 BLAUVELT NY 10913 | 07/26/2012 | 11/19/2018 |
| DOL | DOL | *****2534 | B & B CONCRETE CONTRACTORS INC | | 55 OLD TURNPIKE ROAD SUITE 612NANUET NY 10954 | 02/04/2011 | 02/04/2016 |
| DOL | NYC | | BASIL ROMEO | | 243-03 137TH AVENUE ROSEDALE NY 11422 | 03/25/2010 | 03/25/2015 |
| DOL | DOL | *****2294 | BEDELL CONTRACTING CORP | | 2 TINA LANE HOPEWELL JUNCTION NY 12533 | 01/06/2012 | 01/06/2017 |
| DOL | DOL | | BENNY VIGLIOTTI | | C/O LUVIN CONSTRUCTION CO P O BOX 357CARLE PLACE NY 11514 | 03/15/2010 | 03/15/2015 |
| DOL | DOL | *****6999 | BEST ROOFING OF NEW JERSEY LLC | | 30 MIDLAND AVENUE WALLINGTON NJ 07057 | 11/05/2010 | 11/05/2015 |
| DOL | DOL | | BEVERLY F WILLIAMS | | 1238 PRESIDENT STREET BROOKLYN NY 11225 | 11/18/2013 | 11/18/2018 |
| DOL | DOL | | BIAGIO CANTISANI | | 200 FERRIS AVENUE WHITE PLAINS NY 10603 | 12/04/2009 | 05/04/2017 |
| DOL | NYC | ****8377 | BOSPHORUS CONSTRUCTION CORPORATION | | 3817 KINGS HIGHWAY-STE 1D BROOKLYN NY 11234 | 06/30/2010 | 06/30/2015 |
| DOL | DOL | | BRIAN HOXIE | | 2219 VALLEY DRIVE SYRACUSE NY 13207 | 12/04/2009 | 12/04/2014 |
| DOL | DOL | ****9643 | BROOKS BROTHERS PAINTING | | 200 BUELL ROAD, SUITE C10 ROCHESTER NY 14624 | 09/24/2013 | 09/24/2018 |
| DOL | DOL | | CANTISANI & ASSOCIATES LTD | | 442 FERRIS AVENUE WHITE PLAINS NY 10603 | 12/04/2009 | 05/04/2017 |
| DOL | DOL | | CANTISANI HOLDING LLC | | 220 FERRIS AVENUE WHITE PLAINS NY 10603 | 05/04/2012 | 05/04/2017 |
| DOL | DOL | ****1143 | CARMODY BUILDING CORP | | 442 ARMONK ROAD MOUNT KISCO NY 10549 | 05/04/2012 | 05/04/2017 |
| DOL | DOL | ****3368 | CARMODY CONCRETE CORP | | 442 ARMONK ROAD MOUNT KISCO NY 10549 | 12/04/2009 | 05/04/2017 |
| DOL | DOL | | CARMODY CONTRACTING CORP | | 220 FERRIS AVENUE WHITE PLAINS NY 10603 | 05/04/2012 | 05/04/2017 |
| DOL | DOL | *****6215 | CARMODY CONTRACTING INC | | 220 FERRIS AVENUE WHITE PLAINS NY 10603 | 05/04/2012 | 05/04/2017 |
| DOL | DOL | | CARMODY ENTERPRISES LTD | | 220 FERRIS AVENUE WHITE PLAINS NY 10603 | 12/04/2009 | 05/04/2017 |
| DOL | DOL | *****3812 | CARMODY INC | | 442 ARMONK ROAD MOUNT KISCO NY 10549 | 12/04/2009 | 05/04/2017 |
| DOL | DOL | *****3812 | CARMODY INDUSTRIES INC | | 442 FERRIS AVENUE WHITE PLAINS NY 10603 | 05/04/2012 | 05/04/2017 |
| DOL | DOL | | CARMODY MAINTENANCE CORP | | 105 KISCO AVENUE MOUNT KISCO NY 10549 | 05/04/2012 | 05/04/2017 |
| DOL | DOL | *****0324 | CARMODY MASONRY CORP | | 442 ARMONK ROAD MOUNT KISKO NY 10549 | 12/04/2009 | 05/04/2017 |
| DOL | DOL | *****3812 | CARMODY"2" INC | | 220 FERRIS AVENUE WHITE PLAINS NY 10603 | 12/04/2009 | 05/04/2017 |
| DOL | DOL | *****9721 | CATENARY CONSTRUCTION CORP | | 112 HUDSON AVENUE ROCHESTER NY 14605 | 02/14/2006 | 10/20/2014 |
| DOL | DOL | *****1683 | CATONE CONSTRUCTION COMPANY INC | | 294 ALPINE ROAD ROCHESTER NY 14423 | 03/09/2012 | 03/09/2017 |
| DOL | DOL | | CATONE ENTERPRISES INC | | 225 DAKOTA STREET ROCHESTER NY 14423 | 03/09/2012 | 03/09/2017 |
| DOL | DOL | *****8530 | CAZ CONTRACTING CORP | | 37-11 35TH AVENUE LONG ISLAND CITY NY 11101 | 08/26/2013 | 08/26/2018 |
| DOL | DOL | ****7924 | CBI CONTRACTING INCORPORATED | | 2081 JACKSON AVENUE COPIAGUE NY 11726 | 06/03/2010 | 06/03/2015 |
| DOL | DOL | *****5556 | CERTIFIED INSTALLERS INC | | 113 N MAPLE AVENUE GREENSBURG PA 15601 | 02/21/2013 | 02/21/2018 |
| DOL | DOL | | CHARLES OKRASKI | | 67 WARD ROAD SALT POINT NY 12578 | 01/21/2011 | 01/21/2016 |
| DOL | DOL | | CHARLES RIBAUDO | | 513 ACORN ST - SUITE C DEER PARK NY 11729 | 05/27/2010 | 05/27/2015 |
| DOL | DOL | | CHAUCEY BROOKS | BROOKS BROTHERS PAINTING | 200 BUELL ROAD, SUITE C10 ROCHESTER NY 14624 | 09/24/2013 | 09/24/2018 |
| DOL | DOL | *****1416 | CHEROMINO CONTROL GROUP LLC | | 61 WILLET ST - SUITE 14 PASSAIC NJ 07055 | 12/03/2009 | 02/23/2017 |
| DOL | DOL | | CHRIS SAVOURY | | 44 THIELLS-MT IVY ROAD POMONA NY 10970 | 10/14/2011 | 10/14/2016 |

| DOL | DOL | | CHRIST R PAPAS | | C/O TRAC CONSTRUCTION | 02/03/2011 | 02/03/2016 |
|-----|-----|-----------|---|--------------------------------|--|------------|------------|
| | | | | | 9091 ERIE ROADANGOLA NY 14006 | | |
| DOL | DOL | | CHRISTOF PREZBYL | | 2 TINA LANE HOPEWELL JUNCTION NY 12533 | 01/06/2012 | 01/06/2017 |
| DOL | DOL | | CITY GENERAL BUILDERS INC | | 131 MELROSE STREET BROOKLYN NY 11206 | 03/02/2010 | 03/02/2015 |
| DOL | DOL | *****7086 | CITY GENERAL IRON WORKS INC | | 131 MELROSE STREET BROOKLYN NY 11206 | 03/02/2010 | 03/02/2015 |
| DOL | DOL | ****3360 | CITY LIMITS GROUP INC | | 2279 HOLLERS AVENUE BRONX NY 10475 | 01/07/2014 | 01/07/2019 |
| DOL | NYC | ****1768 | COFIRE PAVING CORPORATION | | 120-30 28TH AVENUE FLUSHING NY 11354 | 01/14/2011 | 01/14/2016 |
| DOL | NYC | *****3182 | COLORTECH INC | | 5990 58TH AVENUE MASPETH NY 11378 | 11/18/2013 | 11/18/2018 |
| DOL | DOL | *****8342 | CONKLIN PORTFOLIO LLC | | 60 COLONIAL ROAD STILLWATER NY 12170 | 02/15/2011 | 02/15/2016 |
| DOL | DOL | ****4175 | CONSOLIDATED INDUSTRIAL SERVICES INC | | 2051 ROUTE 44/55 MODENA NY 12548 | 12/11/2012 | 01/28/2018 |
| DOL | DOL | | CONSTANTINOS ZERVAS | | 37-11 35TH AVENUE LONG ISLAND CITY NY 11101 | 08/26/2013 | 08/26/2018 |
| DOL | DOL | ****5740 | CORTLAND GLASS COMPANY INC | | 336 TOMPKINS STREET CORTLAND NY 13045 | 10/21/2010 | 07/15/2016 |
| DOL | DOL | *****1804 | CUSTOM GARDEN LANDSCAPING INC | | 283 NORTH MIDDLETOWN ROAD | 09/28/2009 | 09/28/2014 |
| DOL | DOL | *****9453 | D & D MASON CONTRACTORS | | 158-11 96TH STREET | 06/25/2009 | 06/25/2014 |
| DOL | DOL | ****0810 | D & G PAINTING & | | 53 LITTLE COLLABAR ROAD | 04/19/2012 | 04/19/2017 |
| DOL | DOL | | DECORATING INC DANIEL CELLUCCI ELECTRIC | | 17 SALISBURY STREET | 06/02/2010 | 06/02/2015 |
| DOL | DOL | ****7129 | DANIEL T CELLUCCI | DANIEL CELLUCCI ELECTRIC | 17 SALISBURY STREET GRAFTON MA 01519 | 06/02/2010 | 06/02/2015 |
| DOL | DOL | | DARREN MAYDWELL | | 115 LEWIS STREET YONKERS NY 10703 | 05/12/2009 | 05/12/2014 |
| DOL | DOL | | DEAN ROBBINS III | | 212 OXFORD WAY SCHENECTADY NY 12309 | 12/11/2012 | 09/16/2018 |
| DOL | DOL | *****2311 | DELCON CONSTRUCTION CORP | | 220 WHITE PLAINS ROAD TARRYTOWN NY 10591 | 08/27/2009 | 08/27/2014 |
| DOL | DOL | *****1446 | DELTA CONTRACTING PAINTING AND DECORATING INC | | 437 SUNRISE HIGHWAY WEST BABYLON NY 11707 | 08/12/2013 | 08/12/2018 |
| DOL | DOL | *****3538 | DELTA CONTRACTING PAINTING AND DESIGN INC | | 75 MCCULLOCH DRIVE DIX HILLS NY 11746 | 10/19/2010 | 08/12/2018 |
| DOL | DOL | | DEMETRIOS KOUTSOURAS | | 530 BEECH STREET NEW HYDE PARK NY 11040 | 07/02/2012 | 07/02/2017 |
| DOL | DOL | *****9868 | DESANTIS ENTERPRISES | | 161 OSWEGO RIVER ROAD PHOENIX NY 13135 | 09/24/2013 | 11/18/2018 |
| DOL | NYC | *****8234 | DEWATERS PLUMBING AND HEATING LLC | | 30 COLUMBUS CIRCLE EASTCHESTER NY 10709 | 08/21/2012 | 08/21/2017 |
| DOL | DOL | | DIANE DEAVER | | 731 WARWICK TURNPIKE HEWITT NJ 07421 | 06/25/2012 | 12/11/2017 |
| DOL | DOL | | DONALD NOWAK | | 10 GABY LANE CHEEKTOWAGA NY 14227 | 10/15/2009 | 10/15/2014 |
| DOL | DOL | | DORIS SKODA | | C/O APCO CONTRACTING CORP 24 SOUTH MARYLAND AVENUEPORT WASHINGTON NY 11050 | 09/24/2012 | 09/24/2017 |
| DOL | DOL | | DRAGOLJUB RADOJEVIC | 61 WILLET ST - SUITE 14 | PASSAIC NJ 07055 | 12/03/2009 | 07/09/2015 |
| DOL | DOL | ****5840 | DYNA CONTRACTING INC | | 363 88TH STREET BROOKLYN NY 11209 | 11/18/2013 | 11/18/2018 |
| DOL | NYC | *****6176 | E N E L ELECTRICAL CORP | | 1107 MCDONALD AVENUE BROOKLYN NY 11230 | 07/30/2010 | 07/30/2015 |
| DOL | DOL | | EARL GALBREATH | | 640 ASHFORD AVENUE ARDSLEY NY 10502 | 08/27/2013 | 08/27/2018 |
| DOL | DOL | *****1496 | EAST COAST DRYWALL INC | | 1238 PRESIDENT STREET BROOKLYN NY 11225 | 11/18/2013 | 11/18/2018 |
| DOL | DOL | *****8011 | ECOA CLEANING CONTRACTORS INC | | P O BOX 21-1022 BROOKLYN NY 11221 | 05/16/2012 | 05/16/2017 |
| DOL | NYC | *****8074 | ECONOMY IRON WORKS INC | | 670 SOUTHERN BLVD BRONX NY 10455 | 06/14/2011 | 06/14/2016 |

| DOL | DOL | | EDWARD L GAUTHIER | | C/O IMPERIAL MASONRY | 10/03/2012 | 10/03/2017 |
|-----|-----|-----------|--|--|--|------------|------------|
| | | | | | REST 141 ARGONNE DRIVEKENMORE NY 14217 | | |
| DOL | NYC | ****6260 | EL TREBOL SPECIAL CLEANING INC | | 95-26 76TH STREET OZONE PARK NY 11416 | 10/12/2011 | 10/12/2016 |
| DOL | DOL | | ELIZABETH RAMADANI | | C/O RAMADA CONSTRUCTION 80 SAVO LOOPSTATEN ISLAND NY 10309 | 01/07/2014 | 01/07/2019 |
| DOL | DOL | | ELLEN DESANTIS | DESANTIS ENTERPRISES | 161 OSWEGO RIVER ROAD PHOENIX NY 13135 | 09/24/2013 | 11/18/2018 |
| DOL | DOL | *****0780 | EMES HEATING & PLUMBING CONTR | | 5 EMES LANE MONSEY NY 10952 | 01/20/2002 | 01/20/3002 |
| DOL | DOL | | EMPIRE CONCRETE SERVICES LLC | | 101 SULLYS TRAIL/SUITE 20 PITTSFORD NY 14534 | 11/18/2013 | 01/07/2019 |
| DOL | DOL | *****0511 | EMPIRE CONCRETE SYSTEMS LLC | | 101 SULLYS TRAIL/ SUITE 2 PITTSFORD NY 14534 | 11/18/2013 | 01/07/2019 |
| DOL | DOL | *****2353 | EMPIRE CONSTRUCTORS LLC | | 101 SULLYS TRAIL/SUITE 20 PITTSFORD NY 14534 | 11/18/2013 | 01/07/2019 |
| DOL | DOL | | EMPIRE PRECAST LLC | | 101 SULLYS TRAIL/SUITE 20 PITTSFORD NY 14534 | 11/18/2013 | 01/07/2019 |
| DOL | DOL | ****6101 | ENHANCED DATA COM INC | | 75 SHERBROOK ROAD NORTH BABYLON NY 11704 | 07/01/2010 | 07/01/2015 |
| DOL | DOL | | ERIKA BARNETT | | 253 BEACH BREEZE LANE UNIT BARVERNE NY 11692 | 02/05/2013 | 02/05/2018 |
| DOL | DOL | | ESTEVES & FRAGA CONSTRUCTION CO INC | | 986 MADISON AVENUE PATERSON NJ 07501 | 01/03/2013 | 01/03/2018 |
| DOL | DOL | | ESTEVES & FRAGA INC | | 986 MADISON AVENUE PATERSON NJ 07501 | 01/03/2013 | 01/03/2018 |
| DOL | DOL | | EVELIO ELLEDIAS | | 114 PEARL STREET PORT CHESTER NY 10573 | 08/15/2012 | 08/15/2017 |
| DOL | DOL | | F KALAFATIS | F KALAFATIS | | 01/07/2014 | 01/07/2019 |
| DOL | DOL | | FANTASTIC PAINTING | | 493 LANSING ROAD FULTONVILLE NY 12072 | 11/18/2013 | 11/18/2018 |
| DOL | DOL | | FERNANDO GOMEZ | | 201 RICHARDS STREET BROOKLYN NY 11231 | 02/25/2009 | 02/25/2014 |
| DOL | DOL | *****0768 | FISHER CONCRETE INC | | 741 WELSH ROAD JAVA CENTER NY 14082 | 04/08/2009 | 04/08/2014 |
| DOL | DOL | *****5867 | FJM-FERRO INC | | 6820 14TH AVENUE BROOKLYN NY 11219 | 10/27/2011 | 10/27/2016 |
| DOL | DOL | *****1311 | FLOZ-ON PAINTING & DECORATING INC | | 12 DUNDERBERG ROAD TOMKINS NY 10986 | 10/16/2013 | 10/16/2018 |
| DOL | DOL | *****8961 | FLOZ-ON PAINTING INC | | 12 DUNDERBERG ROAD TOMKINS NY 10986 | 10/16/2013 | 10/16/2018 |
| DOL | DOL | | FMS | | 4 LEGHORN COURT NEW YORK NY 11746 | 11/28/2012 | 11/28/2017 |
| DOL | DOL | *****8067 | FORTH SPORT FLOORS INC | | P O BOX 74 EAST GREENBUSH NY 12061 | 02/28/2012 | 10/01/2017 |
| DOL | DOL | | FRAN MICELI | | 2279 HOLLERS AVENUE BRONX NY 10475 | 01/07/2014 | 01/07/2019 |
| DOL | DOL | | FRANCES KALAFATIS | | 2279 HOLLERS AVENUE BRONX NY 10475 | 01/07/2014 | 01/07/2019 |
| DOL | DOL | | FRANCES KALAFATIS-MICELI | | 2279 HOLLERS AVENUE BRONX NY 10475 | 01/07/2014 | 01/07/2019 |
| DOL | NYC | | FRANK ACOCELLA | | 68 GAYLORD ROAD SCARSDALE NY 10583 | 02/10/2011 | 02/10/2016 |
| DOL | DOL | | FRANK J MERCANDO | | 134 MURRAY AVENUE YONKERS NY 10704 | 12/11/2009 | 12/11/2014 |
| DOL | DOL | | FRANK MICELI JR | C/O FRANK MICELI JR CONTRACTIN G INC | 19 CLIFF STREET NEW ROCHELLE NY 10801 | 10/16/2013 | 10/16/2018 |
| DOL | DOL | ****1321 | FRANK MICELI JR CONTRACTING INC | | 19 CLIFF STREET NEW ROCHELLE NY 10801 | 10/16/2013 | 10/16/2018 |
| DOL | DOL | | FRANK ORTIZ | | 75 SHERBROOK ROAD | 07/01/2010 | 07/01/2015 |
| DOL | DOL | | FRED ABDO | ABDO TILE COMPANY AKA ABDO TILE CO | 6179 EAST MOLLOY ROAD EAST SYRACUSE NY 13057 | 06/25/2010 | 07/02/2017 |
| DOL | DOL | ****9202 | G & M PAINTING ENTERPRISES INC | | 13915 VILLAGE LANE RIVERVIEW MI 48192 | 02/05/2010 | 02/05/2015 |
| DOL | DOL | | GARY MCDOWELL | GM CONSTRUCTI ON & LAWN CARE SERVICE | 76 PLEASANT STREET WELLSVILLE NY 14895 | 06/11/2013 | 06/11/2018 |

| DOL | DOL | *****6826 | GBE CONTRACTING CORPORATION | 12-14 UTOPIA PARKWAY WHITESTONE NY 11357 | 02/10/2010 | 02/10/2015 |
|-----|-------|-----------|---|---|------------|------------|
| DOL | NYC | | GELSOMINA TASSONE | 25 CLIFF STREET NEW ROCHELLE NY 10801 | 06/15/2010 | 06/15/2015 |
| DOL | DOL | | GEORGE A PATTI III | P O BOX 772 JAMESTOWN NY 14701 | 08/13/2010 | 08/13/2015 |
| DOL | NYC | | GEORGE LUCEY | 150 KINGS STREET BROOKLYN NY 11231 | 01/19/1998 | 01/19/2998 |
| DOL | DOL | | GEORGE SHINAS | 12-14 UTOPIA PARKWAY WHITESTONE NY 11357 | 02/10/2010 | 02/10/2015 |
| DOL | DOL | | GERALD A POLLOCK | 336 TOMPKINS STREET CORTLAND NY 13045 | 06/29/2010 | 07/15/2016 |
| DOL | DOL | | GERALD F POLUCH JR | 2085 BRIGHTON HENRIETTA TOWN LINE ROADROCHESTER NY 14623 | 11/04/2010 | 11/04/2015 |
| DOL | DOL | *****1075 | GLOBAL TANK CONSTRUCTION LLC | P O BOX 1238 SALINA OK 74365 | 11/28/2012 | 11/28/2017 |
| DOL | DOL | *****0878 | GM CONSTRUCTION & LAWN CARE SERVICE | 76 PLEASANT STREET WELLSVILLE NY 14895 | 06/11/2013 | 06/11/2018 |
| DOL | DOL | *****0090 | GOLDS FLOORING INSTALLATIONS INC | 25 HAMILTON ROAD MONTICELLO NY 12701 | 10/16/2013 | 10/16/2018 |
| DOL | DOL | *****4013 | GR GRATES CONSTRUCTION CORPORATION | 63 IRONWOOD ROAD UTICA NY 13520 | 06/14/2010 | 06/14/2015 |
| DOL | DOL | | GRATES MERCHANT NANNA INC | 63 IRONWOOD ROAD UTICA NY 13520 | 06/14/2010 | 06/15/2015 |
| DOL | . DOL | | GREGG G GRATES | 63 IRONWOOD ROAD UTICA NY 13520 | 06/14/2010 | 06/14/2015 |
| DOL | DOL | | GRETCHEN SULLIVAN | P O BOX 130 CRETE IL 60417 | 11/10/2011 | 11/10/2016 |
| DOL | DOL | *****9985 | GROUND LEVEL CONSTRUCTION | 10 GABY LANE CHEEKTOWAGA NY 14227 | 10/15/2009 | 10/15/2014 |
| DOL | DOL | ****7735 | GRYF CONSTRUCTION INC | 394 SPOTSWOOD-ENGLISH RD MONROE NJ 08831 | 08/08/2011 | 08/08/2016 |
| DOL | DOL | *****9456 | GUILLO CONTRACTING CORP | P O BOX 229 CALVERTON NY 11933 | 07/08/2013 | 07/08/2018 |
| DOL | DOL | | GUS PAPASTEFANOU | C/O D & G PAINTING & DECO 53 LITTLE COLLABAR ROADMONTGOMERY NY 12549 | 04/19/2012 | 04/19/2017 |
| DOL | DOL | | H.H. RAUH CONSTRUCTION, LLC | 2930 RT. 394 ASHVILLE NY 14710 | 01/14/2011 | 01/14/2016 |
| DOL | DOL | *****2499 | H.H. RAUH CONTRACTING CO., LLC | 2930 RT. 394 ASHVILLE NY 14710 | 01/14/2011 | 01/14/2016 |
| DOL | DOL | | H.H. RAUH PAVING, INC. | 7 WEST 1ST ST. LAKEWOOD NY 14750 | 01/14/2011 | 01/14/2016 |
| DOL | DOL | | HALSSAM FOSTOK | 5 HANSEN PLACE WAYNE NJ 07470 | 09/18/2013 | 09/18/2018 |
| DOL | AG | *****9918 | HARA ELECTRIC CORP | 2461 47TH STREET ASTORIA NY 11103 | 09/26/2013 | 09/26/2018 |
| DOL | DOL | ****5405 | HARD LINE CONTRACTING INC | 89 EDISON AVENUE MOUNT VERNON NY 10550 | 10/28/2011 | 10/28/2016 |
| DOL | DOL | | HI-TECH CONTRACTING CORP | 114 PEARL STREET PORT CHESTER NY 10573 | 08/15/2012 | 08/15/2017 |
| DOL | DOL | ****4331 | HIDDEN VALLEY EXCAVATING | 225 SEYMOUR STREET FREDONIA NY 14063 | 02/08/2011 | 02/08/2016 |
| DOL | DOL | *****9893 | HOXIE'S PAINTING CO INC | 2219 VALLEY DRIVE SYRACUSE NY 13207 | 12/04/2009 | 12/04/2014 |
| DOL | DOL | *****6429 | IDM ENTERPRISES INC | 60 OUTWATER LANE GARFIELD NJ 07026 | 05/09/2009 | 05/09/2014 |
| DOL | DOL | *****8426 | IMPERIAL MASONRY RESTORATION INC | 141 ARGONNE DRIVE KENMORE NY 14217 | 10/03/2012 | 10/03/2017 |
| DOL | DOL | ****7561 | INDUS GENERAL CONSTRUCTION | 33-04 91ST STREET JACKSON HEIGHTS NY 11372 | 04/28/2010 | 04/28/2015 |
| DOL | DA | *****1958 | IRON HORSE ONE INC | 10 ROSWELL AVENUE OCEANSIDE NY 11572 | 09/30/2010 | 09/30/2015 |
| DOL | DOL | | ISABEL FRAGA | C/O THREE FRIENDS CONSTR 986 MADISON AVENUEPATERSON NJ 07501 | 01/03/2013 | 01/03/2018 |
| DOL | DOL | | IVAN D MARKOVSKI | 60 OUTWATER LANE GARFIELD NJ 07026 | 05/09/2009 | 05/09/2014 |
| DOL | DOL | | J & N LEASING AND BUILDING MATERIALS | 154 EAST BOSTON POST ROAD MAMARONECK NY 10543 | 08/11/2009 | 08/11/2014 |
| DOL | DOL | ****7598 | J M RICH LLC | P O BOX 268 STILLWATER NY 12170 | 09/16/2013 | 10/16/2018 |

| DOL | DOL | ****1584 | J M TRI STATE TRUCKING INC | | 140 ARMSTRONG AVENUE SYRACUSE NY 13209 | 10/21/2009 | 10/21/2014 |
|-----|-----|-----------|-------------------------------|--------------------------------|--|------------|------------|
| DOL | DOL | | J N RICH LLC | | P O BOX 268 STILLWATER NY 12170 | 09/16/2013 | 10/16/2018 |
| DOL | DOL | *****9368 | J TECH CONSTRUCTION | | PO BOX 64782 ROCHESTER NY 14624 | 09/24/2012 | 09/24/2017 |
| DOL | DOL | | J THE HANDYMAN | | | 09/24/2012 | 09/24/2017 |
| DOL | DOL | | JACQUELINE HOWE | | C/O FLOZ-ON PAINTING INC 12 DUNDERBERG ROADTOMKINS NY 10986 | 10/16/2013 | 10/16/2018 |
| DOL | DOL | *****8627 | JAG I LLC | | 635 LUZERNE ROAD QUEENSBURY NY 12804 | 09/16/2013 | 09/16/2018 |
| DOL | DOL | *****2868 | JAG INDUSTRIES INC | | 175 BROAD ST - SUITE 320 GLENS FALLS NY 12801 | 09/16/2013 | 09/16/2018 |
| DOL | DOL | | JAMES BOYCE | | C/O EMPIRE CONCRETE SYST 101 SULLYS TRAIL/SUITE 20PITTSFORD NY 14534 | 11/18/2013 | 01/07/2019 |
| DOL | DOL | | JAMES SICKAU | | 3090 SHIRLEY ROAD NORTH COLLINS NY 14111 | 04/19/2011 | 12/30/2016 |
| DOL | DOL | | JAMES WALSH | | 89 EDISON AVENUE MOUNT VERNON NY 10550 | 10/28/2011 | 10/28/2016 |
| DOL | DOL | | JASON M RICH | | P O BOX 268 STILLWATER NY 12170 | 09/16/2013 | 10/16/2018 |
| DOL | DOL | | JAY PRESUTTI | | C/O CONSOLIDATED INDUSTRI 2051 ROUTE 44/55MODENA NY 12548 | 01/28/2013 | 01/28/2018 |
| DOL | DOL | | JEFFREY A NANNA | | 502 WOODBURNE DRIVE UTICA NY 13502 | 06/14/2010 | 06/14/2015 |
| DOL | DOL | | JEFFREY ARTIERI | | 107 STEVENS STREET LOCKPORT NY 14094 | 11/04/2009 | 11/04/2014 |
| DOL | DOL | | JERALD HOWE | | C/O FLOZ-ON PAINTING INC 12 DUNDERBERG ROADTOMKINS NY 10986 | 10/16/2013 | 10/16/2018 |
| DOL | NYC | | JERRY DEWATERS | | 30 COLUMBUS CIRCLE EASTCHESTER NY 10709 | 08/21/2012 | 08/21/2017 |
| DOL | DOL | | JOHN BUONADONNA | | 283 NORTH MIDDLETOWN ROAD PEARL RIVER NY 10965 | 09/28/2009 | 09/28/2014 |
| DOL | DOL | | JOHN CATONE | | C/O CATONE CONSTRUCTION 294 ALPINE ROADROCHESTER NY 14612 | 03/09/2012 | 03/09/2017 |
| DOL | DOL | | JOHN DESCUL | | 437 SUNRISE HIGHWAYA WEST BABYLON NY 11704 | 08/12/2013 | 08/12/2018 |
| DOL | NYC | | JOHN DITURI | | 1107 MCDONALD AVENUE BROOKLYN NY 11230 | 07/30/2010 | 07/30/2015 |
| DOL | NYC | | JOHN FICARELLI | | 120-30 28TH AVENUE FLUSHING NY 11354 | 01/14/2011 | 01/14/2016 |
| DOL | DOL | | JOHN H LEE | JOHN LEE QUALITY PAVING | 67 WILER ROAD HILTON NY 14468 | 01/28/2013 | 01/28/2018 |
| DOL | DOL | | JOHN JIULIANNI | | 222 GAINSBORG AVENUE E WEST HARRISON NY 10604 | 05/10/2010 | 05/10/2015 |
| DOL | DOL | *****1749 | JOHN LEE QUALITY PAVING | | 67 WILER ROAD HILTON NY 14468 | 01/28/2013 | 01/28/2018 |
| DOL | DOL | *****2701 | JOHN SMYKLA | AFFORDABLE PAINTING PLUS | 367 GREEVES ROAD NEW HAMPTON NY 10958 | 10/01/2010 | 10/01/2015 |
| DOL | DOL | ****9368 | JORGE I DELEON | J TECH CONSTRUCTI ON | PO BOX 64782 ROCHESTER NY 14624 | 09/24/2012 | 09/24/2017 |
| DOL | DOL | | JORGE OUVINA | | 344 SOUNDVIEW LANE COLLEGE POINT NY 11356 | 11/22/2011 | 11/22/2016 |
| DOL | DOL | | JOSE MONTAS | | 27 BUTLER PLACE YONKERS NY 10710 | 03/18/2011 | 03/15/2017 |
| DOL | DOL | | JOSEPH CASUCCI | | 6820 14TH AVENUE BROOKLYN NY 11219 | 10/27/2011 | 10/27/2016 |
| DOL | DOL | | JOSEPH MARTONE | | 112 OSCAWANA HEIGHTS ROAD PUTNAM VALLEY NY 10542 | 08/27/2013 | 08/27/2018 |
| DOL | DOL | | JOSEPH MONETTE | | C/O JOHN MONETTE 140 ARMSTRONG AVENUESYRACUSE NY 13209 | 10/21/2009 | 10/21/2014 |
| DOL | DOL | | JOYA MUSCOLINO | | 10 ST CHARLES STREET THORNWOOD NY 10594 | 09/03/2013 | 09/03/2018 |
| DOL | DOL | *****4340 | JUBCO SITE DEVELOPMENT LLC | | 462 LAKEVIEW AVENUE VALHALLA NY 10595 | 12/16/2013 | 12/16/2018 |

| DOL | DOL | | JULIUS AND GITA BEHREND | | 5 EMES LANE MONSEY NY 10952 | 11/20/2002 | 11/20/3002 |
|-----|-----|-----------|---|---------------------------------|---|------------|------------|
| DOL | DOL | | K NELSON SACKOOR | | 16 JOY DRIVE NEW HYDE PARK NY 11040 | 01/05/2010 | 01/05/2015 |
| DOL | NYC | | KAMIL OZTURK | | 3715 KINGS HWY - STE 1D BROOKLYN NY 11234 | 06/30/2010 | 06/30/2015 |
| DOL | DOL | | KAREN HARTMAN | KAREN HARTMAN | | 07/08/2013 | 07/08/2018 |
| DOL | DOL | | KEITH SCHEPIS | | C/O KJS HAULING AND HOME 95 MAPLE AVENUENEW CITY NY 10956 | 04/15/2013 | 04/15/2018 |
| DOL | DOL | | KEN DEAVER | | 731 WARWICK TURNPIKE HEWITT NJ 07421 | 06/25/2012 | 12/11/2017 |
| DOL | DOL | ****5941 | KINGSVIEW ENTERPRISES INC | | 7 W FIRST STREET P O BOX 2LAKEWOOD NY 14750 | 01/14/2011 | 01/14/2016 |
| DOL | DOL | *****2463 | KJS HAULING AND HOME IMPROVEMENT INC | | 95 MAPLE AVENUE NEW CITY NY 10956 | 04/15/2013 | 04/15/2018 |
| DOL | AG | | KOSTAS "GUS" ANDRIKOPOULOS | | 2461 47TH STREET ASTORIA NY 11103 | 09/26/2013 | 09/26/2018 |
| DOL | DOL | | KRZYSZTOF PRXYBYL | | 2 TINA LANE HOPEWELL JUNCTION NY 12533 | 01/06/2012 | 01/06/2017 |
| DOL | DOL | *****6033 | KUSNIR CONSTRUCTION | | 2677 ANAWALK ROAD KATONAH NY 10536 | 08/03/2012 | 08/03/2017 |
| DOL | DOL | *****0526 | LAGUARDIA CONSTRUCTION CORP | | 47-40 48TH STREET WOODSIDE NY 11377 | 07/01/2011 | 07/01/2016 |
| DOL | NYC | *****8816 | LAKE CONSTRUCTION AND DEVELOPMENT CORPORATION | | 150 KINGS STREET BROOKLYN NY 11231 | 08/19/1998 | 08/19/2998 |
| DOL | DOL | ****9628 | LANCET ARCH INC | | 112 HUDSON AVENUE ROCHESTER NY 14605 | 02/14/2006 | 10/19/2014 |
| DOL | DOL | | LANCET SPECIALTY CONTRACTING CORP | | C/O CATENARY CONSTRUCTION 112 HUDSON AVENUEROCHESTER NY 14605 | 10/19/2009 | 10/19/2014 |
| DOL | DOL | | LARRY DOMINGUEZ | | 114 PEARL STREET PORT CHESTER NY 10573 | 08/15/2012 | 08/15/2017 |
| DOL | DOL | | LAURA A. GAUTHIER | | C/O IMPERIAL MASONRY REST 141 ARGONNE DRIVEKENMORE NY 14217 | 10/03/2012 | 10/03/2017 |
| DOL | DOL | | LAURI MARTONE | | 112 OSCAWANA HEIGHTS ROAD PUTNAM VALLEY NY 10542 | 08/27/2013 | 08/27/2018 |
| DOL | DOL | ****0597 | LEED INDUSTRIES CORP | HI-TECH CONTRACTIN G CORP | 114 PEART STREET PORT CHESTER NY 10573 | 08/15/2012 | 08/15/2017 |
| DOL | DOL | *****7907 | LEEMA EXCAVATING INC | | 140 ARMSTRONG AVENUE SYRACUSE NY 13209 | 10/21/2009 | 10/21/2014 |
| DOL | AG | | LEONID FRIDMAN | | APT 5 200 BRIGHTON, 15TH STBROOKLYN NY 11235 | 01/23/2013 | 01/23/2019 |
| DOL | DOL | *****8453 | LINPHILL ELECTRICAL CONTRACTORS INC | | 523 SOUTH 10TH AVENUE MOUNT VERNON NY 10553 | 01/07/2011 | 04/15/2018 |
| DOL | DOL | | LINVAL BROWN | | 523 SOUTH 10TH AVENUE MOUNT VERNON NY 10553 | 01/07/2011 | 04/15/2018 |
| DOL | DOL | ****5171 | LUVIN CONSTRUCTION CORP | | P O BOX 357 CARLE PLACE NY 11514 | 03/15/2010 | 03/15/2015 |
| DOL | NYC | *****2850 | M A 2 FLAGS CONTRACTING CORP | | 25-18 100TH STREET EAST ELMHURST NY 11369 | 08/21/2013 | 08/21/2018 |
| DOL | DOL | | MANUEL ESTEVES | | 55 OLD TURNPIKE ROAD SUITE 612NANUET NY 10954 | 02/04/2011 | 02/04/2016 |
| DOL | NYC | | MANUEL P TOBIO | | 150 KINGS STREET BROOKLYN NY 14444 | 08/19/1998 | 08/19/2998 |
| DOL | NYC | | MANUEL TOBIO | | 150 KINGS STREET BROOKLYN NY 11231 | 08/19/1998 | 08/19/2998 |
| DOL | DOL | | MAR CONTRACTING CORP | | 620 COMMERCE STREET THORNWOOD NY 10594 | 09/24/2012 | 09/24/2017 |
| DOL | DOL | | MARGARET FORTH | | P O BOX 74 EAST GREENBUSH NY 12061 | 02/28/2012 | 10/01/2017 |
| DOL | DOL | | MARIA ESTEVES AKA MARIA MARTINS | | C/O THREE FRIENDS CONSTR 986 MADISON AVENUEPATERSON NJ 07501 | 01/03/2013 | 01/03/2018 |
| DOL | DOL | | MARIA MARTINS AKA MARIA ESTEVES | | C/O THREE FRIENDS CONSTR 986 MADISON AVENUEPATERSON NJ 07501 | 01/03/2013 | 01/03/2018 |

| DOL | DOL | | MARIO LUIS | | 31 DURANT AVENUE BETHEL CT 06801 | 07/02/2012 | 07/02/2017 |
|-----|-----|-----------|---|----------------------------|--|------------|------------|
| DOL | DOL | | MARIO R ECHEVERRIA JR | | 588 MEACHAM AVE-SUITE 103 ELMONT NY 11003 | 08/24/2010 | 08/24/2015 |
| DOL | DOL | | MARK LINDSLEY | | 355 COUNTY ROUTE 8 FULTON NY 13069 | 08/08/2009 | 08/14/2014 |
| DOL | DOL | ****5533 | MARQUISE CONSTRUCTION & DEVELOPMENT CORP | | 10 ST CHARLES STREET THORNWOOD NY 10594 | 09/03/2013 | 09/03/2018 |
| DOL | DOL | *****8810 | MARQUISE CONSTRUCTION ASSOCIATES INC | | 20 BOSWELL ROAD PUTNAM VALLEY NY 10579 | 09/03/2013 | 09/03/2018 |
| DOL | DOL | *****1134 | MARQUISE CONSTRUCTION CORP | | 10 ST CHARLES STREET THORNWOOD NY 10594 | 09/03/2013 | 09/03/2018 |
| DOL | NYC | *****4314 | MASCON RESTORATION INC | | 129-06 18TH AVENUE COLLEGE POINT NY 11356 | 02/09/2012 | 02/09/2017 |
| DOL | NYC | *****4314 | MASCON RESTORATION LLC | | 129-06 18TH AVENUE COLLEGE POINT NY 11356 | 02/09/2012 | 02/09/2017 |
| DOL | DOL | *****0845 | MASONRY CONSTRUCTION INC | | 442 ARMONK ROAD MOUNT KISCO NY 10549 | 12/04/2009 | 05/04/2017 |
| DOL | DOL | *****3333 | MASONRY INDUSTRIES INC | | 442 ARMONK ROAD MOUNT KISKO NY 10549 | 12/04/2009 | 05/04/2017 |
| DOL | DOL | *****4638 | MATSOS CONTRACTING CORPORATION | | 12-14 UTOPIA PARKWAY WHITESTONE NY 11357 | 02/10/2010 | 02/10/2015 |
| DOL | AG | *****9970 | MAY CONSTRUCTION CO INC | | 700 SUMMER STREET STAMFORD CT | 11/24/2009 | 11/24/2014 |
| DOL | DOL | ****9857 | MBL CONTRACTING CORPORATION | | 2620 ST RAYMOND AVENUE BRONX NY 10461 | 08/30/2011 | 08/30/2016 |
| DOL | DOL | | MCI CONSTRUCTION INC | | 975 OLD MEDFORD AVENUE FARMINGDALE NY 11738 | 08/24/2009 | 08/24/2014 |
| DOL | DOL | ****9028 | MCINTOSH INTERIORS LLC | | 8531 AVENUE B BROOKLYN NY 11236 | 02/05/2013 | 02/05/2018 |
| DOL | DOL | *****5936 | MCSI ADVANCED AV SOLUTIONS LLC | | 2085 BRIGHTON HENRIETTA TOWN LINE ROADROCHESTER NY 14623 | 11/04/2010 | 11/04/2015 |
| DOL | DOL | *****4259 | MERCANDO CONTRACTING CO INC | | 134 MURRAY AVENUE YONKERS NY 10704 | 12/11/2009 | 12/11/2014 |
| DOL | DOL | *****0327 | MERCANDO INDUSTRIES LLC | | 134 MURRAY AVENUE YONKERS NY 10704 | 12/11/2009 | 12/11/2014 |
| DOL | DOL | *****9198 | MICHAEL CZECHOWICZ | OCTAGON CO | 37-11 35TH AVENUE-2ND FL LONG ISLAND CITY NY 11101 | 01/08/2013 | 01/08/2018 |
| DOL | DOL | | MICHAEL F LEARY JR | | 3813 SNOWDEN HILL ROADNEW HARTFORD NY 13413 | 06/19/2013 | 06/19/2018 |
| DOL | DOL | | MICHAEL F LEARY JR METAL STUD & DRYWALL | | 3813 SNOWDEN HILL ROAD NEW HARTFORD NY 13413 | 06/19/2013 | 06/19/2018 |
| DOL | DOL | | MICHAEL KTISTAKIS | | 363 88TH STREET BROOKLYN NY 11209 | 11/18/2013 | 11/18/2018 |
| DOL | DOL | *****6033 | MICHAEL KUSNIR | KUSNIR CONSTRUCTI ON | 2677 ANAWALK ROAD KATONAH NY 10536 | 08/03/2012 | 08/03/2017 |
| DOL | DOL | | MICHAEL MARGOLIN | | 4 LEGHORN COURT NEW YORK NY 11746 | 11/28/2012 | 11/28/2017 |
| DOL | DOL | | MICHELLE L BARBER | | 635 LUZERNE ROAD QUEENSBURY NY 12804 | 09/16/2013 | 09/16/2018 |
| DOL | DOL | *****2635 | MIDLAND CONSTRUCTION OF CEDAR LAKE INC | | 13216 CALUMET AVENUE CEDAR LAKE IL 46303 | 11/10/2011 | 11/10/2016 |
| DOL | NYC | | MIGUEL ACOSTA | | 25-18 100TH STREET EAST ELMHURST NY 11369 | 08/21/2013 | 08/21/2018 |
| DOL | DOL | *****5517 | MILLENNIUM PAINTING INC | | 67 WARD ROAD SALT POINT NY 12578 | 01/21/2011 | 01/21/2016 |
| DOL | AG | | MOHAMMAD RIAZ | | 46 RUGBY ROAD WESTBURY NY 11590 | 11/20/2013 | 11/20/2018 |
| DOL | NYC | | MOHAMMAD SELIM | | 73-12 35TH AVE - APT F63 JACKSON HEIGHTS NY 11372 | 03/04/2010 | 03/04/2015 |
| DOL | DA | | MOHAMMED SALEEM | | 768 LYDIG AVENUE BRONX NY 10462 | 08/18/2009 | 05/25/2015 |
| DOL | NYC | ****2690 | MONDOL CONSTRUCTION INC | | 11-27 30TH DRIVE LONG ISLAND CITY NY 11102 | 05/25/2011 | 05/25/2016 |
| DOL | DOL | | MORTON LEVITIN | | 3506 BAYFIELD BOULEVARD OCEANSIDE NY 11572 | 08/30/2011 | 08/30/2016 |
| DOL | DOL | *****2737 | MOUNTAIN'S AIR INC | | 2471 OCEAN AVENUE- STE 7A BROOKLYN NY 11229 | 09/24/2012 | 09/24/2017 |
| DOL | NYC | | MUHAMMAD ZULFIQAR | | 129-06 18TH AVENUE COLLEGE POINT NY 11356 | 02/09/2012 | 02/09/2017 |
| DOL | DOL | *****2357 | MUNICIPAL MILLING & MIX-IN- PLACE | | 9091 ERIE ROAD ANGOLA NY 14006 | 02/03/2011 | 02/03/2016 |

| DOL | DOL | | MURRAY FORTH | | P O BOX 74 EAST GREENBUSH NY 12061 | 02/28/2012 | 10/01/2017 |
|-----|-----|-----------|---|---|--|------------|------------|
| DOL | DA | *****9642 | MUTUAL OF AMERICAL GENERAL CONSTRUCTION & MANAGEMENT CORP | MUTUAL OF AMERICAL GENERAL CONSTRUCTION & MANAGEMENT CORP | | 08/18/2009 | 05/25/2015 |
| DOL | DOL | | MUZAFFAR HUSSAIN | | C/O ABSOLUTE GENERAL CONT 1129 AVENUE UBROOKLYN NY 11229 | 01/28/2013 | 01/28/2018 |
| DOL | DOL | | N PICCO AND SONS CONTRACTING INC | | 154 EAST BOSTON POST ROAD MAMARONECK NY 10543 | 08/11/2009 | 08/11/2014 |
| DOL | DOL | | NAT PICCO | | 154 EAST BOSTON POST ROAD MAMARONECK NY 10543 | 08/22/2009 | 08/22/2014 |
| DOL | DA | *****6988 | NEW YORK INSULATION INC | | 58-48 59TH STREET MASPETH NY 11378 | 05/16/2012 | 05/16/2017 |
| DOL | DOL | | NICHOLAS DEGREGORY JR | NJ DEGREGORY & COMPANY | 1698 ROUTE 9 GLENS FALLS NY 12801 | 05/23/2013 | 05/23/2018 |
| DOL | DOL | | NICOLE SPELLMAN | | 2081 JACKSON AVENUE COPIAGUE NY 11726 | 06/03/2010 | 06/03/2015 |
| DOL | DOL | | NIKOLAS PSAREAS | | 656 N WELLWOOD AVE/STE C LINDENHURST NY 11757 | 09/01/2011 | 09/01/2016 |
| DOL | DOL | *****5279 | NJ DEGREGORY & COMPANY | | 1698 ROUTE 9 GLENS FALLS NY 12801 | 05/23/2013 | 05/23/2018 |
| DOL | DOL | | NJ DEGREGORY & SONS CONSTRUCTION | | 1698 ROUTE 9 GLENS FALLS NY 12801 | 05/23/2013 | 05/23/2018 |
| DOL | DOL | *****9198 | OCTAGON CO | | 37-11 35TH AVENUE-2ND FL LONG ISLAND CITY NY 11101 | 01/08/2013 | 01/08/2018 |
| DOL | DOL | | OKBY ELSAYED | | 1541 EAST 56TH STREET BROOKLYN NY 11234 | 05/04/2012 | 05/04/2017 |
| DOL | NYC | | OLIVER HOLGUIN | | 95-26 76TH STREET OZONE PARK NY 11416 | 10/12/2011 | 10/12/2016 |
| DOL | DOL | *****5226 | PASCARELLA & SONS | | 459 EVERDALE AVENUE WEST ISLIP NY 11759 | 01/10/2010 | 01/10/2015 |
| DOL | DOL | | PAUL VERNA | | C/O AMERICAN STEEL MECHA 693 PAINTER STREETMEDIA PA 19063 | 02/20/2013 | 02/20/2018 |
| DOL | DOL | | PEDRO RINCON | PEDRO RINCON | | 03/02/2010 | 03/02/2015 |
| DOL | DOL | *****9569 | PERFORM CONCRETE INC | | 31 DURANT AVENUE BETHEL CT 06801 | 07/02/2012 | 07/02/2017 |
| DOL | DOL | | PETER J LANDI | | 249 MAIN STREET EASTCHESTER NY 10709 | 10/05/2009 | 10/05/2014 |
| DOL | DOL | ****7229 | PETER J LANDI INC | | 249 MAIN STREET EASTCHESTER NY 10709 | 10/05/2009 | 10/05/2014 |
| DOL | NYC | | PETER LUSTIG | | 30 COLUMBUS CIRCLE EASTCHESTER NY 10709 | 08/21/2012 | 08/21/2017 |
| DOL | NYC | | PETER TRITARIS | | 5990 58TH AVENUE MASPETH NY 11378 | 11/18/2013 | 11/18/2018 |
| DOL | DOL | *****1136 | PHOENIX ELECTRICIANS COMPANY INC | | 540 BROADWAY P O BOX 22222ALBANY NY 12201 | 03/09/2010 | 03/09/2015 |
| DOL | DOL | | PRECISION DEVELOPMENT CORP | | 115 LEWIS STREET YONKERS NY 10703 | 05/12/2009 | 05/12/2014 |
| DOL | DOL | *****7914 | PRECISION SITE DEVELOPMENT INC | | 89 EDISON AVENUE MOUNT VERNON NY 10550 | 10/28/2011 | 10/28/2016 |
| DOL | DOL | *****6895 | PROLINE CONCRETE OF WNY | | 3090 SHIRLEY ROAD NORTH COLLINS NY 14111 | 04/19/2011 | 12/30/2016 |
| DOL | DOL | *****0015 | RAMADA CONSTRUCTION CORP | | 80 SAVO LOOP STATEN ISLAND NY 10309 | 01/07/2014 | 01/07/2019 |
| DOL | DOL | | RAMON BONILLA | | 938 E 232ND STREET #2 BRONX NY 10466 | 05/25/2010 | 05/25/2015 |
| DOL | DOL | *****2633 | RAW POWER ELECTRIC CORP | | 3 PARK PLACE MIDDLETOWN NY 10940 | 09/16/2013 | 09/16/2018 |
| DOL | DOL | | REBECCA THORNE | | 113 N MAPLE AVENUE GREENSBURG PA 15601 | 02/21/2013 | 02/21/2018 |
| DOL | DOL | | REVOLUTIONARY FLOORS | | P O BOX 268 STILLWATER NY 12170 | 09/16/2013 | 10/16/2018 |
| DOL | DOL | | RHINO CONCRETE LLC | | 101 SULLYS TRAIL/SUITE 20 PITTSFORD NY 14534 | 11/18/2013 | 01/07/2019 |
| DOL | NYC | *****6978 | RISINGTECH INC | | 243-03 137TH AVENUE ROSEDALE NY 11422 | 03/25/2010 | 03/25/2015 |
| DOL | DOL | | ROBBYE BISSESAR | | 89-51 SPRINGFIELD BLVD QUEENS VILLAGE NY 11427 | 01/11/2003 | 01/11/3003 |

| DOL | NYC | | ROBERT FICARELLI | | 120-30 28TH AVENUE FLUSHING NY 11354 | 01/14/2011 | 01/14/2016 |
|-----|-----|-----------|---|-------------------------------------|---|------------|------------|
| DOL | DOL | | ROBERT L EVANS | | 128A NORTH STAMFORD ROAD STAMFORD CT 06903 | 05/23/2013 | 05/23/2018 |
| DOL | DOL | | ROCCO ESPOSITO | | C/O ROCMAR CONTRACTING | 09/24/2012 | 09/24/2017 |
| | | | | | 620 COMMERCE STREETTHORNWOOD NY 10594 | | |
| DOL | DOL | | ROCMAR CONSTRUCTION CORP | | 620 COMMERCE STREET THORNWOOD NY 10594 | 09/24/2012 | 09/24/2017 |
| DOL | DOL | ****7083 | ROCMAR CONTRACTING CORP | | 620 COMMERCE STREET THORNWOOD NY 10594 | 09/24/2012 | 09/24/2017 |
| DOL | DOL | *****9025 | ROJO MECHANICAL LLC | | 938 E 232ND STREET #2 BRONX NY 10466 | 05/25/2010 | 05/25/2015 |
| DOL | DOL | | ROMEO WARREN | | C/O RAW POWER ELECTR CORP 3 PARK PLACEMIDDLETOWN NY 10940 | 09/16/2013 | 09/16/2018 |
| DOL | DOL | *****5905 | ROSE PAINTING CORP | | 222 GAINSBORG AVENUE EAST WEST HARRISON NY 10604 | 05/10/2010 | 05/10/2015 |
| DOL | DOL | | ROSEANNE CANTISANI | | 11 TATAMUCK ROAD POUND RIDGE NY 10576 | 05/04/2012 | 05/04/2017 |
| DOL | NYC | | ROSS J HOLLAND | | 120-30 28TH AVENUE FLUSHING NY 11354 | 01/14/2011 | 01/14/2016 |
| DOL | DOL | | ROSS J MUSCOLINO | | 10 ST CHARLES STREET THORNWOOD NY 10594 | 09/03/2013 | 09/03/2018 |
| DOL | DOL | | S & M CONTRACTING LLC | | 30 MIDLAND AVENUE WALLINGTON NJ 07057 | 11/05/2010 | 11/05/2015 |
| DOL | DOL | | S & S ELECTRIC | | 235 BROADWAY SCHENECTADY NY 12306 | 06/19/2013 | 06/19/2018 |
| DOL | DOL | *****2585 | S B WATERPROOFING INC | | SUITE #3R 2167 CONEY ISLAND AVENUEBROOKLYN NY 11223 | 11/04/2009 | 11/04/2014 |
| DOL | DOL | *****4923 | SCHENLEY CONSTRUCTION INC | | 731 WARWICK TURNPIKE HEWITT NJ 07421 | 06/25/2012 | 12/11/2017 |
| DOL | DOL | | SCOTT LEONARD | GLOBAL TANK CONSTRUCTI ON LLC | P O BOX 1238 SALINA OK 74365 | 11/28/2012 | 11/28/2017 |
| DOL | DOL | | SEAKCO CONSTRUCTION COMPANY LLC | | 128A NORTH STAMFORD ROAD STAMFORD CT 06903 | 05/23/2013 | 05/23/2018 |
| DOL | DOL | *****9030 | SEAKCO NEW YORK LLC | SEAKCO CONSTRUCTI ON COMPANY | 128A NORTH STAMFORD ROAD STAMFORD CT 06903 | 05/23/2013 | 05/23/2018 |
| DOL | NYC | *****4020 | SERVI-TEK ELEVATOR CORP | | 2546 EAST TREMONT AVENUE BRONX NY 10461 | 06/04/2009 | 06/04/2014 |
| DOL | DOL | *****3540 | SEVEN STAR ELECTRICAL CONTRACTING CORP | | 23-24 STEINWAY STREET ASTORIA NY 11105 | 06/27/2011 | 06/27/2016 |
| DOL | DOL | | SEVEN STAR ELECTRICAL INC | | C/O THEONI ATHANASIADIS 1023 COMMACK ROADDIX HILLS NY 11746 | 06/27/2011 | 06/27/2016 |
| DOL | NYC | | SHAFIQUL ISLAM | | 11-27 30TH DRIVE LONG ISLAND CITY NY 11102 | 05/25/2011 | 05/25/2016 |
| DOL | NYC | | SHAHZAD ALAM | | 21107 28TH AVE BAYSIDE NY 11360 | 07/02/2012 | 07/02/2017 |
| DOL | DOL | | SHAIKF YOUSUF | | C/O INDUS GENERAL CONST 33-04 91ST STREETJACKSON HEIGHTS NY 11372 | 04/28/2010 | 04/28/2015 |
| DOL | DOL | *****0256 | SIERRA ERECTORS INC | | 79 MADISON AVE - FL 17 NEW YORK NY 10016 | 04/16/2009 | 04/16/2014 |
| DOL | DOL | *****0415 | SIGNAL CONSTRUCTION LLC | | 199 GRIDER STREET BUFFALO NY 14215 | 11/14/2006 | 02/25/2015 |
| DOL | DOL | *****8469 | SIGNATURE PAVING AND SEALCOATING | | P O BOX 772 JAMESTOWN NY 14701 | 08/13/2010 | 08/13/2015 |
| DOL | DOL | ****8469 | SIGNATURE SEALCOATING AND STRIPING SERVICE | | 345 LIVINGSTON AVENUE P O BOX 772JAMESTOWN NY 14702 | 04/04/2007 | 08/13/2015 |
| DOL | DOL | *****6904 | SIGNING STAR LIMITED LIABILITY COMPANY | | 5 HANSEN PLACE WAYNE NJ 07470 | 09/18/2013 | 09/18/2018 |
| DOL | DOL | *****0667 | SNEEM CONSTRUCTION INC | | 43-22 42ND STREET SUNNYSIDE NY 11104 | 07/01/2011 | 07/01/2016 |
| DOL | DOL | | SPASOJE DOBRIC | | 61 WILLET STREET - SUITE PASSAIC NJ 07055 | 07/09/2010 | 02/23/2017 |
| DOL | DOL | | SPORTSCRAFTERS INC | | 113 N MAPLE AVENUE GREENSBURG PA 15601 | 02/21/2013 | 02/21/2018 |

| DOL | DOL | *****3539 | SPOTLESS CONTRACTING | IMPACT INDUSTRIAL SERVICES INC | 44 THIELLS-MT IVY ROAD POMONA NY 10970 | 10/14/2011 | 10/14/2016 |
|-----|-----|-----------|---|--|---|------------|------------|
| DOL | DOL | *****3496 | STAR INTERNATIONAL INC | | 89-51 SPRINGFIELD BLVD QUEENS VILLAGE NY 11427 | 08/11/2003 | 08/11/3003 |
| DOL | DOL | | STEFANIE MCKENNA | | 30 MIDLAND AVENUE WALLINGTON NJ 07057 | 11/05/2010 | 11/05/2015 |
| DOL | DOL | | STEPHEN BIANCHI | | 462 LAKEVIEW AVENUE VALHALLA NY 10595 | 12/16/2013 | 12/16/2018 |
| DOL | DOL | | STEPHEON SHELDON | FANTASTIC PAINTING | 493 LANSING ROAD FULTONVILLE NY 12072 | 11/18/2013 | 11/18/2018 |
| DOL | DOL | | STEVEN CONKLIN | | 60 COLONIAL ROAD STILLWATER NY 12170 | 02/15/2011 | 02/15/2016 |
| DOL | DOL | ****4081 | STS CONSTRUCTION OF WNY | | 893 EAGLE STREET BUFFALO NY 14210 | 06/09/2009 | 06/09/2014 |
| DOL | DOL | | STUART CHAITIN | | 634 ROUTE 303 BLAUVET NY 10913 | 07/26/2012 | 11/19/2018 |
| DOL | DOL | ****3210 | SUPER SWEEP | FMS | 4 LEGHORN COURT NEW YORK NY 11746 | 11/28/2012 | 11/28/2017 |
| DOL | DOL | | SUZANNE G GOLD | C/O GOLDS FLOORING INSTALLATION S INC | 25 HAMILTON ROAD MONTICELLO NY 12701 | 10/16/2013 | 10/16/2018 |
| DOL | DOL | *****9676 | T D CONTRACTORS CORP | T D CONTRACTOR S INC | 113 N MAPLE AVENUE GREENSBURG PA 15601 | 02/21/2013 | 02/21/2018 |
| DOL | DOL | | T D CONTRACTORS INC | | 113 N MAPLE AVENUE GREENSBURG PA 15601 | 02/21/2013 | 02/21/2018 |
| DOL | DOL | ****4293 | THE J OUVINA GROUP LLC | | 344 SOUNDVIEW LANE COLLEGE POINT NY 11356 | 11/22/2011 | 11/22/2016 |
| DOL | DOL | | THE THORNE GROUP INC | | 113 N MAPLE AVENUE GREENSBURG PA 15601 | 02/21/2013 | 02/21/2018 |
| DOL | DOL | *****2070 | THE UNIVERSAL GROUP OF NEW YORK INC | | 212 OXFORD WAY SCHENECTADY NY 12309 | 12/11/2012 | 09/16/2018 |
| DOL | DOL | ****9243 | THE WELCOME MAT PROPERTY MANAGEMENT LLC | | P O BOX 268 STILLWATER NY 12170 | 09/16/2013 | 10/16/2018 |
| DOL | DOL | | THEONI ATHANASIADIS | | C/O SEVEN STAR ELECTRICAL 23-24 STEINWAY STREETASTORIA NY 11105 | 06/27/2011 | 06/27/2016 |
| DOL | DOL | | THOMAS ASCHMONEIT | | 79 MADISON AVENUE - FL 17 NEW YORK NY 10016 | 04/16/2009 | 04/16/2014 |
| DOL | DOL | | THOMAS DEMARTINO | | 158-11 96TH STREET HOWARD BEACH NY 11414 | 06/25/2009 | 06/25/2014 |
| DOL | DOL | | THOMAS DESANTIS | DESANTIS ENTERPRISES | 161 OSWEGO RIVER ROAD PHOENIX NY 13135 | 09/24/2013 | 11/18/2018 |
| DOL | NYC | | THOMAS SCARINCI | | 130-43 92ND AVENUE RICHMOND HILLS NY 11418 | 11/27/2013 | 11/27/2018 |
| DOL | DOL | | THOMAS TERRANOVA | | 13 NEW ROAD/SUITE 1 NEWBURGH NY 12550 | 11/15/2010 | 11/15/2015 |
| DOL | DOL | ****2734 | THREE FRIENDS CONSTRUCTION CORP | | 986 MADISON AVENUE PATERSON NJ 07501 | 01/03/2013 | 01/03/2018 |
| DOL | NYC | ****6253 | THUNDER BROTHERS CORP | | 24 CONGRESS LANE SOUTH RIVER NJ 08882 | 05/01/2013 | 05/01/2018 |
| DOL | DOL | | TIMOTHY F BARBER | | 635 LUZERNE ROAD QUEENSBURY NY 12804 | 09/16/2013 | 09/16/2018 |
| DOL | NYC | | TIMOTHY O'SULLIVAN | | C/O SNEEM CONSTRUCTION 4322 42ND STREETSUNNYSIDE NY 11104 | 07/01/2011 | 07/01/2016 |
| DOL | DOL | | TIMOTHY P SUCH | | 893 EAGLE STREET BUFFALO NY 14210 | 06/09/2009 | 06/09/2014 |
| DOL | NYC | *****1523 | TM MECHANICAL CORP | | 130-43 92ND AVENUE RICHMOND HILLS NY 11418 | 11/27/2013 | 11/27/2018 |
| DOL | DOL | | TNT DEMOLITION AND ENVIRONMENTAL INC | | 355 COUNTY ROUTE 8 FULTON NY 13069 | 08/08/2009 | 08/19/2014 |
| DOL | DOL | *****3315 | TOTAL DOOR SUPPLY & INSTALLATION INC | | 16 JOY DRIVE NEW HYDE PPARK NY 11040 | 01/05/2010 | 01/05/2015 |
| DOL | DOL | *****3315 | TOTAL DOOR SUPPLY & INSTALLATION INC | | 16 JOY DRIVE NEW HYDE PPARK NY 11040 | 01/05/2010 | 01/05/2015 |
| DOL | DOL | *****8176 | TOURO CONTRACTING CORP | | 1541 EAST 56TH STREET BROOKLYN NY 11234 | 05/04/2012 | 05/04/2017 |
| DOL | DOL | *****2357 | TRAC CONSTRUCTION INC | MUNICIPAL MILLING & MIX -IN- PLACE | 9091 ERIE ROAD ANGOLA NY 14006 | 02/03/2011 | 02/03/2016 |
| DOL | DOL | | TRI STATE TRUCKING INC | | 140 ARMSTRONG AVENUE SYRACUSE NY 13209 | 10/21/2009 | 10/21/2014 |
| DOL | DOL | *****5213 | TRIAD PAINTING CO INC | | 656 N WELLWOOD AVE/STE C | 09/01/2011 | 09/01/2016 |

| DOL | DOL | *****4294 | TWT CONSTRUCTION COMPANY INC | | 13 NEW ROAD/SUITE 1 NEWBURGH NY 12550 | 11/15/2010 | 11/15/2015 |
|-----|-----|-----------|---|--------------------------|--|------------|------------|
| DOL | DOL | | ULIANO AND SONS INC | | 22 GRIFFEN COURT MILLER PLACE NY 11746 | 10/26/2010 | 10/26/2015 |
| DOL | DOL | *****1504 | VALLEY VIEW LANDSCAPING AND SITE DEVELOPMENT LLC | | 470 AURORA STREET LANDSCASTER NY 14086 | 10/29/2009 | 10/29/2014 |
| DOL | DOL | *****0854 | VANESSA CONSTRUCTION INC | | 588 MEACHAM AVE/STE 103 ELMONT NY 11003 | 08/24/2010 | 08/24/2015 |
| DOL | DOL | *****3270 | VEZANDIO CONTRACTING CORP | | 530 BEECH STREET NEW HYDE PARK NY 11040 | 07/02/2012 | 07/02/2017 |
| DOL | NYC | | VICK CONSTRUCTION | | 21 DAREWOOD LANE VALLEY STREAM NY 11581 | 12/31/2013 | 12/31/2018 |
| DOL | NYC | | VICKRAM MANGRU | VICK CONSTRUCTI ON | 21 DAREWOOD LANE VALLEY STREAM NY 11581 | 12/31/2013 | 12/31/2018 |
| DOL | NYC | *****9936 | VISHAL CONSTRUCTION INC | | 73-12 35TH AVE - APT F63 JACKSON HEIGHTS NY 11272 | 03/04/2010 | 03/04/2015 |
| DOL | DOL | | WASSIM ISSA | | 470 AURORA STREET LANDSCASTER NY 14086 | 10/29/2009 | 10/29/2014 |
| DOL | DOL | | WESLEY J STAROBA | | 206 TALLY HO COURT SCHENECTADY NY 12303 | 06/19/2013 | 06/19/2018 |
| DOL | DOL | *****0078 | WESLEY J STAROBA INC | S & S ELECTRIC | 235 BROADWAY SCHENECTADY NY 12306 | 06/19/2013 | 06/19/2018 |
| DOL | DOL | ****7617 | WHITE PLAINS CARPENTRY CORP | | P O BOX 309 WHITE PLAINS NY 10603 | 12/04/2009 | 05/04/2017 |
| DOL | DOL | | WILLIAM SCRIVENS | | 30 MIDLAND AVENUE WALLINGTON NJ 07057 | 11/05/2010 | 11/05/2015 |
| DOL | DOL | | WILLIAM THORNE | | 113 N MAPLE AVENUE GREENSBURG PA 15601 | 02/21/2013 | 02/21/2018 |
| DOL | DOL | | WILLIAM W FARMER JR | | 112 HUDSON AVENUE ROCHESTER NY 14605 | 10/19/2009 | 10/19/2014 |
| DOL | NYC | ****5498 | XAVIER CONTRACTING LLC | | 68 GAYLORD ROAD SCARSDALE NY 10583 | 02/10/2011 | 02/10/2016 |
| DOL | AG | | YULY ARONSON | | 700 SUMMER STREET STAMFORD CT | 11/24/2009 | 11/24/2014 |
| DOL | DOL | | YURIY IVANIN | | C/O MOUNTAIN'S AIR INC 2471 OCEAN AVENUE-STE 7ABROOKLYN NY 11229 | 09/24/2012 | 09/24/2017 |

INDEX TO PROPOSAL

DESCRIPTION

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CERTIFICATE OF AUTHORITY

| I, | | | |
|-----------------------------------|-------------------------|---------------------|---|
| | (Officer other t | than officer execu | uting proposal documents) |
| certify that I am the | | of the | |
| | (Title) | | (Name of Contractor) |
| | | a corporation | , duly organized and in good standing under the |
| (La | w under which organ | ized, e.g., the Ne | w York Business Corporation Law) |
| named in the foregoi | ng agreement; that | | |
| - | | (Perso | n executing proposal documents) |
| who signed said agre | ement on behalf of th | e Contractor was | , at the time of execution, |
| | | of the Contra | ctor; that said agreement was duly signed for |
| (Title of such | person) | | |
| and in behalf of said | Contractor by authorit | ity of its Board of | f Directors, thereunto duly authorized, and that |
| such authority is in f | ull force and effect at | the date hereof. | |
| | Signature | | Corporate Seal |
| STATE OF NEW YO COUNTY OF ESSE | ORK) SS.: X) | | |
| On this | day of | , 20 | , before me personally came |
| | to me know | n, and known to | me to be the |
| (Title) of | | | the corporation described in |
| and which executed | the above certificate, | who being by me | duly sworn did depose and say that he, the said |
| | resides at | | , and that he is |
| | of said corp | oration and know | vs the corporate seal of the said corporation; that the |
| seal affixed to the ab | ove certificate is such | n corporate seal a | nd that it was so affixed by order of the Board of |
| Directors of said corp | poration, and that he | signed his name t | hereto by like order. |

Notary Public

County

CERTIFICATION OF EXPERIENCE

I, ______ HEREBY CERTIFY THAT (COMPANY ______

HAS PERFORMED THE FOLLOWING WORK WITHING THE LAST

THREE YEARS UNLESS SPECIFIED DIFFERENTLY IN THE SPECIFICATION:

| NAMES OF BUSINESS: | CONTACT NAME: | | |
|---------------------|----------------|--|--|
| ADDRESS: | | | |
| AMOUNT OF CONTRACT: | TELEPHONE NO.: | | |
| TYPE OF WORK: | FAX NO.: | | |
| NAMES OF BUSINESS: | CONTACT NAME: | | |
| ADDRESS: | | | |
| AMOUNT OF CONTRACT: | TELEPHONE NO.: | | |
| TYPE OF WORK: | FAX NO.: | | |
| NAMES OF BUSINESS: | CONTACT NAME: | | |
| ADDRESS: | TELEDHONE NO . | | |
| TYPE OF WORK: | FAX NO.: | | |
| NAMES OF BUSINESS: | CONTACT NAME: | | |
| ADDRESS: | | | |
| AMOUNT OF CONTRACT: | TELEPHONE NO.: | | |
| TYPE OF WORK: | FAX NO.: | | |
| NAMES OF BUSINESS: | CONTACT NAME: | | |
| ADDRESS: | | | |
| AMOUNT OF CONTRACT: | TELEPHONE NO.: | | |
| TYPE OF WORK: | FAX NO.: | | |
| NAMES OF BUSINESS: | CONTACT NAME: | | |
| ADDRESS: | | | |
| AMOUNT OF CONTRACT: | TELEPHONE NO.: | | |
| TYPE OF WORK: | FAX NO.: | | |

NOTE: THIS FORM MUST BE EXECUTED BY YOUR SURETY AND SUBMITTED WITH YOUR BID.

ESSEX COUNTY BID SECURITY FORM #1

CONSENT OF SURETY

Issued to:

(Name of Bidder)

CONTRACT NUMBER _____

In consideration of the premises and of one dollar to it in hand paid by the County of Essex the receipt whereof is hereby acknowledged, the undersigned contents and agrees that if the contract, for which the preceding estimate and proposal is made, be awarded to the corporation, person or persons making the same, it will become bound as surety and guarantor for its faithful performance, and will execute it as party of the third part thereto when required to do so by the said County of Essex and if the said corporation, person or persons shall omit or refuse to execute such contract if so awarded, it will pay, on demand, to the said County of Essex , any difference between the sum bid by the corporation, person or persons or persons to whom the contract may be afterwards awarded, the amount in each case to be determined by the bids for said contract.

| In witness whereof, sai | d Surety has set its | seal and caused | these presents | to be si | igned by | its duly |
|---------------------------|----------------------|-----------------|----------------|----------|----------|----------|
| authorized officers, this | day of | · | ,20 | | | |

(SEAL)

BY:_____

TITLE:_____

NOTE: Attach necessary Power of Attorney, Notarial Acknowledgement of Signature and Surety's Financial Statement.

STATEMENT OF SURETY'S INTENT

| We have reviewed the Did | -f | |
|------------------------------------|----------------|--|
| we have reviewed the Bid | OI(Contractor) | |
| of | (contractor) | |
| | (Address) | |
| for | | |
| | | |
| | (Project) | |
| Bids for which will be received on | | |

(Bid Opening Date)

and wish to advise that should this Bid of the Contractor be accepted and the Contract awarded to him, it is our present intention to become surety on the Performance Bond and Labor and Materials Payment Bond required by this Contract.

Any arrangement for the bonds required by the Contract is a matter between the Contractor and ourselves, and we assume no liability to you or third parties if for any reason we do not execute the requisite bonds.

We are duly authorized to transact business in the State of New York, and we appear on the U.S. Treasury Department's most current list (Circular 570 as amended).

Attest:

Surety's Authorized Signature(s)

Attach Power of Attorney

(Corporate seal if any. If no seal, write "No Seal" across this place and sign.)

BID SECURITY

(ATTACHED HERE - CERTIFIED CHECK, CASH OR BID BOND)

BIDDER'S CHECKLIST

Each of the following forms must be executed and notarized if applicable:

| CERTIFICATE OF AUTHORITY | |
|---|--|
| CERTIFICATION OF EXPERIENCE | |
| BID SECURITY FORM # 1 | |
| STATEMENT OF SURETY'S INTENT | |
| PROPOSAL | |
| CERTIFICATION OF COMPLIANCE WITH IRAN DIVESTMENT ACT | |
| NON-COLLUSIVE BIDDING CERTIFICATION | |

PROPOSAL

Date:

| Proposal of | _to furnish and deliver |
|---|-------------------------|
| all labor, supervision, materials, and equipment and perform all work in accordance | ce with the |
| Specifications for Emergency Power System, Lewis, New York for the following | lump sum cost. |

Bidders must provide a breakdown of the Base Bid, and complete all blanks on the Bid Proposal. Demolition, cutting and patching for each trade should be included with the respective trade. Dollar amounts in the Bid breakdown must total Base Bid. Unit price work shall not be included in the Base Bid amount.

The owner, Essex County, is tax-exempt. Do not include sales tax in Bid amounts.

The undersigned bidder has carefully examined the contract documents, site of the work, is familiar with existing conditions, and will provide all necessary insurance, bonds, machinery, tools, apparatus, false work and other means of construction, and do all the work and furnish all the materials called for by said contract according to the following TOTAL BASE BID, including all labor, supplies and equipment, permits, fees, overhead and profit for general construction, site work, mechanical, electrical and plumbing. The BASE BID price is to be shown in both words and figures. In the event of discrepancies, the amount shown in words shall govern. All items are to be furnished and installed in place complete.

BASE BID

(Amount in Words)

(Amount in Figures)

+ CONTINGENCY ALLOWANCE OF: \$3,000.00

Total Base Bid *Plus* Contingency Allowance:

(Amount in Words)

(Amount in Figures)

ALTERNATE E-1

Alternate E-1 consists of relocating the Emergency Operation Center (EOC) receptacles, lighting, and television circuits from existing panels to the new panels (H1A-U and H2A-U) which are served by the proposed emergency generator system.

(Amount in Words)

(Amount in Figures)

The undersigned further understands that the contract will be awarded to the competent, qualified bidder submitting the lowest bid for the Total Bid.
ADDENDA ACKNOWLEDGEMENT

| Addendum No. | Date Received |
|--------------|---------------|
| | |
| | |

The undersigned agrees as follows:

- 1. The total contract price bid shall be accepted as full compensation for the complete work subject to additions or deductions in quantity of work performed or changes agreed upon.
- 2. Within twenty (20) days from the date of "Notice of Acceptance" of the Proposal, to execute the contract and to furnish a satisfactory labor, material and performance bond in the amount of 100% of the contract price.
- 3. To execute the work as specified herein as soon as possible after notice of award.
- 4. To comply with requirements as to the conditions of employment, wage rates and hours set forth in the bidding documents.
- 5. Progress Payments will be made on a percentage of completion basis monthly.
- 6. 5% Retainage will be withheld until final approval of project(s).

| Bidder: | |
|--------------------------------|------|
| By: | |
| Title: | |
| Address: | |
| Dated: | |
| Telephone: | Fax: |
| Social Security/Federal ID No: | |
| | |

SUBCONTRACTOR LIST

Please provide a list of subcontractors with bid amount for each subcontract listed below. Leave blank if not applicable. <u>Seal in separate envelope labeled "Subcontractor List & Bid Amount".</u>

| (Name & Address) | | | |
|---------------------------|---------------|--------------|----|
| Bid Amount: | | | |
| | Dellars (¢ | | ` |
| (in words) | _ Donars (\$ | (in figures) |) |
| SITE WORK: | | | |
| (Name & Address) | | | |
| Bid Amount: | | | |
| | _ Dollars (\$ | | _) |
| (in words) | | (in figures) | |
| MECHANICAL SUBCONTRACTOR: | | | |
| (Name & Address) | | | |
| Bid Amount: | | | |
| | _ Dollars (\$ | | _) |
| (in words) | | (in figures) | |
| ELECTRICAL SUBCONTRACTOR: | | | |
| (Name & Address) | | | |
| Blu Amount. | | | |
| (in month) | _ Dollars (\$ | (: f: | _) |
| (in words) | | (in figures) | |
| PLUMBING SUBCONTRACTOR: | | | |
| (Name & Address) | | | |
| Bid Amount: | | | |
| | _ Dollars (\$ | | _) |
| (in words) | | (in figures) | |

CERTIFICATION OF COMPLIANCE WITH THE IRAN DIVESTMENT ACT

As a result of the Iran Divestment Act of 2012 (the "Act"), Chapter 1 of the 2012 Laws of New York, a new provision has been added to State Finance Law (SFL) § 165-a and New York General Municipal Law § 103-g, both effective April 12, 2012. Under the Act, the Commissioner of the Office of General Services (OGS) will be developing a list of "persons" who are engaged in "investment activities in Iran" (both are defined terms in the law) (the "Prohibited Entities List"). Pursuant to SFL § 165-a(3)(b), the initial list is expected to be issued no later than 120 days after the Act's effective date at which time it will be posted on the OGS website.

By submitting a bid in response to this solicitation or by assuming the responsibility of a Contract awarded hereunder, each Bidder/Contractor, any person signing on behalf of any Bidder/Contractor and any assignee or subcontractor and, in the case of a joint bid, each party thereto, certifies, under penalty of perjury, that once the Prohibited Entities List is posted on the OGS website, that to the best of its knowledge and belief, that each Bidder/Contractor and any subcontractor or assignee is not identified on the Prohibited Entities List created pursuant to SFL § 165-a(3)(b).

Additionally, Bidder/Contractor is advised that once the Prohibited Entities List is posted on the OGS Website, any Bidder/Contractor seeking to renew or extend a Contract or assume the responsibility of a Contract awarded in response to this solicitation must certify at the time the Contract is renewed, extended or assigned that it is not included on the Prohibited Entities List.

During the term of the Contract, should the County receive information that a Bidder/Contractor is in violation of the above-referenced certification, the County will offer the person or entity an opportunity to respond. If the person or entity fails to demonstrate that he/she/it has ceased engagement in the investment which is in violation of the Act within 90 days after the determination of such violation, then the County shall take such action as may be appropriate including, but not limited to, imposing sanctions, seeking compliance, recovering damages or declaring the Bidder/Contractor in default.

The County reserves the right to reject any bid or request for assignment for a Bidder/Contractor that appears on the Prohibited Entities List prior to the award of a contract and to pursue a responsibility review with respect to any Bidder/Contractor that is awarded a contract and subsequently appears on the Prohibited Entities List.

| | haing duly aware | dapagag and agus that ha laha la |
|-----|-------------------|----------------------------------|
| Ι. | Deino ouiv sworn. | deposes and says that ne/sne is |
| - / | | |

| the | of the |
|-----|--------|
| | |

Corporation and that neither the Bidder/Contractor nor any proposed subcontractor is identified on the Prohibited Entities List.

SIGNED

SWORN to before me this _____

day of _____, 20___

Notary Public

NON-COLLUSIVE BIDDING CERTIFICATION

By submission of this bid, the undersigned bidder and each person signing on behalf of such 1. bidder certifies and in the case of a joint bid each party thereto certifies as to its own organization — UNDER PENALTY OF PERJURY, that to the best of the undersigned's knowledge and belief:

The prices in this bid have been arrived at independently without collusion, consultation, (a) communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor;

(b) Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and

(c) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

The undersigned acknowledges and agrees that a bid shall not be considered for award nor shall 2. any award be made where any of the above have not been complied with; provided however, that if in any case the bidder cannot make the foregoing certification, the bidder shall so state and shall furnish with the bid a signed statement which sets forth in detail the reasons therefor. Where one or more of the above has/have not been complied with, the bid shall not be considered for award nor shall any award be made unless the political subdivision, public department, agency or official thereof to which the bid is made, or his designee, determines that such disclosure was not made for the purpose of restricting competition.

3. The undersigned also acknowledges and agrees that the fact that a bidder (a) has published price lists, rates, or tariffs covering items being procured, (b) has informed prospective customers of proposed or pending publication of new or revised price lists for such items, or (c) has sold the same items to other customers at the same prices being bid, does not constitute, without more, a disclosure within the meaning of paragraph 1 above.

4. The undersigned further acknowledges and agrees that any bid hereafter made to any political subdivision of the state or any public department, agency or official thereof by a bidder which is a corporation or a limited liability company for work or services performed or to be performed or goods sold or to be sold, where competitive bidding is required by statute, rule, regulation, or local law, and where such bid contains the certification referred to in paragraph 1 of this certificate, shall be deemed to have been authorized by the board of directors of the bidder, and such authorization shall be deemed to include the signing and submission of the bid and the inclusion therein of the certificate as to noncollusion as the act and deed of the corporation or limited liability company.

Name of Bidder:

(print full legal name)

Date Signed: _____

Signature:

Bidder is (check one): \Box an individual, \Box a limited liability partnership, \Box a limited liability company, □ other entity (specify): _____

CONTRACTOR'S ACKNOWLEDGEMENT

(If Corporation)

STATE OF NEW YORK) SS: COUNTY OF ESSEX)

On this ______ day of ______ 20____, before me personally came______ to me known, and known to me to be the _______ of the Corporation described in and which executed the within instrument, who being duly sworn did depose and say that he, the said ______ reside at _______ and that he is ______ of said corporation and knows the corporate seal of the said corporation; that the seal affixed to the within instrument is such corporate seal and that it was so affixed by order of the Board of Directors of said corporation, and that he signed his name thereto by like order.

Notary Public

CONTRACTOR'S ACKNOWLEDGEMENT

(If Individual)

STATE OF NEW YORK) SS: COUNTY OF ESSEX)

On this ______ day of ______ 20____, before me personally came ______ to me known, and known to me to be

the same person described in and who executed the within instrument and he duly acknowledged to me that he executed the same for the purpose herein mentioned and, if operating under and trade name, that the certificate required by the New York State Penal Law, Sections 440 and 440-b has been filed with the County Clerk of Essex County.

Notary Public

<u>CONTRACTOR'S</u> <u>ACKNOWLEDGEMENT</u> (If Co-Partnership)

STATE OF NEW YORK) SS: COUNTY OF ESSEX)

On this ______ day of ______ 20____, before me personally came ______ to me known, and known to me to be a member of the firm of and the person described in, and who executed the within instrument in behalf of said firm for the purposes herein mentioned and that the certificate required by the New York State Penal Law, Sections 440 and 440-b has been filed with the County Clerk of Essex County.

Notary Public